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Title

Incidence of and risk factors associated with nedaplatin-related hypersensitivity reactions

Authors

Yuki Kawarada ¹, Masayuki Miyazaki ¹, Ayaka Itoh ¹, Risa Araki ¹, Hidetaka Iwamizu ¹, Tomomi Kataoka ¹, Yasuo Kumakura ¹, Akiko Ota ², Taku Nagai ¹, Kiyofumi Yamada ¹

¹ Department of Hospital Pharmacy,

Nagoya University Hospital, 65 Tsurumai-cho, Showa-ku, Nagoya, Aichi 466-8560,

Japan

² Department of Clinical Oncology and Chemotherapy

Nagoya University Hospital, 65 Tsurumai-cho, Showa-ku, Nagoya, Aichi 466-8560,

Japan

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3 **ABSTRACT**
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7 *Background*
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10 Nedaplatin (NDP)-related hypersensitivity reactions (HSRs) trigger adverse clinical
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12 events. Prediction and prevention of NDP-HSRs are thus essential in order to minimize
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14 the risk and maximize the benefit of NDP therapy. However, the incidence of NDP-HSRs
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16 and the associated risk factors remain unclear.
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25 *Methods*
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28 We retrospectively examined patients who received NDP monotherapy between April
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30 2011 and July 2015 in Nagoya University Hospital. HSRs severity was defined
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32 according to Common Terminology Criteria for Adverse Events version 4 (CTCAE ver.4).
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40 Risk factors for NDP-HSRs were determined using multivariate logistic regression.
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43 *Results*
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46 Of 111 patients that received NDP monotherapy, 90 (81%) were female, and the median
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48 age was 59 years (range, 29–78 years). Eighty-eight patients had gynecologic cancer and
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54 20 suffered from head and neck cancer.
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3 Eight of 111 patients (7.2%) experienced NDP-HSRs, six of which developed in the
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7 second NDP cycle. However, all patients with NDP-HSRs were treated with carboplatin
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10 (CBDCA) for >3 cycles. Grade 3 and 4 HSRs developed in two patients. NDP-HSRs were
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13 significantly associated with a history of CBDCA-HSRs (odds ratio = 37.5, 95%
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16 confidence interval = 5.38–262, $p < 0.001$), and with the interval between NDP
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19 administration and the previous platinum treatment (odds ratio = 13.9, 95% confidence
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22 interval = 1.23–158, $p = 0.034$).
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28 *Conclusion*

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31 NDP-HSRs risk increases in patients with a history of CBDCA-HSRs, and in those
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34 administered NDP longer than 6 months after previous platinum treatment. Such
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39 individuals must be closely monitored if given NDP, even if they are expected to benefit
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43 from treatment.
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50 **Keywords**

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54 Nedaplatin, Hypersensitivity reactions, risk factors, cross-reactivity
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3 **Introduction**
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7 Hypersensitivity reactions (HSRs) are acute adverse events in chemotherapy; this has
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10 prompted significant research efforts into the quantification of incidence and the
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13 identification of HSR risk factors. With regard to the latter, commonly used platinum
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16 agents such as carboplatin (CBDCA) and oxaliplatin (L-OHP) are known risk factors for
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21 HSRs [1].
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25 CBDCA is one of the most frequently used platinum agents for the treatment of
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28 several malignancies (e.g. head and neck, lung, breast, cervical, ovarian, testicular
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31 cancer, and malignant lymphoma). The overall incidence of CBDCA-HSRs can range
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36 between 1–44%, with less than 1% occurring within 5 CBDCA cycles, 6.5% in 6 cycles,
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40 27% in 7 or more cycles, and 44% in third-line retreatment [1]. L-OHP, a drug commonly
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43 used to treat metastatic colorectal cancer, is generally combined with the genotoxic
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46 agent fluorouracil or its analogs. The incidence of L-OHP-related HSRs ranges from
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50 10–18.9%, and usually develops following 6 or more cycles of treatment. Furthermore,
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54 the risk of HSRs driven by common platinum agents increases in patients who undergo
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58 repeated treatment [2-5].
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7 NDP (cis-diammine-glycolatoplatinum) is a cisplatin (CDDP) analog that has been
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10 approved for the treatment of various solid tumors; the drug elicits lower gastrointestinal
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12 and renal toxicities when compared with CDDP [6, 7]. Thus, NDP may become the ‘drug
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14 of choice’, and substitute for both CDDP and CBDCA in the treatment of solid cancers.
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19 In support of this, several phase 2 studies have demonstrated the efficacy of NDP
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22 combination therapy in cervical cancer [8-10] and in head and neck cancer [11-13]. A
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25 recent phase 3 study indicated that NDP plus docetaxel was superior to CDDP plus
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28 docetaxel with regards to overall survival in advanced or relapsed squamous cell lung
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31 cancer patients [14]. Based on this evidence, NDP is currently the third most commonly
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34 used platinum agent, with only CDDP and CBDCA being used more frequently in the
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44 clinic.

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46 NDP-HSRs can be associated with severe adverse clinical symptoms, although the risk
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49 factors that precipitate such events are still unclear. To minimize the risk and maximize
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52 the benefit of NDP therapy, it is therefore essential to identify factors associated with
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59 NDP-driven HSRs. In many cases, NDP is used to treat patients with gynecologic cancer
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3 who have previously experienced CBDCA-HSRs. However, whether CBDCA treatment
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7 history has an impact on the risk of developing NDP-HSRs has not been formally tested.
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10 In this retrospective study, we investigated the incidence of NDP-HSRs, searched for
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12 associated risk factors, and evaluated the relationship between CBDCA- and
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18 NDP-triggered HSRs.
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24 **Patients and methods**

25 **Study design**

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28 This study was a single-center, retrospective cohort study. The study protocol was
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34 approved by the ethics board of Nagoya University School of Medicine.
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38 **Patients**

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41 From April 2011 to July 2015, we identified Japanese patients aged ≥ 20 years and who
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44 received NDP monotherapy at Nagoya University Hospital. Exclusion criteria included
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48 prior treatment history with an NDP-containing regimen.
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52 **Treatment**

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56 In the monotherapy regimen approved by our institution, NDP at 80–100 mg/m² was
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3 administrated intravenously for 60 min following pretreatment with 13.2 mg
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7 dexamethasone (DEX) and 5-HT₃ antagonist every four weeks. Dose and type of 5-HT₃
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10 antagonist were optional. NDP and DEX dose reduction was allowed depending on each
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14 patient's condition.
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17 **Hypersensitivity reactions**

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21 Considering their delayed onset, we defined HSRs caused by either NDP or CBDCA as
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25 allergy-like reactions (including itching, rash, flush, chest tightness, respiratory
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28 discomfort, emesis, blood pressure changes, and facial swelling) that occurred within the
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32 first 48 h of treatment. We excluded cases that could have been due to other drugs
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36 administered concomitantly with platinum reagents. The severity of NDP and
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40 CBDCA-HSRs was graded according to Common Terminology Criteria for Adverse
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44 Events version 4.0 (CTCAE ver.4.0).
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46 **Data collection**

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51 Clinical data collected from the medical records were as follows: age, type of cancer,
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54 history of allergy, incidence and severity of NDP- and CBDCA-HSRs, symptoms of
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58 NDP-HSRs, NDP exposure during the study period (total number of courses, doses, and
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3 cumulative dose). We also recorded treatment line number, the number of prior CBDCA
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7 administrations, the interval between NDP treatment and any previous platinum
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10 treatment (defined as the number of months from the last platinum treatment to the first
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14 NDP administration).
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17 **Statistical analysis**

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22 Univariate analyses were performed by using Mann-Whitney U test, and Fisher's exact
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25 test. A multivariate logistic regression analysis was used to estimate adjusted odds ratios.
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29 All statistical analyses were performed with the Statistics Program for Social Science
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32 version 23 (SPSS Inc., USA). Variables were considered significant when the *p* value
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36 was less than 0.05.
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41 **Results**

42 **Patient characteristics**

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48 One patient who had been previously treated with a regimen including NDP was
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52 excluded. A total of 111 patients treated with NDP was included. Because gynecologic
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56 cancer patients were in the majority, 90 of 111 patients (81%) were female (Table 1).
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3 Median age was 59 years (range, 29–78 years). Eighty-eight of 111 patients (79%) were
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7 first treated with CDDP or CBDCA, and given NDP as a third or higher line treatment.
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10 **Situations with developing NDP-HSRs**

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14 In the study population, 8 patients experienced HSRs following NDP treatment,
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18 representing an incidence of 7.2% of all treated patients. Five of 8 NDP-HSRs (63%)
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22 were observed within 10 min, and the most frequently observed symptoms were flush (6
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25 patients) and respiratory discomfort (4 patients), which were typical and also observed
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29 in CBDCA-HSRs (Table 2) [1, 2]. Two patients needed hospitalization (Grade 3) and
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33 another two were transferred to an intensive care unit for treatment of HSRs (Grade 4).
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36 Six of 8 patients (75%) developed HSRs during the second NDP cycle (Figure 1). All
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40 patients with NDP-HSRs had already been exposed to more than 3 cycles of CBDCA
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44 treatment (Table 3). There was no association between HSR grade and the number of
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48 platinum cycles. Five of 8 patients (63%) had a history of CBDCA-HSRs.
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51 **Risk factors for NDP-HSRs**

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54 Univariate analysis revealed three parameters as potential risk factors for NDP-HSRs
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58 (Table 3). First, the number of prior CBDCA treatments was significantly higher in
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3 patients with NDP-HSRs compared to patients without NDP-HSRs (median 9.5 vs. 5, $p =$
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7 0.009). Second, the overall incidence of CBDCA-HSRs was higher in patients with
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10 NDP-HSRs relative to patients without NDP-HSRs (63% vs. 6%, $p < 0.001$). Finally, the
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13 proportion of patients that received NDP 6 months or more after the previous platinum
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16 treatment was higher in patients with NDP-HSRs than patients without NDP-HSRs (88%
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18 vs. 43%, $p = 0.023$). The effects of DEX reduction, age, and the number of NDP cycles
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21 had no statistically significant impact on NDP-HSRs.
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29 Using multivariate analysis, NDP-HSRs were significantly associated with two
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32 factors: history of CBDCA-HSRs (odds ratio = 37.5, 95% CI = 5.38–262, $p < 0.001$), and
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35 the length of the interval between NDP and the previous platinum treatment (odds ratio =
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38 13.9, 95% CI = 1.23–158, $p = 0.034$) (Table 4).
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43 **Association of NDP-HSRs with CBDCA-HSRs**

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46 In patients who experienced CBDCA-HSRs, 5 of 11 individuals (45%) developed
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49 NDP-HSRs. The interval between the first NDP treatment and previous CBDCA-HSRs
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52 was significantly longer in this group than in patients without NDP-HSRs (median
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55 months, 30 vs. 1, $p = 0.025$) (Table 5). Additionally, in the study population, 72 of 111
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3 patients had the history of CBDCA treatment (Table 1). The incidence of NDP-HSRs was
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7 significantly higher in these 72 patients compared to those without CBDCA-HSRs (45%
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11 vs. 4.9%, $p > 0.001$) (Table 6).
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14 **Discussion**

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17 In our study, most of NDP-HSRs occurred during early cycle of treatment, in contrast
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21 that the risk of common platinum-HSRs increased in patients who undergo repeated
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25 treatment. NDP is commonly used as the third platinum agent. It is predicted that prior
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29 repeated platinum exposure influence early-onset of NDP-HSRs.
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33 Additionally, we found that a history of CBDCA-HSRs was the most significant risk
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36 factor for NDP-HSRs.
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40 Various mechanisms have been proposed to explain platinum-dependent HSRs. First,
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43 there is a correlation between severe CBDCA-HSRs and IgE-dependent-HSRs. Patients
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47 with CBDCA-HSRs had significantly higher expression of the Fc fragment of IgE
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51 receptor-I (Fc ϵ RI) on basophils, and a higher level of Fc ϵ RI mRNA in peripheral
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55 blood compared to patients without CBDCA-HSRs. Accordingly, it was suggested that
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3 monitoring the pharmacodynamic changes of Fc ϵ RI expression on basophils was
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7 essential for prevention of CBDCA-HSRs in high-risk patients [15].
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11 Second, specific IgE (sIgE) was observed in several patients with CBDCA- or
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13 L-OHP-induced HSRs; this has led to the proposal that sIgE may recognize different
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15 epitopes in CBDCA and L-OHP. CBDCA sIgE may be directed against primary amine
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17 groups present on both CBDCA and CDDP, but which are absent on L-OHP [16]. Similar
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19 to CBDCA, NDP also contains a primary amine group, and may therefore be recognized
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21 by autoantibodies. Together, these observations suggest that platinum-driven HSRs
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23 develop due to activation of a type I allergy mechanism, and NDP possibly cross-reacts
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25 with CBDCA as to HSRs.
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40 While our multivariate analysis indicated that a history of CBDCA-HSRs was a risk
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42 factor for NDP-HSRs, substituting CBDCA with NDP in women with gynecologic
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44 cancers who experienced CBDCA-HSRs is an effective treatment strategy. Indeed, two
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46 groups have reported approximately 30–35% response rates, including five cases of
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48 complete response [17, 18]. Accordingly, NDP treatment in patients who had experienced
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7 A long interval between NDP treatment and any previous platinum regimen was also
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10 detected as a risk factor for NDP-HSRs. This is consistent with a previous report that a
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13 12-month platinum-free interval is a risk factor for CBDCA-HSRs in gynecologic cancer
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16 patients [3, 19]. Similarly, L-OHP salvage therapy is a risk factor for HSRs in colon
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19 cancer patients [20]. In addition, two previous studies have also reported incidence of
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22 NDP-HSRs and interval to re-challenge after CBDCA-HSRs. Michikami *et al*, Arimoto *et*
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24
25 *al*, and we have reported that incidence of NDP-HSRs after CBDCA-HSRs were 7.9% in
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28 patients subsequently switched NDP, 27% in patients with 1.4 months of interval to
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31 re-challenge, and 45% in patients with 9 months (Table 5) [17,18]. Incidence of
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34 NDP-HSRs after CBDCA-HSRs was increased associated with long interval to
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37 re-challenge. These reports suggest that the development of immune sensitization to
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40 platinum agents, including NDP, requires a relatively long period before it manifests in
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43 the form of clinical symptoms. Our current results suggest that a long time interval may
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46 be required to develop cross-immune sensitization to NDP in patients with
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49 CBDCA-HSRs. Thus we infer that, when deemed necessary, NDP treatment should be
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3 initiated relatively quickly after CBDCA treatment in those patients who experienced
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7 CBDCA-HSRs. Conversely, if a long period (> 6 months) has elapsed since the last
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10 episode of CBDCA-HSRs, NDP should only be administered with especially careful
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14 monitoring. However, further studies are required to validate this approach, because
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17 many mechanistic aspects of platinum immune sensitization remain unclear.
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21 Management for platinum-HSRs including premedication, desensitization and
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24 substitution of platinum agents have been researched [1,21-24]. However, preventive
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27 effects are still limited, and specific prophylaxis for NDP-HSRs has scarcely been
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30 reported. Perhaps, subsequent substitution of NDP for CBDCA is effective management
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34 for CBDCA-HSRs, but further study is also needed to demonstrate this hypothesis.
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40 Several limitations of this study should be noted. As it was retrospective, this study
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43 was not able to address the outcome of novel changes to treatment strategies when HSRs
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46 were encountered. Also, the number of study subjects was relatively small and the data
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49 presented should therefore be interpreted with caution. Additionally, we only focused on
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53 prediction of NDP-HSRs development, so we didn't evaluate efficacy of therapy and
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57 HSRs prophylaxis.
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3 In conclusion, a history of CBDCA-HSRs and an interval of 6 months or greater
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7 between NDP and the previous platinum treatment are risk factors for NDP-HSRs. Thus,
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10 NDP monotherapy in patients who have experienced CBDCA-HSRs should be
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13 implemented with great care. When benefit of the NDP monotherapy is expected for
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16 patients in whom the interval between different platinum treatments is long, its
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19 administration should be carefully monitored.
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Conflict of Interest Statement

The authors declare that they have no conflict of interest.

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Tables

Table 1: Patient characteristics

Table 2: Summary of individual cases with NDP-HSRs

Table 3: Results of univariate analysis

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Table 5: Summary of patients with CBDCA-HSRs

Table 6: Subgroup analysis in patients with a history of CBDCA treatment

Figure captions

Fig1 Number of NDP cycles in patients with NDP-HSRs. Six of eight NDP-HSRs developed in the second NDP cycle

Table 1 Patient characteristics

	Patients (n = 111)
Age (years)	
median	59
range	29-78
Sex	
male	21
female	90
Number of NDP cycles	
median	3
range	1-16
History of CBDCA treatment	
yes	72
no	39
Type of cancer	
gynecologic	88
head and neck	20
others	3
Line of therapy	
first or second	23
third or higher	88

NDP : nedaplatin, CBDCA : carboplatin

Table 2 Summary of individual cases with NDP-HSRs

No.	NDP-HSRs	CBDCA-HSRs	Onset of NDP-HSRs, min	Symptoms
1	Gr 1	Gr 1	7	flush, hyperemia
2	Gr 2	Gr 2	5	flush, respiratory discomfort
3	Gr 2	-	3	flush, hyperemia,
4	Gr 2	Gr 3	< 60	flush
5	Gr 3	Gr 3	23	respiratory discomfort, itching
6	Gr 3	-	35	flush, nausea, edema
7	Gr 4	-	9	respiratory discomfort, hypotension, consciousness disorder, itching
8	Gr 4	Gr 3	9	flush, respiratory discomfort, hypotension, consciousness disorder

NDP : nedaplatin, CBDCA : carboplatin, HSRs : hypersensitivity reactions

Table 3 Results of univariate analysis.

	NDP-HSRs (+) (n = 8)	NDP-HSRs (-) (n = 103)	<i>p</i> value
Age (years)			
median	53.5	59	0.523 ^a
range	38-71	29-78	
Number of NDP cycles			
median	2	3	0.097 ^a
range	2-7	1-16	
Number of prior CBDCA treatments			
median	9.5	5	0.009 ^{a*}
range	3-28	0-29	
Type of cancer			
gynecologic	8	80	
head and neck	0	20	
others	0	3	
History of CBDCA-HSRs	63% (5/8)	6% (6/103)	< 0.001 ^{b*}
Interval between NDP administration and the previous platinum treatment (months)			
> 6	88% (7/8)	43% (44/103)	0.023 ^{b*}
DEX reduction	63% (5/8)	42% (43/103)	0.289 ^b

NDP : nedaplatin, CBDCA : carboplatin, HSRs : hypersensitivity reactions, DEX : dexamethasone

NDP-HSRs (+) : Patients with NDP-HSRs, NDP-HSRs (-) : Patients without NDP-HSRs

^a Mann-Whitney U test, ^b Fisher's exact test, * $p < 0.05$

Table 4 Results of multivariate analysis

Factors	Odds ratio	95% CI	<i>p</i> value
History of CBDCA-HSRs	37.5	5.38 – 262	< 0.001*
Interval between NDP administration and the previous platinum treatment (months)			
> 6	13.9	1.23 – 158	0.034*

NDP : nedaplatin, CBDCA : carboplatin, HSRs : hypersensitivity reactions, CI : confidence interval

* $p < 0.05$

Table 5 Summary of patients with CBDCA-HSRs

	NDP-HSRs (+) (n = 5)	NDP-HSRs (-) (n = 6)	Total (n=11)	<i>p</i> value
Interval between the first NDP treatment and CBDCA-HSRs (months)				
median	30	1	9	0.025 ^{a*}
range	9-33	1-27	1-33	

NDP : nedaplatin, CBDCA : carboplatin, HSRs : hypersensitivity reactions

NDP-HSRs (+) : Patients with NDP-HSRs, NDP-HSRs (-) : Patients without NDP-HSRs

^a Mann-Whitney U test, * $p < 0.05$

Table 6 Subgroup analysis in patients with a history of CBDCA treatment.

	CBDCA-HSRs (+) (n = 11)	CBDCA-HSRs (-) (n = 61)	<i>p</i> value
Age (years)			
median	57	55	0.424 ^a
range	44-77	29-78	
Number of prior CBDCA treatments			
median	12	7	0.003 ^{a*}
range	8-28	1-29	
Number of NDP cycles			
median	2	3	0.811 ^a
range	2-13	1-16	
NDP-HSRs development	45% (5/11)	4.9% (3/61)	< 0.001 ^{b*}

NDP, nedaplatin; CBDCA, carboplatin; HSRs, hypersensitivity reactions

CBDCA-HSRs (+) : Patients with CBDCA-HSRs, CBDCA-HSRs (-) : Patients without CBDCA-HSRs

^a Mann-Whitney U test, ^b Fisher's exact test, * $p < 0.05$

