

1 **Title: Investigation on the benefits of mycophenolate mofetil and**  
2 **therapeutic drug monitoring in the treatment of Japanese patients with**  
3 **lupus nephritis**

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17 **Abstract**

18 ***Background***

19 Mycophenolate mofetil (MMF) is recommended as a first-line immunosuppressant to  
20 treat lupus nephritis (LN). Prognosis and therapeutic response in LN are known to vary  
21 depending on race. We investigated the benefits of MMF and therapeutic drug  
22 monitoring (TDM) in the treatment of Japanese LN patients.

23 ***Methods***

24 In this retrospective cohort study, a total of 20 patients with LN who started MMF  
25 treatment were included. Clinical data were collected regularly after MMF  
26 administration. We evaluated complete remission (CR) rate as the primary outcome.  
27 Predictors of CR were identified using univariate and multivariate analysis. In the  
28 research of TDM, the correlation with the area under the curve (AUC) was analyzed at  
29 MMF dose, single-point value, treatment response and adverse events.

30 ***Results***

31 Overall, 70% of cases showed CR; both flare-ups and refractory cases had favorable  
32 results. Cases of LN with nephrotic syndrome (NS) or class III/IV+V showed a

33 significantly lower CR rate ( $p < 0.005$ ). The ratio of maintaining CR after MMF therapy  
34 was as high as 85.7%. In multivariate analysis, NS was an independent negative  
35 predictor of CR (HR: 0.09, 95% confidence interval: 0.01–0.81;  $p = 0.03$ ). The  
36 relationship between AUC and MMF dose was low, and AUC correlated with trough  
37 level ( $r = 0.73$ ). AUC tended to be high in the treatment responder ( $p = 0.09$ ), but did  
38 not correlate with adverse events of infection ( $p = 0.92$ ).

### 39 ***Conclusion***

40 MMF is a beneficial treatment option for Japanese LN patients, and further  
41 investigation on TDM-based therapy is needed.

42

43

## 44 **Introduction**

45           Lupus nephritis (LN) is a major complication of systemic lupus  
46 erythematosus (SLE) that requires aggressive immunosuppressive therapy. In the  
47 treatment of LN, cyclophosphamide has played a central role. In fact, intravenous  
48 cyclophosphamide (IVCY) was the first immunosuppressive agent demonstrated by  
49 randomized controlled trial (RCT) to be superior to steroid-alone treatment [1].  
50 However, administration to young patients and long-term use are not recommended for  
51 severe side effects. Mycophenolate mofetil (MMF) is effective as an  
52 immunosuppressant in organ transplantation, and its efficacy has also been  
53 demonstrated in the treatment of LN. Specifically, in a large-scale RCT (Aspreva Lupus  
54 Management Study; ALMS), the therapeutic response and safety of MMF were shown  
55 to be comparable to those of IVCY [10]. In addition, recent guidelines recommended  
56 MMF as a first-line drug in the treatment of LN [2, 3].

57           Race and ethnicity have been shown to have prognostic importance in LN [4].  
58 In this regard, since few reports have focused on the efficacy of MMF in Japanese  
59 patients with LN, more clinical research is necessary.

60 Therapeutic drug monitoring (TDM) of MMF is common in kidney  
61 transplantation and can in fact reduce the risk of allograft rejection and treatment failure  
62 [5]. However, TDM has rarely been implemented in the treatment of LN. Therefore,  
63 further investigation into TDM in the treatment of LN using MMF is required. The aim  
64 of this study is to assess the therapeutic benefits and safety of MMF, as well as to  
65 investigate the utility of TDM in the treatment of Japanese patients with LN.  
66

## 67 **Materials and methods**

### 68 **Study design and population**

69 This is a retrospective cohort study conducted in a single medical institution.

70 The study subjects comprised 31 LN patients who started MMF treatment at Nagoya

71 University Hospital between December 2006 and January 2016. SLE was diagnosed

72 according to the American College of Rheumatology classification criteria [6]. The

73 exclusion criteria for analysis were as follows: (1) observation period of less than 1 year,

74 (2) urinary protein less than 0.5 g/24 hours or g/g creatinine (Cre) at the initiation of

75 MMF, (3) concurrent use of biological agents, and (4) MMF treatment for disease other

76 than SLE. According to these criteria, 11 patients were excluded, with the remaining 20

77 patients ultimately included. The study protocol was approved by the Standards of

78 Official Conduct Committee of Nagoya University Hospital (approval number:

79 2017-0086).

80

### 81 **Clinical data collection and renal pathological finding**

82 Baseline data, including clinical characteristics at the start of MMF treatment,

83 medical history, and renal pathological findings, were obtained from the hospital  
84 records. Pathological diagnosis of LN was based on the classification criteria of the  
85 International Society of Nephrology/Renal Pathology Society (ISN/RPS) [7]. In the  
86 case of clinical parameters, namely serum C3 and C4 levels, anti-DNA antibody levels,  
87 estimated glomerular filtration rate (eGFR), urinary protein creatinine ratio (uPCR), and  
88 the dosages of prednisolone (PSL) and MMF, data collection was also carried out 3, 6,  
89 and 12 months after MMF initiation, and the therapeutic effect was verified. In addition  
90 to the baseline, SLE disease activity index (SLEDAI) was scored again after 12 months.

91

## 92 **Renal outcome analysis and definition of terms**

93           The primary outcome of LN treatment was complete remission (CR).  
94 Differences in CR rate in terms of clinical findings, renal pathology, and treatment  
95 methods were investigated, and predictors of CR were identified. Furthermore,  
96 maintenance of CR after MMF therapy was also evaluated. CR was defined as the  
97 return of serum creatinine to its previous baseline, plus a decline in the uPCR to less  
98 than 0.5 g/g Cre, in accordance with the Kidney Disease Improving Global Outcomes

99 (KDIGO) Clinical Practice Guideline for Glomerulonephritis [8]. When the baseline  
100 data were unknown in the initial cases, it was defined as CR that the serum creatinine  
101 was in the normal range. Renal flare was identified, as described in the ALMS trial [10].

102

### 103 **Treatment and therapeutic drug monitoring of MMF**

104 MMF was orally administered twice daily every 12 hours. The initial dose  
105 was adjusted between 250 and 1500 mg according to renal function. Among them, 1000  
106 mg was the most frequent, accounting for 70% of the total. Subsequently, the optimal  
107 dose of MMF was determined and maintained with the upper limit of 2000 mg in  
108 consideration of therapeutic response, side effect and blood concentration of MMF.  
109 Depending on the case, MMF was reduced in the maintenance phase after the treatment  
110 effect was observed.

111 TDM of MMF was carried out at various times, such as the remission  
112 induction and maintenance phase. Blood samples were collected at 0, 1, 3, and 6 hours  
113 after oral administration of MMF, and mycophenolic acid (MPA) concentrations were  
114 measured using Liquid Chromatography/Mass Spectrometry in a total of 72 samples



115 collected from 12 patients. The area under the plasma concentration-time curve (AUC)  
116 was calculated according to a previously reported method [9]. We investigated whether  
117 the AUC correlated with MMF dose and data at single-points. Furthermore, the relation  
118 between therapeutic response or infectious disease and AUC was verified, limited to 10  
119 cases in which AUC was calculated at each maximum MMF dose.

120

## 121 **Statistical analysis**

122 Clinical data were shown as either medians with interquartile ranges (IQRs)  
123 or numbers with percentages (%). Differences between groups were analyzed using the  
124 Wilcoxon rank-sum test (for continuous variables) or Fisher's exact test (for categorical  
125 variables). To analyze changes in clinical parameters, the paired t-test was adopted. We  
126 used the Kaplan–Meier method to evaluate the cumulative CR rate after MMF therapy,  
127 and the log-rank test to compare differences between the two groups. To determine  
128 factors predicting CR, we used the univariate and multivariate Cox proportional hazards  
129 model. The results were expressed as hazard ratios (HRs) with 95% confidence intervals  
130 (CIs). The proportional hazards assumption for covariates was tested using scaled

131 Schoenfeld residuals. With regards to the TDM data, the correlation was analyzed using  
132 the Pearson product-moment correlation coefficient. Statistical significance was set at a  
133 p-value of  $< 0.05$ . All statistical tests were performed using Stata version 14.0 (Stata  
134 Corp LLC, College Station, TX, USA)

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## 140 **Results**

### 141 **Patient characteristics**

142           The patients' baseline clinicopathological findings at the start of MMF  
143 treatment are summarized in Tables 1 and 2. The patients were divided into two groups:  
144 initial treatment cases and flare cases. In the flare group, patients tended to be older.  
145 Disease activity, indicated by complement titer, anti-DNA antibody, and SLEDAI, was  
146 confirmed to be higher in the initial treatment group. Regarding treatment, a smaller  
147 amount of PSL was administered in the flare group ( $p = 0.047$ ), and significantly more  
148 patients had received immunosuppressive drugs previously ( $p = 0.004$ ). With regards to  
149 pathological features, LN categorized as class IV was only observed in the initial  
150 treatment group; cases of membranous LN (class V) were more frequent in the flare  
151 group.

152

### 153 **Clinical parameters**

154           The serum C3 and C4 levels, anti-DNA antibody levels, and SLEDAI had  
155 improved significantly after 12 months of MMF treatment. Specifically, the median

156 serum C3 and C4 had increased from 65.6 mg/dL (IQR: 53.9–69.3 mg/dL) and 12.1  
157 mg/dL (IQR: 6.5–17.3 mg/dL), respectively, at baseline to 96.6 mg/dL (IQR: 83.8–  
158 106.1 mg/dL) and 19.0 mg/dL (IQR: 17.3–22.4 mg/dL), respectively, after 12 months (p  
159 < 0.01). The median anti-DNA antibody levels decreased from 17.3 IU/mL (IQR: 8.1–  
160 266.0 mg/dL IU/mL) at baseline to 5.4 IU/mL (IQR: 2.8–11.1 IU/mL) at 12 months (p <  
161 0.05). The SLEDAI, whose median value was 12 (IQR: 8–16) at baseline, decreased to  
162 4 (IQR: 2–6) after 12 months (p < 0.01). The median urinary protein at baseline was  
163 2.62 g/g Cre (IQR: 1.34–5.51 g/g Cre); after 12 months it decreased to 0.19 g/g Cre  
164 (IQR: 0.06–1.00 g/g Cre; p < 0.01). PSL dosage decreased from a median of 40 mg/day  
165 (IQR: 30–50 mg/day) at baseline to 9.5 mg/day (IQR: 7–10 mg/day) after 12 months (p  
166 < 0.01). The median MMF dosage at baseline was 1000 mg/day (IQR: 1000–1000  
167 mg/day), and it did not change significantly after 12 months (Fig. 1).

168

## 169 **Renal outcome**

170 Initially, survival analysis was performed with CR as the primary outcome in  
171 the 20 cases of active LN. The Kaplan–Meier curves are shown in Fig. 2. During the

172 observation period (median: of 21.8 months, IQR: 13.4–53.6 months), 14 (70%)  
173 patients showed CR. The median time to CR was 51 days (IQR: 20–161 days). Among  
174 the 13 patients who had already been treated using other immunosuppressive drugs  
175 prior to starting MMF treatment, eight (61.5%) achieved CR. The median time to CR  
176 was 105 days (IQR: 28–252 days). Subsequently, the subjects were divided into two  
177 groups, and the cumulative CR rates were compared (Fig. 3). CR was found in nine out  
178 of 11 (81.8%) patients in the initial treatment group, and in five out of nine (55.6%)  
179 patients in the flare group. There was no significant difference between the groups in  
180 this regard ( $p = 0.27$ ). In a comparison between patients with and without NS, 12 of 13  
181 (92.3%) non-NS patients showed CR, while only two of seven (28.6%) patients with NS  
182 achieved CR. The CR rate was significantly lower in the NS group ( $p < 0.005$ ).  
183 Concerning the correlation between CR rate and membranous (class V) LN, all six  
184 patients with class III/IV LN showed CR, whereas six of 10 (60%) with class V LN had  
185 CR. Patients with class V presented a significantly lower CR rate ( $p < 0.005$ ). In the  
186 initial treatment group, CR was observed in six out of seven (85.7%) patients who were  
187 treated using a combination therapy of PSL and MMF. There was no significant

188 difference in CR rate among patients treated using a multi-target therapy that added a  
189 calcineurin inhibitor (Fig. 4).

190 We further investigated the maintenance of CR achieved by MMF therapy in  
191 14 patients (Fig. 5). Twelve patients (85.7%) maintained CR during the follow-up  
192 period, which had a median duration of 34.3 months (IQR: 10.2–36 months). Two  
193 patients who could not maintain CR were in the initial treatment group, and their  
194 individual times to failure were 5.8 months and 31.6 months. All patients in the flare  
195 group maintained CR.

196

## 197 **Predictors of CR**

198 Univariate analysis indicated that high age (HR: 0.64, 95% CI: 0.45–0.92;  $p =$   
199 0.01), NS (HR: 0.14, 95% CI: 0.03–0.64;  $p = 0.01$ ), and membranous (class V) LN (HR:  
200 0.19, 95% CI: 0.05–0.69;  $p = 0.01$ ) were negative predictors of CR. Furthermore,  
201 multivariate analysis revealed that NS was an independent negative predictor (HR: 0.09,  
202 95% CI: 0.01–0.81;  $p = 0.03$ ; Table 3).

203

## 204 **Analysis of TDM**

205           The MMF dose at the time of TDM was 1375 mg/day (IQR: 1000–1500  
206 mg/day) as a median value. The median PSL dosage was 25 mg/day (IQR: 7–35  
207 mg/day). We examined the correlation between 12-hour AUC—calculated from the  
208 plasma concentration of MPA (MPA-AUC 0–12)—and MMF dosage or single-point  
209 value (Fig. 6). The correlation between MPA-AUC 0–12 and MMF dosage was not  
210 strong ( $r = 0.53$ ). The plasma concentrations of MPA 0, 1, 3, and 6 hours after oral  
211 administration correlated with the MPA-AUC 0–12. Among them, trough values were  
212 significantly correlated with MPA-AUC 0–12 ( $r = 0.73$ ). Fig. 7 shows an analysis of the  
213 relationship between MPA-AUC 0–12 and therapeutic effect or adverse events of  
214 infection including cytomegalovirus (CMV) reactivation, herpes zoster and  
215 pneumonia in 10 patients. In the responder group including six patients with CR, the  
216 median value of AUC 0–12 was 52.6 mg·hours/L (IQR: 51.2–53.2 mg·hours/L).  
217 Conversely, the median AUC 0–12 of the non-responder group consisting of four  
218 patients was 43.5 mg·hours/L (IQR: 41.0–45.6 mg h/L), which was lower than that of  
219 the responder group ( $p = 0.09$ ). Infectious events were observed in five out of 10

220 patients. The median AUC 0–12 was 51.2 mg·hours/L (IQR: 45.2–52.5 mg h/L) in  
221 patients with infection and 46.1 mg·hours/L (IQR: 40.2–53.2 mg h/L) without infection  
222 (p = 0.92). There was no correlation between AUC 0–12 and infectious events.

223

## 224 **Adverse events**

225           The adverse events are summarized in Table 4. The major events were  
226 infections: three cases of herpes zoster and four cases of infection requiring  
227 hospitalization were observed. Regarding CMV infection, seven out of 17 (41.2%)  
228 patients showed CMV reactivation. The median period to reactivation was 26 days  
229 (IQR: 20–63), and most cases developed within 3 months of MMF initiation. There  
230 were no cases of CMV infection with severe organ damage. Leukocytopenia and  
231 gastrointestinal symptoms occurred with low frequency, with each complaint  
232 comprising only one case.

233

234



## 235 **Discussion**

236           Several RCTs have compared MMF with oral or intravenous CY as an  
237 induction therapy for LN. Specifically, MMF demonstrated comparable or superior  
238 clinical efficacy [10, 11]. Based on the results of these trials, MMF is a beneficial  
239 treatment option, taking remission rate, flare rate, and adverse effects into consideration.

240           In this cohort study, CR was observed in 70% of all cases, which was a better  
241 outcome than in previous RCTs [10, 11]. There may be a number of reasons for these  
242 different CR rates. In the present study, the median observation period was relatively  
243 long. The baseline renal function has not decreased, and the composition of the renal  
244 pathology was different. Differences in the definitions of CR may have influenced. The  
245 prognosis of LN, as well as the therapeutic response of the condition to  
246 immunosuppressive drugs, are known to be influenced by race, and it seems that  
247 treatment in Japanese patients is related to favorable outcomes. The CR was achieved in  
248 eight out of 13 (61.5%) patients with LN who had already been treated using  
249 immunosuppressants other than MMF. In the comparison between initial and flare cases,  
250 there was no significant difference in cumulative CR rate. Although several RCTs have

251 compared induction therapies for LN, as mentioned above, few reports have discussed  
252 the effects of alterations to treatment modalities. The present study indicated that there  
253 are cases in which CR has been achieved by treatment with MMF in flare and refractory  
254 cases.

255           According to one survey, NS is regarded as an unfavorable prognostic factor  
256 in LN [12]. In this cohort, the CR rate in patients exhibiting NS at baseline was  
257 significantly lower, and NS was an independent negative predictor of CR in the  
258 multivariate analysis. In the therapeutic strategy of LN with NS, there is a possibility of  
259 causing excessive immunosuppression when targeting CR. In some cases, it may be  
260 reasonable to set treatment intensity aiming for partial remission.

261           The impact of histological patterns on the treatment response of LN patients  
262 has also been reported [13]. Patients at the overlap of class V with classes III or IV  
263 showed poor therapeutic response. In a prospective study, Bao et al. carried out  
264 multi-target therapy by combining PSL, tacrolimus, and MMF in class IV+V LN,  
265 demonstrating that this treatment approach was superior to IVCY alone [14]. Even in  
266 the present study, overlapping class V LN presented poor results. However, this

267 statistical difference seems to have been largely influenced by the time required for  
268 remission. Indeed, the CR rate in patients with class III/IV+V LN was 60% (six out of  
269 10 patients) in the present study, and although the observation period was different, our  
270 results were comparable to those of multi-target therapy from China. Considering the  
271 results of multivariate analysis, our research suggested that the histopathological finding  
272 with class V may affect the time to CR, but not correlate the CR rate.

273 LN flare is reported to correlate with a risk of progressive chronic kidney  
274 disease [15]. In maintenance therapy, two landmark RCTs have been conducted: the  
275 ALMS maintenance trial [16] and the MAINTAIN nephritis trial [17]. These trials  
276 reported on the efficacy of MMF in maintenance therapy. In this research, maintenance  
277 therapy using MMF showed favorable results. This result was similar to that of the  
278 ALMS maintenance trial, indicating that MMF is superior to azathioprine.

279 The data regarding TDM in patients with LN are limited. Shaw et al. reported  
280 that there was high between-patient variability of MPA-AUC in organ transplant  
281 patients [18]. This variability was also identified in a study involving 71 SLE patients  
282 [19]. Therefore, TDM seems to be important in the treatment of LN. There have been

283 two reports investigating TDM in LN patients [20, 21]; both showed similar results.  
284 MPA-AUC correlated with therapeutic response and AUC 0–12 level above 45  
285 mg·hours/L can precisely predict favorable results. Furthermore, the same reports  
286 demonstrated that trough value and MPA-AUC were significantly correlated. These  
287 findings contradict those in renal transplant recipients, which indicated weak  
288 correlations between trough value and MPA-AUC [22]. Regarding the correlation  
289 between trough value and MPA-AUC, the analysis results of TDM in our cohort study  
290 were similar to previous reports. On the other hand, MPA-AUC tended to be higher in  
291 the responder group, but statistically significant difference was not  
292 observed. Interestingly, at the dose of MMF in this study, no significant correlation was  
293 found between MPA-AUC and adverse events of infection. We confirmed individual  
294 disparities in drug absorption kinetics. In order to clarify the usefulness of TDM and  
295 application method of TDM data in the treatment of Japanese LN patients, further  
296 research is required for many cases.

297           The most common adverse events were infectious diseases. Among them,  
298 CMV antigenemia was frequently observed—most often within 3 months of the start of

299 MMF treatment. However, this may have been correlated with the high dosage PSL that  
300 was the concomitant medication. There were overwhelmingly few cases of hematopenia  
301 and gastrointestinal disorders. These results may be related to the dosage of MMF less  
302 than that of the major RCTs.

303           The foremost limitation of the present study was its small sample size. Other  
304 limitations are as follows: (1) it was not a comparative trial involving other  
305 immunosuppressive treatments such as IVCY; (2) the observation period differed from  
306 that of the major RCTs, and it was therefore hard to evaluate treatment efficacy  
307 uniformly; (3) patient background varied and relatively mild LN was also included in  
308 the study; (4) TDM of MMF was not performed in all cases; (5) considering  
309 enterohepatic circulation, the accuracy of the prediction formula of AUC 0–12 improves  
310 by measuring blood concentration 8 and 9 hours after administration of MMF, but it is  
311 not implemented in this study. Despite these limitations, our research showed that MMF  
312 is a beneficial treatment option for Japanese LN patients. Further investigations  
313 focusing on the optimum dose of MMF based on TDM, the treatment duration of MMF  
314 and concomitant medication are necessary.

315

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320 providing editorial and publication support.

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330 **Table 1**

331 **Baseline characteristics of lupus nephritis patients with MMF therapy**

332 Values are shown as either medians with interquartile ranges (IQRs) or numbers with  
333 percentages (%).

334 Abbreviations: BMI, body mass index; eGFR, estimated glomerular filtration rate; IgG,  
335 immunoglobulin G; MMF, mycophenolate mofetil; SLE, systemic lupus erythematosus;  
336 SLEDAI, systemic lupus erythematosus disease activity index; uPCR, urinary protein  
337 creatinine ratio

338 \* $p < 0.05$  (initial treatment cases vs. flare cases)

339

340 **Table 2**

341 **Baseline data of treatment and renal pathology in the patients treated with MMF**

342 Values are shown as either medians with interquartile ranges (IQRs) or numbers with  
343 percentages (%).

344 Abbreviations: ARB, angiotensin receptor blocker; ACE, angiotensin-converting  
345 enzyme inhibitor; CyA, cyclosporine; ISN/RPS, International Society of

346 Nephrology/Renal Pathology Society; IVCY, intravenous cyclophosphamide; MMF,

347 mycophenolate mofetil; MZR, mizoribine; PSL, prednisolone; TAC, tacrolimus

348 \* $p < 0.05$  (initial treatment cases vs. flare cases)

349

350 **Table 3**

351 **Univariate and multivariate analysis for predictive factors of complete remission**

352 Abbreviations: BMI, body mass index; CI, confidence interval; eGFR, estimated

353 glomerular filtration rate; HR, hazard ratio; SLEDAI, systemic lupus erythematosus

354 disease activity index; uPCR, urinary protein creatinine ratio

355 \* $p < 0.05$

356

357 **Table 4**

358 **Adverse events during observation period**

359 Abbreviations: CMV, cytomegalovirus; WBC, white blood cell

360 \*The percentage was calculated in 17 patients whose CMV antigen levels were

361 measured.



362 **Fig. 1 The dosages of PSL and MMF.**

363 The dosage of PSL decreased significantly with time. Conversely, the dosage of MMF  
364 remained largely unchanged.

365 Abbreviations: MMF, mycophenolate mofetil; PSL, prednisolone

366 \* $p < 0.01$  (vs. baseline)

367

368 **Fig. 2 The CR rate of lupus nephritis.**

369 Kaplan–Meier curves show the cumulative CR rates in all patients, as well as in patients  
370 who had received other immunosuppressive drugs previously.

371 Abbreviations: CR, complete remission; MMF, mycophenolate mofetil

372

373 **Fig. 3 Comparative analysis of CR rate between the two groups.**

374 The CR rate was significantly lower in nephrotic cases and in class III/IV+V lupus  
375 nephritis ( $p < 0.005$ ).

376 Abbreviations: CR, complete remission; NS, nephrotic syndrome

377

378 **Fig. 4 Comparison of CR rate in terms of treatment regimen.**

379 Combination therapy comprising PSL and MMF was not inferior to multi-target therapy  
380 in the initial treatment cases ( $p = 0.27$ ).

381 Abbreviations: CR, complete remission; CyA, cyclosporine; PSL, prednisolone; MMF,  
382 mycophenolate mofetil; TAC, tacrolimus

383

384 **Fig. 5 Maintenance of CR after MMF treatment.**

385 Kaplan–Meier curves show the maintenance rates of CR in the initial treatment and  
386 flare group.

387 Abbreviation: CR, complete remission; MMF, mycophenolate mofetil

388

389 **Fig. 6 The correlation between MPA-AUC and MMF dosage or MPA concentration**

390 **at single-point.** (A) Even with the same MMF dose, there was between-patient  
391 variability in MPA-AUC. (B) With regards to the relationships between each  
392 single-point measurement value (C0, C1, C3, and C6) and MPA-AUC, the correlation  
393 with the trough level (C0) was the strongest ( $r = 0.73$ ).

394 Abbreviations: AUC, area under the plasma concentration-time curve; MMF,

395 mycophenolate mofetil; MPA, mycophenolic acid

396

397 **Fig. 7 The relationship between MPA-AUC and therapeutic response or infectious**

398 **adverse events.**

399 MPA-AUC was higher in the treatment responder ( $p = 0.09$ ), and not related to the onset

400 of infections ( $p = 0.92$ ).

401 Abbreviations: AUC, area under the plasma concentration-time curve; MPA,

402 mycophenolic acid

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411 **Compliance with Ethical Standards**

412 **Conflict of interest**

413 The Department of Nephrology, Nagoya University Graduate School of Medicine

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420 Pharma Co., Ltd, Teijin Pharma Limited, and Mochida Pharmaceutical Co ., Ltd.

421 **Human and Animal Rights**

422 All procedures performed in studies involving human participants were in accordance

423 with the ethical standards of the institutional research committee at which the studies

424 were conducted (IRB approval number 2017-0086) and with the 1964 Helsinki

425 declaration and its later amendments or comparable ethical standards.

426 **Informed Consent**

427 The ethical committee approved this retrospective cohort study without written  
428 informed consent, but informed consent was obtained from most patients at the time of  
429 renal biopsy.

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Table 1

## Baseline characteristics of lupus nephritis patients with MMF therapy

	All cases (n=20)	Initial treatment cases (n=11)	Flare cases (n=9)	p-value
Age at SLE diagnosis (years)	26 [20.5-48.5]	23 [16-53]	29 [25-48]	0.57
Age at the start of MMF (years)	39.5 [22-53.5]	27 [20-53]	45 [41-54]	0.15
Gender, female, n (%)	18 (90.0)	9 (81.8)	9 (100.0)	0.29
BMI (kg/m <sup>2</sup> )	19.6 [17.6-23.8]	19.4 [17.8-21.9]	21.2 [17.2-25.1]	0.43
Systolic blood pressure (mmHg)	125.5 [117-134]	125 [117-134]	130 [118-134]	0.62
Diastolic blood pressure (mmHg)	76 [69.5-82.5]	74 [69-83]	80 [70-82]	0.73
Hypertension, n (%)	5 (25.0)	3 (27.3)	2 (22.2)	0.60
Diabetes mellitus, n (%)	5 (25.0)	4 (36.4)	1 (11.1)	0.22
<b>Laboratory parameters</b>				
White blood cell count (/μL)	8200 [6850-11500]	8100 [6700-13800]	8400 [6900-9200]	0.65
Hemoglobin (g/dL)	10.9 [10.2-12.0]	10.2 [9.9-11.0]	11.5 [10.8-12.4]	0.03*
Platelet count (x10 <sup>4</sup> /μL)	21.5 [17.8-27.4]	20.6 [17.0-28.0]	22.4 [18.2-26.7]	0.68
Serum albumin (g/dL)	2.8 [2.3-3.4]	2.7 [2.1-3.0]	3.2 [2.8-3.4]	0.16
Total cholesterol (mg/dL)	258 [243.5-276.5]	252 [239-277]	264 [244-276]	0.91
Serum creatinine (mg/dL)	0.56 [0.46-0.83]	0.54 [0.44-0.65]	0.73 [0.50-0.84]	0.36
eGFR (ml/min/1.73m <sup>2</sup> )	92.0 [77.5-129.5]	109.2 [91.9-131.5]	77.5 [58.6-111.7]	0.05
Serum complement activity (CH50) (U/mL)	36.3 [17.3-47.7]	39.3 [12.5-49.2]	36.3 [32.3-45.5]	0.62
Serum C3 (mg/dL)	65.6 [53.9-69.3]	60.4 [37.9-66.9]	67.8 [65.3-75.1]	0.03*
Serum C4 (mg/dL)	12.1 [6.5-17.3]	7.4 [5.7-16.4]	13.6 [11.0-18.1]	0.14
Serum IgG (mg/dL)	870 [488-1334]	950 [451-2011]	790 [630-1045]	0.65
Anti-DNA antibody (IU/mL)	17.3 [8.1-266.0]	87.4 [11.2-320.1]	9.6 [8.1-17.3]	0.11
uPCR (g/gCre)	2.62 [1.34-5.51]	2.60 [1.58-7.91]	4.58 [1.33-5.30]	0.79
Nephrotic syndrome, n (%)	7 (35.0)	3 (27.3)	4 (44.4)	0.37
SLEDAI	12 [8-16]	16 [8-26]	10 [8-12]	0.11

Table 2

## Baseline data of treatment and renal pathology in the patients treated with MMF

	All cases (n=20)	Initial treatment cases (n=11)	Flare cases (n=9)	p-value
<b>Treatment</b>				
ARB, n (%)	8 (40.0)	3 (27.3)	5 (55.6)	0.21
ACE, n (%)	2 (10.0)	1 (9.1)	1 (11.1)	0.71
PSL dosage (mg/day)	40 [30-50]	45 [40-50]	30 [25-50]	0.047*
MMF dosage (mg/day)	1000 [1000-1000]	1000 [1000-1000]	1000 [500-1000]	0.11
Prior treatment with immunosuppressive drugs, n (%)	13 (65.0)	4 (36.4)	9 (100.0)	0.004*
TAC, n (%)	4 (20.0)	1 (9.1)	3 (33.3)	0.22
CyA, n (%)	6 (30.0)	2 (18.2)	4 (44.4)	0.22
MZR, n (%)	5 (25.0)	1 (9.1)	4 (44.4)	0.10
IVCY, n (%)	1 (5.0)	1 (9.1)	0 (0.0)	0.55
<b>Renal pathology (ISN/RPS classification)</b>				
Class II, n (%)	1 (5.0)	0 (0.0)	1 (11.1)	0.45
Class III, n (%)	2 (10.0)	1 (9.1)	1 (11.1)	0.71
Class IV, n (%)	4 (20.0)	4 (36.4)	0 (0.0)	0.07
Class III+V, n (%)	5 (25.0)	1 (9.1)	4 (44.4)	0.10
Class IV+V, n (%)	5 (25.0)	2 (18.2)	3 (33.3)	0.40
Unknown, n (%)	1 (5.0)	1 (9.1)	0 (0.0)	
Not performed, n (%)	2 (10.0)	2 (18.2)	0 (0.0)	

Table 3

**Univariate and multivariate analysis for predictive factors of complete remission**

<b>Parameters</b>	<b>Univariate</b>		<b>Multivariate</b>	
	HR [95%CI]	p-value	HR [95%CI]	p-value
Age (every 10 years)	0.64 [0.45-0.92]	0.01*	—	—
Gender (male)	1.23 [0.27-5.68]	0.79	—	—
BMI (kg/m <sup>2</sup> )	0.88 [0.75-1.04]	0.13	—	—
Initial treatment cases	1.88 [0.62-5.68]	0.27	—	—
Flare cases	0.53 [0.18-1.61]	0.27	—	—
Prior treatment with immunosuppressive drugs	0.45 [0.15-1.32]	0.14	—	—
Serum creatinine (mg/dL)	0.62 [0.21-1.85]	0.39	—	—
eGFR (ml/min/1.73m <sup>2</sup> )	1.01 [1.00-1.03]	0.08	—	—
Serum C3 (mg/dL)	0.99 [0.96-1.02]	0.41	—	—
Serum C4 (mg/dL)	0.93 [0.85-1.01]	0.08	—	—
Anti-DNA antibody (IU/mL)	1.00 [1.00-1.01]	0.01*	—	—
uPCR (g/gCre)	0.88 [0.72-1.07]	0.21	—	—
Nephrotic syndrome	0.14 [0.03-0.64]	0.01*	0.09 [0.01-0.81]	0.03*
SLEDAI	1.07 [1.01-1.15]	0.03*	—	—
Renal pathology (Class V)	0.19 [0.05-0.69]	0.01*	0.48 [0.12-1.86]	0.29

Table 4

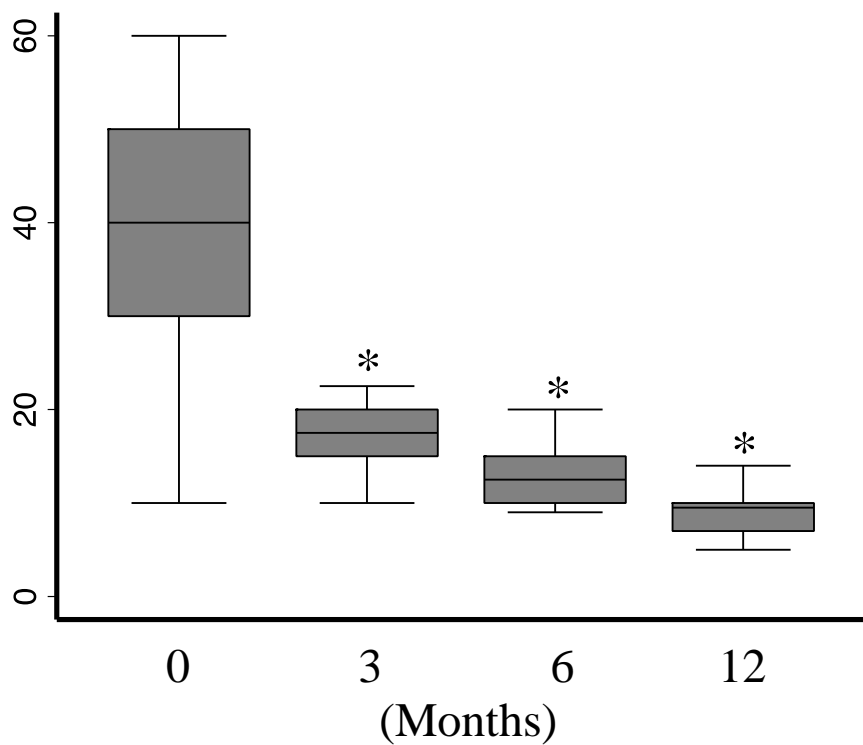
**Adverse events during observation period**

	n (%)
Death	0 (0.0)
Infection	
CMV antigenemia	7 (41.2)*
Herpes zoster	3 (15.0)
Respiratory infection requiring hospitalization	3 (15.0)
Infectious enteritis requiring hospitalization	1 (5.0)
Severe leukopenia, WBC count less than 3000/ $\mu$ L	1 (5.0)
Gastrointestinal symptom (diarrhea and/or nausea)	1 (5.0)

Fig. 1

### PSL

(mg/day)



### MMF

(mg/day)

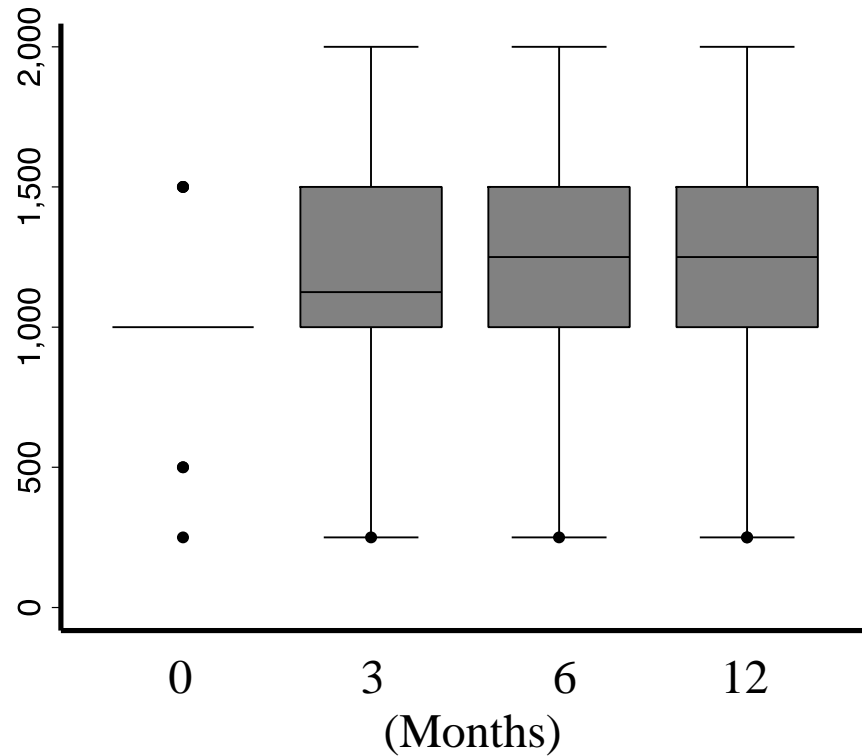


Fig. 2

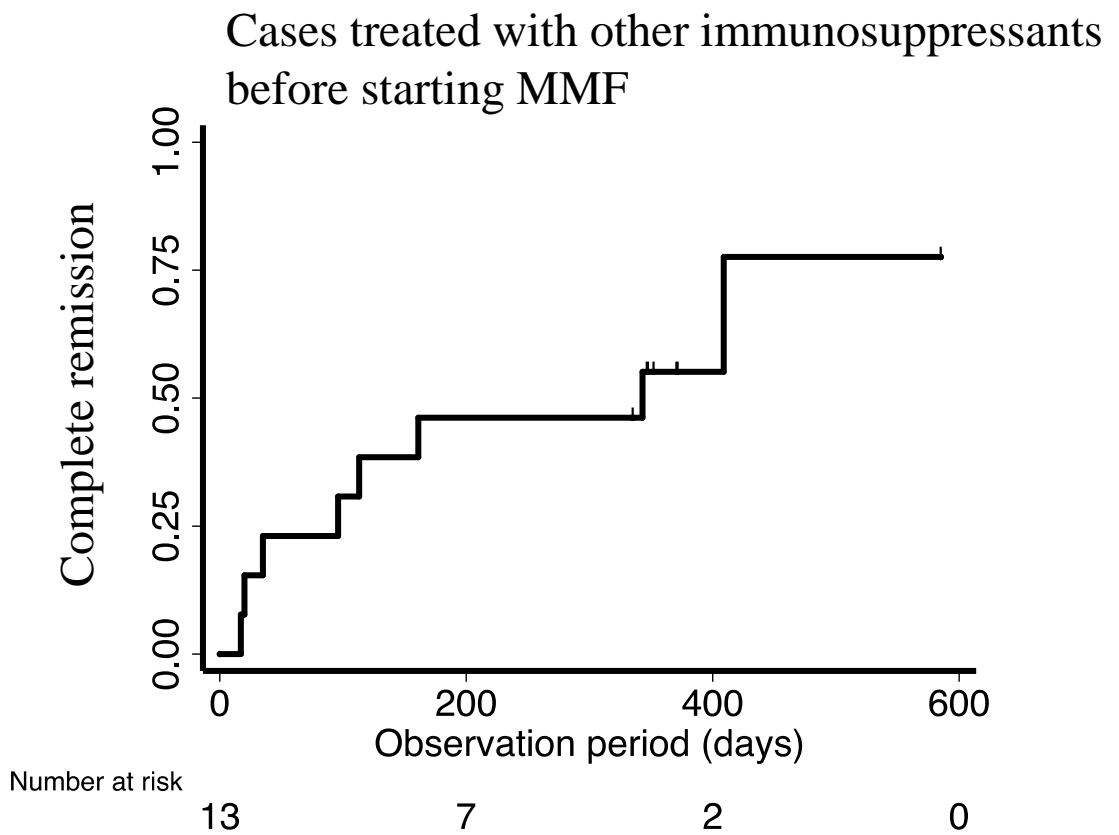
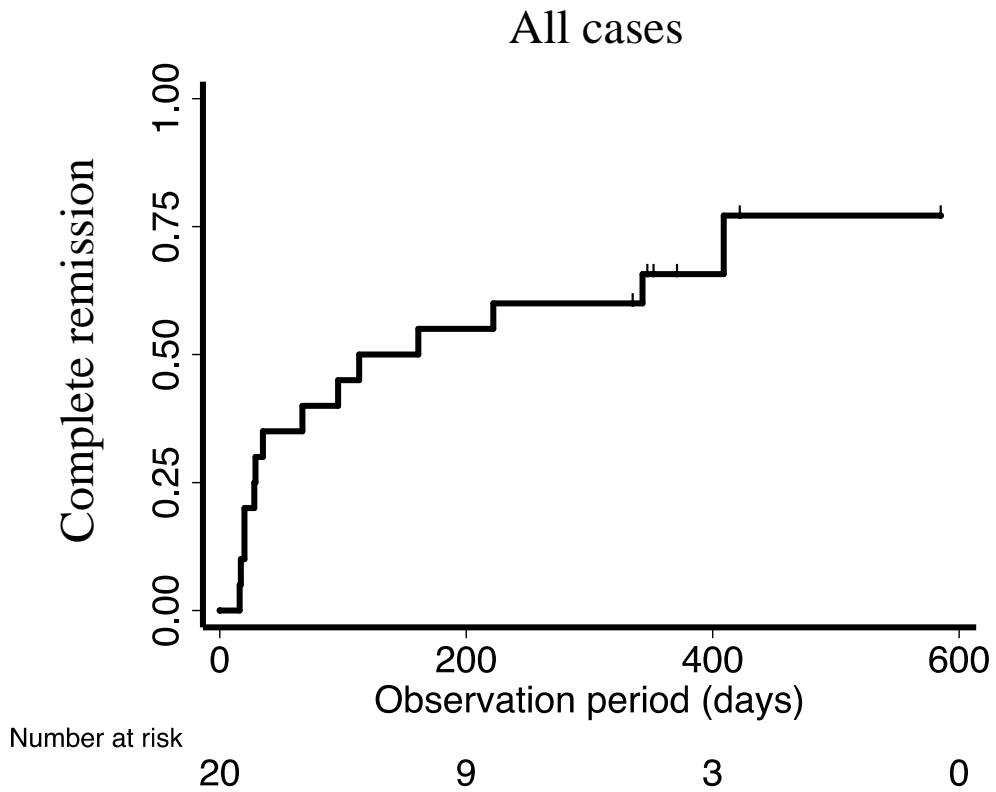




Fig. 3

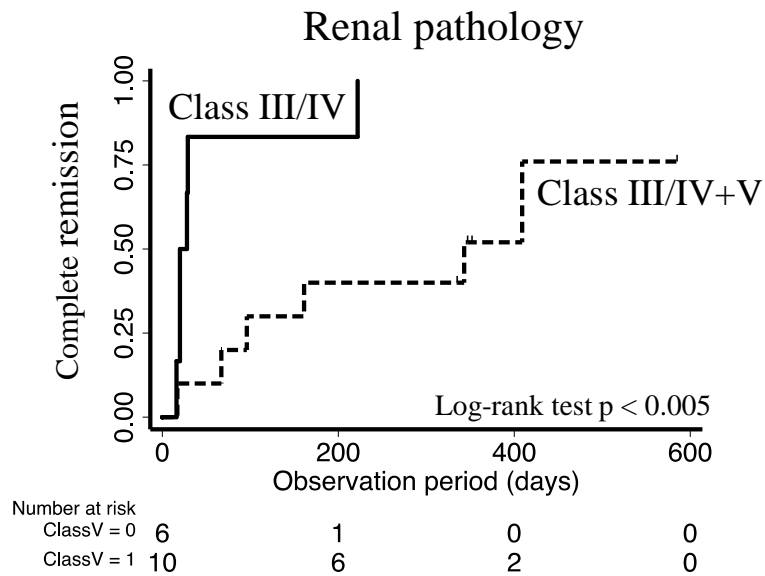
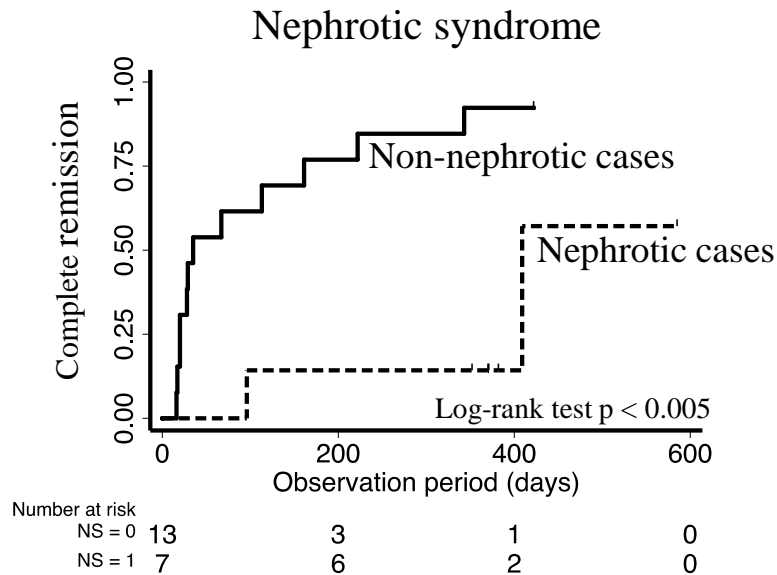
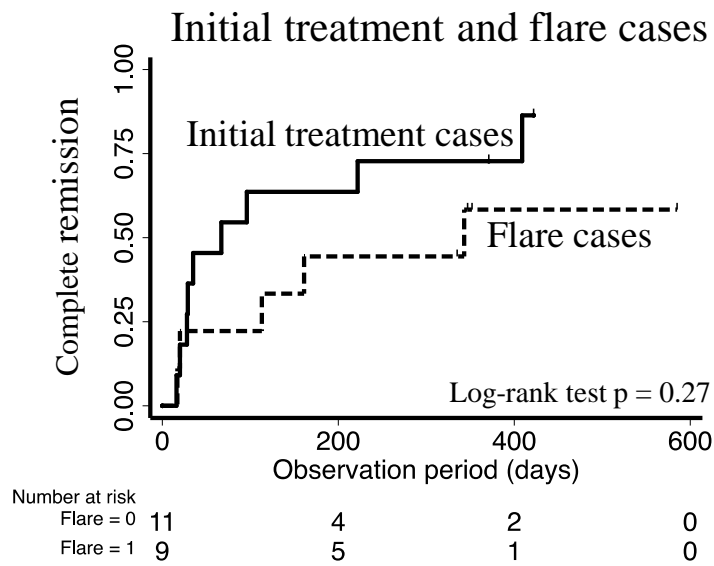
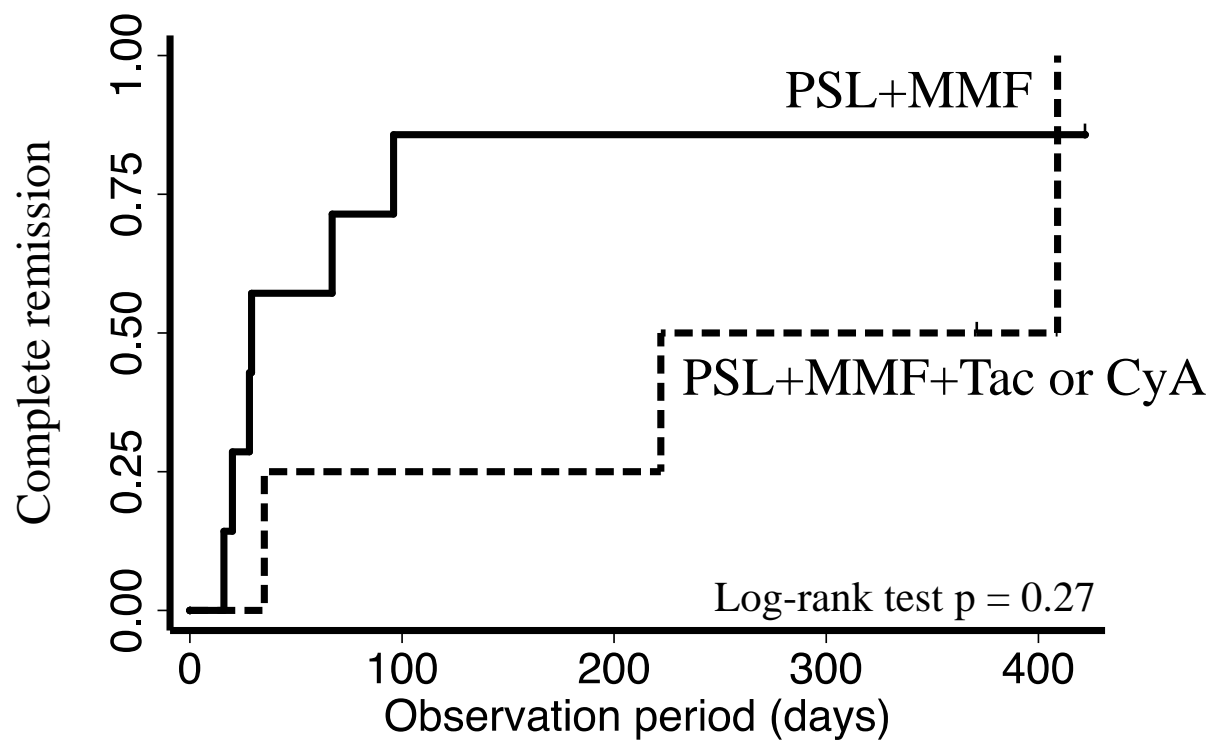


Fig. 4



Number at risk		0	100	200	300	400
Multitarget = 0	7	1	1	1	1	1
Multitarget = 1	4	3	3	3	2	1

Fig. 5

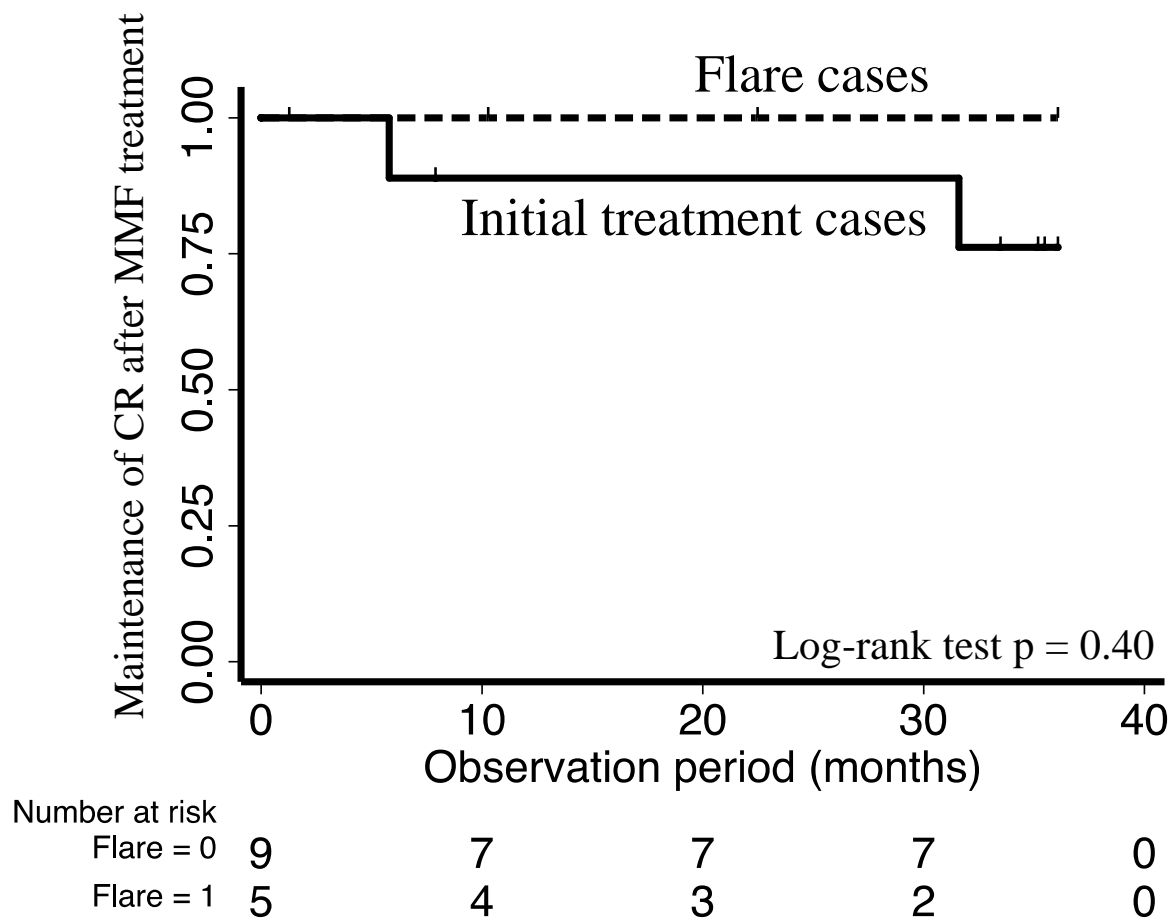
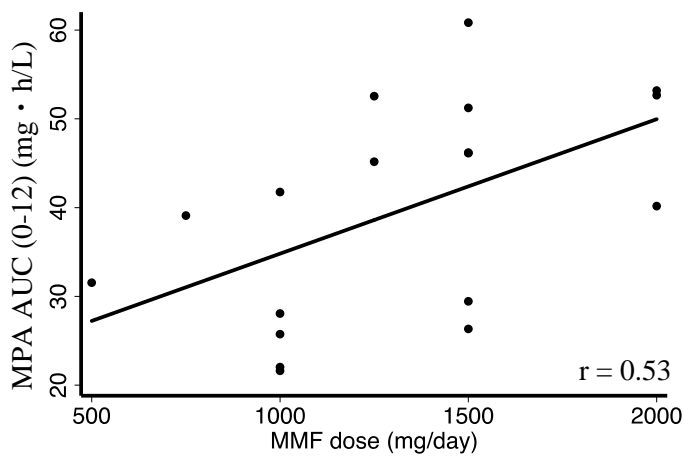


Fig. 6

A



B

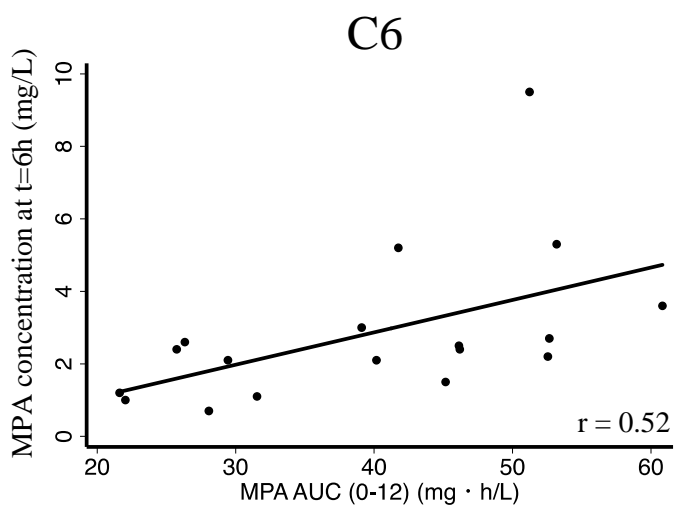
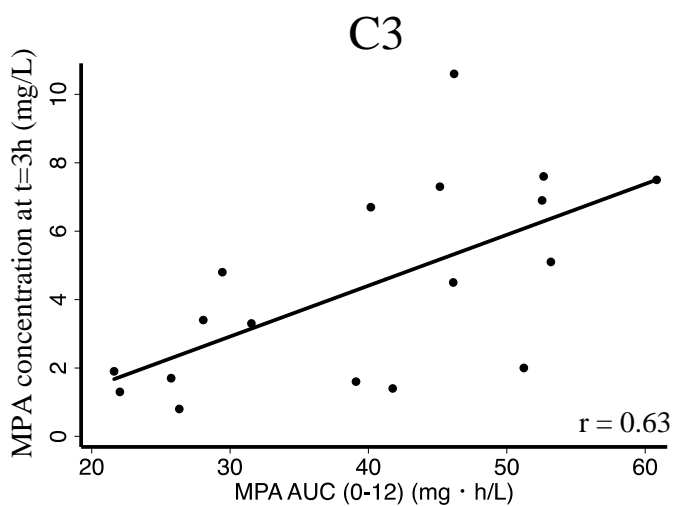
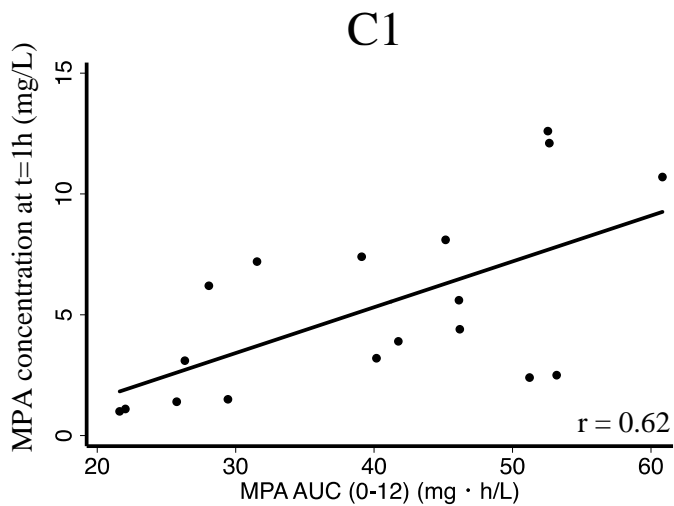
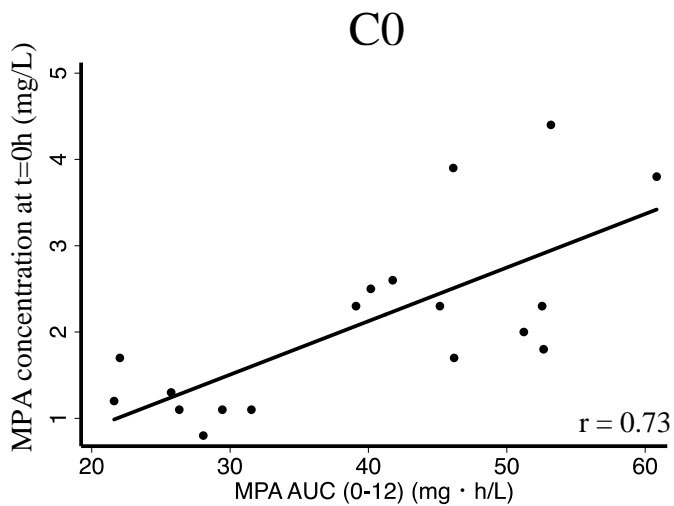
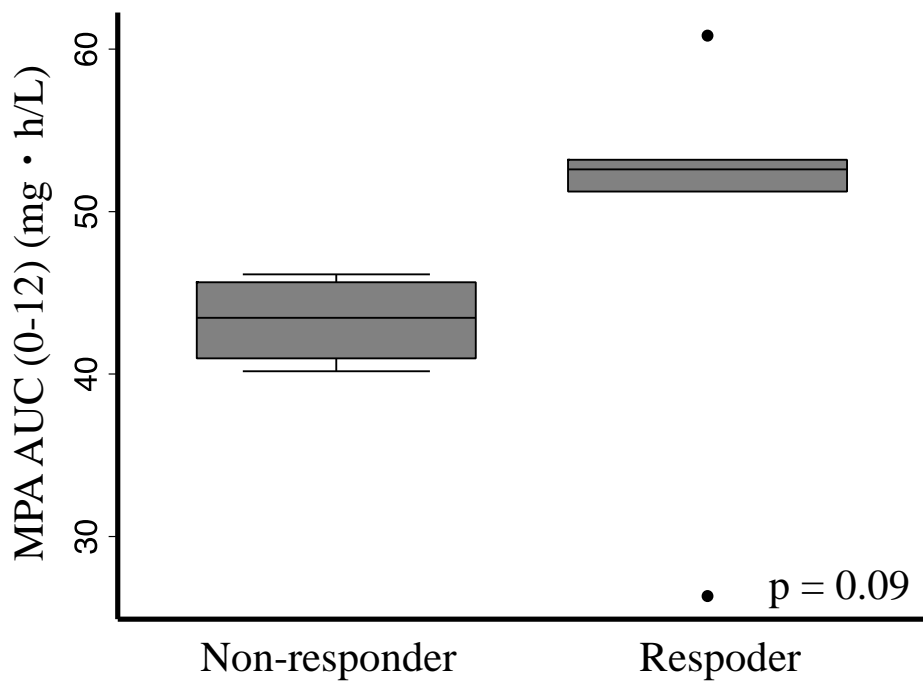


Fig. 7

Treatment response



Adverse events of infection

