

Direct evidence of abortive lytic infection-mediated establishment of Epstein-Barr virus latency during B-cell infection

Tomoki Inagaki¹, Yoshitaka Sato^{1*}, Jumpei Ito², Mitsuaki Takaki³, Yusuka Okuno¹, Masahiro Yaguchi¹, H. M. Abdullah Al Masud^{1, 4}, Takahiro Watanabe¹, Kei Sato², Shingo Iwami³, Takayuki Murata⁵, Hiroshi Kimura^{1*}

¹Nagoya University, Japan, ²The University of Tokyo, Japan, ³Kyushu University, Japan, ⁴University of Chittagong, Bangladesh, ⁵Fujita Health University, Japan

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The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest

Author contribution statement

YS, TM, and HK lead the entire project, TI, YS, JI, MT, YO, MY, HM and TW performed the research, YS, JI, MT, YO, SI, and KS analyzed the data, and TI, YS, JI, MT, SI, KS, and HK wrote the paper. All authors reviewed the manuscript for its content.

Keywords

ebv, pre-latent phase, abortive lytic infection, fate mapping, neo virology

Abstract

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Viral infection induces dynamic changes in transcriptional profiles. Virus-induced and anti-viral responses are intertwined during the infection. Epstein-Barr virus (EBV) is a human gammaherpesvirus that provides a model of herpesvirus latency. To measure the transcriptome changes during the establishment of EBV latency, we infected EBV-negative Akata cells with EBV-EGFP and performed by transcriptome sequencing (RNA-seq) at 0, 2, 4, 7, 10, and 14 days after infection. We found transient downregulation of mitotic division-related genes, reflecting reprogramming of cell growth by EBV, and a burst of viral lytic gene expression in the early phase of infection. Experimental and mathematical investigations demonstrated that infectious virions were not produced in the pre-latent phase, suggesting the presence of an abortive lytic infection. Fate mapping using recombinant EBV provided direct evidence that the abortive lytic infection in the pre-latent phase converges to latent infection during EBV infection of B-cells, shedding light on novel roles of viral lytic gene(s) in establishing latency. Furthermore, we found that the BZLF1 protein which is a key regulator of reactivation was dispensable for abortive lytic infection in the pre-latent phase, suggesting the divergent regulation of viral gene expressions from a productive lytic infection.

Contribution to the field

Viral infection is a complex process that activates both virus-triggered and host anti-viral responses. This process has classically been studied by snapshot analysis such as microarray and RNA-seq at discrete time points as population averages. Snapshot data lead to invaluable findings in host-pathogen interactions. However, these “snapshot” omics, even from a single cell, lack temporal resolution. Because the behavior of infected cells is highly dynamic and heterogenous, continuous analysis is required for deciphering the fate of infected cells during viral infection. Here, we exploited fate mapping techniques with recombinant Epstein-Barr virus (EBV) to track the infected cells and recorded a log of lytic gene expression during EBV infection. Our continuous observation of infected cells revealed that EBV established latency in B-cells via an abortive lytic infection in the pre-latent phase.

Ethics statements

Studies involving animal subjects

Generated Statement: No animal studies are presented in this manuscript.

Studies involving human subjects

Generated Statement: No human studies are presented in this manuscript.

Inclusion of identifiable human data

Generated Statement: No potentially identifiable human images or data is presented in this study.

Data availability statement

Generated Statement: The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below:

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5 Tomoki Inagaki^{1,¶}, Yoshitaka Sato^{1,2,¶,*}, Jumpei Ito^{3,&}, Mitsuaki Takaki^{4,&,\$},
6 Yusuke Okuno⁵, Masahiro Yaguchi¹, H. M. Abdullah Al Masud^{1,6}, Takahiro
7 Watanabe¹, Kei Sato³, Shingo Iwami^{4,7,8}, Takayuki Murata^{1,9} and Hiroshi
8 Kimura^{1,*}

9
10 ¹Department of Virology, Nagoya University Graduate School of Medicine,
11 Nagoya, Japan

12 ²PRESTO, Japan Science and Technology Agency, Kawaguchi, Japan

13 ³Division of Systems Virology, Department of Infectious Disease Control,
14 International Research Center for Infectious Diseases, Institute of Medical
15 Science, the University of Tokyo, Tokyo, Japan

16 ⁴Mathematical Biology Laboratory, Department of
17 Biology, Faculty of Sciences, Kyushu University, Fukuoka, Japan

18 ⁵Medical Genomics Center, Nagoya University Hospital, Nagoya, Japan

19 ⁶Department of Microbiology, Faculty of Biological Sciences, University of
20 Chittagong, Chattogram, Bangladesh

21 ⁷CREST, Japan Science and Technology Agency, Kawaguchi, Japan

22 ⁸MIRAI, Japan Science and Technology Agency, Kawaguchi, Japan

23 ⁹Department of Virology and Parasitology, Fujita Health University School of
24 Medicine, Toyoake, Japan

25
26 [¶]These authors contributed equally to this work.

27 [&]These authors also contributed equally to this work.

28 ^{\$}Present address: Department of Computational Biology and Medical Sciences,
29 Graduate School of Frontier Sciences, the University of Tokyo, Tokyo, Japan

30 ^{*}Co-corresponding authors

31 E-mail: yssato@med.nagoya-u.ac.jp (YS); hkimura@med.nagoya-u.ac.jp (HK)

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35 virology

36 **Abstract**

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38 induced and anti-viral responses are intertwined during the infection. Epstein-
39 Barr virus (EBV) is a human gammaherpesvirus that provides a model of
40 herpesvirus latency. To measure the transcriptome changes during the
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43 and 14 days after infection. We found transient downregulation of mitotic
44 division-related genes, reflecting reprogramming of cell growth by EBV, and a
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47 produced in the pre-latent phase, suggesting the presence of an abortive lytic
48 infection. Fate mapping using recombinant EBV provided direct evidence that
49 the abortive lytic infection in the pre-latent phase converges to latent infection
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51 gene(s) in establishing latency. Furthermore, we found that the BZLF1 protein
52 which is a key regulator of reactivation was dispensable for abortive lytic
53 infection in the pre-latent phase, suggesting the divergent regulation of viral
54 gene expressions from a productive lytic infection.

55

56 **Introduction**

57 Numerous signaling events are triggered during the first few days of viral
58 infection. Virus entry into the target cells results in the activation of cellular
59 signaling pathways (Hiscott et al., 2001). Concomitantly, viral pathogens are
60 recognized by host sensor molecules, leading to activation of immune
61 responses (Malmgaard, 2004;Perry et al., 2005). Viruses rewire and modulate
62 this interspecies interaction to meet their own needs, and consequently
63 establish latency in their host cells.

64 Epstein-Barr virus (EBV), a gammaherpesvirus, is a widely dispersed
65 enveloped virus that infects >90% of adults worldwide. It is associated with
66 several types of human malignancies, with an incidence of 200,000 EBV-related
67 cancers estimated annually (Cohen et al., 2011). Although most primary EBV
68 infections are asymptomatic, EBV infection can cause infectious
69 mononucleosis, especially when primary infection is delayed until late
70 adolescence or early adulthood (Cohen, 2000). EBV establishes latent infection
71 primarily in B-cells and typically persists for the life of the individuals (Babcock
72 et al., 1998;Young and Rickinson, 2004), although the virus can demonstrate
73 both latent and lytic cycles in lymphocytes after primary infection. Thus, EBV
74 provides a model system for studying how viruses, and particularly
75 herpesviruses, establish latency in the cells.

76 In the latent state, the EBV genome is maintained as circular plasmids
77 forming nucleosomal structures with histones, which expresses a limited
78 number of viral gene products (Adams, 1987).Therefore, no production of virus
79 particles occurs during latent infection. Periodically, latent EBV switches from
80 the latent stage into the lytic cycle to produce progeny viruses within its host
81 cell. During the lytic infection, all EBV genes are expressed in a strictly
82 regulated temporal cascade, and the circular genomes are amplified by the viral
83 replication machinery, generating infectious virions (Tsurumi et al., 2005;Sato
84 and Tsurumi, 2010).

85 Accumulating evidence shows the abortive lytic cycle, as a third state of
86 EBV infection occurring in the pre-latent phase of EBV primary infection (Wen et
87 al., 2007;Kalla et al., 2010;Jochum et al., 2012a;Jochum et al., 2012b;Sato et
88 al., 2017;Wang et al., 2019) and within EBV-associated tumors (Hong et al.,
89 2005;Hong et al., 2009;Murata et al., 2019;Okuno et al., 2019). In this state, the
90 full lytic program is not induced due to an incomplete expression cascade of
91 viral lytic genes; thus, infectious particles are not produced. Abortive lytic

92 replication and its associated viral gene expressions are implicated in the
93 pathogenesis of EBV-associated malignancies (Ma et al., 2011; Munz,
94 2019; Okuno et al., 2019). Transient lytic gene expressions in newly infected B-
95 cells is essential for the emergence of lymphoblastoid cell lines (Altmann and
96 Hammerschmidt, 2005).

97 mRNA expression profiling by RNA sequencing (RNA-seq) and quantitative
98 PCR (qPCR) analysis has provided important information on viral gene
99 expression throughout these states of EBV-infected cells. However, such
100 “snapshot” data lack temporal resolution, rendering them unsuitable to address
101 the fate of infected cells during EBV infection. Continuous analysis is crucial to
102 decoding this dynamic and heterogeneous process. In this study, we address the
103 fate of infected cells, which exhibit an abortive lytic infection, during EBV
104 infection and recorded lytic gene expression by fate mapping with recombinant
105 EBV. Our findings revealed that EBV is able to establish latency in B-cells via
106 abortive lytic infection in the pre-latent phase.

107

108 **Results**

109 **Expression profiles of EBV-infected cells during the pre-latent phase**

110 To elucidate the gene expression dynamics during the course of EBV
111 infection, we performed a time-course transcriptome analysis on EBV-infected
112 cells (Figure 1A). Here, we used Akata cells, the Burkitt lymphoma cell line,
113 instead of primary B-cells, because we focus on the infection-mediated
114 transcriptional changes during EBV infection without EBV-driven transformation
115 and further subsequent analysis using genetics. EBV-negative Akata(-) cells
116 were infected with EBV-EGFP, and infected cells were collected by FACS
117 sorting at 2 days post-infection (dpi) by EGFP-positivity. Subsequently,
118 transcriptome information of the infected cells was obtained at 2, 4, 7, 10, and
119 14 dpi by RNA-seq. Clustering analysis grouped genes into 10 clusters (Figure
120 1B), according to the temporal expression patterns across time points (Figure
121 1C). Gene ontology (GO) enrichment analysis was performed to interpret
122 respective gene clusters (Figure 1C).

123 Cluster 1 displayed an immediate decrease in gene expression, followed by
124 recovery. Likewise, cluster 10 expression levels immediately decreased and
125 then rapidly increased. These clusters preferentially included genes involving
126 cell division and energy- or bio-syntheses (i.e., mitochondrion and rRNA
127 processing-related genes), suggesting that cell growth was suppressed after

128 viral infection. This observation is consistent with previous findings that the
129 rapid growth of EBV-infected cells is coupled with an increase in biomass for
130 energy and the production of biosynthetic intermediates (McFadden et al.,
131 2016). The Hammerschmidt laboratory also showed that infected cells did not
132 divide within the first three days of infection but rapidly re-commenced growth at
133 4 dpi, during EBV infection to naïve human B-cells (Pich et al., 2019). Clusters 9
134 and 10 displayed gradual decreases in their gene expressions after 4 dpi,
135 corresponding to virus-mediated modulations of cellular processes such as
136 DNA replication, histone modification, and transcription. Thus, EBV
137 reprogrammed the transcriptome of infected cells during the initial stage of
138 infection even without immortalization, similar to EBV infection of naïve or
139 resting B-cells (Mrozek-Gorska et al., 2019;Pich et al., 2019;Wang et al., 2019).

140 In agreement with data from EBV infection of human primary resting B-cells
141 (Wang et al., 2019), clusters 5 and 7 displayed peak expression at 2 dpi
142 enriched with genes involved in immune responses.

143 Cluster 8 included genes involving in signaling pathways of cell surface
144 receptor and G-protein coupled receptor, and its pattern was similar to the viral
145 gene expression (described below). Furthermore, DAVID Bioinformatics
146 Resources (Huang da et al., 2009) identified that protein tyrosine phosphatase
147 non-receptor type 11 (PTPN11)-interactors were enriched among upregulated
148 proteins in EBV-infected Akata cells (Table 1). PTPN11 encodes the tyrosine
149 phosphatase SHP2, which acts downstream of receptor tyrosine kinases such
150 as EGFR and FGFR, to affect survival and proliferation through the activation of
151 the RAS/MAPK cascade (Chan and Feng, 2007). Indeed, Tang et al. have
152 reported that PTPN11 is up-regulated in EBV-transformed lymphoblasts (Tang
153 et al., 2019). Thus, PTPN11 may play a critical role of latency establishment in
154 the pre-latent phase of EBV infection, although a molecular mechanism of this
155 process remains obscure.

156

157 **Transient burst of viral lytic gene expression during the pre-latent phase** 158 **of EBV infection**

159 In parallel with cellular gene expression, viral genes are expressed in a
160 dynamic but regulated manner during *de novo* infection of B-cells. Two days
161 after infection, we detected almost all viral genes, including lytic genes, and
162 most of these genes were suppressed by 7 dpi (Figure 2A and B).

163 Consequently, the pattern of viral gene expression demonstrated latent

164 infection at 14 dpi (Figure 2C). The expression of representative lytic genes was
165 validated by qRT-PCR, and their transient burst also was confirmed (Figure
166 2D).

167 To ascertain whether this phenomenon is specific to Akata cells, we also
168 assessed lytic gene expression in primary B-cells with infected with EBV.
169 Primary B-cells were isolated and infected with EBV-EGFP, and viral gene
170 expression was assessed by qRT-PCR. As shown in Figure 3A, EBV lytic gene
171 expression was detected in the pre-latent phase of EBV-infected primary B-
172 cells, consistent with a previous report (Wang et al., 2019). We compared the
173 expression level of EBV genes between Akata and primary B-cells (Figure 3B).
174 The different pattern of lytic genes expression between Akata cells and primary
175 B-cells may be due to the duration of latency establishment, properties of cells,
176 or procedure of EBV infection. From these data, we concluded that abortive lytic
177 EBV gene expression occurs during EBV infection.

178

179 **Infectious virion production halted during the pre-latent phase**

180 Upon EBV *de novo* infection, the synthesis of progeny virus was not
181 observed in a previous study (Kalla et al., 2010). Our present study also
182 confirmed this phenomenon (Figure 4). Akata(-) cells were infected with EBV
183 and then washed with PBS after 2 h to remove unbound EBV inoculum. The
184 cells were maintained and monitored for 7 dpi. Although infected cells were
185 observed at 7 dpi, infectious virions were not detected in the supernatant at this
186 time (Figure 4). We also confirmed that the viral DNA genome was not detected
187 in the supernatant harvested at 7 dpi (data not shown).

188

189 **Experimental-mathematical investigation revealed no progeny production** 190 **during the pre-latent phase of EBV infection.**

191 Next, we carried out an *in silico* simulation based on a mathematical model
192 and estimated parameters from experiments. Several studies on other viruses
193 have established mathematical models that describe cell-free infection (Iwami et
194 al., 2012;Iwami et al., 2015). Here, we proposed the following mathematical
195 models (Eqs. 1-4) considering the two opposite assumptions of the presence or
196 absence of progeny virus production:

$$197 \quad \frac{dT(t)}{dt} = gT(t) \left(1 - \frac{T(t) + I_{ar}(t) + I_{pr}(t)}{K} \right) - \beta T(t)V(t) \quad (1)$$

198
$$\frac{dI_{ar}(t)}{dt} = \beta T(t)V(t) - \delta I_{ar}(t) \quad (2)$$

199
$$\frac{dI_{pr}(t)}{dt} = \delta I_{ar}(t) + gI_{pr}(t) \left(1 - \frac{T(t) + I_{ar}(t) + I_{pr}(t)}{K} \right) \quad (3)$$

200
$$\frac{dV(t)}{dt} = p(I_{ar}(t) + I_{pr}(t)) - cV(t) \quad (4)$$

201 where $T(t)$ is the number of Akata cells, and the parameters g and K are the
 202 growth rate and the carrying capacity of the cell culture, respectively. The
 203 variable $I_{ar}(t)$ is the number of EBV-infected cells with cell growth arrest,
 204 $I_{pr}(t)$ is that of all other EBV-infected cells, $V(t)$ is the number of virions, β is
 205 the infection rate, δ is the reciprocal number of cell growth arrest period (i.e.,
 206 $\delta = 0.5$ here), p is the progeny virus production rate, and c represents the
 207 viral decay rate. Initial values of the number of target cells and infected cells are
 208 given by T_0 and $I_{ar,0}$, respectively (see Figure 5A). In our data fitting, we
 209 estimated β , T_0 , and $I_{ar,0}$ for $p = 0, 0.1, 1000$, fixing the independently
 210 estimated values of g , K , and c as described in **Growth kinetics of Akata**
 211 **cells and viral decay kinetics** in *Materials and Methods* (see Figure 5B).
 212 Note that for these algorithms, when progeny virus p equals 0, no virus
 213 progeny is produced. All estimated parameters are summarized in Table 2.

214 Using these estimated parameters, we further calculated EBV infection
 215 dynamics *in silico* under the assumption of removing the unbound virus, then
 216 compared our mathematical prediction and our experimental data under parallel
 217 conditions. Interestingly, as shown in Figure 5C, our model accurately described
 218 the actual EBV infection dynamics best in the case of $p = 0$, suggesting no
 219 progeny production in the pre-latent phase. Taken together, the transient
 220 expression of lytic genes during the pre-latent phase reflected an abortive lytic
 221 infection of EBV.

222

223 **Abortive lytic infection in the pre-latent phase transitioned to latent** 224 **infection**

225 RNA-seq analysis at discrete time points during EBV infection showed the
 226 abortive lytic infection in the pre-latent phase, consistent with other studies
 227 (Kalla et al., 2010; Jochum et al., 2012a). However, because snapshot analyses
 228 such as RNA-seq lack temporal resolution, it has remained unclear whether the
 229 cells showing abortive lytic infection during pre-latent phase are able to shift into
 230 latently infected cells.

231 We thus applied fate mapping techniques using the Flippase (Flpe)
232 recombinase-flippase recognition target (FRT) system (Kretzschmar and Watt,
233 2012) to trace the fate of cells exhibiting an abortive lytic infection in the pre-
234 latent phase of EBV infection. Reporter cells were generated and isolated from
235 Akata(-) cells, which transduced a red fluorescent protein (*DsRed*) reporter
236 flanked by a neomycin-resistant gene containing a STOP codon (*FRT-STOP*)-
237 *FRT* sequence (Figure 6A). In cells expressing both Flpe and the reporter, Flpe
238 specifically activated the reporter by excising the STOP sequence. Indeed, we
239 confirmed the DsRed expression in the reporter cells in the presence of Flpe
240 (Figure 6B). Notably, however, a few reporter cells expressed DsRed without
241 Flpe expression. Furthermore, we generated the recombinant EBV(BMRF1p-
242 Flpe), which expresses Flpe under the control of the BMRF1 promoter (Figure
243 6C). The *BMRF1* gene is categorized as an early lytic gene and encodes the
244 DNA polymerase processivity factor, which associates with the polymerase
245 catalytic subunit to enhance the polymerase processivity and exonuclease
246 activity (Murayama et al., 2009). The BMRF1 protein is also known as early
247 antigen diffused (EA-D) and is used as a clinical marker for EBV infection (Luka
248 et al., 1986;Holley-Guthrie et al., 1990). Because the BMRF1 protein is
249 abundantly expressed during lytic replication (Sugimoto et al., 2013), we chose
250 the BMRF1 promoter for monitoring the EBV lytic gene expression. Infectious
251 virus particles of EBV(BMRF1p-Flpe) were prepared by transient trans-
252 complementation of BMRF1.

253 Using Akata/FNF-DsRed reporter cells and recombinant EBV, fate mapping
254 of infected cells was performed. The reporter cells were infected with
255 EBV(BMRF1p-Flpe) and were continuously observed during the pre-latent
256 phase. In this system, EBV-infected cells were labelled by EGFP, because
257 recombinant EBV possessed eukaryotic promoter-driven EGFP. At 17 dpi,
258 approximately 30% of infected cells, where EBV had established latency,
259 expressed DsRed (Figure 6D). This suggests a history of lytic gene expression
260 in these cells during the pre-latent phase of EBV infection. Therefore, we found
261 that the abortive lytic infection of EBV transitioned to latent infection during *de*
262 *novo* infection of B-cells with EBV.

263

264 **BZLF1 was not required for abortive lytic infection in the pre-latent phase**
265 **of EBV infection**

266 The BZLF1 protein, a b-Zip transcriptional factor that binds to the promoters
267 of early lytic genes (Farrell et al., 1989;Urier et al., 1989), triggers the switch
268 from latent to productive lytic infection (Rooney et al., 1989). Upon EBV
269 productive lytic infection, viral lytic genes are expressed in a strictly regulated
270 temporal cascade involving the immediate-early, early, leaky late and late
271 phases. Except for BCRF1, BDLF2 and BDLF3, leaky late and late promoters
272 are transactivated by the viral Pre-Initiation Complex (Djavadian et al., 2018).
273 Thus, to evaluate the difference in mechanisms underlying viral gene
274 expression between pre-latent infection and productive lytic infection, Akata(-)
275 cells were infected with wild-type, BZLF1-KO or BMRF1p-Flpe (functional
276 BMRF1-KO) EBV. At 2 dpi, the expression of viral lytic genes was detected in
277 the cells infected with BZLF1-KO EBV, similar to wild-type EBV (Figure 7A),
278 suggesting that BZLF1 was not essential for the lytic gene expression in the
279 pre-latent phase of EBV infection. Furthermore, BMRF1p-Flpe EBV infection
280 revealed that these viral lytic genes including late genes such as BcLF1 and
281 BLLF1 were expressed without viral replication during pre-latent infection
282 (Figure 7A). We also confirmed that BZLF1 was dispensable for abortive lytic
283 gene expressions in the pre-latent phase of EBV infection using primary B cells
284 infected with BZLF1-KO EBV (Figure 7B). It should be noted that another
285 immediate-early gene, *BRLF1* was not transcribed abundantly in the pre-latent
286 phase (Figure 7A and B). These findings suggest that the regulation of viral
287 gene expression in pre-latent phase of EBV infection is different from that in the
288 productive lytic phase.

289

290 **Discussion**

291 EBV infects resting B-cells and transforms them into lymphoblastoid cell
292 lines (LCLs) *in vitro*. LCLs share many common features with posttransplant
293 lymphoproliferative disease and AIDS lymphomas (Shannon-Lowe et al., 2017).
294 Thus, LCLs have been extensively used to study the mechanisms by which
295 EBV causes cancers (Zhou et al., 2015;Jiang et al., 2017;Ma et al., 2017;Wang
296 et al., 2019). However, because dynamic changes in viral infection overlap with
297 the process involved in EBV-caused transformation during the infection of
298 resting B-cells, transcriptomic changes between these two processes are
299 indistinguishable. Here, we used EBV-negative cell lines derived from EBV-
300 positive Burkitt lymphoma to evaluate the effect of EBV infection on host
301 transcription in a time-course study. Using the Akata(-) cell line in this study

302 enabled us to manipulate its genome for transducing our reporter system, as
303 discussed below. Similar to previous studies using primary B-cells (Mrozek-
304 Gorska et al., 2019; Wang et al., 2019), our RNA-seq analysis on Akata(-) cells
305 with EBV infection showed transient cell growth arrest for 2 dpi and re-
306 proliferation thereafter (Figure 1C). These findings suggest that post-infectious
307 growth arrest is associated with EBV infection, but not with EBV-mediated
308 transformation. Notably, this transient growth arrest after EBV infection was
309 additionally reflected in our mathematical model of EBV infection (see
310 **mathematical modeling** in *Materials and Methods*).

311 Viral lytic genes were expressed transiently in newly infected cells during the
312 pre-latent phase of EBV infection (Figure 2 and 3). Because EBV does not
313 initiate the *de novo* synthesis of progeny virus upon primary infection, the
314 expression of these viral genes indicates an abortive lytic infection. However,
315 comprehensive analyses of progeny production during the pre-latent phase had
316 not been elucidated to date. In this study, our *in silico* simulation of EBV
317 infection supported a lack of progeny virus production. Under the assumption
318 that even a small amount of progeny virus is produced, the numbers of infected
319 cells derived from our model were unable to trace the experimental data (Figure
320 4), providing a theoretical evidence in support of our experimental observations
321 as well as previous studies (Kalla et al., 2010; Kalla et al., 2012).

322 RNA-seq data represents a snapshot at a single time point in time as a
323 population average and lacks temporal resolution. Because the behavior of
324 infected cells is highly dynamic and heterogeneous, these data miss the exact
325 timing and order of events underlying infection. Continuous observation with cell
326 tracking overcomes this obstacle. However, in this study, the low sensitivity of
327 the reporter is one of the limitations (Figure 6B). When Flpe is expressed but
328 remains below a certain threshold, DsRed expression cannot be induced. Thus,
329 our system demonstrated EBV-infected cells where transient lytic gene
330 expression had occurred qualitatively, not quantitatively. Despite these
331 limitations, our fate mapping system with recombinant EBV successfully
332 revealed that some cells display a record of lytic gene expression among the
333 cells where EBV established latency (Figure 6D). This finding suggests that the
334 transient expression of lytic genes contributes to the establishment of latent
335 infection in infected cells. In agreement with this, it has been reported that viral
336 proteins BNLF2a and vIL-10/BCRF1 are expressed transiently upon the pre-

337 latent phase of infection, and they prevent immune recognition and elimination
338 of cells newly infected with EBV (Jochum et al., 2012a).

339 Our findings using recombinant EBV demonstrated that abortive lytic
340 infection during the pre-latent phase was not required for BZLF1 expression in
341 contrast to productive lytic gene expression (Figure 7). In cells latently infected
342 with EBV, viral genome is highly methylated; therefore, viral lytic genes are
343 tightly suppressed (Fernandez et al., 2009). BZLF1 protein preferentially binds
344 to CpG-methylated DNA motifs and enhances transcription more efficiently
345 (Bhende et al., 2004), whereas the incoming EBV genome is barely methylated.
346 These findings suggest that viral lytic genes might be expressed leaky during
347 the pre-latent phase in a BZLF1 protein-independent manner. DAVID analysis
348 of our RNA-seq data also showed that promoters of up-regulated genes in the
349 pre-latent phase contained NF- κ B binding sites (Table 3). The NF- κ B pathway
350 is activated during infection of B-cells with EBV (Jha et al., 2016), and
351 considered to be a critical pathway in EBV-associated lymphomagenesis
352 (Vento-Tormo et al., 2014; Battle-Lopez et al., 2016). In this sense, it may be
353 purposeful that NF- κ B pathway involves in lytic gene expression in the pre-
354 latent phase of EBV infection. Further studies are required to understand the
355 regulation of viral gene expression in the pre-latent phase.

356 In summary, combining the techniques of population-based averaged
357 snapshot analysis and a continuous tracking system with fluorescent protein
358 expression, we demonstrated herein that EBV established latency in B-cells via
359 an abortive lytic infection in the pre-latent phase, implying the reversibility of the
360 abortive lytic state during EBV infection. Our findings shed light on novel roles
361 of EBV lytic genes in the initial, pre-latent phase of B-cell infection.

362

363 ***Materials and Methods***

364 **Cells**

365 Akata(-) and Akata(+) cells (Shimizu et al., 1994) were cultured in
366 RPMI1640 medium containing 10% fetal bovine serum (FBS). The productive
367 lytic replication of Akata(+) cells was induced by treatment with anti-human IgG
368 (Masud et al., 2017). AGS/EBV-EGFP cells, which kindly provided by Dr.
369 Hironori Yoshiyama (Katsumura et al., 2009), were grown in F-12 HAM's
370 medium supplemented with 10% FBS and 400 μ g/mL G418. HEK293 (a kind
371 gift from Dr. Henri-Jacques Delecluse), HEK293T (ATCC CRL-3216), and
372 HEK293/EBV-Bac cells were maintained in DMEM supplemented with 10%

373 FBS. Primary B-cells (hPB CD19+ B cells, negatively selected; 4W-601) were
374 purchased from Lonza (Walkersville, MD, USA).

375 All cells were maintained at 37°C in an atmosphere of 5% CO₂.

376

377 **Plasmids and lentiviral vector**

378 To construct the lentiviral reporter plasmid (CSII-CMV-FNF-DsRed), the
379 FNF-DsRed fragment was generated by PCR from pCAFNF-DsRed, a kind gift
380 from Dr. Connie Cepko (Addgene plasmid #13771), and was inserted into the
381 *Bam*HI-*Xba*I site of the CSII-CMV-MCS-IRES2-Venus plasmid (generously
382 gifted by Dr. Hiroyuki Miyoshi). The inserted DNA sequence was confirmed by
383 direct DNA sequencing.

384 The lentiviral vector was prepared by recovering the culture supernatant of
385 293T cells transfected with CSII-CMV-FNF-DsRed together with expression
386 plasmids for HIV-1 Gag-Pol and Rev (pCMVR8.74, Addgene plasmid #22036;
387 kindly provided by Dr. Didier Trono), and for VSV-G (generously provided by Dr.
388 Yasuo Ariumi).

389 The Flpe expression plasmid (pCSFLPe) was the kind gift of Dr. Gerhart
390 Ryffel (Addgene plasmid #31130). pcDNA-BZLF1, pcDNA-gB and pcDNA-
391 BMRF1 were previously described (Sato et al., 2009; Nakayama et al.,
392 2010; Konishi et al., 2018).

393

394 **Recombinant EBV-Bac**

395 The original of EBV-BAC DNA (B95-8 strain) was kindly provided by Dr.
396 Wolfgang Hammerschmidt (Delecluse et al., 1998). To construct the BMRF1
397 promoter-driven Flpe expression EBV-Bac (EBV(BMRF1p-Flpe)), homologous
398 recombination was undertaken in *E. coli* as described previously (Murata et al.,
399 2009) with the oligonucleotide primers listed in Table 4. After construction of
400 recombinant EBV-BAC strains, DNA was digested with *Bam*HI or *Eco*RI and
401 resolved by agarose gel electrophoresis. BZLF1-KO EBV (EBV(dBZLF1)) was
402 described previously (Sato et al., 2009).

403 HEK293 cells were transfected with EBV-BAC DNA using Lipofectamine
404 2000 reagent (Thermo Fisher Scientific, Waltham, MA, USA) followed by
405 hygromycin selection, and EGFP-positive cell colonies were selected for
406 preparation of cell clones.

407

408 **EBV preparation**

409 EGFP-EBV was obtained from the eight-day-old cell-free supernatant of
410 AGS/EGFP-EBV cells. The cell-free supernatant was passed through 0.45 μ m
411 filters and then used as a virus stock.

412 For the preparation of recombinant EBV, HEK293/EBV(BMRF1p-Flpe),
413 HEK293/EBV(dBZLF1) and HEK293/EBV(Wild-type) (Sato et al., 2019) cells
414 were transfected with a BZLF1 expression plasmid together with the gB
415 expression plasmid using the Neon Transfection System (Thermo Fisher
416 Scientific) to induce lytic replication. Cells and culture supernatants were
417 collected, freeze-thawed, and centrifuged. The supernatant from the
418 centrifugation was filtered and used as a virus stock.

419

420 **RNA-seq**

421 Akata(-) cells were pelleted and resuspended in medium containing virus
422 supernatant. Cells were incubated at room temperature for 2 h with agitation.
423 The cells were spun again and resuspend in fresh medium. EBV-infected Akata
424 cells that express EGFP (EGFP-positivity was ~20% in the population at 2 dpi)
425 were sorted by a FACS Aria II Cell Sorter (BD Biosciences, San Jose, CA,
426 USA) at 2 dpi and then cultured. The cells were harvested for RNA preparation
427 at later time points.

428 Total RNA was extracted using a Nucleospin RNA XS kit (Takara Bio,
429 Kusatsu, Japan). Evaluation of RNA, RNA-seq library preparation, illumina
430 sequencing, and data preprocessing were performed as described previously
431 (Okuno et al., 2019).

432 Gene expression levels were normalized as counts per million (CPM)
433 followed by log₂-transformation with a pseudo-count of 1. The 5,000 most
434 diversely expressed viral and host genes were used for downstream analyses.
435 After standardization, Euclid distances among genes were calculated according
436 to their expression patterns. Clustering analysis of the genes was performed
437 using the Ward method based on the Euclid distances. All analyses were
438 performed on R (version 3.5.2).

439 GO enrichment analysis was performed according to an overlap-based
440 method with one-sided Fisher's exact test. The family-wise error rate (i.e.,
441 adjusted p-value) was calculated using the Holm method. As a source of gene
442 sets, we used "GO biological process" and "GO cellular component" obtained
443 from the GO consortium (<http://geneontology.org/>; GO validation date:
444 08/30/2017).

445 Other functional annotation analyses were performed using DAVID
446 Bioinformatics Resources (<https://david.ncifcrf.gov/>) (Huang da et al., 2009).

447

448 **Data collection for mathematical modeling**

449 Growth curves were measured as described previously (Sato et al., 2017).
450 Briefly, Akata(-) cells were seeded at a density of 1×10^5 cells/mL. Every 48 h,
451 the number of viable cells was counted. For EBV infection, 2×10^5 cells of
452 Akata(-) cells were pelleted and resuspended in 3 mL of medium containing
453 EBV-EGFP (1×10^5 green Akata units (GAU)). The infected cells were seeded
454 into a low-binding 35-mm dish (PrimeSurface dish MS-9035X; Sumitomo
455 Bakelite, Tokyo, Japan) and maintained in a 37°C incubator at 5% CO₂. The
456 number of virus particles in the culture supernatant and the number of infected
457 cells were routinely measured as follows: a portion (400 µL) of the infected cell
458 culture was routinely harvested, and the amount of released infectious virions in
459 the culture supernatant was quantified as described previously (Sato et al.,
460 2019). The cell number was counted as described previously (Sato et al., 2017).
461 The percentage of infected cells was quantified by FACS (Sato et al., 2019).

462 For virus decay analysis, EBV-EGFP (1×10^5 GAU) was suspended in 3mL
463 of medium and incubated (37°C/5% CO₂). Samples (100 µL) were routinely
464 harvested, and the virus titer was quantified as described previously (Sato et al.,
465 2019).

466

467 **EBV infection to primary B-cells**

468 A total 5×10^6 cells of primary B-cells were pelleted and resuspended in
469 medium containing virus supernatant at a multiplicity of infection of 3 GAU.
470 Cells were incubated at room temperature for 2 h with agitation. The cells were
471 spun again, resuspend in fresh medium and then cultured. The cells were
472 harvested for RNA preparation at later time points.

473

474 **Growth kinetics of Akata cells and viral decay kinetics**

475 We independently estimated the growth kinetics of Akata cells by the
476 following mathematical model (Eq. 5) from separate experiments (see growth
477 curve section in **Data collection for mathematical modeling**):

$$478 \quad \frac{dT(t)}{dt} = gT(t) \left(1 - \frac{T(t)}{K} \right) \quad (5)$$

479 where the variable $T(t)$ is the number of Akata cells, and the parameters g
480 and K are the growth rate and the carrying capacity of the cell culture,
481 respectively. We also estimated the viral decay kinetics by the following
482 equation (Eq. 6) from separate experiments (see virus decay section in **Data**
483 **collection for mathematical modeling**):

$$484 \quad \frac{dV(t)}{dt} = -cV(t) \quad (6)$$

485 where $V(t)$ is the number of virions, and c represents the viral decay rate.
486 These parameters, g , K and c , are fixed hereafter.

487

488 **Fate mapping of EBV-infected cells**

489 For generating reporter cells, Akata(-) cells were inoculated with the lentiviral
490 vector harboring the CMV promoter-driven FNF-DsRed cassette and followed
491 by G418 selection (750 $\mu\text{g/mL}$).

492 Akata/FNF-DsRed cells were incubated in medium containing
493 EBV(BMRF1p-Flpe) at room temperature for 2h with agitation. The cells were
494 spun down, resuspend in fresh medium, and then cultured. Expressions of
495 fluorescent proteins, EGFP and DsRed were observed at discrete time points.
496 Images were acquired using Zeiss Axio Observer microscope (Carl Zeiss,
497 Oberkochen, Germany).

498

499 **Quantitative reverse-transcription PCR (qRT-PCR)**

500 Total RNA was prepared using Nucleospin RNA XS kit (Takara Bio) or
501 RNeasy mini kit (Qiagen, Gaithersburg, MD, USA) and subsequently reverse
502 transcribed to cDNA using the PrimeScript II Reverse transcriptase kit (Takara
503 Bio). Viral mRNA levels were analyzed by qPCR using the 7500 Fast DX Real-
504 Time PCR system (Applied Biosystems, Foster City, CA, USA) as previously
505 described (Watanabe et al., 2015;Sato et al., 2017). The original primer
506 sequences used in this study are listed in Table 5.

507

508 **Statistical analysis**

509 Results are shown as the means \pm standard deviation (SD) of three
510 independent experiments. Statistical analyses were performed using Microsoft
511 Excel and R (version 3.5.2). Unpaired Student's t -test was used to determine
512 significance between two groups. p -values <0.05 were considered significant.

513

514 **Data availability**

515 The RNA-seq raw data have been deposited in the DNA Data Bank of
516 Japan (DDBJ; <https://www.ddbj.nig.ac.jp/index-e.html>) Sequence Reads
517 Archive (DRA) under the accession number DRA009706.

518

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546

547 **Competing interests**

548 The authors declare no competing interests.

549

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753
754

755 **Figure Legends**

756 **Figure 1: EBV infection caused reprogramming of cell growth.**

757 (A) Workflow of sample collection for RNA-seq analysis. Akata(-) cells were
758 infected with EBV-EGFP. The infected cells were collected by FACS sorting at 2
759 dpi, and a portion of infected cells was harvested at the indicated time points
760 (arrowheads).

761 (B) Heatmap showing gene expression changes during EBV infection of
762 Akata(-) cells. The 5,000 most diversely expressed genes of both the host and
763 virus are included. Color indicates the normalized expression level (Z score).
764 Gene clusters are indicated on the right side of the heatmap.

765 (C) Temporal changes in gene expression for each cluster. The changes of
766 respective genes (blue) and the mean value (light blue) are plotted. GO
767 enrichment analysis was performed in each cluster, and the representative
768 results (GO terms, GO Ids, and adjusted p-values) are shown on the right side
769 of the graph.

770

771 **Figure 2: Transient burst of viral lytic gene expression in the pre-latent
772 phase of EBV infection of Akata(-) cells.**

773 (A) Heatmap showing the viral gene expression changes during EBV infection
774 of Akata(-) cells.

775 (B) Temporal change of viral gene expression in each kinetic lytic gene. The
776 changes of respective genes and the mean values (black) are plotted. Viral
777 gene expression kinetics are categorized into five groups: latent, immediate
778 early, early, leaky late, and late (Djavadian et al., 2018).

779 (C) Relative viral gene expression of latent, immediate early, early, leaky late,
780 and late kinetics at 14 dpi.

781 (D) Validation of viral gene expression by qRT-PCR. Viral gene expression was
782 normalized to GAPDH expression. Results are presented as means \pm SD of
783 three independent experiments and are shown relative to gene expression at 2
784 dpi.

785

786 **Figure 3: Profile of EBV gene expression in the pre-latent phase of EBV
787 infection of primary B-cells.**

788 (A) Purified primary B-cells were infected with EBV-EGFP and harvested at 0,
789 2, 4, 7 or 14 dpi. EBV gene expression was assessed by qRT-PCR and

790 normalized to GAPDH expression. Results are presented as means \pm SD of
791 three independent experiments and are shown relative to gene expression at 2
792 dpi.

793 (B) Akata(-) or purified primary B-cells were infected with EBV-EGFP and
794 harvested at 0 and 3 dpi. EBV gene expression was assessed by qRT-PCR and
795 normalized to GAPDH expression. Results are presented as means \pm SD of
796 three independent experiments. Abbreviations: dpi, day post-infection.

797

798 **Figure 4: No progeny production was detected during the pre-latent phase**
799 **of EBV infection.**

800 (A) Schematic schedule of this experiment. Akata(-) cells (1×10^6 cells) were
801 incubated with 1×10^6 GAU of EBV-EGFP at room temperature for 2 h with
802 agitation. Cells were extensively washed with PBS to remove unbound virus,
803 and then suspended in fresh medium. Cells and culture supernatant were
804 harvested at 7 dpi. GAU, green Akata units.

805 (B) Infected cells were quantified by FACS. Results shown are the means \pm SD
806 of three independent experiments. Double asterisks (**) indicate $p < 0.01$.

807 (C) Virus titer in the supernatant was determined as described previously (Sato
808 et al., 2019). Results shown are the means \pm SD of three independent
809 experiments. EBV-EGFP (1×10^5 GAU/ml) was used as a positive control.

810

811 **Figure 5: Experimental-mathematical investigation of progeny production**
812 **during the pre-latent phase of EBV infection.**

813 (A) A mathematical model for EBV infection of B cells is described. The
814 parameter g is the growth rate of cells, K is the carrying capacity, β is the
815 cell-free infection rate, δ is the reciprocal number of cell growth arrest period,
816 p is the progeny virus production rate, and c is the decay rate of EBV. Note
817 that $p = 0$ corresponds with no progeny virus production.

818 (B) With fixed values of $p = 0, 0.1, \text{ or } 1000$, we fitted Eqs. (3-6) to the
819 experimental data and describe the solid curves of the best-fit solution.

820 (C) The number of infected cells was calculated from the mathematical model
821 with estimated parameters. Except in the case of $p = 0$, prediction by the
822 mathematical model could not properly reproduce actual EBV infection
823 dynamics properly.

824

825 **Figure 6: EBV establishes latency in B-cells via an abortive lytic infection**
826 **in the pre-latent phase.**

827 (A) Schematic representation of the genetic elements in the Flpe-FRT system.
828 Flpe recombinase can recombine FRT sites in the ubiquitously expressed
829 reporter construct to remove the STOP (neomycin resistant gene and polyA
830 signal; neo-pA) cassette. Upon removal of this STOP, the reporter DsRed is
831 expressed in the cells and all their progeny.

832 (B) DsRed was expressed in the presence of Flpe. Akata/FNF-DsRed reporter
833 cells were transfected with a Flpe expression plasmid. Scale bar, 100 μ m.

834 (C) Schematic diagram of recombinant EBV (EBV/BMRF1p-Flpe) construction.
835 The Neo/St cassette, containing neomycin resistance and streptomycin
836 sensitivity genes, was inserted between nucleotide 1 and 505 of the BMRF1
837 gene to prepare an intermediate, and this was replaced with the Flpe sequence
838 to construct the EBV/BMRF1p-Flpe, which expresses Flpe under the control of
839 the BMRF1 promoter (*left*). Successful recombination was confirmed by the
840 electrophoresis of the EBV-BAC after *Bam*HI and *Eco*RI digestion (*right*).

841 (D) Continuous tracing of abortive lytic cells with recombinant EBV. Akata/FNF-
842 DsRed cells were infected with EBV/BMRF1p-Flpe. Two days after infection,
843 hygromycin was added to the medium to select infected cells. Infected cells
844 were continuously observed (arrow heads) until 17 dpi. The number of DsRed-
845 positive and EGFP-positive cells was counted at indicated time points. Results
846 shown are the means \pm SD of three independent experiments. Images were
847 obtained at 17 dpi. Asterisk (*) indicates $p < 0.05$; dpi, days post-infection. Scale
848 bar, 50 μ m.

849

850 **Figure 7: Abortive lytic gene expression of BZLF1-KO recombinant EBV in**
851 **the pre-latent phase.**

852 (A) Akata(-) cells were infected with wild-type, BZLF1-KO, or BMRF1-
853 KO(BMRF1p-Flpe) EBV. After 2 days, total RNA was extracted and analyzed by
854 qRT-PCR. Results are presented as means \pm SD of three independent
855 experiments and are shown relative to gene expression in the lytic-induced
856 Akata(+) cells after treatment with IgG.

857 (B) Purified primary B-cells were infected with wild-type, or BZLF1-KO EBV, and
858 harvested at 3 dpi. EBV gene expression was assessed by qRT-PCR and

859 normalized to GAPDH expression. Results are presented as means \pm SD of
860 three independent experiments.

861 **Table 1: BioGRID interactome analysis of top 300 up-regulated genes at**
 862 **2dpi**

Term	Gene name	Count	p-value	FDR¹⁾
PTPN11	STAT5A, CEACAM1, FCGR2A, CYP1A1, PECAM1, GAB2, PILRA, LGALS9, FCGR2B, BCAR1	10	7.90×10 ⁻⁶	0.042
PIK3R1	CSF1R, FCGR2A, SRC, SH2D2A, DAB2IP, PECAM1, PTPN6, GAB2, BCAR1, TGFBR2	10	7.77×10 ⁻⁵	0.21
TRAF2	TNFRSF12A, MVP, RNASET2, CASP1, TNFSF10, TNFAIP3, TNFRSF14, TRAF1, CFLAR, TNFRSF1B, TNF	11	2.31×10 ⁻⁴	0.41
ISG15	UBA7, ELF3, DDX58, IFIT1, USP18	5	4.46×10 ⁻⁴	0.60
IFIT3	DDX58, ISG15, IFIT1, IFIT3, IFIT2	5	6.12×10 ⁻⁴	0.65

863

864 ¹⁾FDR: false discovery rate

865 **Table 2: Estimated parameters by fitting the mathematical model to**
 866 **experimental data**

Symbol	Unit	Value		
		$p = 0$	$p = 0.1$	$p = 1000$
g	day ⁻¹	7.33×10^{-1}		
K	cell	6.51×10^6		
c	day ⁻¹	2.44×10^{-1}		
β	(day × cell) ⁻¹	2.46×10^{-6}	8.53×10^4	1.80×10^4
T_0	cell	6.36×10^{-7}	6.76×10^4	3.88×10^4
$I_{ar,0}$	cell	8.08×10^{-11}	6.17×10^4	4.62×10^4

867

868 **Table 3: University of California Santa Tissue Factor Binding Site analysis**
869 **of top 300 up-regulated genes at 2dpi**

Term	Count	p-value	FDR¹⁾
NFKAPPAB	126	7.26×10^{-8}	1.28×10^{-5}
NFKB	150	1.17×10^{-4}	1.03×10^{-2}
CETS1P54	76	7.76×10^{-3}	0.351
BACH2	122	7.97×10^{-3}	0.351

870

871 ¹⁾FDR: false discovery rate

872 **Table 4: Oligonucleotide primers used for generation of recombinant EBV**

Primer name	Sequence (5' to 3')
BMRF1-Neo/st forward	GCATAAATTCTCCTGCCTGCCTCTGCTCTGGT ACGTTGGCTTCTGCTGCTGCTTGTGATCGGC CTGGTGATGATGGCGGGATC
BMRF1-Neo/st reverse	CTTAACGCCGCCTGAGCCTTGCTGGCGTGCC CACTTCTGCAACGAGGAAGCCGTCTTGGGTC AGAAGAACTCGTCAAGAAGG
Forward transfer for Flpe	GCATAAATTCTCCTGCCTGCCTCTGCTCTGGT ACGTTGGCTTCTGCTGCTGCTTGTGATCATG CCACAATTTGATATATT
Reverse transfer for Flpe	CTTAACGCCGCCTGAGCCTTGCTGGCGTGCC CACTTCTGCAACGAGGAAGCCGTCTTGGGCT ATAGTTCTAGAATGCGTCTA

873

874 **Table 5 Oligonucleotide primers used for qRT-PCR**

Primer name	Sequence (5' to 3')
EBNA1 forward	GATTCTGCAGCCCAGAGAGT
EBNA1 reverse	TCTCTCCTAGGCCATTTCCA
EBNA-LP forward	CCCCTCTCTCTGTCCTTCAG
EBNA-LP reverse	GGCTCCCCTCAGACATTCTT
LMP1 forward	CTGATGATCACCCCTCCTGCT
LMP1 reverse	CTAAGACAAGTAAGCACCCGAAG
BZLF1 forward	GAAGCACCTCAACCTGGAGA
BZLF1 reverse	TCTGGCTGTTGTGGTTTCC
BRLF1 forward	TCATTAAGTTCGGGGGTCAG
BRLF1 reverse	GGACCCTGATGAAGAAACCA
BGLF4 forward	TGACGGAGCTGTATCACGAG
BGLF4 reverse	CCAGGGGCTCAATACTACCA
BMRF1 forward	ATTTTACAAGCGGCCACAAG
BMRF1 reverse	CCAATCATCTGCTCGTTCCT
BHRF1 forward	AAATGGTACCCTGCATCCTG
BHRF1 reverse	CCACATGTTCCGGTGTGTGTT
BcLF1 forward	AGGTTGGGAGGAAAACGTAG
BcLF1 reverse	TTAACGGAGACCACGACCAC
BLLF1 forward	CCCTCACTACTGCCGTTATA
BLLF1 reverse	GCCTGGAATCTGTAGATGTC
GAPDH forward	CCTCCAAGGAGTAAGACCCC
GAPDH reverse	TGTGAGGAGGGGAGATTCAG

Figure 1 Inagaki and Sato et al.

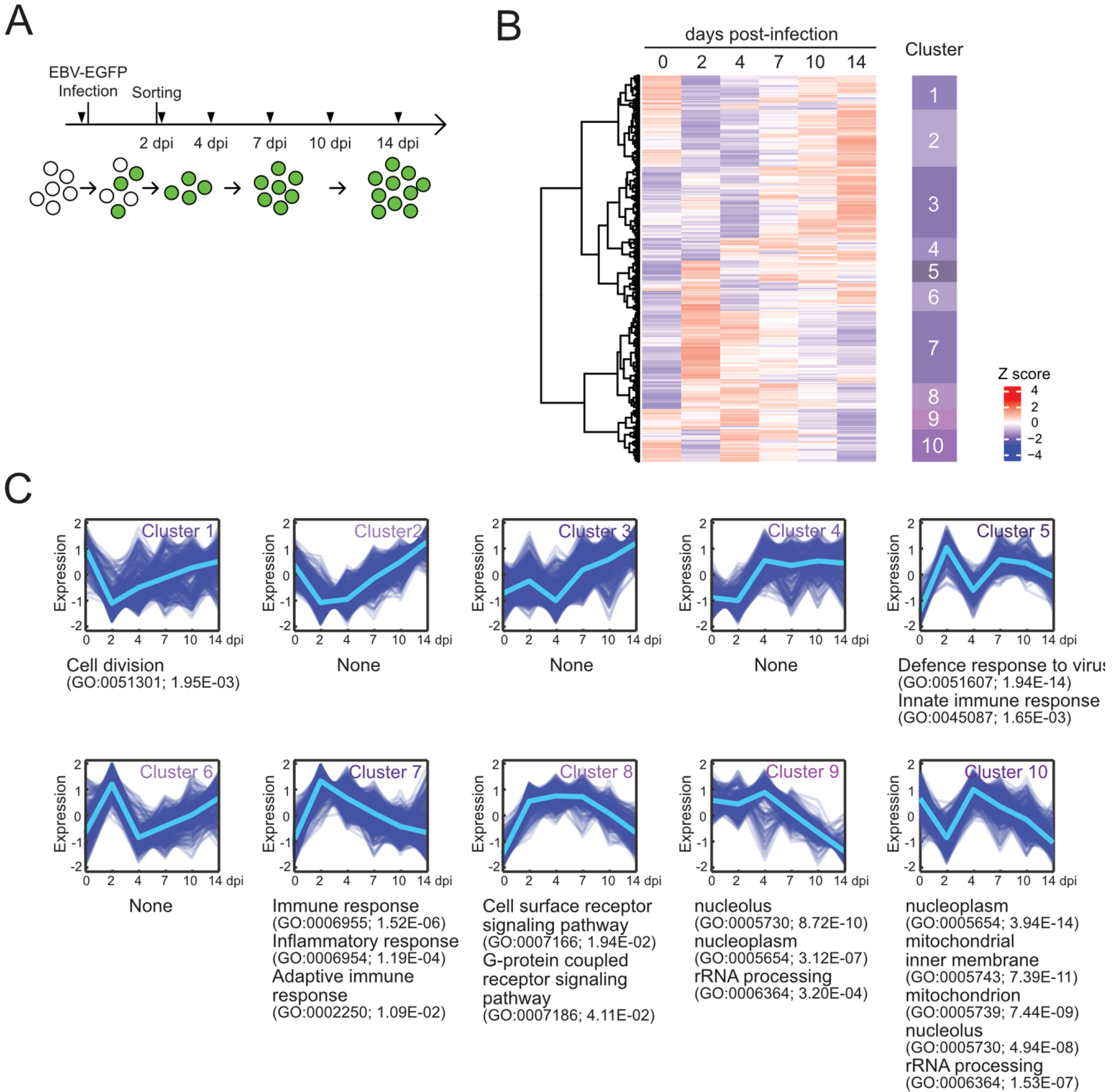


Figure 2.TIFF

Figure 2 Inagaki and Sato et al.

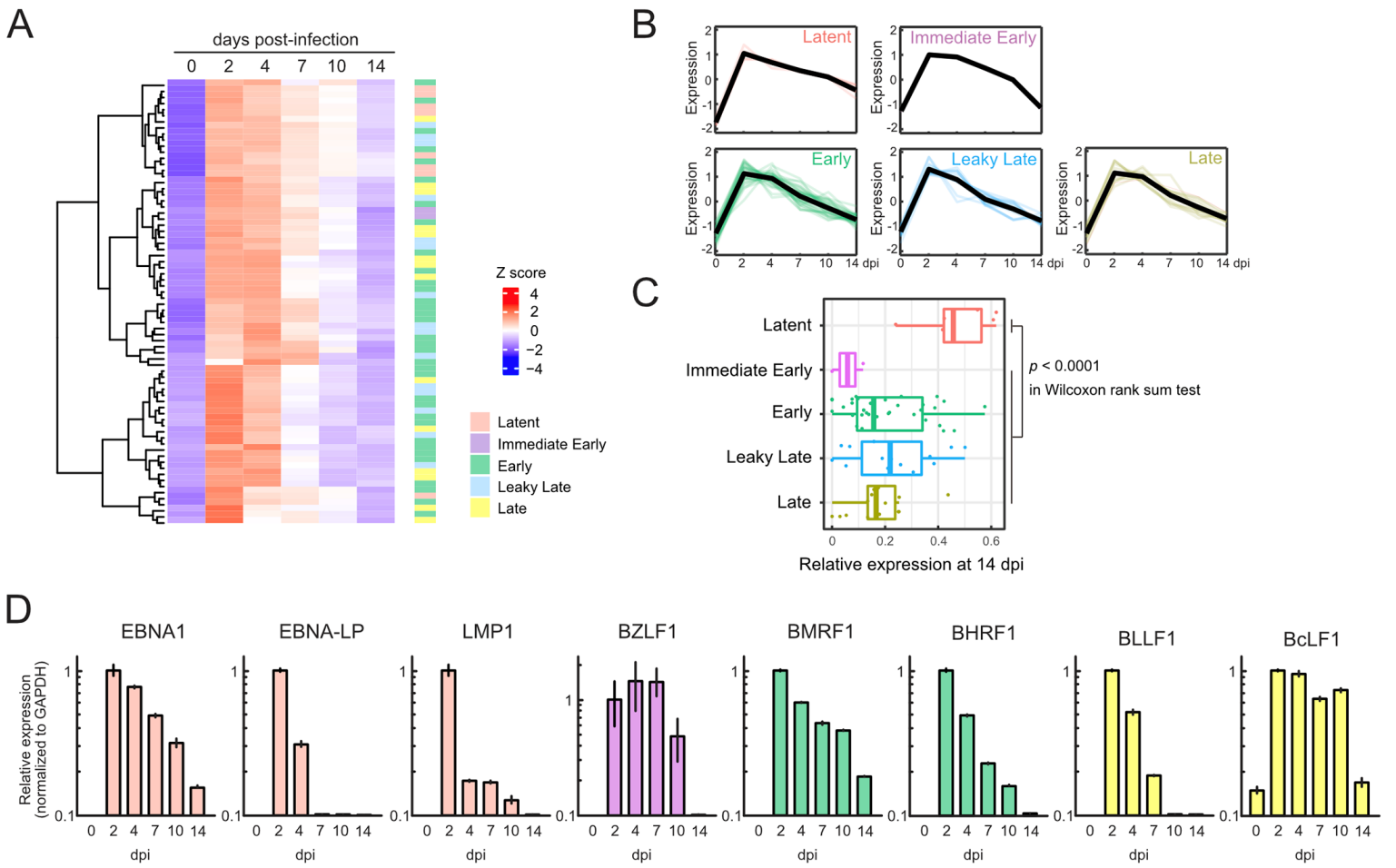
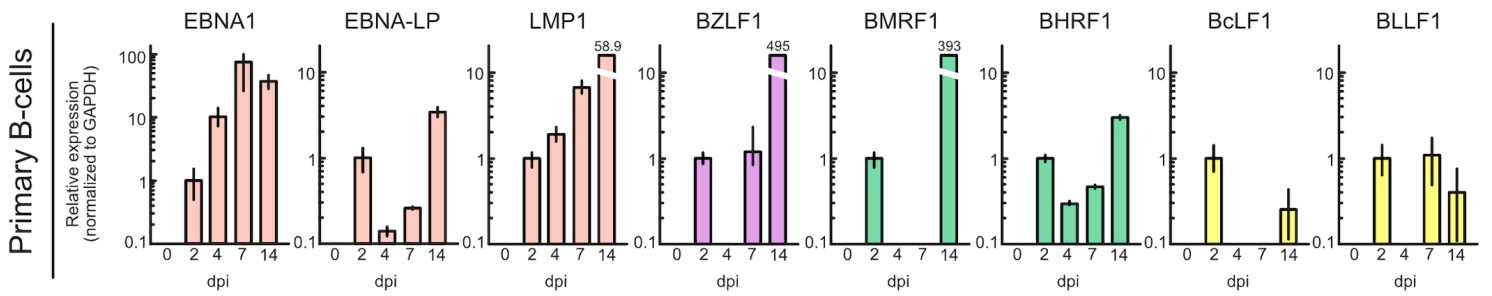


Figure 3.TIFF

Figure 3 Inagaki and Sato et al.

A



B

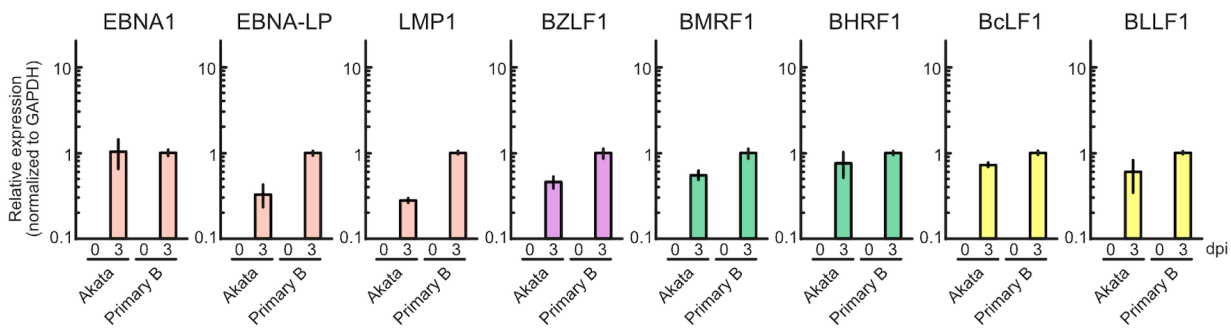
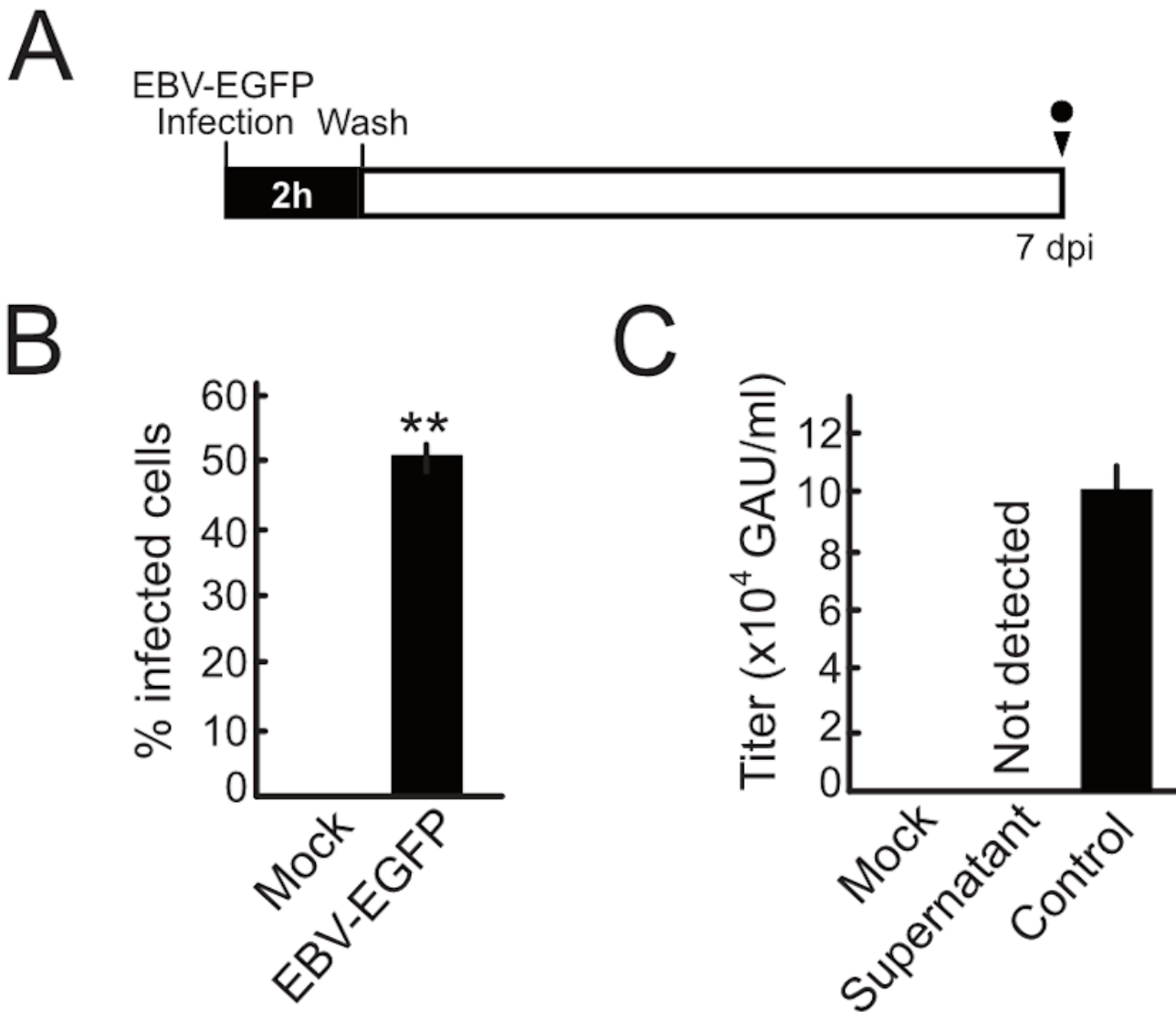


Figure 4 Inagaki and Sato et al.



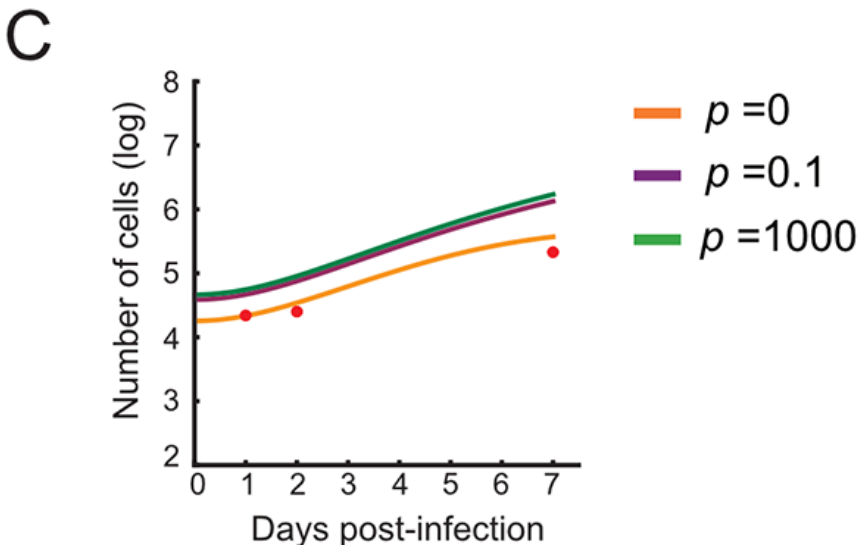
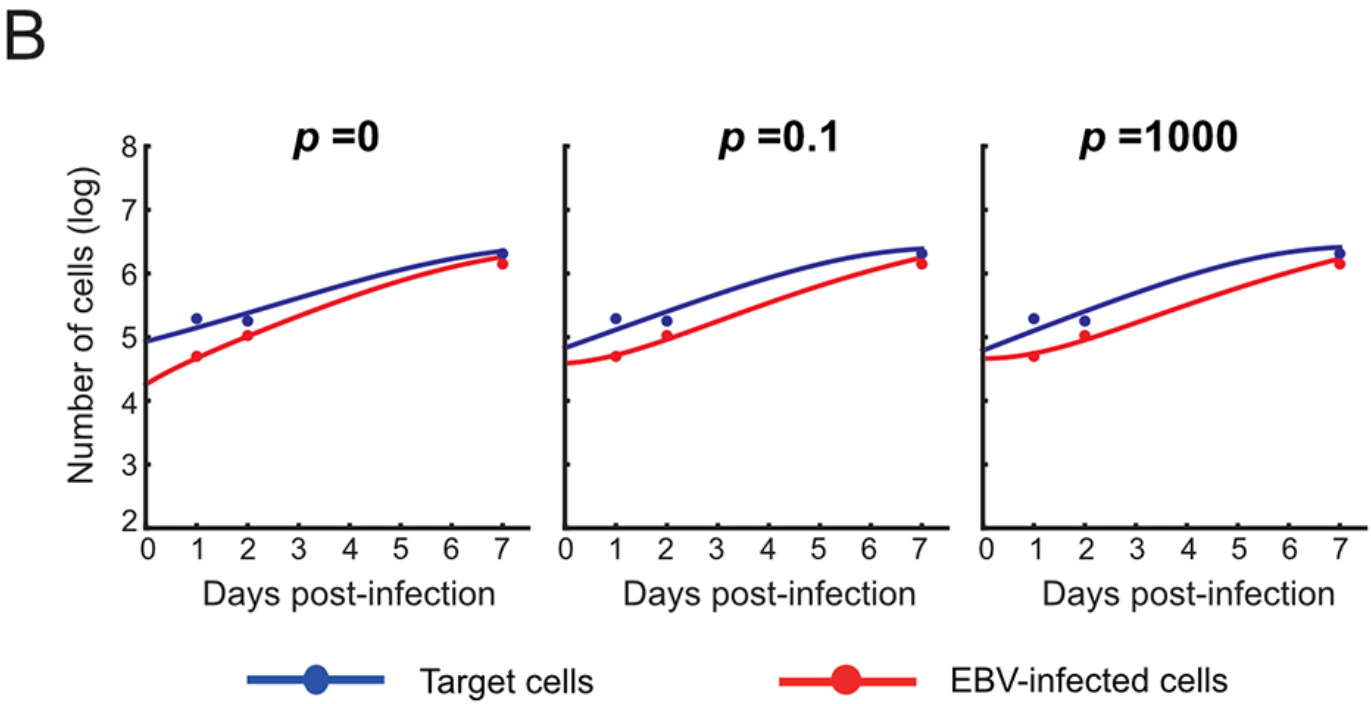
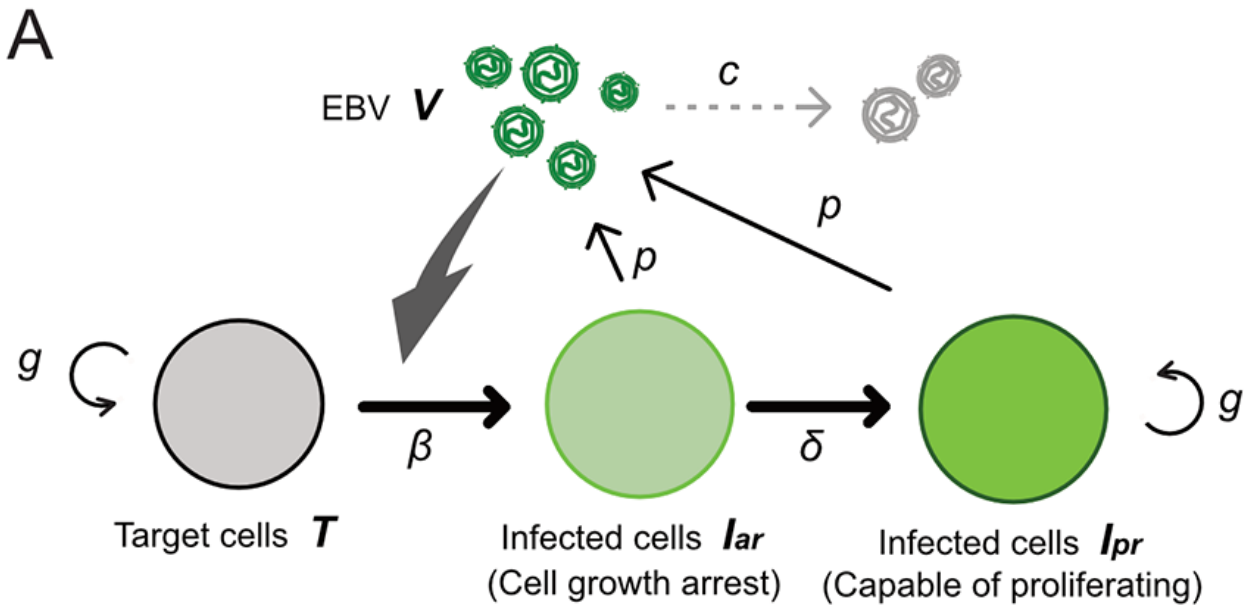


Figure 6.TIFF

Figure 6 Inagaki and Sato et al.

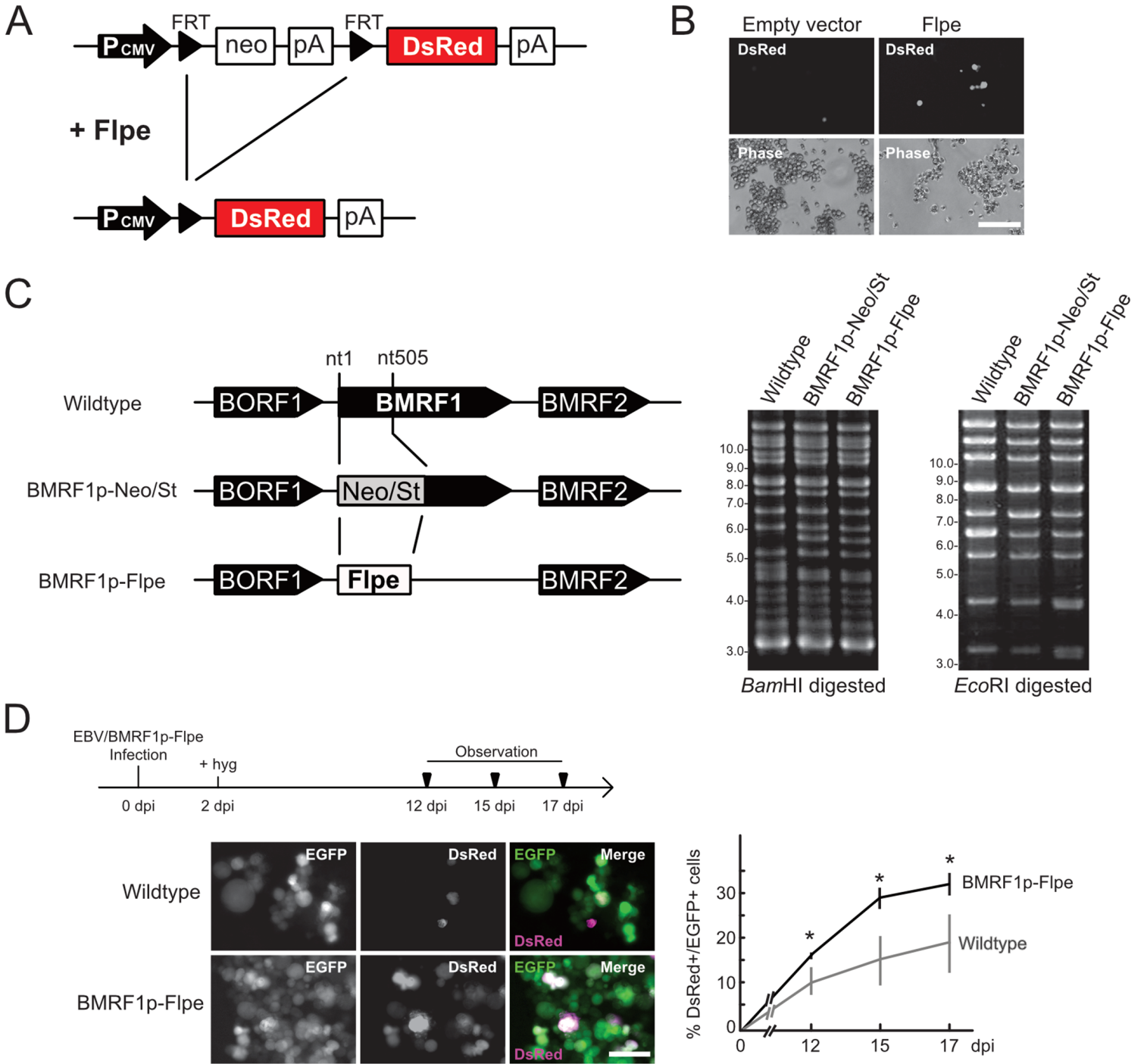


Figure 7.TIFF

Figure 7 Inagaki and Sato et al.

