

Decidability of Innermost Termination and Context-Sensitive Termination for Semi-Constructor Term Rewriting Systems

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Abstract

Yi and Sakai [13] showed that the termination problem is a decidable property for the class of semi-constructor term rewriting systems, which is a superclass of the class of right-ground term rewriting systems. Decidability was shown by the fact that every non-terminating TRS in the class has a loop. In this paper we modify the proof of [13] to show that both innermost termination and μ -termination are decidable properties for the class of semi-constructor TRSs.

Keywords: Context-Sensitive Termination, Dependency Pair, Innermost Termination

1 Introduction

Termination is one of the central properties of term rewriting systems (TRSs for short), where we say a TRS terminates if it does not admit any infinite reduction sequence. Since termination is undecidable in general, several decidable classes have been studied [6,8,9,12,13]. The class of semi-constructor TRSs is one of them [13], where a TRS is in this class if for every right-hand side of rules all its subterms having a defined symbol at root position are ground.

Innermost reduction, the strategy which rewrites innermost redexes, is used for call-by-value computation. Context-sensitive reduction is a strategy in which rewritable positions are indicated by specifying arguments of function symbols. Some non-terminating TRSs are terminating by context-sensitive reduction without loss of computational ability. The termination property with respect to innermost

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(resp. context-sensitive) reduction is called innermost (resp. context-sensitive) termination. Since innermost termination and context-sensitive termination are also undecidable in general, methods for proving these terminations have been studied [2,4].

In this paper, we prove that innermost termination and context-sensitive termination for semi-constructor TRSs are decidable properties. We show that context-sensitive termination for μ -semi-constructor TRSs having no infinite variable dependency chain is a decidable property. We also extend the classes by using dependency graphs.

2 Preliminaries

We assume the reader is familiar with the standard definitions of term rewriting systems [5], dependency pairs [4], and context-sensitive rewriting [2]. Here we just review the main notations used in this paper.

A *signature* \mathcal{F} is a set of function symbols, where every $f \in \mathcal{F}$ is associated with a non-negative integer by an arity function: $\text{arity}: \mathcal{F} \rightarrow \mathbb{N}$. The set of all *terms* built from a signature \mathcal{F} and a countably infinite set \mathcal{V} of *variables* such that $\mathcal{F} \cap \mathcal{V} = \emptyset$, is represented by $\mathcal{T}(\mathcal{F}, \mathcal{V})$. The set of *ground terms* is $\mathcal{T}(\mathcal{F}, \emptyset)$. The set of variables occurring in a term t is denoted by $\text{Var}(t)$.

The set of all *positions* in a term t is denoted by $\text{Pos}(t)$ and ε represents the root position. $\text{Pos}(t)$ is: $\text{Pos}(t) = \{\varepsilon\}$ if $t \in \mathcal{V}$, and $\text{Pos}(t) = \{\varepsilon\} \cup \{iu \mid 1 \leq i \leq n, u \in \text{Pos}(t_i)\}$ if $t = f(t_1, \dots, t_n)$. Let C be a *context* with a hole \square . We write $C[t]_p$ for the term obtained from C by replacing \square at position p with a term t . We sometimes write $C[t]$ for $C[t]_p$ by omitting the position p . We say t is a *subterm* of s if $s = C[t]$ for some context C . We denote the *subterm relation* by \preceq , that is, $t \preceq s$ if t is a subterm of s , and $t \triangleleft s$ if $t \preceq s$ and $t \neq s$. The *root symbol* of a term t is denoted by $\text{root}(t)$.

A *substitution* θ is a mapping from \mathcal{V} to $\mathcal{T}(\mathcal{F}, \mathcal{V})$ such that the set $\text{Dom}(\theta) = \{x \in \mathcal{V} \mid \theta(x) \neq x\}$ is finite. We usually identify a substitution θ with the set $\{x \mapsto \theta(x) \mid x \in \text{Dom}(\theta)\}$ of variable bindings. In the following, we write $t\theta$ instead of $\theta(t)$.

A *rewrite rule* $l \rightarrow r$ is a directed equation which satisfies $l \notin \mathcal{V}$ and $\text{Var}(r) \subseteq \text{Var}(l)$. A *term rewriting system* TRS is a finite set of rewrite rules. A *redex* is a term $l\theta$ for a rule $l \rightarrow r$ and a substitution θ . A term containing no redex is called a *normal form*. A substitution θ is *normal* if $x\theta$ is in normal forms for every x . The *reduction relation* $\xrightarrow{R} \subseteq \mathcal{T}(\mathcal{F}, \mathcal{V}) \times \mathcal{T}(\mathcal{F}, \mathcal{V})$ associated with a TRS R is defined as follows: $s \xrightarrow{R} t$ if there exist a rewrite rule $l \rightarrow r \in R$, a substitution θ , and a context $C[\]_p$ such that $s = C[l\theta]_p$ and $t = C[r\theta]_p$, we say that s is reduced to t by contracting redex $l\theta$. We sometimes write $\xrightarrow{p}{R}$ for \xrightarrow{R} by displaying the position p .

A redex is *innermost* if all its proper subterms are in normal forms. If s is reduced to t by contracting an innermost redex, then $s \rightarrow_R t$ is said to be an *innermost reduction* denoted by $s \xrightarrow{\text{in}, R} t$.

Proposition 2.1 For a TRS R , if there is a reduction $s \xrightarrow[in,R]{} t$, then $C[s] \xrightarrow[in,R]{} C[t]$ for any context C .

A mapping $\mu : \mathcal{F} \rightarrow \mathcal{P}(\mathbb{N})$ is a *replacement map* (or \mathcal{F} -map) if $\mu(f) \subseteq \{1, \dots, \text{arity}(f)\}$. The set of μ -replacing positions $\mathcal{Pos}_\mu(t)$ of a term t is: $\mathcal{Pos}_\mu(t) = \{\varepsilon\}$, if $t \in \mathcal{V}$ and $\mathcal{Pos}_\mu(t) = \{\varepsilon\} \cup \{iu \mid i \in \mu(f), u \in \mathcal{Pos}_\mu(t_i)\}$, if $t = f(t_1, \dots, t_n)$. A context $C[\]_p$ is μ -replacing denoted by $C_\mu[\]_p$ if $p \in \mathcal{Pos}_\mu(C)$. The set of all μ -replacing variables of t is $\text{Var}_\mu(t) = \{x \in \text{Var}(t) \mid \exists C, C_\mu[x]_p = t\}$. The μ -replacing subterm relation \leq_μ is given by $s \leq_\mu t$ if there is $p \in \mathcal{Pos}_\mu(t)$ such that $t = C[s]_p$. A *context-sensitive rewriting system* is a TRS with an \mathcal{F} -map. If $s \xrightarrow{p} t$ and $p \in \mathcal{Pos}_\mu(s)$, then $s \xrightarrow[\mu,R]{} t$ is said to be a μ -reduction denoted by $s \xrightarrow[\mu,R]{} t$.

Let \rightarrow be a binary relation on terms, the transitive closure of \rightarrow is denoted by \rightarrow^+ . The transitive and reflexive closure of \rightarrow is denoted by \rightarrow^* . If $s \rightarrow^* t$, then we say that there is a \rightarrow -sequence starting from s to t or t is \rightarrow -reachable from s . We write $s \rightarrow^k t$ if t is \rightarrow -reachable from s with k steps. A term t *terminates* with respect to \rightarrow if there exists no infinite \rightarrow -sequence starting from t .

Example 2.2 Let $R_1 = \{g(x) \rightarrow h(x), h(d) \rightarrow g(c), c \rightarrow d\}$ and $\mu_1(g) = \mu_1(h) = \emptyset$. A μ_1 -reduction sequence starting from $g(d)$ is $g(d) \xrightarrow[\mu_1,R_1]{} h(d) \xrightarrow[\mu_1,R_1]{} g(c)$. We can not reduce $g(c)$ to $g(d)$ because c is not a μ_1 -replacing subterm of $g(c)$.

Proposition 2.3 For a TRS R and \mathcal{F} -map μ , if there is a reduction $s \xrightarrow[\mu,R]{} t$, then $C_\mu[s] \xrightarrow[\mu,R]{} C_\mu[t]$ for any μ -replacing context C_μ .

For a TRS R (and \mathcal{F} -map μ), we say that R terminates (resp. innermost terminates, μ -terminates) if every term terminates with respect to \rightarrow_R (resp. $\xrightarrow[in,R]{} , \xrightarrow[\mu,R]{}$).

For a TRS R , a function symbol $f \in \mathcal{F}$ is *defined* if $f = \text{root}(l)$ for some rule $l \rightarrow r \in R$. The set of all defined symbols of R is denoted by $D_R = \{\text{root}(l) \mid l \rightarrow r \in R\}$. A term t has a *defined root symbol* if $\text{root}(t) \in D_R$.

Let R be a TRS over a signature \mathcal{F} . The signature \mathcal{F}^\sharp denotes the union of \mathcal{F} and $D_R^\sharp = \{f^\sharp \mid f \in D_R\}$ where $\mathcal{F} \cap D_R^\sharp = \emptyset$ and f^\sharp has the same arity as f . We call these fresh symbols *dependency pair symbols*. We define a notation t^\sharp by $t^\sharp = f^\sharp(t_1, \dots, t_n)$ if $t = f(t_1, \dots, t_n)$ and $f \in D_R$, $t^\sharp = t$ if $t \in \mathcal{V}$. If $l \rightarrow r \in R$ and u is a subterm of r with a defined root symbol and $u \not\leq l$, then the rewrite rule $l^\sharp \rightarrow u^\sharp$ is called a *dependency pair* of R . The set of all dependency pairs of R is denoted by $\text{DP}(R)$.

Example 2.4 Let $R_2 = \{a \rightarrow g(f(a)), f(f(x)) \rightarrow h(f(a), f(x))\}$. We have $\text{DP}(R_2) = \{a^\sharp \rightarrow a^\sharp, a^\sharp \rightarrow f^\sharp(a), f^\sharp(g(x)) \rightarrow a^\sharp, f^\sharp(g(x)) \rightarrow f^\sharp(a)\}$.

A rule $l \rightarrow r$ is said to be *right ground* if r is ground. Right-ground TRSs are TRSs that consist of right-ground rules.

Definition 2.5 [Semi-Constructor TRS] A TRS R is a *semi-constructor* system if every rule in $\text{DP}(R)$ is right ground.

Remark 2.6 The class of semi-constructor TRSs in this paper is a larger class of semi-constructor TRSs by the original definition because a rule $l^\sharp \rightarrow u^\sharp$ is not dependency pair if $u \triangleleft l$. The original definition of semi-constructor TRS is as follows [11]. A term $t \in \mathcal{T}(\mathcal{F}, \mathcal{V})$ is a *semi-constructor* term if every term s such that $s \triangleleft t$ and $\text{root}(s) \in D_R$ is ground. A TRS R is a semi-constructor system if r is a semi-constructor term for every rule $l \rightarrow r \in R$.

Example 2.7 The TRS R_2 (in Example 2.4) is a semi-constructor TRS but not in the original definition.

3 Decidability of Innermost Termination for Semi-Constructor TRSs

Decidability of termination for semi-constructor TRSs is proved based on the observation that there exists an infinite reduction sequence having a loop if it is not terminating [13]. In this section, we prove the decidability of innermost termination in a similar way.

Definition 3.1 [loop] Let \rightarrow be a relation on terms. A reduction sequence *loops* if it contains $t \rightarrow^+ C[t]$ for some context C , and *head-loops* if containing $t \rightarrow^+ t$.

Proposition 3.2 *If there exists an innermost sequence that loops, then there exists an infinite innermost sequence.*

Definition 3.3 [Innermost DP-chain] For a TRS R , a sequence of the elements of $\text{DP}(R)$ $s_1^\sharp \rightarrow t_1^\sharp, s_2^\sharp \rightarrow t_2^\sharp, \dots$ is an *innermost dependency chain* if there exist substitutions τ_1, τ_2, \dots such that $s_i^\sharp \tau_i$ is in normal forms and $t_i^\sharp \tau_i \xrightarrow[\text{in}, R]{*} s_{i+1}^\sharp \tau_{i+1}$ holds for every i .

Theorem 3.4 ([4]) *For a TRS R , R does not innermost terminate if and only if there exists an infinite innermost dependency chain.*

Let $\mathcal{M}_{\geq}^{\rightarrow}$ denote the set of all *minimal non-terminating terms* for a relation on terms \rightarrow and an order on terms \geq .

Definition 3.5 [\mathcal{C} -min] For a TRS R , let $\mathcal{C} \subseteq \text{DP}(R)$. An infinite reduction sequence in $R \cup \mathcal{C}$ in the form $t_1^\sharp \xrightarrow[\text{in}, R \cup \mathcal{C}]{} t_2^\sharp \xrightarrow[\text{in}, R \cup \mathcal{C}]{} t_3^\sharp \xrightarrow[\text{in}, R \cup \mathcal{C}]{} \dots$ with $t_i \in \mathcal{M}_{\geq}^{\text{in}, R}$ for all $i \geq 1$ is called a *\mathcal{C} -min innermost reduction sequence*. We use $\mathcal{C}_{\text{min}}^{\text{in}}(t^\sharp)$ to denote the set of all \mathcal{C} -min innermost reduction sequences starting from t^\sharp .

Proposition 3.6 ([4]) *Given a TRS R , the following statements hold:*

- (i) *If there exists an infinite innermost dependency chain, then $\mathcal{C}_{\text{min}}^{\text{in}}(t^\sharp) \neq \emptyset$ for some $\mathcal{C} \subseteq \text{DP}(R)$ and $t \in \mathcal{M}_{\geq}^{\text{in}, R}$.*
- (ii) *For any sequence in $\mathcal{C}_{\text{min}}^{\text{in}}(t^\sharp)$, reduction by rules of R takes place below the root while reduction by rules of \mathcal{C} takes place at the root.*

(iii) For any sequence in $\mathcal{C}_{min}^{in}(t^\sharp)$, there is at least one rule in \mathcal{C} which is applied infinitely often.

Lemma 3.7 ([4]) For two terms s and s' , $s^\sharp \xrightarrow{in, RUC}^* s'^\sharp$ implies $s \xrightarrow{in, R}^* C[s']$ for some context C .

Proof. We use induction on the number n of reduction steps in $s^\sharp \xrightarrow{in, RUC}^n s'^\sharp$. In the case that $n = 0$, $s \xrightarrow{in, R}^* C[s']$ holds where $C = \square$. Let $n \geq 1$. Then we have

$s^\sharp \xrightarrow{in, RUC}^{n-1} s''^\sharp \xrightarrow{in, RUC} s'^\sharp$ for some s''^\sharp . By the induction hypothesis, $s \xrightarrow{in, R}^* C[s'']$.

- Consider the case that $s''^\sharp \xrightarrow{in, R} s'^\sharp$. Since $s'' \xrightarrow{in, R} s'$, we have $C[s''] \xrightarrow{in, R} C[s']$ by Proposition 2.1. Hence $s \xrightarrow{in, R}^* C[s']$.

- Consider the case that $s''^\sharp \xrightarrow{in, C} s'^\sharp$. Since s'' is a normal form with respect to \rightarrow_R , we have $s'' \xrightarrow{in, R} C[s']$ by the definition of dependency pairs. $C[s''] \xrightarrow{in, R} C[C[s']]$, by Proposition 2.1. Hence $s \xrightarrow{in, R}^* C[C[s']]$. □

Lemma 3.8 For a semi-constructor TRS R , the following statements are equivalent:

- (i) R does not innermost terminate.
- (ii) There exists $l^\sharp \rightarrow u^\sharp \in DP(R)$ such that sq head-loops for some $\mathcal{C} \subseteq DP(R)$ and $sq \in \mathcal{C}_{min}^{in}(u^\sharp)$.

Proof. ((ii) \Rightarrow (i)) : It is obvious from Lemma 3.7, and Proposition 3.2. ((i) \Rightarrow (ii)) : By Theorem 3.4 there exists an infinite innermost dependency chain. By Proposition 3.6(i), there exists a sequence $sq \in \mathcal{C}_{min}^{in}(t^\sharp)$. By Proposition 3.6(ii),(iii), there exists some rule $l^\sharp \rightarrow u^\sharp \in \mathcal{C}$, which is applied at root position in sq infinitely often. By Definition 2.5, u^\sharp is ground. Thus sq contains a subsequence $u^\sharp \xrightarrow{in, RUDP(R)}^* \cdot \rightarrow \{l^\sharp \rightarrow u^\sharp\} u^\sharp$, which head-loops. □

Theorem 3.9 Innermost termination of semi-constructor TRSs is decidable.

Proof. The decision procedure for the innermost termination of a semi-constructor TRS R is as follows: consider all terms u_1, u_2, \dots, u_n corresponding to the right-hand sides of $DP(R) = \{l_i^\sharp \rightarrow u_i^\sharp \mid 1 \leq i \leq n\}$, and simultaneously generate all innermost reduction sequences with respect to R starting from u_1, u_2, \dots, u_n . The procedure halts if it enumerates all reachable terms exhaustively or it detects a looping reduction sequence $u_i \xrightarrow{in, R}^+ C[u_i]$ for some i .

Suppose R does not innermost-terminate. By Lemma 3.8 and 3.7, we have a looping reduction sequence $u_i \xrightarrow{in, R}^+ C[u_i]$ for some i and C , which we eventually detect. If R innermost terminates, then the execution of the reduction sequence generation eventually stops since the reduction relation is finitely branching. In the latter case, the procedure does not detect a looping sequence, otherwise it contradicts Proposition 3.2. Thus the procedure decides innermost termination of R in finitely many steps. □

4 Decidability of Context-Sensitive Termination for Semi-Constructor TRSs

The proof of decidability for innermost termination is straightforward. However, the proof for context-sensitive termination is not so straightforward because of the existence of a dependency pair whose right-hand side is variable.

Definition 4.1 [μ -Loop] Let \rightarrow be a relation on terms and μ be an \mathcal{F} -map. A *reduction sequence* μ -*loops* if it contains $t \rightarrow^+ C_\mu[t]$ for some context C_μ .

Example 4.2 Let $R_3 = \{a \rightarrow g(f(a)), f(g(x)) \rightarrow h(f(a), x)\}$, $\mu_2(f) = \{1\}$, $\mu_2(g) = \emptyset$ and $\mu_2(h) = \{1, 2\}$. The μ_2 -reduction sequence with respect to R_3 $f(a) \xrightarrow{\mu_2, R_3} f(g(f(a))) \xrightarrow{\mu_2, R_3} h(f(a), f(a)) \xrightarrow{\mu_2, R_3} \dots$ is μ_2 -looping.

Proposition 4.3 *If there exists a μ -looping μ -reduction sequence, then there exists an infinite μ -reduction sequence.*

Definition 4.4 [Context-Sensitive Dependency Pairs [2]] Let R be a TRS and μ be an \mathcal{F} -map. We define $\text{DP}(R, \mu) = \text{DP}_{\mathcal{F}}(R, \mu) \cup \text{DP}_{\mathcal{V}}(R, \mu)$ to be the set of *context-sensitive dependency pairs* where:

$$\begin{aligned} \text{DP}_{\mathcal{F}}(R, \mu) &= \{l^\sharp \rightarrow u^\sharp \mid l \rightarrow r \in R, u \trianglelefteq_\mu r, \text{root}(u) \in D_R, u \not\triangleleft_{\mu} l\} \\ \text{DP}_{\mathcal{V}}(R, \mu) &= \{l^\sharp \rightarrow x \mid l \rightarrow r \in R, x \in \text{Var}_\mu(r) \setminus \text{Var}_\mu(l)\} \end{aligned}$$

Example 4.5 Consider TRS R_3 and \mathcal{F} -map μ_2 (in Example 4.2). $\text{DP}_{\mathcal{F}}(R_3, \mu_2) = \{f^\sharp(g(x)) \rightarrow f^\sharp(a)\}$ and $\text{DP}_{\mathcal{V}}(R_3, \mu_2) = \{f^\sharp(g(x)) \rightarrow x\}$.

For a given TRS R and an \mathcal{F} -map μ , we define μ^\sharp by $\mu^\sharp(f) = \mu(f)$ for $f \in \mathcal{F}$, and $\mu^\sharp(f^\sharp) = \mu(f)$ for $f \in D_R$. We write $s \geq_\mu^\sharp t^\sharp$ for $s \geq_\mu t$.

Definition 4.6 [Context-Sensitive Dependency Chain] For a TRS R and \mathcal{F} -map μ , a sequence of the elements of $\text{DP}(R, \mu)$ $s_1^\sharp \rightarrow t_1^\sharp, s_2^\sharp \rightarrow t_2^\sharp, \dots$ is a *context-sensitive dependency chain* if there exist substitutions τ_1, τ_2, \dots satisfying both:

- $t_i^\sharp \tau_i \xrightarrow{\mu^\sharp, R}^* s_{i+1}^\sharp \tau_{i+1}$, if $t_i^\sharp \notin \mathcal{V}$
- $x \tau_i \geq_\mu^\sharp u_i^\sharp \xrightarrow{\mu^\sharp, R}^* s_{i+1}^\sharp \tau_{i+1}$ for some term u_i , if $t_i^\sharp = x$.

Example 4.7 Consider TRS R_3 and \mathcal{F} -map μ_2 (in Example 4.2). $f(a), f(g(f(a))) \in \mathcal{M}_{\geq_\mu}^{\mu_2, R_3}$ and $f(f(a)), h(f(a), f(a)) \notin \mathcal{M}_{\geq_\mu}^{\mu_2, R_3}$.

Theorem 4.8 ([2]) *For a TRS R and an \mathcal{F} -map μ , there exists an infinite context-sensitive dependency chain if and only if R does not μ -terminate.*

Let R be a TRS, μ be an \mathcal{F} -map and $\mathcal{C} \subseteq \text{DP}(R, \mu)$. We define $\xrightarrow{\mu, R, \mathcal{C}}$ as $(\xrightarrow{\mu^\sharp, \mathcal{C}_{\mathcal{F}}} \cup (\xrightarrow{\mu^\sharp, \mathcal{C}_{\mathcal{V}}} \cdot \geq_\mu^\sharp) \cup \xrightarrow{\mu^\sharp, R})$ where $\mathcal{C}_{\mathcal{F}} = \mathcal{C} \cap \text{DP}_{\mathcal{F}}(R, \mu)$ and $\mathcal{C}_{\mathcal{V}} = \mathcal{C} \cap \text{DP}_{\mathcal{V}}(R, \mu)$.

Definition 4.9 [μ - \mathcal{C} -min] Let R be a TRS, μ be an \mathcal{F} -map. An infinite sequence of terms in the form $t_1^\sharp \xrightarrow{\mu, R, \mathcal{C}} t_2^\sharp \xrightarrow{\mu, R, \mathcal{C}} t_3^\sharp \xrightarrow{\mu, R, \mathcal{C}} \dots$ is called a \mathcal{C} -*min μ -sequence* if

$t_i \in \mathcal{M}_{\geq \mu}^{\overrightarrow{\mu, R}}$ for all $i \geq 1$. We use $\mathcal{C}_{min}^\mu(t^\sharp)$ to denote the set of all \mathcal{C} -min μ -sequences starting from t^\sharp .

Note that $\mathcal{C}_{min}^\mu(t^\sharp) = \emptyset$ if $t \notin \mathcal{M}_{\geq \mu}^{\overrightarrow{\mu, R}}$.

Example 4.10 Let $\mathcal{C} = \text{DP}(R_3, \mu_2)$, the sequence $f^\sharp(a) \xrightarrow{\mu_2, R_3, \mathcal{C}} f^\sharp(g(f(a))) \xrightarrow{\mu_2, R_3, \mathcal{C}} f^\sharp(a) \xrightarrow{\mu_2, R_3, \mathcal{C}} \dots$ is a \mathcal{C} -min μ -sequence.

Proposition 4.11 ([2]) *Given a TRS R and an \mathcal{F} -map μ , the following statements hold:*

- (i) *If there exists an infinite context-sensitive dependency chain, then $\mathcal{C}_{min}^\mu(t^\sharp) \neq \emptyset$ for some $\mathcal{C} \subseteq \text{DP}(R, \mu)$ and $t \in \mathcal{M}_{\geq \mu}^{\overrightarrow{\mu, R}}$.*
- (ii) *For any sequence in $\mathcal{C}_{min}^\mu(t^\sharp)$, a reduction with $\xrightarrow{\mu^\sharp, R}$ takes place below the root while reductions with $\xrightarrow{\mu^\sharp, \mathcal{C}_\mathcal{F}}$ and $\xrightarrow{\mu^\sharp, \mathcal{C}_\mathcal{V}}$ take place at the root.*
- (iii) *For any sequence in $\mathcal{C}_{min}^\mu(t^\sharp)$, there is at least one rule in \mathcal{C} which is applied infinitely often.*

Lemma 4.12 *For two terms s and t , $s^\sharp \xrightarrow{\mu, R, \mathcal{C}}^* t^\sharp$ implies $s \xrightarrow{\mu, R}^* C_\mu[t]$ for some context C_μ .*

Proof. We use induction on the length n of the sequence. In the case that $n = 0$, it holds trivially. Let $n \geq 1$. Then we have $s^\sharp \xrightarrow{\mu, R, \mathcal{C}}^* u^\sharp \xrightarrow{\mu, R, \mathcal{C}} t^\sharp$ for some u .

- In the case that $u^\sharp \xrightarrow{\mu^\sharp, \mathcal{C}_\mathcal{F}} t^\sharp$, we have $u \xrightarrow{\mu, R} C'_\mu[t]$ by the definition of dependency pairs.
- In the case that $u^\sharp \xrightarrow{\mu^\sharp, \mathcal{C}_\mathcal{V}} v \xrightarrow{\mu^\sharp, R} t^\sharp$, we have $u \xrightarrow{\mu, R} C''_\mu[v]$ by the definition of dependency pairs and $v = C'''_\mu[t]$. Thus $u \xrightarrow{\mu, R} C''_\mu[C'''_\mu[t]] = C'_\mu[t]$.
- In the case that $u^\sharp \xrightarrow{\mu^\sharp, R} t^\sharp$, we have $u \xrightarrow{\mu, R} C'_\mu[t]$ for $C'_\mu[\] = \square$.

Therefore $s \xrightarrow{\mu, R}^* C_\mu[u] \xrightarrow{\mu, R} C_\mu[C'_\mu[t]]$ by the induction hypothesis and Proposition 2.3. □

4.1 Context-Sensitive Semi-Constructor TRS

In this subsection, we discuss the decidability of μ -termination for context-sensitive semi-constructor TRSs.

Definition 4.13 [Context-Sensitive Semi-Constructor TRS] For an \mathcal{F} -map μ , a TRS R is a *context-sensitive semi-constructor* (μ -*semi-constructor*) TRS if all rules in $\text{DP}_\mathcal{F}(R, \mu)$ are right ground.

For an \mathcal{F} -map μ , the class of μ -semi-constructor TRSs is a superclass of the class of semi-constructor TRSs from Definition 2.5 and 4.13.

For a TRS R and \mathcal{F} -map μ , we say R is free from the infinite variable dependency chain (FFIVDC) if and only if there exists no infinite context-sensitive dependency

chain consisting of only elements in $\text{DP}_{\mathcal{V}}(R, \mu)$. If R is FFIVDC, then $\mathcal{C}_{\min}^{\mu}(t^{\sharp}) = \emptyset$ for any $\mathcal{C} \subseteq \text{DP}_{\mathcal{V}}(R, \mu)$ and any term t .

Lemma 4.14 *Let μ be an \mathcal{F} -map. If a μ -semi-constructor TRS R is FFIVDC, then the following statements are equivalent:*

- (i) R does not μ -terminate.
- (ii) There exists $l^{\sharp} \rightarrow u^{\sharp} \in \text{DP}_{\mathcal{F}}(R, \mu)$ such that sq head-loops for $\mathcal{C} \subseteq \text{DP}(R, \mu)$ and some $sq \in \mathcal{C}_{\min}^{\mu}(u^{\sharp})$.

Proof. ((ii) \Rightarrow (i)) : It is obvious from Lemma 4.12, and Proposition 4.3. ((i) \Rightarrow (ii)) : By Theorem 4.8 there exists an infinite context-sensitive dependency chain. By Proposition 4.11(i), there exists a sequence $sq \in \mathcal{C}_{\min}^{\mu}(t^{\sharp})$. By Proposition 4.11(ii),(iii) and the fact that R is FFIVDC, there is some rule in $l^{\sharp} \rightarrow u^{\sharp} \in \mathcal{C}_{\mathcal{F}}$ which is applied at the root position in sq infinitely often.

By Definition 4.13, u^{\sharp} is ground. Thus sq contains a subsequence $u^{\sharp} \xrightarrow[\mu, R, \mathcal{C}]{}^+ u^{\sharp}$, which head-loops and is in $\mathcal{C}_{\min}^{\mu}(u^{\sharp})$. \square

Theorem 4.15 *Let μ be an \mathcal{F} -map. If a μ -semi-constructor TRS R is FFIVDC, then μ -termination of R is decidable.*

Proof. The decision procedure for μ -termination of a μ -semi-constructor TRS R is as follows: consider all terms u_1, u_2, \dots, u_n corresponding to the right-hand sides of $\text{DP}_{\mathcal{F}}(R, \mu) = \{l_i^{\sharp} \rightarrow u_i^{\sharp} \mid 1 \leq i \leq n\}$, and simultaneously generate all μ -reduction sequences with respect to R starting from u_1, u_2, \dots, u_n . The procedure halts if it enumerates all reachable terms exhaustively or it detects a μ -looping reduction sequence $u_i \xrightarrow[\mu, R]{}^+ C_{\mu}[u_i]$ for some i .

Suppose R does not μ -terminate. By Lemma 4.14 and 4.12, we have a μ -looping reduction sequence $u_i \xrightarrow[\mu, R]{}^+ C_{\mu}[u_i]$ for some i and C_{μ} , which we eventually detect. If R μ -terminates, then the execution of the reduction sequence generation eventually stops since the reduction relation is finitely branching. In the latter case, the procedure does not detect a μ -looping sequence, otherwise it contradicts to Proposition 4.3. Thus the procedure decides μ -termination of R in finitely many steps. \square

We have to check the FFIVDC property in order to use Theorem 4.15. However, The FFIVDC property is not necessarily decidable. The following proposition provides a sufficient condition. The set $\text{DP}_{\mathcal{V}}^1(R, \mu)$ is a subset of $\text{DP}_{\mathcal{V}}(R, \mu)$ defined as follows:

$$\text{DP}_{\mathcal{V}}^1(R, \mu) = \{f^{\sharp}(u_1, \dots, u_k) \rightarrow x \in \text{DP}_{\mathcal{V}}(R, \mu) \mid \exists i, 1 \leq i \leq k, i \notin \mu(f), x \in \text{Var}(u_i)\}$$

Proposition 4.16 ([2]) *Let R be a TRS, μ be an \mathcal{F} -map and $\mathcal{C} \subseteq \text{DP}_{\mathcal{V}}^1(R, \mu)$. $\mathcal{C}_{\min}^{\mu}(t^{\sharp}) = \emptyset$ for any term t .*

If $\text{DP}_{\mathcal{V}}^1(R, \mu) = \text{DP}_{\mathcal{V}}(R, \mu)$ then R is FFIVDC by Proposition 4.16. Hence the following corollary directly follows from Theorem 4.15 and the fact that $\text{DP}_{\mathcal{V}}^1(R, \mu) = \text{DP}_{\mathcal{V}}(R, \mu)$ is decidable.

Corollary 4.17 For an \mathcal{F} -map μ and a μ -semi-constructor TRS R , μ -termination of R is decidable if $DP_{\mathcal{V}}(R, \mu) = DP_{\mathcal{V}}^1(R, \mu)$.

4.2 Semi-Constructor TRS

In this subsection, we try to remove FFIVDC condition from the results of the previous subsection. As a result, it appears that μ -termination of semi-constructor TRSs (not μ -semi-constructor) is decidable. The arguments of following Lemma 4.18 and 4.19 are similar to those of Lemma 3.5 and Proposition 3.6 in [3].

Lemma 4.18 Consider a reduction $s^\sharp = C_{\mu^\sharp}[l\theta]_p \xrightarrow{\mu^\sharp, R} t^\sharp = C_{\mu^\sharp}[r\theta]_p = C'[u]_q$ where $s, u \in \mathcal{M}_{\geq \mu}^{\mu, R}$ and $q \in \mathcal{Pos}(t) \setminus \mathcal{Pos}_\mu(t)$. Then one of the following statements holds

- (i) $s \triangleright u$
- (ii) $v\theta = u$ and $r = C''[v]_{q'}$ for some $\theta, v \notin \mathcal{V}, C''$, and $q' \in \mathcal{Pos}(r) \setminus \mathcal{Pos}_\mu(r)$

Proof. Since $q \in \mathcal{Pos}(t) \setminus \mathcal{Pos}_\mu(t)$, p is not below or equal to q . In the case that p and q are in parallel positions, $s \triangleright u$ trivially holds. In the case that p is above q , it is obvious that $s \triangleright u$ holds or, $v\theta = u$ and $r = C''[v]_{q'}$ for some $\theta, v \notin \mathcal{V}, C''$. Here the fact that $q' \in \mathcal{Pos}(r) \setminus \mathcal{Pos}_\mu(r)$ follows from $p \in \mathcal{Pos}_\mu(t)$ and $q \notin \mathcal{Pos}_\mu(t)$. \square

Lemma 4.19 Let R be a semi-constructor TRS, μ be an \mathcal{F} -map. For a \mathcal{C} -min μ -sequence $s_1^\sharp \xrightarrow{\mu^\sharp, R}^* t_1^\sharp \xrightarrow{\mu^\sharp, \mathcal{C}_{\mathcal{V}}} u_1 \triangleright_\mu^\sharp s_2^\sharp \xrightarrow{\mu^\sharp, R}^* t_2^\sharp \xrightarrow{\mu^\sharp, \mathcal{C}_{\mathcal{V}}} u_2 \triangleright_\mu^\sharp \dots$ with no reduction by rules in $\mathcal{C}_{\mathcal{F}}$, one of the following statements holds for each i :

- (i) $s_i \triangleright s_{i+1}$
- (ii) There exists $l^\sharp \rightarrow s_{i+1}^\sharp \in DP(R)$ for some l

Proof. Since $t_i^\sharp \xrightarrow{\mu^\sharp, \mathcal{C}_{\mathcal{V}}} u_i \triangleright_\mu^\sharp s_{i+1}^\sharp$, we have $t_i^\sharp = C[s_{i+1}]_q$ for some $q \in \mathcal{Pos}(t_i) \setminus \mathcal{Pos}_\mu(t_i)$. We show (i) or the following (ii') by induction on the number n of steps of $s_i^\sharp \xrightarrow{\mu^\sharp, R}^n t_i^\sharp = C[s_{i+1}]$.

(ii') There exists a reduction by $l \rightarrow r$ in $s_i^\sharp \xrightarrow{\mu^\sharp, R}^* t_i^\sharp$ and $l^\sharp \rightarrow s_{i+1}^\sharp \in DP(R)$

- In the case that $n = 0$, trivially $s_i = t_i \triangleright s_{i+1}$.
- In the case that $n > 0$, let $s_i^\sharp \xrightarrow{\mu^\sharp, R} s'^\sharp \xrightarrow{\mu^\sharp, R}^{n-1} t_i^\sharp = C[s_{i+1}]_q$. By the induction hypothesis, $s' \triangleright s_{i+1}$ or the condition (ii') follows. In the former case, we have $s_i \triangleright s_{i+1}$, or, we have $v\theta = s_{i+1}$ and $r = C'[v]_{q'}$ for some $l \rightarrow r \in R, \theta, v \notin \mathcal{V}, C'$ and $q' \in \mathcal{Pos}(r) \setminus \mathcal{Pos}_\mu(r)$ by Lemma 4.18. Hence $v\theta = v$ due to $\text{root}(s_{i+1}) \in D_R$ and Definition 2.5. Therefore (ii') follows. \square

One may think that the Lemma 4.19 would hold even if $DP(R)$ were replaced with $DP(R, \mu)$. However, it does not hold as shown by the following counter example.

Example 4.20 Consider the semi-constructor TRS $R_4 = \{f(g(x)) \rightarrow x, g(b) \rightarrow g(f(g(b)))\}$, $\mu_3(f) = \{1\}$ and $\mu_3(g) = \emptyset$. There exists a \mathcal{C} -min μ_3 -sequence

$f^\sharp(g(b)) \xrightarrow{\mu_3^\sharp, R_4} f^\sharp(g(f(g(b)))) \xrightarrow{\mu_3^\sharp, C_V} f(g(b)) \triangleright_{\mu_3^\sharp} f^\sharp(g(b))$ where $C_V = \text{DP}_V(R_4, \mu_3)$. However there exists no dependency pair having $f^\sharp(g(b))$ in the right-hand side in $\text{DP}(R, \mu)$.

Lemma 4.21 *For a semi-constructor TRS R and an \mathcal{F} -map μ , the following statements are equivalent:*

- (i) R does not μ -terminate.
- (ii) There exists $l^\sharp \rightarrow u^\sharp \in \text{DP}(R)$ such that sq head-loops for $\mathcal{C} \subseteq \text{DP}(R, \mu)$ and some $sq \in C_{min}^\mu(u^\sharp)$.

Proof. ((ii) \Rightarrow (i)) : It is obvious from Lemma 4.12, and Proposition 4.3. ((i) \Rightarrow (ii)) : By Theorem 4.8 there exists a context-sensitive dependency chain. By Proposition 4.11(i), there exists a sequence $sq \in C_{min}^\mu(t^\sharp)$. By Proposition 4.11(ii),(iii), there exists a rule in \mathcal{C} applied at root position in sq infinitely often.

- Consider the case that there exists a rule $l^\sharp \rightarrow r^\sharp \in \mathcal{C}_{\mathcal{F}}$ with infinite use in sq . Since u is ground by Proposition 4.11(ii) and $\mathcal{C}_{\mathcal{F}} \subseteq \text{DP}(R)$, sq has a subsequence $u^\sharp \xrightarrow{\mu, R, \mathcal{C}}^+ u^\sharp$.

- Otherwise, sq has an infinite subsequence without the use of the rules in $\mathcal{C}_{\mathcal{F}}$. The subsequence is in $C_{min}^\mu(s^\sharp)$ for some s^\sharp . Then the condition (ii) of Lemma 4.19 holds for infinitely many i 's; otherwise, we have an infinite sequence $s_k \triangleright s_{k+1} \triangleright \dots$ for some k , which is a contradiction. Hence there exists a $l^\sharp \rightarrow u^\sharp \in \text{DP}(R)$ such that u^\sharp occurs more than once in sq . Thus the sequence $u^\sharp \xrightarrow{\mu, R, \mathcal{C}}^+ u^\sharp$ appears in sq . \square

Theorem 4.22 *The property μ -termination of semi-constructor TRSs is decidable.*

Proof. The decision procedure for μ -termination of a semi-constructor TRS R is as follows: consider all terms u_1, u_2, \dots, u_n corresponding to the right-hand sides of $\text{DP}(R) = \{l_i^\sharp \rightarrow u_i^\sharp \mid 1 \leq i \leq n\}$, and simultaneously generate all μ -reduction sequences with respect to R starting from u_1, u_2, \dots, u_n . The procedure halts if it enumerates all reachable terms exhaustively or it detects a μ -looping reduction sequence $u_i \xrightarrow{\mu, R}^+ C_\mu[u_i]$ for some i .

Suppose R does not μ -terminate. By Lemma 4.21 and 4.12, we have a μ -looping reduction sequence $u_i \xrightarrow{\mu, R}^+ C_\mu[u_i]$ for some i and C_μ , which we eventually detect. If R μ -terminates, then the execution of the reduction sequence generation eventually stops since the reduction relation is finitely branching. In the latter case, the procedure does not detect a μ -looping sequence, otherwise it contradicts to Proposition 4.3. Thus the procedure decides μ -termination of R in finitely many steps. \square

5 Extending the Classes by DP-graphs

5.1 Innermost Termination

In this subsection, we extend the class for which innermost termination is decidable by using the dependency graph.

Lemma 5.1 *Let R be a TRS whose innermost termination is equivalent to the non-existence of an innermost dependency chain that contains infinite use of right-ground dependency pairs. Then innermost termination of R is decidable.*

Proof. We apply the procedure used in the proof of Lemma 3.9 starting with terms u_1, u_2, \dots, u_n , where u_i^\sharp 's are all ground right-hand sides of dependency pairs. Suppose R is innermost non-terminating, then we have an innermost dependency chain with infinite use of a right-ground dependency pair. Similarly to the semi-constructor case, we have a looping sequence $u_i \xrightarrow{in,R}^+ C[u_i]$, which can be detected by the procedure. \square

Definition 5.2 [Innermost DP-Graph [4]] The *innermost dependency graph* (innermost DP-graph for short) of a TRS R is a directed graph whose nodes are the dependency pairs and there is an arc from $s^\sharp \rightarrow t^\sharp$ to $u^\sharp \rightarrow v^\sharp$ if there exist normal substitutions σ and τ such that $t^\sharp\sigma \xrightarrow{in,R}^* u^\sharp\tau$ and $u^\sharp\tau$ is a normal form with respect to R .

An approximated innermost DP-graph is a graph that contains the innermost DP-graph as a subgraph. Such computable graphs are proposed in [4], for example.

Theorem 5.3 *Let R be a TRS and G be an approximated innermost DP-graph of R . If at least one node in the cycle is right-ground for every cycle of G , then innermost termination of R is decidable.*

Proof. From Lemma 5.1. \square

Example 5.4 Let $R_5 = \{f(s(x)) \rightarrow g(x), g(s(x)) \rightarrow f(s(0))\}$. Then $DP(R_5) = \{f^\sharp(s(x)) \rightarrow g^\sharp(x), g^\sharp(s(x)) \rightarrow f^\sharp(s(0))\}$. The innermost DP-graph of R_5 has one cycle, which contains a right-ground node [Fig. 1]. The innermost termination of R_5 is decidable by Theorem 5.3. Actually we know R_5 is innermost terminating from the procedure in the proof of Theorem 3.9 since all innermost reduction sequences from $f(s(0))$ terminate.

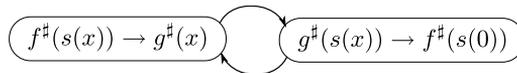
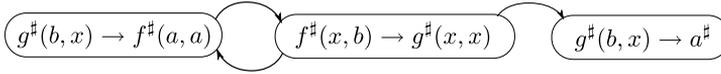


Fig. 1. The innermost DP-graph of R_5

Example 5.5 Let $R_6 = \{a \rightarrow b, f(a, x) \rightarrow x, f(x, b) \rightarrow g(x, x), g(b, x) \rightarrow h(f(a, a), x)\}$. Then $DP(R_6) = \{f^\sharp(a, x) \rightarrow g^\sharp(x, x), g^\sharp(b, x) \rightarrow f^\sharp(a, a), g^\sharp(b, x) \rightarrow a^\sharp\}$. The innermost DP-graph of R_6 has one cycle, which contains a right-ground node [Fig. 2]. The innermost termination of R_6 is decidable by Theorem 5.3. Actually we know R_6 is not innermost terminating from the procedure in the proof of Theorem 3.9 by detecting the looping sequence $f(a, a) \xrightarrow{in,R_6} f(b, b) \xrightarrow{in,R_6} g(b, b) \xrightarrow{in,R_6} h(f(a, a), b)$.

Fig. 2. The innermost DP-Graph of R_6

5.2 Context-Sensitive Termination

We extend the class for which μ -termination is decidable by using the dependency graph. The class extended in this subsection is the class that satisfies the condition of Corollary 4.17.

Lemma 5.6 *Let R be a TRS and μ be an \mathcal{F} -map. If μ -termination of R is equivalent to the non-existence of a context-sensitive dependency chain that contains infinite use of right-ground rules in $\text{DP}_{\mathcal{F}}(R, \mu)$, then μ -termination of R is decidable.*

Proof. We apply the procedure used in the proof of Lemma 4.22 starting with terms u_1, u_2, \dots, u_n , where u_i^\sharp 's are all ground right-hand sides of rules in $\text{DP}_{\mathcal{F}}(R, \mu)$. Suppose R is non- μ -terminating, then we have a context-sensitive dependency chain with infinite use of right-ground rules in $\text{DP}_{\mathcal{F}}(R, \mu)$. Similar to the μ -semi-constructor case, we have a looping sequence $u_i \xrightarrow{\mu, R}^+ C_\mu[u_i]$, which can be detected by the procedure. \square

Definition 5.7 [Context-Sensitive DP-Graph [2]] The *context-sensitive dependency graph* (context-sensitive DP-graph for short) of a TRS R and an \mathcal{F} -map μ is a directed graph whose nodes are elements of $\text{DP}(R, \mu)$:

- (i) There is an arc from $s \rightarrow t \in \text{DP}_{\mathcal{F}}(R, \mu)$ to $u \rightarrow v \in \text{DP}(R, \mu)$ if there exist substitutions σ and τ such that $t\sigma \xrightarrow{\mu^\sharp, R}^* u\tau$.
- (ii) There is an arc from $s \rightarrow t \in \text{DP}_{\mathcal{V}}(R, \mu)$ to each dependency pair $u \rightarrow v \in \text{DP}(R, \mu)$.

Similar to the innermost case, a computable approximated context-sensitive DP-graph is proposed [2,3].

Theorem 5.8 *Let R be a TRS, μ be an \mathcal{F} -map and G be an approximated context-sensitive DP-graph of R . The property μ -termination of R is decidable if one of following holds for every cycle in G .*

- (i) *The cycle contains at least one node that is right-ground.*
- (ii) *All nodes in the cycle are elements in $\text{DP}_{\mathcal{V}}^1(R, \mu)$.*

Proof. From Lemma 5.6 and Theorem 4.16. \square

Example 5.9 Let $R_7 = \{h(x) \rightarrow g(x, x), g(a, x) \rightarrow f(b, x), f(x, x) \rightarrow h(a), a \rightarrow b\}$ and $\mu_4(f) = \mu_4(g) = \mu_4(h) = \{1\}$ [10]. Then $\text{DP}(R_7, \mu_4) = \{h^\sharp(x) \rightarrow g^\sharp(x, x), g^\sharp(a, x) \rightarrow f^\sharp(b, x), f^\sharp(x, x) \rightarrow h^\sharp(a), f^\sharp(x, x) \rightarrow a^\sharp\}$. The context-sensitive DP-graph of R_7 and μ_4 has one cycle, which contains a right-ground node [Fig.3]. The μ_4 -termination of R_7 is decidable by Theorem 5.8. Actually we know

R_7 is μ_4 -terminating from the procedure in the proof of Theorem 4.15 since all μ_4 -reduction sequences from $h(a)$ terminate.

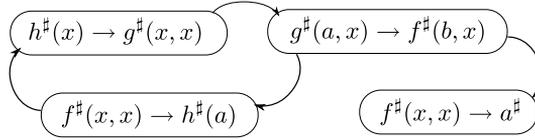


Fig. 3. The context-sensitive DP-Graph of R_7 and μ_4

Example 5.10 Let $\mu_5(g) = \{2\}$ and $\mu_5(f) = \mu_5(h) = \{1\}$. Consider the μ_5 -termination of R_7 . The context-sensitive DP-graph for R_7 and μ_5 is the same as the one for R_7 and μ_4 [Fig.3]. The μ_5 -termination of R_7 is decidable by Theorem 5.8. By the decision procedure, we can detect the μ_5 -looping sequence $h(a) \xrightarrow{\mu_5, R_7} g(a, a) \xrightarrow{\mu_5, R_7} g(a, b) \xrightarrow{\mu_5, R_7} f(b, b) \xrightarrow{\mu_5, R_7} h(a)$. Thus R_7 is non- μ_5 -terminating.

The class of TRSs that satisfy the conditions of Theorem 5.8 is a superclass of the class of TRS that satisfy the conditions of Corollary 4.17. The class of semi-constructor TRSs and the class of TRSs that satisfy the conditions of Theorem 5.8 are not included in each other.

Example 5.11 The TRS R_7 with an \mathcal{F} -map μ_4 satisfies the condition of Theorem 5.8, but is not semi-constructor TRS. On the other hand, the TRS R_3 with an \mathcal{F} -map μ_2 is a semi-constructor TRS, but does not satisfy the second condition of Theorem 5.8.

6 Conclusion

We have shown that innermost termination for semi-constructor TRSs is a decidable property and μ -termination for semi-constructor TRSs and μ -semi-constructor TRSs are decidable properties.

It is not difficult to implement the procedures in proofs of Theorem 3.9, Theorem 4.15 and Theorem 4.22. The class of semi-constructor TRSs are a rather small class: approximately 3 % of the TRSs in the termination problem data base 4.0 [1] are in this class. We can extend the decidable classes if we succeed in developing a method for good approximated DP-graphs.

In the future we will study the decidability of innermost termination and μ -termination by applying known techniques for termination results [7,13]. Currently, innermost termination for shallow TRSs is known to be decidable [7]. There are several future works, studying whether the condition FFIVDC is removed from Theorem 4.15 or not, and extending the class of semi-constructor TRSs by using notions of context-sensitive DP-graph.

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