

1 **Allometric equations for estimating the aboveground biomass of bamboos in**
2 **northern Laos**

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17 **northern Laos**

18

19 **ABSTRACT**

20 Bamboos are dominant plants in northern Laos, where they are closely associated with local
21 people's livelihoods. We developed species-specific allometric equations for estimating
22 aboveground biomass from culm size parameters (diameter at breast height [DBH] and DBH^2H ; H
23 is a culm length) using 11 common bamboo species in the region. The applicability of multi-species
24 allometric equations based on pooled data was also examined. Most species-specific allometric
25 regressions showed significant correlations. In addition, the multi-species allometric relationships
26 for culm biomass and aboveground biomass showed particularly high correlations ($r^2 > 0.96$),
27 indicating the usefulness of multi-species allometric equations to estimate bamboo biomass in
28 mixed-species bamboo forests with unknown bamboos and bamboos without species-specific
29 allometric equations. The generally small differences in the fitness of aboveground biomass
30 estimates between DBH and DBH^2H indicate that DBH is a practical explanatory variable for
31 biomass estimation. These species-specific and multi-species allometric equations will help
32 developing future work on carbon stocks and cycles in bamboo forests in this region.

33

34 **KEYWORDS** Allometry; carbon stocks; culm; fallow; multi-species relationship

35

36 **Introduction**

37

38 Recent reviews have demonstrated that bamboos have high potential to store substantial amounts of
39 carbon due to their wide distribution and rapid growth rates (Nath et al. 2015; Yuen et al. 2017).
40 Although approximately 100 studies have developed allometric equations to estimate bamboo

41 biomass, most of these studies were conducted in China, Taiwan, and India (Yuen et al. 2017). As
42 bamboo species and their physical traits differ regionally, the exploration of allometric relationships
43 in research-scarce regions could contribute to the global evaluation of bamboo ecosystems.

44 In northern Laos, bamboos are common and widely distributed in fallow forests at early
45 successional stages (Kiyono et al. 2007), which are major land-cover type in the region (Inoue et al.
46 2010). As bamboos play significant roles in the daily lives of local people as materials and foods
47 (Roder et al. 1995; Ohno et al. 2008), useful bamboos have been planted and cultivated near
48 villages. Consequently, bamboos are dominant plants and prominent components of landscapes in
49 the region (Roder et al. 1997; Kameda and Nawata 2017). Nevertheless, little attention has been
50 paid to the biomass or carbon accumulation of bamboos and only a few studies have proposed
51 allometric equations for estimating bamboo biomass in northern Laos (Kiyono et al. 2007; Hirota et
52 al. 2008). Although Hirota et al. (2008) examined four medium-sized bamboo species and Kiyono
53 et al. (2007) studied mixed species in *Bambusa* and *Cephalostachyum*, the allometric relationships
54 of the other bamboo species must be considered for a comprehensive understanding of bamboo
55 biomass in the region.

56 Almost all of the existing allometric equations for bamboos have been species-specific (Yuen et
57 al. 2016, 2017). The development of species-specific equations is ideal for the accurate estimation
58 of biomass, but this is unlikely to be accomplished for all of the bamboo species. To facilitate the
59 estimation of bamboo biomass/carbon in the region, it would be worthwhile to develop the
60 multi-species allometric equations, which are reasonably applicable to any bamboo species.

61 The objectives of the present study were to (1) develop species-specific allometric equations for
62 estimating the aboveground biomass and height of 11 common and widely distributed bamboo
63 species in northern Laos, (2) develop multi-species allometric equations using pooled data for these
64 11 species, and (3) examine the applicability of the multi-species allometric relationship by
65 comparing relationships among previously reported species-specific equations in the region.

66

67 **Materials and Methods**

68

69 ***Study sites***

70 The study was mainly conducted in fallow forests near seven villages in three provinces in northern
71 Laos (Table 1, Suppl. Fig. 1). Fallow is a major component of the landscapes around the villages
72 and is often dominated by bamboos and early successional trees (Roder et al. 1997; Kameda and
73 Nawata 2017). All areas had a tropical monsoon climate with an approximately 6-month dry season
74 from October to March. The annual mean rainfall and temperature during 2012–2016 were
75 1487.1–1639.6 mm and 24.1–26.6 °C, respectively (province-based data; DMH 2017). The soils
76 were mainly Acrisols, with some Luvisols near Pak Bak village and Alisols near the villages Huay
77 Khot and Hat Ye (district-based data; SSLCC 2010).

78

79 ***Bamboo species and biomass measurement***

80 We selected 11 bamboo species, that are often used by local people (Table 1, Suppl. Table 1, Suppl.
81 Fig. 2). Of these species, seven are widely distributed in northern Laos (recorded in more than six of
82 eight provinces) and the other four species (*Loi*, *Khao Lam*, *Phai Ban*, and *Puak*) have been
83 observed in 3–4 provinces (Singkone et al. unpublished data). The species were identified at
84 Kasetsart University, Thailand, and consisted of small to large species, i.e., with mean diameter at
85 breast height (DBH; 1.3 m) ranging from 0.99 to 12.53 cm, three monopodial branching types, and
86 eight sympodial types (Table 1). The spelling of local names follows that of ISO 11940-2.

87 For each species, 10 samples (one to three culms per clump) that differed in size were randomly
88 harvested from neighboring clumps from November 2017 to January 2018. We used naturally
89 grown bamboos in fallow forests, and well-developed cultivated bamboos near a banana orchard
90 (*×Thyrsocalamus liang* [local name *Sang Phai*]) and teak plantation (*Thyrsostachys siamensis*
91 [*Huak*]). After harvesting, we measured DBH (cm), culm length (H; m), and fresh weights of the
92 culm, branches, and leaves in the field. To determine the ratio of dry weight to fresh weight,

representative samples of each organ from each sample were oven-dried at 80 °C for 72 h and weighed in the laboratory. The sum of the biomass of the culm, branches, and leaves was considered the aboveground biomass (AGB; kg).

We developed species-specific allometric equations between components and size variables using the standard allometric equation $y = ax^b$, where y is the culm length (H) or biomass of the culm, branches, leaves, and AGB (kg), x is DBH (cm) or DBH^2H ($\text{cm}^2 \text{ m}$), and a and b are coefficients estimated by the regression. Multi-species allometric equations were also developed using the pooled data of 11 bamboo species. To assess the applicability of the resulting multi-species equations, we compared the allometric relationships with those derived from species-specific equations reported by Hirota et al. (2008) for four common bamboos in northern Laos: *Oxytenanthera parvifolia* (local name *Sot*), *Cephalostachyum virgatum* (*Hia*), *Bambusa tulda* (*Bong*), and *Indosasa sinica* (*No Khom*). The first two species correspond to *Gigantochloa scortechinii* and *Schizostachyum virgatum*, respectively, after revision of the scientific names (Singkone et al., unpublished data). Although the local name of *I. sinica* should be *Khom* under ISO 11940-2, we used *No Khom* due to its high familiarity. The allometric relationships were assessed using the standardized major axis (SMA) method after log-transformation of both variables (Warton et al. 2006, 2012). All statistical analyses were performed using the software package R ver. 3.0.2 (R Core Team 2013).

Results

Species-specific allometric relationships

Most of the species-specific allometric regressions for the biomass of plant components and AGB showed significant correlations (Table 2). Although the allometric relationships for culm length and biomass of branches or leaves in four species (*Indosasa* sp. 1, *Fargesia* sp. 1, *Dendrocalamus hamiltonii*, and *Dendrocalamus sinicus*) had low correlations, all of the allometric equations for

119 AGB had significant correlations, except for *Fargesia* sp. 1 as a function of DBH^2H . The fitness of
120 the equations was greater when DBH^2H was used as the explanatory variable in some relationships,
121 whereas more fitted estimates were obtained by using DBH in others, although the differences were
122 generally small (Table 2).

123

124 ***Multi-species allometric relationships***

125 We found relatively high correlations ($r^2 > 0.77$) for all equations using the pooled data of 11
126 bamboo species (Table 2, Fig. 1). Multi-species allometric relationships for culm biomass and AGB
127 had particularly high correlations ($r^2 > 0.96$). The difference in the fitness of the estimates using
128 DBH and DBH^2H as the explanatory variable was small (Table 2). Compared to the AGBs obtained
129 with species-specific allometric equations by Hirota et al. (2008), the AGB calculated by our
130 multi-species allometric equation was somewhat overestimated or underestimated, depending on the
131 bamboo species (Fig. 2).

132

133 **Discussion**

134

135 Species-specific allometric equations of significant fitness were obtained to estimate AGB for 11
136 bamboo species in northern Laos. Using our models together with those in Hirota et al. (2008), the
137 species-specific AGB of many common bamboos in the region can now be estimated. The
138 multi-species allometric equations also had sufficiently high correlations, particularly for culm
139 biomass and AGB, indicating the applicability of the equations to various bamboos in northern Laos
140 irrespective of species, size, and branching type. The difference in AGBs estimated by
141 species-specific and multi-species allometric equations is unavoidable because the former equations
142 normally lead to more accurate biomass quantification of the specific bamboo species. However,
143 multi-species allometric equations are useful when evaluating bamboo biomass in mixed-species
144 bamboo forests with unknown bamboos and bamboos without species-specific allometric equations.

145 The allometric relationships between branch and leaf biomass and bamboo size (using both DBH
146 and DBH^2H) generally showed greater variation than those for culm biomass and AGB in both the
147 species-specific and multi-species models. Similar patterns have often been observed in other
148 bamboos (Isagi et al. 1997; Nath et al. 2009; Yen et al. 2010; Chan et al. 2013). The branching
149 pattern can be largely affected by habitat conditions, such as light, clump crowding, and culm
150 position within a clump. Because long and slender tips of culms often droop and the amount of
151 branches and leaves on curved culms could vary from that on straight ones, bent-tipped culms are
152 another possible reason for the large variation observed in this study. Curved culms may also have
153 led to lower fitness in some equations when DBH^2H was used as the explanatory variable, since we
154 measured culm length after harvesting as the culm height (H) and the H values might be
155 overestimated. Considering the generally small difference in fitness between equations using DBH
156 and DBH^2H and the difficulty of obtaining height and length by the measurements without
157 destructive sampling, DBH is a practical, easily measured explanatory variable for field estimation
158 of bamboo biomass (Yen et al. 2010).

159 In this study, we developed species-specific and multi-species allometric equations for 11 major
160 bamboo species in northern Laos. Species-specific allometric equations are preferable to accurately
161 estimate the biomass of each bamboo species. Furthermore, given the high biodiversity of bamboos,
162 the difficulty of identifying bamboo species at the site, and the sympatric distribution of multiple
163 bamboo species in the region, the developed multi-species allometric equations prove useful in
164 further studies evaluating biomass and carbon stocks/cycles in bamboo forests.

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171

172 **Disclosure statement**

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175

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Table 1. Morphological traits and sampling sites of the 11 bamboo species.

| Local name | Scientific name | DBH (cm) | Thickness of culm wall (mm)* | Internode length (cm)* | H (m) | Clump type | Sampling site | | |
|------------------|-----------------------------------|-------------|---------------------------------------|------------------------------|-------|------------|---------------|-------------|-----------------|
| | | | | | | | Province | Village | Altitude (m) |
| <i>Kham Lao</i> | <i>Phyllostachys lithophila</i> | 0.99 | 2.07 | 15.48 | 3.03 | monopodial | Xayabouly | Mok Satu | 783 |
| <i>Lan</i> | <i>Indosasa</i> sp.1 | 1.24 | 3.36 | 49.98 | 3.66 | monopodial | Luang Prabang | Pak Bak | 520 |
| <i>Loi</i> | <i>Fargesia</i> sp.1 | 2.10 | 2.05 | 38.65 | 9.32 | monopodial | Luang Prabang | Pak Bak | 520 |
| <i>Lai</i> | <i>Gigantochloa albociliata</i> | 2.58 | 7.90 | 30.88 | 7.73 | sympodial | Xayabouly | Huay Pet | 272 |
| <i>Khao Lam</i> | <i>Cephalostachyum pergracile</i> | 3.93 | 10.57 | 39.39 | 10.42 | sympodial | Xayabouly | Na La | 315 |
| <i>Huak</i> | <i>Thyrsostachys siamensis</i> | 5.07 | 9.67 | 18.91 | 11.71 | sympodial | Luang Prabang | Huay Khot | 425 |
| <i>Sang Phai</i> | × <i>Thyrsocalamus liang</i> | 5.39 | 15.26 | 23.28 | 13.90 | sympodial | Luang Prabang | Huay Khot | 425 |
| <i>Sang</i> | <i>Dendrocalamus membranaceus</i> | 5.91 | 11.40 | 28.47 | 14.77 | sympodial | Luang Prabang | Huay Khot | 425 |
| <i>Phai Ban</i> | <i>Bambusa blumeana</i> | 6.73 | 13.50 | 25.41 | 17.35 | sympodial | Xayabouly | Na Kaeng Ma | 270 |
| <i>Hok</i> | <i>Dendrocalamus hamiltonii</i> | 7.64 | 10.40 | 40.25 | 16.37 | sympodial | Luang Prabang | Pak Bak | 520 |
| <i>Puak</i> | <i>Dendrocalamus sinicus</i> | 12.53 | 18.41 | 20.16 | 17.08 | sympodial | Xieng Khouang | Hat Ye | 1154 |

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234 *The internode length and thickness of culm wall were measured at 1.3m height.

235

236 **Table 2.** Results of SMA regression analyses for estimating bamboo biomass and height ($y = ax^b$)
 237 using species-specific and polled data.

| Species (local name) | Allometry | <i>a</i> | <i>b</i> | <i>r</i> ² | | Allometry | <i>a</i> | <i>b</i> | <i>r</i> ² | |
|---|------------|----------|----------|-----------------------|------|---------------------------|----------|----------|-----------------------|------|
| <i>Phyllostachys lithophila</i> (Kham Lao) | DBH-H | 3.0400 | 1.1006 | 0.6545 | | | | | | |
| | DBH-Culm | 0.0635 | 2.5947 | 0.6167 | | DBH ² H-Culm | 0.0241 | 0.8758 | 0.6151 | |
| | DBH-Branch | 0.0582 | 2.1731 | 0.5356 | | DBH ² H-Branch | 0.025 | 0.7335 | 0.6358 | |
| | DBH-Leaf | 0.1001 | 3.8078 | 0.2766 | n.s. | DBH ² H-Leaf | 0.024 | 1.2853 | 0.3186 | n.s. |
| | DBH-AGB | 0.2329 | 2.7768 | 0.5988 | | DBH ² H-AGB | 0.082 | 0.9372 | 0.6402 | |
| <i>Indosasa</i> sp.1 (Lan) | DBH-H | 3.0560 | 0.8385 | 0.1163 | n.s. | | | | | |
| | DBH-Culm | 0.0676 | 2.2212 | 0.3861 | n.s. | DBH ² H-Culm | 0.022 | 0.9254 | 0.4708 | |
| | DBH-Branch | 0.0239 | 3.1934 | 0.3291 | n.s. | DBH ² H-Branch | 0.004 | 1.3305 | 0.3868 | n.s. |
| | DBH-Leaf | 0.0299 | 2.6426 | 0.0177 | n.s. | DBH ² H-Leaf | 0.007 | 1.1011 | 0.0224 | n.s. |
| | DBH-AGB | 0.1444 | 1.8700 | 0.4680 | | DBH ² H-AGB | 0.056 | 0.7791 | 0.5962 | |
| <i>Fargesia</i> sp.1 (Loi) | DBH-H | 15.3533 | -0.7108 | 0.0002 | n.s. | | | | | |
| | DBH-Culm | 0.1436 | 1.8672 | 0.7234 | | DBH ² H-Culm | 0.021 | 0.8827 | 0.4984 | |
| | DBH-Branch | 0.0464 | 1.5741 | 0.1506 | n.s. | DBH ² H-Branch | 0.009 | 0.7441 | 0.0498 | n.s. |
| | DBH-Leaf | 0.0285 | 1.6949 | 0.0008 | n.s. | DBH ² H-Leaf | 0.554 | -0.8012 | 0.0092 | n.s. |
| | DBH-AGB | 0.2708 | 1.5196 | 0.6398 | | DBH ² H-AGB | 0.058 | 0.7183 | 0.3965 | n.s. |
| <i>Gigantochloa albociliata</i> (Lai) | DBH-H | 2.2010 | 1.3074 | 0.7522 | | | | | | |
| | DBH-Culm | 0.0648 | 2.6163 | 0.9255 | | DBH ² H-Culm | 0.031 | 0.8174 | 0.8509 | |
| | DBH-Branch | 0.0336 | 2.9330 | 0.8517 | | DBH ² H-Branch | 0.014 | 0.9163 | 0.7653 | |
| | DBH-Leaf | 0.0173 | 2.5315 | 0.7189 | | DBH ² H-Leaf | 0.008 | 0.7909 | 0.6628 | |
| | DBH-AGB | 0.1198 | 2.6803 | 0.9022 | | DBH ² H-AGB | 0.057 | 0.8373 | 0.8216 | |
| <i>Cephalostachyum pergracile</i> (Khao Lam) | DBH-H | 3.1385 | 0.8782 | 0.6283 | | | | | | |
| | DBH-Culm | 0.1859 | 2.0056 | 0.9173 | | DBH ² H-Culm | 0.070 | 0.7301 | 0.8638 | |
| | DBH-Branch | 0.0195 | 2.4257 | 0.9266 | | DBH ² H-Branch | 0.006 | 0.8830 | 0.9137 | |
| | DBH-Leaf | 0.0207 | 2.1861 | 0.7912 | | DBH ² H-Leaf | 0.007 | 0.7958 | 0.8284 | |
| | DBH-AGB | 0.2399 | 2.0311 | 0.9469 | | DBH ² H-AGB | 0.090 | 0.7393 | 0.9070 | |
| <i>Thyrsostachys siamensis</i> (Huak) | DBH-H | 2.3573 | 0.9876 | 0.8157 | | | | | | |
| | DBH-Culm | 0.0255 | 3.1534 | 0.9135 | | DBH ² H-Culm | 0.009 | 1.0781 | 0.9384 | |
| | DBH-Branch | 0.0376 | 1.6112 | 0.4133 | | DBH ² H-Branch | 0.022 | 0.5509 | 0.3432 | n.s. |
| | DBH-Leaf | 0.0540 | 1.2069 | 0.6392 | | DBH ² H-Leaf | 0.036 | 0.4126 | 0.6100 | |
| | DBH-AGB | 0.0685 | 2.6746 | 0.9131 | | DBH ² H-AGB | 0.028 | 0.9144 | 0.9280 | |
| × <i>Thyrsocalamus</i> | DBH-H | 5.2202 | 0.5832 | 0.6085 | | | | | | |

| | | | | | | | | | | |
|--|------------|----------|---------|--------|------|---------------------------|----------|---------|--------|------|
| <i>liang</i> (<i>Sang Phai</i>) | DBH-Culm | 0.2112 | 2.0312 | 0.9437 | | DBH ² H-Culm | 0.047 | 0.8179 | 0.9778 | |
| | DBH-Branch | 0.0163 | 2.4963 | 0.4403 | | DBH ² H-Branch | 0.002 | 1.0052 | 0.3374 | n.s. |
| | DBH-Leaf | 0.0008 | 3.7185 | 0.3669 | n.s. | DBH ² H-Leaf | 0.000 | 1.4973 | 0.2954 | n.s. |
| | DBH-AGB | 0.2754 | 2.0037 | 0.9456 | | DBH ² H-AGB | 0.063 | 0.8068 | 0.9354 | |
| <i>Dendrocalamus</i> <i>membranaceus</i> (<i>Sang</i>) | DBH-H | 5.8316 | 0.5285 | 0.7525 | | | | | | |
| | DBH-Culm | 0.2379 | 2.0623 | 0.9608 | | DBH ² H-Culm | 0.050 | 0.8339 | 0.9736 | |
| | DBH-Branch | 0.0651 | 2.0640 | 0.7649 | | DBH ² H-Branch | 0.013 | 0.8346 | 0.7736 | |
| | DBH-Leaf | 0.0282 | 1.7659 | 0.4990 | | DBH ² H-Leaf | 0.007 | 0.7141 | 0.5675 | |
| | DBH-AGB | 0.3634 | 1.9938 | 0.9360 | | DBH ² H-AGB | 0.081 | 0.8062 | 0.9516 | |
| <i>Bambusa</i> <i>blumeana</i> (<i>Phai Ban</i>) | DBH-H | 3.4090 | 0.8544 | 0.6066 | | | | | | |
| | DBH-Culm | 0.1144 | 2.5037 | 0.6466 | | DBH ² H-Culm | 0.029 | 0.9200 | 0.7010 | |
| | DBH-Branch | 0.0166 | 2.6474 | 0.3036 | n.s. | DBH ² H-Branch | 0.003 | 0.9728 | 0.3914 | n.s. |
| | DBH-Leaf | 0.0145 | 1.8460 | 0.5561 | | DBH ² H-Leaf | 0.005 | 0.6783 | 0.6856 | |
| | DBH-AGB | 0.2178 | 2.2806 | 0.6945 | | DBH ² H-AGB | 0.063 | 0.8380 | 0.7637 | |
| <i>Dendrocalamus</i> <i>hamiltonii</i> (<i>Hok</i>) | DBH-H | 1.7335 | 1.1048 | 0.1806 | n.s. | | | | | |
| | DBH-Culm | 0.2766 | 1.7389 | 0.7102 | | DBH ² H-Culm | 0.108 | 0.6531 | 0.7457 | |
| | DBH-Branch | 271.9383 | -2.1816 | 0.0046 | n.s. | DBH ² H-Branch | 885.173 | -0.8193 | 0.0032 | n.s. |
| | DBH-Leaf | 256.8970 | -2.6767 | 0.0018 | n.s. | DBH ² H-Leaf | 1093.082 | -1.0053 | 0.0087 | n.s. |
| | DBH-AGB | 1.2130 | 1.2249 | 0.4688 | | DBH ² H-AGB | 0.625 | 0.4600 | 0.5196 | |
| <i>Dendrocalamus</i> <i>sinicus</i> (<i>Puak</i>) | DBH-H | 1.7615 | 0.8989 | 0.3358 | n.s. | | | | | |
| | DBH-Culm | 0.0555 | 2.4642 | 0.8398 | | DBH ² H-Culm | 0.017 | 0.9386 | 0.8142 | |
| | DBH-Branch | 64.5929 | -0.9854 | 0.0034 | n.s. | DBH ² H-Branch | 103.302 | -0.3753 | 0.0227 | n.s. |
| | DBH-Leaf | 0.1154 | 1.2477 | 0.1805 | n.s. | DBH ² H-Leaf | 0.063 | 0.4752 | 0.1196 | n.s. |
| | DBH-AGB | 0.3093 | 1.8972 | 0.8226 | | DBH ² H-AGB | 0.125 | 0.7226 | 0.7808 | |
| 11 spp total | DBH-H | 3.4575 | 0.7845 | 0.8476 | | | | | | |
| | DBH-Culm | 0.0748 | 2.5356 | 0.9670 | | DBH ² H-Culm | 0.022 | 0.9256 | 0.9766 | |
| | DBH-Branch | 0.0389 | 2.0874 | 0.8814 | | DBH ² H-Branch | 0.014 | 0.7619 | 0.8781 | |
| | DBH-Leaf | 0.0410 | 1.5597 | 0.8024 | | DBH ² H-Leaf | 0.019 | 0.5693 | 0.7719 | |
| | DBH-AGB | 0.1794 | 2.2214 | 0.9668 | | DBH ² H-AGB | 0.062 | 0.8109 | 0.9671 | |

238 n.s., not significant

239

240 **Figure legends**

241

242 **Figure 1.** Allometric relationships between diameter at breast height (DBH) and (a) culm biomass,
243 (b) branch biomass, (c) leaf biomass, (d) aboveground biomass (AGB), and (e) culm length (H) for
244 11 bamboo species in northern Laos. The regression lines for the pooled data are shown.

245

246 **Figure 2.** Comparison of AGB estimated by the developed multi-species allometric equation with
247 those derived from species-specific equations (Hirota et al. 2008).

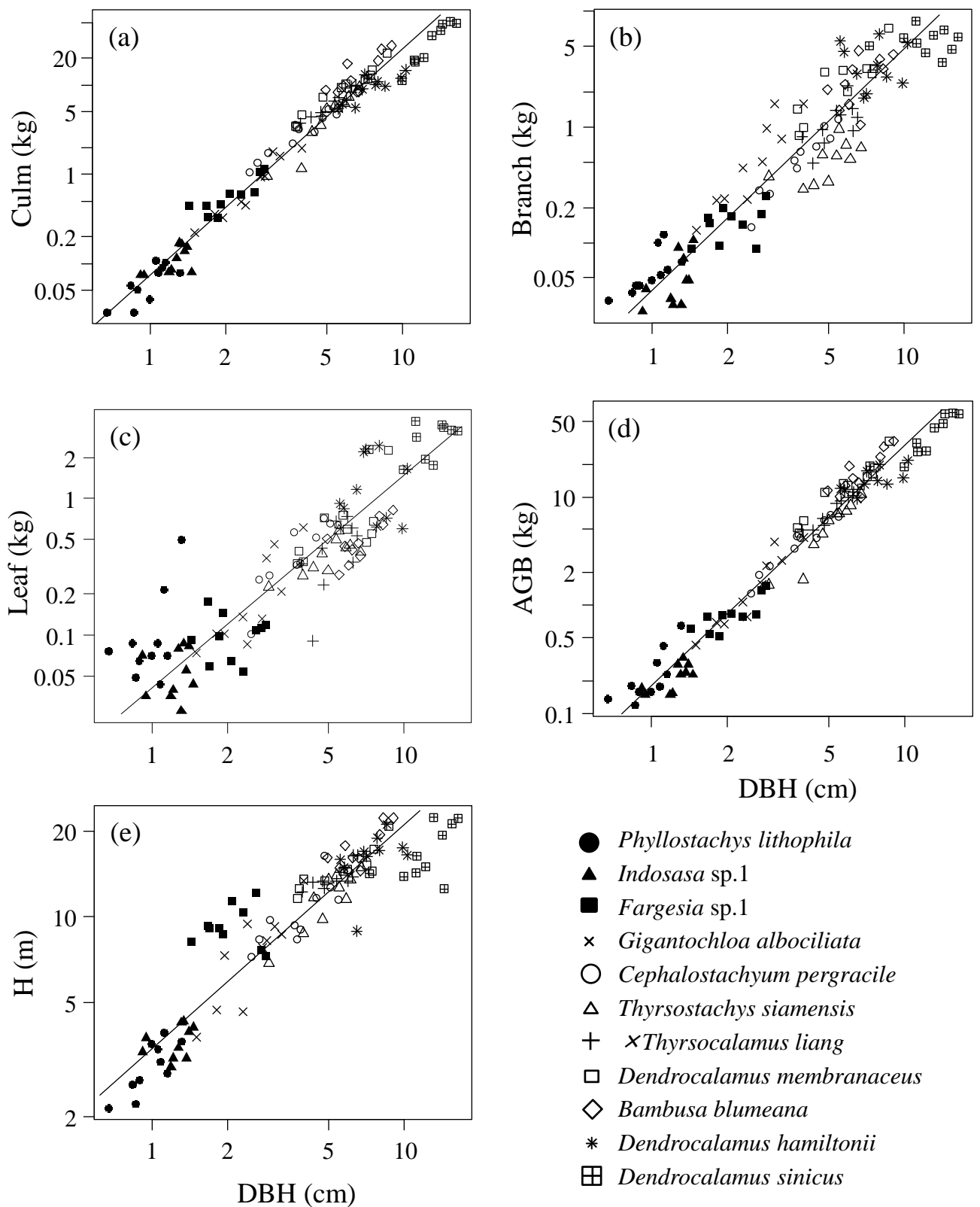


Figure 1. Allometric relationships between diameter at breast height (DBH) and (a) culm biomass, (b) branch biomass, (c) leaf biomass, (d) aboveground biomass (AGB), and (e) culm length (H) for 11 bamboo species in northern Laos. The regression lines for the pooled data are shown.

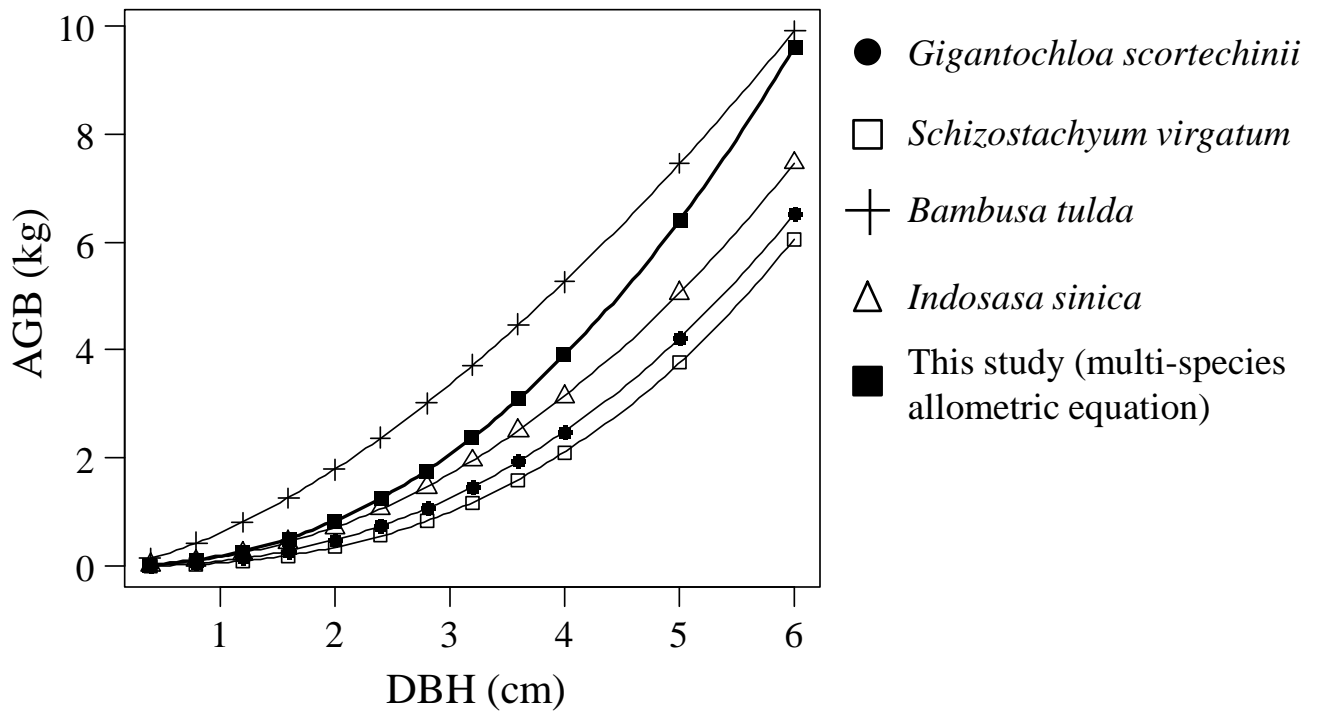


Figure 2. Comparison of AGB estimated by the developed multi-species allometric equation with those derived from species-specific equations (Hirota et al. 2008).