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Undecidable Properties on Length-Two String Rewriting Systems

Masahiko Sakai¹

Graduate School of Information Science, Nagoya University, furo-cho Chikusa-ku, Nagoya 4648603 Japan

Yi Wang²

Graduate School of Arts and Science, The University of Tokyo, Komaba Meguro-ku, Tokyo 1538914 Japan

Abstract

Length-two string rewriting systems are length-preserving string rewriting systems that consist of lengthtwo rules. This paper shows that confluence, termination, left-most termination and right-most termination are undecidable properties for length-two string rewriting systems. These results mean that these properties are undecidable for the class of linear term rewriting systems in which depth-two variables are allowed in both sides of rules.

Keywords: Rewriting system, confluence, left-most termination, right-most termination, length-two string rewriting system

1 Introduction

Confluence and termination are both generally undecidable for term rewriting systems (TRSs) and for string rewriting systems (SRSs). Hence several decidable classes have been studied. Confluence is a decidable property for terminating TRSs [\[12\]](#page-16-0), and ground TRSs [\[16\]](#page-16-0). The latter result was extended to linear shallow TRSs [\[7\]](#page-15-0) and shallow right-linear TRSs [\[8\]](#page-15-0). Classes for which termination is a decidable property are investigated and extended: ground TRSs [\[10\]](#page-16-0), right-ground TRSs [\[4\]](#page-15-0), TRSs that consist of right-ground rules, collapsing rules and shallow right-linear rules [\[9\]](#page-15-0), and the related class of shallow left-linear TRSs [\[18\]](#page-16-0).

Results on undecidable classes also exist. Confluence is an undecidable property for semi-constructor TRSs [\[14\]](#page-16-0). The result is extended to flat TRSs [\[11,15\]](#page-16-0).

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¹ Email: [sakai@is.nagoya-u.ac.jp](mailto:wangyi@graco.c.u-tokyo.ac.jp)
² Email: wangyi@graco.c.u-tokyo.ac.jp

Termination is an undecidable property for three-rule SRSs [\[13\]](#page-16-0), length-preserving SRSs [\[2\]](#page-15-0) and one-rule TRSs [\[3\]](#page-15-0).

SRSs are said to be length preserving if the left-hand side and the right-hand side of each rule have the same length. Since there is a finite number of rules, the number of different symbols appearing in the rules is finite, and fixed for SRSs, and the number of strings with a given length is also finite. Thus the decidability of the following problems for length-preserving SRSs trivially follows.

- (i) Reachability problem: problem to decide $s \frac{*}{\mathcal{R}} t$ for given strings s and t and an SRS R.
- (ii) String-confluence problem: problem to decide confluence of s for a given string s and an SRS \mathcal{R} .
- (iii) String-termination problem: problem to decide termination of s for a given string s and an SRS \mathcal{R} .

¿From these observation, one may think that confluence is also a decidable property; however, this is false. In this paper, we show that confluence, termination, left-most termination, and right-most termination are undecidable properties for length-two SRSs which are length-preserving SRSs consisting of length-two rules. First we show those properties for length-preserving SRSs by reducing Post's correspondence problem, which is known to be undecidable. Then we give a transformation of length-preserving SRSs to length-two SRSs that preserves those properties.

The class of length-two SRSs is a subclass of linear TRSs in which depth-two variables are allowed in both sides of the rules. Thus the undecidability for this class of TRSs is obtained. In that sense, the undecidability results in this paper shed new light on the borderline between decidability and undecidability for TRSs.

2 Preliminaries

Let Σ be an alphabet. A *string rewrite rule* is a pair of strings $l, r \in \Sigma^*$, denoted by $l \rightarrow r$. A finite set of string rewrite rules is called a *string rewriting system* (SRS). A string is called a *redex* if it is the left-hand side of a rule. An SRS R induces a rewrite step relation $\rightarrow \frac{\pi}{\pi}$ defined as $s \rightarrow t$ if there exist $u, v \in \Sigma^*$, and a rule $l \rightarrow r$ in R such that $s = ulv$ and $t = urv$. Especially the rewrite step is *left-most* (resp. *right-most*) if l is the left-most (resp. right-most) redex in s. We use $\leftrightarrow \atop{\mathcal{R}}$ $\overline{\mathcal{R}} \cup \overrightarrow{\mathcal{R}}$, $\overrightarrow{\mathcal{R}}$ for the transitive closure of $\overrightarrow{\mathcal{R}}$ and $\overrightarrow{\mathcal{R}}$ for the reflexive-transitive closure of $\frac{\rightarrow}{\mathcal{R}}$. We write $\frac{k}{\mathcal{R}}$ $\frac{k}{\mathcal{R}}$ for the relation with k rewrite steps. A (possibly infinite) sequence $s_0 \rightarrow s_1 \rightarrow \cdots$ is called a *reduction sequence (starting from s₀)*. We refer to $\{r \to l \mid l \to r \in \mathcal{R}\}$ by \mathcal{R}^{-1} .

We say that a string s is *terminating* if every reduction sequence starting from s is finite. We say that strings s_1 and s_2 are joinable if $s_1 \stackrel{*}{\underset{\mathcal{R}}{\rightarrow}} s \stackrel{*}{\underset{\mathcal{R}}{\leftarrow}} s_2$ for some s, denoted by $s_1 \downarrow_{\mathcal{R}} s_2$. A string s is *confluent* if $s_1 \downarrow_{\mathcal{R}} s_2$ for any $s_1 \stackrel{*}{\underset{\mathcal{R}}{\leftarrow}} s \stackrel{*}{\underset{\mathcal{R}}{\rightarrow}} s_2$. An SRS

 $\mathcal R$ is confluent (resp. terminating) if all strings are confluent (resp. terminating).

In this paper, the notation |u| represents the length of string u . The notation a^m represents the string that consists of m symbols of a. When we pay no heed to the number m, we denote a^m as a^* (if $m > 0$) or a^+ (if $m > 0$).

Now we recall Post's correspondence problem (PCP).

Definition 2.1 An instance of PCP is a finite set $P \subseteq A^* \times A^*$ of finite pairs of non-empty strings over an alphabet ^A with at least two symbols. A solution of ^P is a string w such that $w = u_1 \cdots u_k = v_1 \cdots v_k$ for some $(u_i, v_i) \in P$. The Post's correspondence problem (PCP) is the problem to decide whether such a solution exists or not.

Example 2.2 The set $P = \{(ab, a), (c, bc)\}\$ is an instance of PCP over $\{a, b, c\}$. It has a solution $abc = u_1u_2 = v_1v_2$ with $(u_1, v_1) = (ab, a), (u_2, v_2) = (c, bc).$

Theorem 2.3 ([\[17\]](#page-16-0)) PCP is undecidable.

3 Length-preserving SRSs and undecidability of their termination

Definition 3.1 An SRS R is said to be length-preserving if $|l| = |r|$ for every rule $l \rightarrow r$ in \mathcal{R} .

In this section we argue about the undecidability of termination, right-most termination and left-most termination for length-preserving SRSs. As stated in the introduction, Caron showed the undecidability in [\[2\]](#page-15-0). Moreover the proof works also for right-most termination and left-most termination because there is only one redex in each string that corresponds to a correct automata configuration. Nevertheless we give an alternative proof for the following reasons:

- Caron's proof is composed of two stages; the first stage gives an algorithm that reduces PCP into the uniform halting problem for linear-bounded automata and the second stage gives an algorithm reducing the uniform halting problem into the termination problem for length-preserving SRSs. On the other hand, we give a proof by reducing PCP into the termination problem of SRSs directly.
- The SRS \mathcal{T}_P given in this section is rather straightforward and easy to understand. This helps the understanding of the SRS \mathcal{C}_P given in the next section, which is more difficult although it is just a variant of \mathcal{T}_P .

As a preparation for giving the transformation, we introduce a kind of null symbol - and an equal length representation of each pair in instances of PCP. Let $P = \{(u_1, v_1), \ldots, (u_n, v_n)\}\$ be an instance of PCP over A.

$$
\overline{P} = \{(u, v^{-m}) \mid (u, v) \in P \text{ and } |u| - |v| = m \ge 0\}
$$

$$
\cup \{(u^{-m}, v) \mid (u, v) \in P \text{ and } |u| - |v| = -m < 0\}
$$

We write \overline{A} for $A\cup\{-\}$. We define an equivalence relation $\sim\subseteq (\overline{A})^*\times (\overline{A})^*$ as the identity relation that ignores all null symbols -, that is $u \sim v$ if and only if $\hat{u} = \hat{v}$ where \hat{u} and \hat{v} denote the strings obtained from u and v by removing all -s respectively.

Example 3.2 For an instance $P = \{(ab, a), (c, bc)\}\$ of PCP, we have \overline{P} = $\{(ab,a-),(c-,bc)\}.$ The solution corresponds to $u_1u_2 = ab \nc - \sim a-bc = v_1v_2$ for $(u_1, v_1), (u_2, v_2) \in \overline{P}$.

We use symbols like 0 $\begin{matrix} h\ a\ b\ a'\ b'\end{matrix}$, where 0 is called the *state* of the symbol, h is called the first subscript or delimiter, and a is called the second subscript of the symbol, a' the third, b the fourth, and b' the fifth. We code the solution of the previous example into $0^a_a 0^b_c 0^c_b 0^c_c$ h second and third subscripts are head symbols of an element of the instance P . i h i $\frac{1}{c}$, where each delimiter h is used to represent that the corresponding

For an easy handling of strings that consist of such symbols, we introduce a notation defined as $(X_1 \cdots X_k)_{\substack{a_1 \cdots a_k \\ a'_1 \cdots a'_k \\ b'_1 \cdots b'_k}}^{h_1 \cdots h_k}$ $=\; \; X_1{}^{{\scriptstyle{{b_1}} \atop{\scriptstyle{b_1}}}}_{{\scriptstyle{{a_1}} \atop{b'_1}}^{{\scriptstyle{{b_1}}}}}}$ $\cdots X_k^{\frac{a_k}{a_k'}}_{\frac{a'_k}{b'_k}}$. For example the above solution is denoted by (00) $\frac{a b}{a b}}_a(00)$ in those subscripts are the same whenever we use this notation. Hence we sometimes $\frac{\overline{hi}}{c}$ or (0000) $\frac{abc}{a_{abc}}$. Note that the lengths of the strings

write (0^+) h_{abc}^{abc}
 a_{abc}^{abc} for the solution. a-bc

Delimiters and the second and third subscripts keep a candidate of solutions of P in equal length representation and will never be changed by reductions. The fourth and fifth subscripts are used as a working area to check whether the candidate is a solution or not.

We relate a solution of the given instance of PCP with a loop in an infinite reduction sequence:

$$
\Xi_0(0^+)^{u_1^*}_{\substack{v_1\\u_1\\v_1}}\cdots(0^+)^{u_k^*}_{\substack{v_k\\u_k}}\Psi_0\overset{*}{\underset{\substack{\to\\v_k\\v_k}}\right\}\Xi_2(2^+)^{u_1^*}_{\substack{v_1\\v_1\\w_1}}\cdots(2^+)^{u_k^*}_{\substack{v_k\\v_k\\w_k}}\Psi_2\overset{*}{\underset{\substack{\to\\v_k\\v_k}}\right\}\Xi_0(0^+)^{u_1^*}_{\substack{v_1\\v_1\\v_1}}\cdots(0^+)^{u_k^*}_{\substack{v_k\\v_k}}\Psi_0
$$

- (i) The former part checks whether $u_1 \cdots u_k \sim v_1 \cdots v_k$ by using the fourth and fifth subscripts as a working area.
- (ii) The latter part checks whether $(u_1, v_1), \ldots, (u_n, v_n) \in \overline{P}$ and initializes the working area.

Definition 3.3 Let P be an instance of PCP over A. The SRS \mathcal{T}_P over Σ obtained from P is defined as follows, where individual rules are shown in Figure [1.](#page-4-0)

$$
\Sigma = \{\Xi_i, \Psi_i \mid i \in \{0, 1, 2\}\} \cup \Sigma_c
$$
\n
$$
\Sigma_c = \begin{cases}\n\begin{matrix}\n\begin{array}{c}\nd_1 & d_1 \\
n^{x_1} & \frac{x_1}{x_1} \\
n^{x_2} & n^{x_2} \\
n^{x_3} & \frac{x_3}{x_3}\n\end{array}\n\end{cases} d_1 \in \{h, i\}, x_i \in \overline{A}, n \in \{0, 1, 2\}\n\end{matrix}\n\end{cases}
$$
\n
$$
T_P = \alpha_1 \cup \beta_1 \cup \gamma_1 \cup \alpha_2 \cup \beta_2 \cup \gamma_2 \cup \delta_2
$$

Example 3.4 Consider the instance $P = \{(ab, a), (c, bc)\}\$ of PCP. Rules α_1, β_1

α¹ = 8 < : (12 ··· 2) d u v uv-Ψ² → (00 ··· 0) d u v u v Ψ⁰ ˛ (u, v) [∈] P,u-, v- [∈] (A)∗, d ⁼ hi|u|−¹ 9 = ; β¹ = 8 < : (12 ··· 2) d u v uv-2 h x1 x2 x3 x4 → (00 ··· 0) d u v u v 1 h x1 x2 x3 x4 ˛ ˛ ˛ ˛ (u, v) [∈] P,u-, v- [∈] (A)∗, x^j [∈] ^A, d ⁼ hi|u|−¹ 9 = ; γ¹ = 8 < : Ξ22 h x1 x2 x3 x4 → Ξ01 h x1 x2 x3 x4 ˛ ˛ ˛ ˛ ^x^j [∈] ^A 9 = ; α² = 8 < : 0 d1 x1 x2 x3 x3 Ψ⁰ → 2 d1 x1 x2 x3 x3 Ψ² ˛ ˛ ˛ ˛ ^d¹ ∈ {h, i}, x^j [∈] ^A 9 = ; β² = 8 < : 0 d1 x1 x2 x3 x3 2 d2 y1 y2 y3 y3 → 2 d1 x1 x2 x3 x3 2 d2 y1 y2 y3 y3 , ˛ ˛ ˛ ˛ ^d^j ∈ {h, i}, x^j , y^j [∈] ^A 9 = ; γ² = 8 < : Ξ02 h x1 x2 x3 x3 → Ξ22 h x1 x2 x3 x3 ˛ ˛ ˛ ˛ ^x^j [∈] ^A 9 = ; δ² = 8 < : 0 d1 x1 x2 x4 0 d2 y1 y2 z y4 → 0 d1 x1 x2 z x4 0 d2 y1 y2 y4 , 0 d1 x1 x2 x3 - 0 d2 y1 y2 y3 z → 0 d1 x1 x2 x3 z 0 d2 y1 y2 y3 - ˛ ˛ ˛ ˛ ^d^j ∈ {h, i}, x^j , y^j [∈] ^A, z ∈ A 9 = ;

depend on ^P and the other rules depend only on the alphabet ^A.

$$
\alpha_{1} = \left\{ \begin{matrix} \left(12\right)_{a}^{h} \stackrel{i}{a} & \stackrel{hi}{a} \\ \left(12\right)_{a}^{h} \stackrel{.}{a} \stackrel{.}{b} \Psi_{2} \rightarrow (00)_{ab}^{h} \Psi_{0}, & \left(12\right)_{b}^{h} \stackrel{i}{c} \Psi_{2} \rightarrow (00)_{bc}^{h} \Psi_{0} \mid x_{i}, y_{i} \in \overline{\mathcal{A}} \\ x_{1} y_{1} & \stackrel{a}{b} \\ \left(12\right)_{a}^{h} \stackrel{i}{a} \stackrel{.}{b} & \stackrel{a}{a} \\ \left(12\right)_{a}^{h} \stackrel{i}{c} \stackrel{.}{c}^{2} \stackrel{.}{c} \rightarrow (00)_{ab}^{2} \left(12\right)_{b}^{h} \stackrel{i}{c} \stackrel{i}{c} \stackrel{.}{c} \\ \stackrel{hi}{b} \stackrel{.}{c} \\ \left(12\right)_{a}^{h} \stackrel{i}{c} \stackrel{.}{c}^{2} \stackrel{.}{c} \rightarrow (00)_{ab}^{2} \left(12\right)_{b}^{h} \stackrel{i}{c} \stackrel{i}{c} \left(12\right)_{c}^{2} \stackrel{i}{c} \stackrel{i}{c}^{2} \stackrel{i}{c} \rightarrow (00)_{c}^{2} \left(12\right)_{c}^{2} \left(12\right)_{c}^{2} \left(12\right) \\ \stackrel{i}{c} \stackrel{i}{c} \stackrel{i}{c} \stackrel{i}{c}^{2} \rightarrow (00)_{c}^{2} \left(12\right)_{c}^{2} \left(12\right)_{c}^{2} \left(12\right)_{c}^{2} \left(12\right) \\ \stackrel{i}{c} \stackrel{i}{c} \stackrel{i}{c} \stackrel{i}{c}^{2} \rightarrow (00)_{c}^{2} \left(12\right)_{c}^{2} \left(12\right)_{c}^{2} \left(12\right) \\ \stackrel{i}{c} \stackrel{i}{c} \stackrel{i}{c} \stackrel{i}{c}^{2} \rightarrow (00)_{c}^{2} \left(12\right)_{c}^{2} \left(12\right) \\ \stackrel{i}{c} \stackrel{i}{c} \stackrel{i
$$

 \mathcal{T}_P is not terminating since we can construct an infinite reduction sequence. We start with a string $\Xi_0(00)$ $\begin{array}{c} h\,i\,a\,b\\ a-b\\ a\,b\,\\ a\,\bigr\} \end{array}$ (00) hi
c-
bc
bc Ψ_0 . Rules in δ_2 move null symbols in the fourth or fifth subscripts into the tail:

$$
\Xi_0(00)_{\substack{ab\\ab\\a-a}}^{hi}\stackrel{hi}{(00)_{\substack{bc\\c\\bc}}^{hi}}\Psi_0\underset{\delta_2}{\to}\Xi_0(00)_{\substack{ab\\ab\\ab}^{ai}}^{hi}\stackrel{hi}{(00)_{\substack{bc\\c}c}^{hi}}\Psi_0\underset{\delta_2}{\to}\Xi_0(00)_{\substack{ab\\ab}^{ai}}^{hi}\stackrel{hi}{(00)_{\substack{bc\\c}c}^{hi}}\Psi_0.
$$

Rules in $\alpha_2 \cup \beta_2 \cup \gamma_2$ check in right-to-left order that the fourth and fifth subscripts are the same:

$$
\Xi_0(00)^{hi}_{ab}_{ab} (00)^{E_c}_{c_-} \Psi_0 \underset{ab}{\to} \Xi_0(00)^{hi}_{ab} (02)^{E_c}_{c_-} \Psi_2 \underset{ab}{\to} \Xi_0(00)^{hi}_{ab} (22)^{E_c}_{c_-} \Psi_2 \underset{ab}{\to} \Xi_0(00)^{hi}_{ab} (22)^{E_c}_{c_-} \Psi_2
$$
\n
$$
\underset{ab}{\to} \Xi_0(02)^{ab}_{ab} (22)^{E_c}_{bc} \Psi_2 \underset{ab}{\to} \Xi_0(22)^{ab}_{ab} (22)^{E_c}_{bc} \Psi_2 \underset{ab}{\to} \Xi_2(22)^{ab}_{ab} (22)^{E_c}_{bc} \Psi_2.
$$

Rules in $\gamma_1 \cup \beta_1 \cup \alpha_1$ check in left-to-right order that the second and third subscripts consist of pairs in \overline{P} and copy the second subscript to the fourth and the third to the fifth respectively:

$$
\Xi_2(22)_{\substack{ab\\ab}^{ab}\\ab}^{bi}_{\substack{c\\c-}}(22)_{\substack{c\\ab}^{bc}\\c}^{bi}\Psi_2\underset{r}{\rightarrow}\Xi_0(\underline{1}2)_{\substack{ab\\ab}^{ab}\\ab}^{bi}_{\substack{c\\c-}}(22)_{\substack{c\\c}^{bc}\\c}^{bi}\Psi_2\underset{r}{\rightarrow}\Xi_0(00)_{\substack{ab\\ab}^{ab}(\underline{1}2)_{\substack{c\\c}^{bc}\\c}^{bi}\Psi_2\underset{r}{\rightarrow}\Xi_0(00)_{\substack{ab\\ab}^{ab}(0)_{\substack{c\\c}^{bc}\\c}^{bi}\Psi_0.
$$

Obviously \mathcal{T}_P is length-preserving. The proof of the following lemma is found in

Section [5.](#page-6-0)

Lemma 3.5 For an instance P of PCP the following properties are equivalent:

- (i) P has a solution.
- (ii) \mathcal{T}_P is not right-most terminating.
- (iii) \mathcal{T}_P is not left-most terminating.
- (iv) \mathcal{T}_P is not terminating.

Theorem 3.6 Termination, right-most termination and left-most termination are undecidable properties for length-preserving SRSs.

Proof. We assume that termination (right-most termination, left-most termination) of length-preserving SRSs is decidable. Then it follows from Lemma 3.5 that PCP is decidable, which contradicts Theorem [2.3.](#page-2-0) \Box

4 Undecidability of confluence for length-preserving SRSs

We modify the construction of the SRS in the last section. In contrast to the SRS \mathcal{T}_P , which works sequentially, the SRS \mathcal{C}_P works in parallel, that is, a solution of a given instance of PCP is related to the following two reduction sequences

$$
\Xi_0(0^+)^{i_1*}_{u_1} \cdots (0^+)^{i_k*}_{u_k} \Psi_0 \underset{\substack{v_1\\v_1\\h_i*}}{\overset{h_i*}{\to}} \Xi_2(2^+)^{i_1}_{u_1} \cdots (2^+)^{i_k}_{u_k} \Psi_2 ,
$$
\n
$$
\Xi_0(0^+)^{i_1*}_{u_1} \cdots (0^+)^{i_k}_{u_k} \Psi_0 \underset{\substack{v_1\\h_i*}}{\overset{h_i*}{\to}} \Xi_1(1^+)^{i_1}_{u_1} \cdots (1^+)^{i_k}_{u_k} \Psi_1
$$
\n
$$
\Xi_0(0^+)^{i_1*}_{u_1} \cdots (0^+)^{i_k}_{u_k} \Psi_0 \underset{\substack{v_1\\v_k\\v_k}}{\overset{h_i*}{\to}} \Xi_1(1^+)^{i_1*}_{u_1} \cdots (1^+)^{i_k}_{u_k} \Psi_1
$$

that demonstrate its non-confluence.

- (i) The former reduction checks whether $u_1 \cdots u_k \sim v_1 \cdots v_k$ by using the fourth and fifth subscripts as a working area.
- (ii) The latter reduction checks whether $(u_1, v_1), \ldots, (u_n, v_n) \in \overline{P}$, and checks that the working area is correctly initialized.

If P has no solution then \mathcal{C}_P must be confluent, which makes the design of \mathcal{C}_P difficult.

Definition 4.1 Let P be an instance of PCP over A. The SRS \mathcal{C}_P over Σ obtained from P is defined as follows:

$$
C_P = \Theta \cup \Phi,
$$

\n
$$
\Theta = \Theta_1 \cup \Theta_2, \quad \Phi = \gamma'_1 \cup \gamma_2,
$$

\n
$$
\Theta_1 = \alpha'_1 \cup \beta'_1 \cup (\alpha'_1 \cup \beta'_1)^{-1},
$$

\n
$$
\Theta_2 = \alpha_2 \cup \beta_2 \cup \delta_2 \cup \epsilon_2 \cup (\alpha_2 \cup \beta_2 \cup \delta_2 \cup \epsilon_2)^{-1}
$$

where rules α_2 , β_2 , δ_2 , and γ_2 are shown in Figure [1](#page-4-0) and the other rules are shown in Figure [2.](#page-6-0)

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$$
\alpha_1' = \begin{cases}\n d & d \\
 (00 \cdots 0)_u^u \Psi_0 \rightarrow (\underline{11} \cdots 1)_u^u \Psi_1 \middle| (u, v) \in \overline{P}, d = h i^{|u|-1} \n\end{cases}
$$
\n
$$
\beta_1' = \begin{cases}\n d & h & u & h \\
 (00 \cdots 0)_u^u \frac{x_1}{1} \rightarrow (11 \cdots 1)_u^u \frac{x_1}{1} \mid (u, v) \in \overline{P}, x_i \in \overline{A}, d = h i^{|u|-1} \n\end{cases}
$$
\n
$$
\gamma_1' = \begin{cases}\n h & v & x_2 \\
 \sum_{u} x_1 & h & v & x_2 \\
 \sum_{u} x_2 & \sum_{u} x_1 \\
 x_1 & x_1 \\
 x_2 & \sum_{u} x_2 \\
 \sum_{u} x_1 & \sum_{u} x_1 & \sum_{u} x_2 \\
 \sum_{u} x_2 & \sum_{u} x_2 & \sum_{u} x_2 \\
 \sum_{u} x_1 & \sum_{u} x_1 & \sum_{u} x_2 \\
 \sum_{u} x_1 & \sum_{u} x_1 & \sum_{u} x_2 & \sum_{u} x_2 \\
 \sum_{u} x_1 & \sum_{u} x_1 & \sum_{u} x_2 & \sum_{u} x_2 \\
 \sum_{u} x_1 & \sum_{u} x_1 & \sum_{u} x_2 & \sum_{u} x_2 \\
 \sum_{u} x_1 & \sum_{u} x_1 & \sum_{u} x_2 & \sum_{u} x_2 & \sum_{u} x_2 \\
 \sum_{u} x_1 & \sum_{u} x_1 & \sum_{u} x_2 & \sum_{u} x_2 \\
 \sum_{u} x_1 & \sum_{u} x_1 & \sum_{u} x_2 & \sum_{u} x_2 \\
 \sum_{u} x_1 & \sum_{u} x_1 & \sum_{u} x_1 & \sum_{u} x_1 \\
 \sum_{u} x_1 & \sum_{u} x_1 & \sum_{u} x_1 & \sum_{u} x_1 \\
 \sum_{u} x_1 & \
$$

Fig. 2. Rules in \mathcal{C}_P

Remark that the reductions by Θ -rules are symmetric, that is to say, $s \underset{\Theta}{\rightarrow} t$ if and only if $t \rightarrow s$, which plays an important role in making \mathcal{C}_P confluent when P has no solution.

Example 4.2 Let $P = \{(ab, a), (c, bc)\}\$ be an instance of PCP. Rules α'_1 , β'_1 depend on P and the other rules depend only on the alphabet \mathcal{A} .

$$
\alpha'_1 = \left\{ \begin{matrix} \binom{hi}{00\frac{ab}{ab}}\Psi_0 & -\left(\underline{1}1\right)_{ab}^{ab}\Psi_1, & \binom{hi}{00\frac{bc}{bc}}\Psi_0 & -\left(\underline{1}1\right)_{bc}^{bc}\Psi_1 \\ \frac{a}{ab} & \frac{a}{ab} & \frac{b}{ab} & \frac{b}{bc} \\ \frac{bi}{ab}\frac{h}{2a_1} & \frac{b}{2a_2} & -\left(\underline{1}1\right)_{ab}^{ab}\frac{a}{2a_1} & \frac{b}{2a_2} & \frac{b}{2a_1} & \frac{bi}{bc}\frac{h}{2a_1} \\ \frac{b}{ab}\frac{1}{2a_1} & -\left(\underline{1}1\right)_{ab}^{ab}\frac{a}{2a_1} & \frac{a}{2a_1} & \frac{b}{2a_1} & \frac{b}{2a_1} & \frac{b}{2a_1} & \frac{b}{2a_1} \\ \frac{b}{a_1} & \frac{b}{2a_1} \\ \frac{b}{2a_1} & \frac{b}{2a_1} \\ \frac{b}{2a_1} & \frac{b}{2a_1} \\ \frac{b}{2a_1} & \frac{b}{2a_1} & \frac{b}{2a_1} & \frac{b}{2a_1} & \frac{b
$$

We can show that \mathcal{C}_P is not confluent since we have non-joinable branches.

$$
\begin{array}{llll} \Xi_0(00)^{hi}_{a\circ} (00)^{hi}_{c\circ} \Psi_0 & \to & \Xi_0(00)^{hi}_{a\circ} (11)^{hi}_{c\circ} \Psi_1 \to \Xi_0(11)^{hi}_{a\circ} (11)^{hi}_{c\circ} \Psi_1 \\ \pi^{-}{}_{a\circ} {}_{b\circ} {}_{c\circ} {}_{b\circ} {}_{a\circ} {}_{a\circ} {}_{b\circ} {}_{a\circ} {}_{b\circ} {}_{b\circ} {}_{a\circ} {}_{a\circ} {}_{b\circ} {}_{a\circ} {}_{a
$$

Note that the detail of the latter sequence is found in Example [3.4.](#page-3-0)

Obviously \mathcal{C}_P is length preserving. The proof of the following main lemma is found in Section 5.

Lemma 4.3 Let P be an instance of PCP. Then, P has a solution if and only if \mathcal{C}_P is not confluent.

Theorem 4.4 Confluence of length-preserving SRSs is an undecidable property.

Proof. We assume that the problem is decidable. Then it follows from Lemma 4.3 that PCP is decidable, which contradicts to Theorem [2.3.](#page-2-0) \Box

5 Proofs

Every occurrence of the symbols Ξ_0 , Ξ_1 , and Ξ_2 (Ψ_0 , Ψ_1 , and Ψ_2) in rules are leftmost (right-most) positions in both sides. Moreover, for every rule, Ξ_i (Ψ_i) appears in the left-hand side if and only if Ξ_i (Ψ_j) appears in the right-hand side. Hence we can separate any reduction sequence having a symbol $\Xi_i(\Psi_i)$ into two reduction sequences by cutting each string at the left of Ξ_i occurrence (at the right of Ψ_i occurrence). Therefore the following proposition holds.

Proposition 5.1 Let \mathcal{R} be \mathcal{T}_P or \mathcal{C}_P obtained from an instance P of PCP. For any $i \in \{0, 1, 2\}$ and $S_1, S_2, S \in \Sigma^*$, the following hold:

- (a) If $S_1 \Xi_i S_2 \to S$, then $(S = S'_1 \Xi_i S_2) \wedge (S_1 \to S'_1)$ or $(S = S_1 \Xi_j S'_2) \wedge (\Xi_i S_2 \to S'_2)$ $\Xi_j S_2'$ for some $S_1', S_2' \in \Sigma^*$, and $j \in \{0, 1, 2\}$.
- (b) If $S_1 \Xi_i S_2 \stackrel{*}{\to} S$, then $S = S'_1 S'_2$, $S_1 \stackrel{*}{\to} S'_1$, and $\Xi_i S_2 \stackrel{*}{\to} S'_2$ for some $S'_1 \in \Sigma^*$ and non-empty $S'_2 \in \Sigma^*$.
- (c) If $S_1\Psi_iS_2 \to S$, then $(S = S'_1\Psi_jS_2) \wedge (S_1\Psi_i \to S'_1\Psi_j)$ or $(S = S_1\Psi_iS'_2) \wedge (S_2 \to S'_1\Psi_j)$ S'_2) for some $S'_1, S'_2 \in \Sigma^*$ and $j \in \{0, 1, 2\}.$
- (d) If $S_1\Psi_iS_2 \stackrel{*}{\to} S$, then $S = S_1'S_2'$, $S_1\Psi_i \stackrel{*}{\to} S_1'$, and $S_2 \stackrel{*}{\to} S_2'$ for some $S_2' \in \Sigma^*$ and non-empty $S'_1 \in \Sigma^*$.

Proof. We prove (a). Let $S_1 \Xi_i S_2 \to S$. The only interesting case is that the redex in the rewrite step contains the displayed symbol Ξ_i . Then one of γ_1 -rules, γ_2 -rules, or γ'_1 -rules is applied. From the construction of the rules, we have $S = S_1 \Xi_j S_2'$ and $\Xi_i S_2 \to \Xi_j S_2'$ for some $S_2' \in \Sigma^*$ and $j \in \{0, 1, 2\}.$

The claim (b) is easily proved by induction on the number k of the rewrite steps in $S_1\Xi_iS_2 \stackrel{*}{\to} S$. For (c) and (d), the proofs are similar to (a) and (b) respectively. \Box

We say a string over Σ is *normal* if it is in one of the following three forms:

(p1) $\Xi_i \chi$, (p2) $\chi \Psi_i$, (p3) $\Xi_i \chi \Psi_i$,

where $\chi \in (\Sigma_c)^*, i, j \in \{0, 1, 2\}.$

We prepare a measure for the proof of the next lemma. For a non-empty string $X_1 \cdots X_n$ over Σ , we define $||X_1 \cdots X_n||$ by the summation of the number of occurrences of Ξ_i symbols in $X_2 \cdots X_n$, and the number of occurrences of Ψ_i symbols in $X_1 \cdots X_{n-1}$.

Lemma 5.2 Let \mathcal{R} be \mathcal{T}_P or \mathcal{C}_P over Σ obtained from an instance P of PCP. Then \mathcal{R} is confluent (resp. terminating, right-most terminating, left-most terminating) if and only if w is confluent (resp. terminating, right-most terminating, left-most terminating) for every normal $w \in \Sigma^*$.

Proof. First we prove the termination part of the lemma. Since \Rightarrow -direction is trivial, consider \Leftarrow -direction.

Let $S_1 \rightarrow S_2 \rightarrow \cdots$ be an infinite reduction sequence starting from a non-normal string S_1 such that $||S_1||$ is minimal. We show a contradiction. We have two cases in which $S_1 = w \Xi_i S'$ and $S_1 = S' \Psi_i w$ for some normal w and some $S' \in \Sigma^*$.

• In the former case, where $S_1 = w \Xi_i S'$, we can construct an infinite reduction

sequence starting from at least one of w or $\Xi_i S'$ by applying Proposition [5.1\(](#page-7-0)a) infinitely many times, which contradicts the minimality of S_1 .

• In the latter case, we can show a contradiction similar to the former case by using Proposition [5.1\(](#page-7-0)c).

Secondly we prove the confluence part of the lemma. Since \Rightarrow -direction is trivial, consider \Leftarrow -direction. We show that every $S_1 \in \Sigma^+$ is confluent by induction on $||S_1||$. If $||S_1|| = 0$, then S_1 is normal and it is confluent from the assumption. If $||S_1|| > 0$, then we have two cases, in which $S_1 = w_1 \Xi_i S'_1$ and $S_1 = S'_1 \Psi_i w_1$ for some normal w_1 and some $S'_1 \in \Sigma^*$.

- In the former case, let $S_2 \stackrel{*}{\underset{\mathcal{R}}{\leftarrow}} w_1 \Xi_i S'_1 \stackrel{*}{\underset{\mathcal{R}}{\rightarrow}} S_3$. By Proposition [5.1\(](#page-7-0)b), we have $S_2 = w_2 S_2', S_3 = w_3 S_3', w_2 \stackrel{*}{\underset{\mathcal{R}}{\leftarrow}} w_1 \stackrel{*}{\underset{\mathcal{R}}{\rightleftarrow}} w_3$ and $S_2' \stackrel{*}{\underset{\mathcal{R}}{\leftarrow}} \Xi_i S_1' \stackrel{*}{\underset{\mathcal{R}}{\rightleftarrow}} S_3'$. Since w_1 is confluent from the assumption, we have $w_2 \downarrow_R w_3$. Since $\Xi_i S'_1$ is confluent from the induction hypothesis, we have $S'_2 \downarrow_R S'_3$. Therefore we have $S_2 = w_2 S'_2 \downarrow_R$ $w_3S'_3=S_3.$
- In the latter case, we can show the confluence of S_1 by using Proposition [5.1\(](#page-7-0)d) in a similar way to the former case. \Box

Note that this lemma is provable more elegantly by using a notion of persistency [\[19\]](#page-16-0) similarly to [\[5,6\]](#page-15-0). However we proved it without the notion to make the paper selfcontained.

Thanks to Lemma [5.2,](#page-7-0) we can concentrate on normal strings in the rest of this section.

5.1 Termination analysis of T_P

In the sequel, we analyze the termination property for \mathcal{T}_P . We use the notation \vec{u} for $u_1 \cdots u_k$ and \vec{H} for $hi^{|u_1|-1} \cdots hi^{|u_k|-1}$.

Lemma 5.3 Let P be an instance of PCP.

(a) If $u_1 \cdots u_k \sim v_1 \cdots v_k$ for some $(u_i, v_i) \in \overline{P}$, then $w \stackrel{+}{\to} w$ where $w =$ $\Xi_0(0^+)$ $\begin{smallmatrix} h i^* \ v_1^* \ v_1^* \ \cdots \ v_1^* \end{smallmatrix} \cdots \left(0^+ \right)$ $h^{\ast}_{u_k}^{u_k} \v_k$ uk Ψ0. Moreover, both right-most reduction and left-most reduction are possible.

(b) If
$$
\Xi_0 \chi \Psi_0 \stackrel{+}{\to} \Xi_0 \chi \Psi_0
$$
 for some $\chi \in (\Sigma_c)^*$, then P has a solution.

Proof. (a): We have a left-most reduction sequence $\Xi_0(0^+)$ $\begin{array}{cc} \vec{H} & \vec{u} \\ \vec{v} & \Psi_0 & \rightarrow \\ \vec{u} & \delta_2 \end{array}$ \vec{v} $\Xi_0(0^+)$ \vec{H} \vec{u} \vec{v} \vec{w} \vec{w} $\Psi_0 \stackrel{+}{\rightarrow}_{\alpha_2 \cup \beta_2 \cup \gamma_2} \Xi_2(2^+)$ \vec{H} \vec{u} \vec{v} \vec{w} \vec{w} Ψ_2 . Here the right-most reduction also exists by applying rules δ_2 as lazily as possible. Since $(u_i, v_i) \in \overline{P}$, we have a left-most and right-most reduction sequence $\Xi_2(2^+)$ \vec{H} \vec{u} \vec{v} \vec{w} $\Psi_2 \underset{\gamma_1 \cup \beta_1 \cup \alpha_1}{\overset{+}{\rightarrow}} \Xi_0(0^+)$ \vec{H} \vec{u} \vec{v} \vec{u} \vec{v} Ψ_0 . (b): Let $\Xi_0 \chi \Psi_0 \stackrel{+}{\to} \Xi_0 \chi \Psi_0$. ¿From the construction of \mathcal{T}_P , a string $\Xi_2 \chi' \Psi_2$ must appear in this reduction sequence. ι From the reduction sequence $\Xi_0 \chi \Psi_0 \stackrel{+}{\to} \Xi_2 \chi' \Psi_2$, the string χ is of the form $(0^+)^{ht^*}_{u'_1}$
 v'_1 $\cdots(0^+)$ $h^{i^*}_{u_k}$ or χ contains 2. In the latter case, the reduction sequence $\Xi_2 \chi' \Psi_2 \stackrel{+}{\to} \Xi_0 \chi \Psi_0$ is impossible. Thus, χ is of the form displayed above. *i*. From the reduction sequence $\Xi_0 \chi \Psi_0 = \Xi_0 (0^+)^{u_1}_{u_1} \cdots (0^+)^{u_n}$ 1 v_k $h^{i}{}^{*}{}_{u}{}^{k}{}_{k}{}^{k}{}_{u}{}^{k}{}_{k}{}^{k}{}_{v}$ $\Psi_0 \stackrel{+}{\rightarrow} \Xi_2 \chi' \Psi_2$, χ' must be of the form $(2^+)^{u_1^1}_{w_1} \cdots (2^+)^{u_{l-1}^1}_{w_1}$ $h^{i^*}_{u_k}$ uk w_k and $\vec{u'} \sim \vec{v'}$. ¿From the reduction sequence

 $\Xi_2 \chi' \Psi_2 = \Xi_2(2^+)$ \vec{H} \vec{u} \vec{v} \vec{w} \vec{w} $\Psi_2 \underset{\gamma_1 \cup \beta_1 \cup \alpha_1}{\overset{+}{\rightarrow}} \Xi_0(0^+)$ $\begin{array}{c}\n\ddot{\vec{u}}\\
\frac{\vec{u}}{v}\\
\vec{v}\n\end{array}$ $\Psi_0 = \Xi_0 \chi \Psi_0$, we have $(u_i, v_i) \in \overline{P}$ for every i. Since $\vec{u'}$ and $\vec{v'}$ are copied from \vec{u} and \vec{v} respectively in the latter reduction

sequence by β_1 -rules, we have $\vec{u'} = \vec{u}$ and $\vec{v'} = \vec{v}$. Thus we conclude $\vec{u} \sim \vec{v}$, which means that P has a solution. means that P has a solution.

Proof for Lemma [3.5](#page-5-0)

 $((i) \Rightarrow (ii) \land (iii))$: By Lemma [5.3\(](#page-8-0)a).

 $((ii) \lor (iii) \Rightarrow (iv))$: Trivial.

 $((iv) \Rightarrow (i))$: Let \mathcal{T}_P not be terminating. From Lemma [5.2,](#page-7-0) there is a non-terminating and normal string w . Infinite reduction sequences starting from w must contain a string starting with Ξ_0 and ending with Ψ_0 by the construction of \mathcal{T}_P . Thus the lemma follows from Lemma 5.3(b). lemma follows from Lemma $5.3(b)$ $5.3(b)$.

5.2 Confluence analysis of C_P

In the sequel, we analyze the confluence property for \mathcal{C}_P . The following propositions on the working area are obtained from the construction of rules.

Proposition 5.4 If
$$
(\cdots)_{\substack{u \ v' \\ v'}}^d \underset{\substack{v'' \\ v''}}{\xrightarrow{\ast}} (\cdots)_{\substack{v'' \\ v''}}^d, \text{ then } u' \sim u'' \text{ and } v' \sim u''.
$$

\n**Proposition 5.5** $\underset{\Theta}{\overset{*}{\leftarrow}} = \underset{\Theta}{\overset{*}{\leftrightarrow}} = \underset{\Theta}{\overset{*}{\rightarrow}}.$

The following lemma shows that strings in a specific form are closed under reductions by Θ-rules.

Lemma 5.6 Let
$$
m, n \geq 0
$$
 and $p \in \{1, 2\}$. If $\chi = (0^n p p^m)_{\substack{v \\ v' \\ v''}}^{\substack{d \\ d \\ d' \\ v''}}^{\substack{d \\ d \\ d' \\ d''}}^{\substack{d \\ d' \\ d' \\ d''}}^{\substack{d \\ d' \\ d''}}^{\substack{d' \\ d' \\ d''}}^{\substack{d' \\ d''}}^{\substack{d'' \\ d''}}^$

Proof. For any string in forms of χ for $p = 1$ (resp. $p = 2$), only Θ_1 -rules (resp. Θ2-rules) are applicable, which produce a string in forms of χ . \Box

We state some properties on Θ_1 -rules.

Lemma 5.7 Consider the following strings for $i \leq j$:

$$
\chi = (0^+)^{i_1*}_{\substack{v_1\\u_1\\v_1'\\v_1'\\v_1''}} \cdots (0^+)^{i_{i-1}}_{\substack{v_{i-1}\\v_{i-1}'\\v_{i-1}'\\v_i''}} \frac{h^{i^*}}{(1^+)^{v_{i+1}^*}_{\substack{v_{i-1}'\\v_i'\\v_i'\\v_i''}}}(1^+)^{i_{i+1}^*}_{\substack{v_{i+1}'\\v_{i+1}'\\v_i'\\v_i''\\v_1''}} \cdots (1^+)^{i_{v_k}^*}_{\substack{v_k'\\v_{i+1}'\\v_1''\\v_1''}} \cdots (0^+)^{i_{v_{j-1}^*}}_{\substack{v_{j-1}^*\\v_{j-1}'\\v_{j-1}'\\v_{j''}''}} \frac{h^{i^*}}{(1^+)^{u_{j+1}^*}_{\substack{v_{j+1}'\\v_{j+1}'\\v_{j+1}'\\v_{j+1}'}} \cdots (1^+)^{i_{v_k}^*}_{\substack{v_k'\\v_k'\\v_k''}}.
$$

If $\chi \stackrel{*}{\underset{\Theta}{\to}} \chi'$ then $u_l = u'_l$, $v_l = v'_l$, and $(u_l, v_l) \in \overline{P}$ for all $i \leq l < j$ and $u'_l = u''_l$ and $v'_l = v''_l$ for all $j \leq l$.

Proof. The lemma is proved by induction on the number of the rewrite steps. \Box

Next we state some properties on Θ_2 -rules.

Lemma 5.8 Let
$$
\chi = (22^*)_{\substack{u \ v' \\ v'}}^{\stackrel{d}{u}} \stackrel{*}{\underset{v''}{\to}} (0^*2)_{\substack{v \ v''}}^{\stackrel{d}{u}} = \chi' \cdot \text{ Then } u'' \sim u' \sim v' \sim v''.
$$

Proof. We can prove, by induction on n, the claim that $\chi \frac{n}{\Theta}$ (0^{*}2) $d'\overline{u_1}\overline{v_1'}$
 u'_1
 v'_1 (2∗) $\frac{d''}{u_2}$
 $\frac{u_2}{u_2'}$ implies $u'_1 \sim v'_1$. Hence the lemma follows from Proposition [5.4.](#page-9-0) \Box

Lemma 5.9 If $w = \Xi_0 (0^+)^{hd}_{\substack{u \ u' \\ v'}} \Psi_0 \overset{*}{\underset{C_P}{\to}} \Xi_0 \underline{2}$ $\sum_{\substack{x_1 \\ x_2 \\ x_3}}^{h} \chi \Psi_2 = w'$ for some $\chi \in (\Sigma_c)^*$, then $u' \sim v'.$

Proof. We prove the lemma by induction on the number of rewrite steps in the reduction sequence. In the case in which the first step is a reduction by α'_1 -rules, we have $w \underset{\alpha'_1}{\rightarrow} \Xi_0 \chi' \Psi_1 \underset{\alpha_1}{\rightarrow} \Xi_0 \chi'' \Psi_1 \underset{\alpha'_1}{\rightarrow} \Xi_0 (0^+)^{u}_{u''} \Psi_0 \underset{\alpha'}{\rightarrow} w'.$ The claim follows since $u' \sim u''$ and $v' \sim v''$ by Proposition [5.4](#page-9-0) and $u'' \sim v''$ by the induction hypothesis. hd

Consider the case in which the first step is a reduction by α_2 -rules. We have $w \underset{\alpha_2}{\rightarrow} \Xi_0(0^*2)$ $\int_{\substack{u \ u' \ v'}}^{\text{ad}} \Psi_2 \stackrel{*}{\to} w'.$ If $(\alpha_2)^{-1}$ -rules are applied in the sequence then we can show the claim in a similar way to the case in which the first step is a reduction by α'_1 -rules. Hence assume that $(\alpha_2)^{-1}$ -rules are not applied. Then, $w' = \Xi_0(22^*)_{\substack{v \ v''}}^{\overline{u}} \Psi_2$ v' -by Lemma [5.6.](#page-9-0) Thus $u' \sim v'$ follows from Proposition [5.5](#page-9-0) and Lemma 5.8.

Consider the case in which the first step is a reduction by δ_2 -rules. We have $w \rightarrow \delta_2$ $\Xi_0(0^+)$ $\int_{\substack{u \ u \ w'}}^{h d} \Psi_0 \stackrel{*}{\to} w'.$ The claim follows since $u' \sim u''$ and $v' \sim v''$ from Proposition [5.4](#page-9-0) and $u'' \sim v''$ from the induction hypothesis. \Box

Lemma 5.10 If w = Ξ0(0+) hi∗ u1 v1 u- 1 v-···(0+) $(\Sigma_c)^*$, then $u_1 \cdots u_k \sim u'_1 \cdots u'_k$, $v_1 \cdots v_k \sim v'_1 \cdots v'_k$ and $(u_i, v_i) \in \overline{P}$ for every i. h^{i} ^{*}
 u^{k}_{k} $u^{k}_{k'}$ v^{l}_{k} $\Psi_0 \stackrel{*}{\underset{C_P}{\rightarrow}} \Xi_0 \underline{1}$ $\begin{array}{rcl} \frac{x_1}{x_1} \chi \Psi_1 \ = \ w' \ \textit{for some} \ \chi \ \in \frac{x_2}{x_2} \end{array}$

Proof. We prove the lemma by induction on the number of rewrite steps in the reduction sequence. We only consider the case in which the first step is a reduction by α'_1 -rules and $(\alpha'_1)^{-1}$ -rules are not applied in the sequence since the other cases that the first step is a reduction by α_2 -rules, or the first step is a reduction by α'_1 -rules and $(\alpha'_1)^{-1}$ -rules are applied in the sequence are proved in a similar way to Lemma [5.9](#page-10-0) by using Proposition [5.4](#page-9-0) and the induction hypothesis.

We have ^w [→]α-1 w [∗] →CP w , u^k = u ^k and v^k = v ^k, where w = Ξ0(0+) hi[∗] ··· hi[∗] ^u¹ ··· ^uk−¹ ^v¹ ··· ^vk−¹ u-¹ ··· ^uk−1 (11∗) hi∗ ukvk uk v-Ψ1. Hence w = Ξ0(11∗) hi∗ u1 v1 u--1 v--(1+) hi[∗] ··· hi[∗] ^u² ··· ^u^k ^v² ··· ^v^k u--² ··· ^u-k v-Ψ¹ by Lemma [5.6.](#page-9-0)

 $v_1' \cdots v_{k-1}'$ v_k'
By applying Lemma [5.7](#page-10-0) with $i = 0$ and $j = k$ we obtain $u_l = u_l''$ and $v_l = v_l''$ for all $1 \leq l < k$ and $u''_k = u'_k$ and $v''_k = v'_k$. Hence we have $\vec{u} = \vec{u''}$ and $\vec{v} = \vec{v''}$. Since $\vec{u'} \sim \vec{u''}$ and $\vec{v'} \sim \vec{v''}$ by Proposition [5.4,](#page-9-0) $\vec{u} \sim \vec{u'}$ and $\vec{v} \sim \vec{v'}$ follow. \Box

Lemma 5.11 Let P be an instance of PCP. If $w = \Xi_0 \frac{\int_{x_1}^{h} x_2}{x_1}$ $\chi\Psi_1 \stackrel{*}{\underset{C_P}{\leftrightarrow}} \Xi_0 \underline{2}$ h_{x_1}
 x_2
 x_3
 x_3 $\chi'\Psi_2=w'$ for some $\chi, \chi' \in (\Sigma_c)^*$, then P has a solution.

Proof.

Let $w \stackrel{*}{\leftrightarrow} w'$. Then a string in forms of $\Xi_0 \chi'' \Psi_0$ must appear in this reduction and no underlined state appears in χ'' from the construction of rules. Thus χ'' must be in forms of $\Xi_0(0^+)$ $\frac{u_1}{v_1} \cdots (0^+)$ in w does not move to the next symbol of Ψ_i by Lemma [5.6](#page-9-0) and the construction $\sum_{\substack{u_k \ u_k}}^{u_k} \Psi_0$; otherwise the underlined state <u>1</u> displayed of rules. By Lemma [5.9](#page-10-0) and Lemma [5.10,](#page-10-0) we have $\vec{u} \sim \vec{v}$ and $(u_i, v_i) \in \overline{P}$, which means *P* has a solution means P has a solution.

We need more lemmas to guarantee the confluence of \mathcal{C}_P when P has no solution. **Lemma 5.12** Let w_1 and w_2 be normal strings over Σ^* . Then,

(a) $w_1 \stackrel{*}{\leftrightarrow} w_2$ implies $w_1 \downarrow_{\mathcal{C}_P} w_2$, and (b) $w_1 \overset{*}{\leftrightarrow} w_2$ implies $w_1 \downarrow_{\mathcal{C}_P} w_2$.

Proof. Before proving (a), we show the claim (*) that $w_1 \nleftrightarrow w_2 \nleftrightarrow w_3 \nrightarrow w_4$ implies $w_1 \stackrel{*}{\rightarrow} w_4$ by induction on the number of rewrite steps in $w_2 \stackrel{*}{\rightarrow} w_3$. Here w_2 must begin with $\Xi_0 \underbrace{2^{x_1}_{x_2}}_{x_3}$ since it has a redex of γ_2 . Hence we can represent that

$$
w_1 = \Xi_2(2^+)^{\frac{bd}{v}}_{\substack{u'\\v'}} S', w_2 = \Xi_0(2^+)^{\frac{bd}{v}}_{\substack{u'\\v'}} S', w_3 = \Xi_0(2^+)^{\frac{bd}{v}}_{\substack{v''\\v''\\d'}} S'' \text{ and } w_4 = \Xi_2(2^+)^{\frac{bd}{v}}_{\substack{u''\\v''\\v''}} S'' \text{ for }
$$

$$
S' \subset \mathbb{R}^* \text{ where } n \neq 2 \text{ for the left most symbol } \mathbb{R}^{\frac{nd}{n^2}} \text{ of } S' \text{ and } S''
$$

 $S', S'' \in \Sigma^*$, where $n \neq 2$ for the left-most symbol $n_{\substack{x_2\\x_3\\x_4}}^{x_1}$ of S' and S'' .

In the case where $S' = S'' = \Psi_2$, we have $w_1 \stackrel{*}{\underset{\epsilon_2 \cup \epsilon_2^{-1}}{\rightarrow}} w_4$ since $u' \sim u''$ and $v' \sim v''$ by Proposition [5.4.](#page-9-0) In the other cases, we can separate the reduction, from the construction of rules, into $S' \stackrel{*}{\underset{\Theta}{\to}} S''$ and $w'_1 = \Xi_2(2^+)$ $\begin{array}{c}\n\frac{u}{v} \\
\frac{v}{\gamma_2} \\
\frac{u'}{\gamma_2}\n\end{array} \in \Xi_0(22^*)$ v' v' $\begin{array}{ccc} hd & * \\ v & \rightarrow \\ u' & \Theta \end{array}$ $\Xi_0(22^*)$ $\begin{array}{l}\n\frac{u}{v} \\
\frac{u}{v'}\n\end{array}\n\rightarrow \Xi_2(2^+)$ $\begin{array}{c} h\,d\\ u\\ v\\ w^{\prime\prime} \end{array}$ $= w'_4$. For the latter sequence, we have $w'_1 \stackrel{*}{\underset{\epsilon_2 \cup \epsilon_2^{-1}}{\to}}$ w'_4 since $u' \sim u''$ and $v' \sim v''$ by Proposition [5.4.](#page-9-0) Therefore $w_1 \stackrel{*}{\rightarrow} w_4$.

Now we prove (a) by induction on the number k of reduction steps by γ_2 -rules in $w_1 \underset{\mathcal{C}_P \setminus \gamma'_1}{\overset{*}{\leftrightarrow}} w_2.$ 1

- $(k = 0)$: We have $w_1 \stackrel{*}{\underset{\Theta}{\to}} w_2$ by Proposition [5.5.](#page-9-0)
- $(k = 1)$: The reduction sequence can be represented as $w_1 \stackrel{*}{\leftrightarrow} w_3 \stackrel{*}{\leftrightarrow} w_4 \stackrel{*}{\leftrightarrow} w_2$. Then $w_1 \stackrel{*}{\rightarrow} w_3$ and $w_4 \stackrel{*}{\leftarrow} w_2$ follow from Proposition [5.5.](#page-9-0)
- $(k > 1)$: The reduction sequence can be represented as $w_1 \stackrel{*}{\leftrightarrow} w_3 \leftrightarrow w_4 \stackrel{*}{\leftrightarrow} w_2$. If $w_3 \rightarrow w_4$ then $w_1 \downarrow_{\mathcal{C}_P} w_2$ follows from Proposition [5.5](#page-9-0) and the induction hypothesis. Otherwise $w_1 \stackrel{*}{\leftrightarrow} w_3 \stackrel{*}{\leftarrow} w_4 \stackrel{*}{\leftrightarrow} w'_4 \stackrel{*}{\rightarrow} w'_2 \stackrel{*}{\leftrightarrow} v'_2$ w_2 . Since we have $w_1 \stackrel{*}{\leftrightarrow} w_3 \stackrel{*}{\leftrightarrow} w_2' \stackrel{*}{\leftrightarrow} w_2$ by claim (*) above, $w_1 \downarrow_{\mathcal{C}_P} w_2$ follows from the induction hypothesis and Proposition [5.5](#page-9-0)

The lemma (b) can be shown in a similar way to (a) by using the following claim (**). We show the claim (**) in which $w_1 \underset{\gamma'_1}{\leftarrow}$ $w_2 \stackrel{*}{\rightarrow} w_3 \rightarrow$
 $\frac{\rightarrow}{\gamma'_1}$ w_4 implies $w_1 \stackrel{*}{\rightarrow} w_4$ by induction on the number of rewrite steps in $w_2 \stackrel{*}{\rightarrow} w_3$. Here w_2 must begin with $\Xi_0(\underline{1})_{\substack{x_1\\x_2}}^{x_1}$ since it has a redex of γ'_1 . Hence we can write $w_1 = \Xi_1(1^+)^{\frac{u}{v}}_{\frac{u'}{v'}}$ h $\frac{1}{2}$ hd $S',$ $w_2 = \Xi_0 (\underline{1} 1^*)^{\frac{hd}{u}}_{\frac{u'}{v'}}$ $S', w_3 = \Xi_0(\underline{1}1^*)_{\substack{u \atop w'' }{u''}}^{\substack{hd \atop hd'' }{u''}}$ S'' and $w_4 = \Xi_1(1^+)^{u}_{u''}$ S'' for $S', S'' \in \Sigma^*$, where $n \neq 1$ for the left-most symbol $n_{x_3}^{x_1}$ of S' and S''. d

In the case where $S' = S'' = \Psi_1$, we have $u' = u''$ and $v' = v''$ by applying Lemma [5.7](#page-10-0) with $i = j = 1$. Thus $w_1 = w_4$ follows. In the other cases, we can separate the reduction, from the construction of rules, into $S' \stackrel{*}{\to} S''$ and $w'_1 =$

 $\Xi_1(1^+)$ hd u v u- v- ←γ- 1 $\Xi_0(\underline{1}1^*)$ $\begin{bmatrix} u \\ v \\ u' \\ v' \end{bmatrix}$ $\stackrel{*}{\rightarrow} \Xi_0(\underline{1}1^*)$ $\begin{array}{l}hd\ u\ v\ u^{\prime\prime}\ \gamma^{\prime}_{1} \end{array}$ $\Xi_1(1^+)$ $\begin{bmatrix} h \ d \ u \ v \ u^{\prime \prime} \ v^{\prime \prime} \end{bmatrix}$ $= w_4'$. For the latter sequence, we have $w'_1 = w'_4$ since $u' \sim u''$ and $v' \sim v''$ by Lemma [5.7.](#page-10-0) Therefore $w_1 \stackrel{*}{\rightarrow} w_4$. \Box

Proof for Lemma [4.3](#page-6-0)

Since the \Rightarrow -direction is easy from the observation of Example [4.2,](#page-6-0) we show the \Leftarrow direction. Assuming that P has no solution, we show that \mathcal{C}_P is confluent. *i*. From Lemma [5.2,](#page-7-0) considering $w_1 \xleftarrow{\ast} w_0 \xrightarrow{\ast} w_2$ for a normal string w_0 is enough.

- Consider the case in which w_0 starts with Ξ_0 and ends with Ψ_i for some $i \in$ ${0, 1, 2}$. Assume that both γ'_1 and γ_2 are applied in the reduction sequence. Then P must have a solution by Lemma 5.11 , which is a contradiction. Hence at least one of γ'_1 or γ_2 rules cannot be applied in the reduction sequence.
- In either of following cases:
	- $\cdot w_0$ ends with Ψ_i for some $i \in \{0, 1, 2\}$, and all other symbols are of Σ_c ,
	- $\cdot w_0$ starts with Ξ_1 or Ξ_2 , and
	- $\cdot w_0$ starts with Ξ_0 and all other symbols are of Σ_c .

It is easy to see that at least one of γ'_1 or γ_2 rules cannot be applied in the reduction sequence.

In any of the above cases, we have $w_1 \downarrow_{R_P} w_2$ by Lemma [5.12.](#page-11-0) \Box

6 Length-two SRSs

Length-two SRSs are SRSs that consist of rules with length two, that is, $|l| = |r| = 2$ for every rule $l \to r$. In this section we give a transformation of a length-preserving SRS over Σ_0 into a length-two SRS over Δ that preserves the confluence property and termination property.

Let $\Sigma = \Sigma_0 \cup \{\cdot\}$ and $m + 1(\geq 3)$ be the maximum length of rules in R. Let $\Delta_0 = (\Sigma_0)^m$ and $\Delta = \Delta_0 \cup \{ wv \mid w \in (\Sigma_0)^k, v = -^{m-k}, 1 \leq k \leq m-1 \}.$

The natural mapping $\phi : \Delta \to \Sigma^m$ is defined as $\phi(w) = w$. This mapping is naturally extended to $\phi : \Delta^* \to \Sigma^*$.

Example 6.1 Let $\Sigma_0 = \{a, b\}$ and $m = 2$. Then $\Delta_0 = \{aa, ab, ba, bb\}$, $\Delta =$ $\Delta_0 \cup \{a-, b\}$ and $\phi(ab \; bb \; a-) = abbba-$.

We give a transformation of a length-preserving $SRS \mathcal{R}$ into a length-two SRS $tw(\mathcal{R})$ over Δ .

$$
tw(\mathcal{R}) = \{w_1w_2 \rightarrow w_3w_4 \mid w_i \in \Delta, \phi(w_1w_2) \rightarrow \phi(w_3w_4)\}.
$$

Example 6.2 Let $\mathcal{R} = \{bbb \rightarrow aaa\}$ over $\Sigma_0 = \{a, b\}$. Then tw(\mathcal{R}) is the following length-two SRS over Δ , where Δ is displayed in Example 6.1.

$$
tw(\mathcal{R}) = \begin{cases} bb \ b- \rightarrow aa \ a-, & bb \ ba \rightarrow aa \ aa, & bb \ bb \rightarrow aa \ ab, \\ ab \ bb \rightarrow aa \ aa, & bb \ bb \rightarrow ba \ aa \end{cases}
$$

We say a string $w_1 \cdots w_n$ over Δ^* is normal if $w_1, \ldots, w_{n-1} \in \Delta_0$. From the construction of $tw(\mathcal{R})$, all reachable strings from a normal string are also normal.

We define a mapping $\psi : \Delta^* \to (\Sigma_0)^*$ as $\psi(\alpha) = w$ where w is a string obtained from $\phi(\alpha)$ by removing all -'s. We define a mapping $\psi^{-1} : (\Sigma_0)^* \to \Delta^*$ as $\psi^{-1}(w) = \alpha$ where $\psi(\alpha) = w$ and α is normal. For example $\psi(ab \; bb \; a₋) = abbba$ and $\psi^{-1}(ab bba) = ab bb a$. Trivially we have $\psi^{-1}(\psi(\alpha)) = \alpha$ for normal $\alpha \in \Delta^*$ and $\psi(\psi^{-1}(w)) = w$ for $w \in (\Sigma_0)^*$.

Proposition 6.3 (a) For a normal
$$
\alpha_1 \in \Delta^*
$$
, if $\alpha_1 \xrightarrow{d} \alpha_2$ then $\psi(\alpha_1) \xrightarrow{\rightarrow} \psi(\alpha_2)$.
\n(b) For $w_1 \in (\Sigma_0)^*$, if $w_1 \xrightarrow{\rightarrow} w_2$ then $\psi^{-1}(w_1) \xrightarrow{d} \psi^{-1}(w_2)$.

Proof. *i*. From the construction of $tw(R)$.

Lemma 6.4 For an SRS R, the SRS $tw(R)$ is confluent (resp. terminating, rightmost terminating, left-most terminating) if and only if α is confluent (resp. terminating, right-most terminating, left-most terminating) for every normal $\alpha \in \Delta^*$.

Proof. We can prove the lemma in a similar way to the proof of Lemma [5.2.](#page-7-0) Here $\Delta\Delta_0$ symbols play the same role as Ψ_i symbols. Actually, every occurrence of the symbols in $\Delta\setminus\Delta_0$ in rules are right-most positions in both sides. Moreover, for every rule, a symbol in $\Delta \Delta_0$ appears in the left-hand side if and only if it appears in the right-hand side. Hence we can separate any reduction sequence having a symbol in $\Delta\setminus\Delta_0$ into several reduction sequences by cutting each string at the right of its occurrence without any effect for the properties. occurrence without any effect for the properties.

Lemma 6.5 Let \mathcal{R} be an length-preserving SRS. \mathcal{R} is terminating (resp. left-most terminating, right-most terminating) if and only if $tw(R)$ is terminating (resp. leftmost terminating, right-most terminating).

Proof. (\Rightarrow): Let $tw(R)$ be non-terminating. By Lemma 6.4 we have an infinite reduction sequence for $tw(R)$ starting from a normal string. This direction follows from Proposition $6.3(a)$.

 (\Leftarrow) : Let R be non-terminating. Then we have an infinite reduction sequence. By Proposition 6.3(b) we have an infinite reduction sequence for $tw(\mathcal{R})$.

This proof also works on either left-most cases or right-most cases. \Box

Lemma 6.6 Let $\mathcal R$ be a length-preserving SRS. $\mathcal R$ is confluent if and only if $tw(\mathcal R)$ is confluent.

Proof. (\Rightarrow): Let $\beta_1 \stackrel{*}{\leftarrow} w(\mathcal{R}) \alpha \stackrel{*}{\rightarrow} \beta_2$. We can assume that α is normal by Lemma 6.4. We have $\psi(\beta_1) \stackrel{*}{\underset{\mathcal{R}}{\leftarrow}} \psi(\alpha) \stackrel{*}{\underset{\mathcal{R}}{\rightarrow}} \psi(\beta_2)$ by Proposition 6.3(a). Since \mathcal{R} is confluent, there exists a string $w \in \Sigma_0^*$ such that $\psi(\beta_1) \stackrel{*}{\underset{\mathcal{R}}{\rightarrow}} w \stackrel{*}{\underset{\mathcal{R}}{\rightarrow}} \psi(\beta_2)$. Therefore we have $\beta_1 = \psi^{-1}(\psi(\beta_1)) \stackrel{*}{\longrightarrow} \psi^{-1}(w) \stackrel{*}{\longleftarrow} \psi^{-1}(\psi(\beta_2)) = \beta_2$ by Proposition 6.3(b). (←): Let $u_1 \stackrel{*}{\underset{\mathcal{R}}{\rightleftharpoons}} w \stackrel{*}{\underset{\mathcal{R}}{\rightleftharpoons}} u_2$. We have $\psi^{-1}(u_1) \stackrel{*}{\underset{tw(\mathcal{R})}{\rightleftharpoons}} \psi^{-1}(w) \stackrel{*}{\underset{tw(\mathcal{R})}{\rightleftharpoons}} \psi^{-1}(u_2)$ by Propo-

sition [6.3\(](#page-14-0)b). Since $tw(\mathcal{R})$ is confluent, there exists a string $\alpha \in \Delta^*$ such that $\psi^{-1}(u_1) \stackrel{*}{\to} \alpha \stackrel{*}{\to} u(\mathcal{R}) \psi^{-1}(u_2)$. Since α is normal, we have $u_1 = \psi(\psi^{-1}(u_1)) \stackrel{*}{\to} u(\mathcal{R})$ $\psi(\alpha) \stackrel{*}{\leftarrow} \psi(\psi^{-1}(u_2)) = u_2$ by Proposition [6.3\(](#page-14-0)a).

Theorem 6.7 Confluence (termination, left-most termination, right-most termination) is an undecidable property for length-two SRSs.

Proof. Directly obtained from Theorem [4.4](#page-6-0) and Lemma [6.6](#page-14-0) (Lemma [6.5\)](#page-14-0). \Box

7 Conclusions

In this paper, we showed that confluence, termination, left-most termination, and right-most termination are undecidable properties for length-two SRSs which are length-preserving SRSs consisting of length-two rules. Thus all these properties are also undecidable properties for linear TRSs in which depth-two variables are allowed in both sides of the rules. We still have remaining questions concerning (un)decidability results for classes of SRSs obtained by limiting the number of rules.

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