

Filtration and regeneration performances of SiC fiber potentially applied to gasoline particulates

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ABSTRACT

In this study, for filtration of gasoline particulates, we tested SiC fibers potentially applied for a gasoline particulate filter (GPF). Carbon particles were used as model soot. Focusing on the porosity and the fiber diameter, the filtration efficiency and the pressure drop were examined. To promote the carbon oxidation, the fiber was coated with the Pt-catalyst. Results show that, by reducing the porosity, the filtration efficiency increases, but the pressure drop across the filter (filter backpressure) becomes larger. When the porosity is lower than 0.94, the improvement of filtration efficiency is not enough. Secondary, when the fiber with smaller diameter is adopted by keeping the porosity constant, the filtration efficiency is found to increase. Especially, the combination of 18, 14, 10 micrometer fiber sheets exhibits more efficient filtration performance without increasing the pressure drop. As for the continuous regenerating trap (CRT), the oxidation of carbon particles starts around 420 °C, and more carbon particles are oxidized at higher temperature. At 600 °C, the pressure drop can be kept smaller, because a part of deposited particles is continuously oxidized. At 800 °C, the filtration efficiency is worsened with the larger pressure drop. From the above experimental data, the CRT at 600 °C is suitable. When the fiber surface is coated with Pt catalyst, the oxidation of carbon particles starts at 250 °C. When the temperature is higher than 330 °C, the pressure drop of the catalyzed filter is even smaller than that of the non-catalyzed filter. It is concluded that the coating of catalysts is effective for reducing the pressure drop as well as the oxidation temperature.

1 **1. Introduction**

2 Particulate matters (PMs) including soot are a main source of air pollution, which could pose a major
3 threat to our health and the climate change [1]. It has been confirmed that diesel exhaust is treated as one of
4 the major sources of PM. Hence, authorities in many countries have introduced tighter emission standards
5 based on the particle mass and the particle number [2,3], and a so-called diesel particulate filter (DPF) has
6 been applied in the diesel exhaust after-treatment system [4-6].

7 On the other hand, in the case of the gasoline vehicles, the situation is rather complicated. In fact, in
8 comparison with diesel vehicles, PM emissions from traditional port fuel injection (PFI) gasoline engines are
9 known to be very low. However, especially in the European countries, gasoline direct injection (GDI) vehicles
10 are expanding their share of the market on the grounds of better fuel efficiency [7]. The disadvantage is that
11 GDI engines emit considerably more PM than PFI engines [8-10]. In light of more stringent regulations, some

12 automobile manufacturers have decided to equip modern gasoline vehicles with a gasoline particulate filter
13 (GPF) [3, 11].

14 It is worth noting that there are several important characteristics of the gasoline exhaust, which could be
15 different from those of the diesel exhaust. One is that the gasoline exhaust gas temperature is expectedly
16 higher. Similar to DPF, GPF requires the frequent filter regeneration [12]. It should be noted that, during the
17 regeneration, the diesel filter would be clacked or partially damaged in the presence of catalysts due to the
18 sudden and/or unexpected temperature rise [13]. It may cause the irreversible damage to downstream
19 after-treatment system components such as a well-known three way catalyst (TWC) [8,14]. Even in the case
20 of diesel vehicles, the catalyzed DPF is widely used to reduce the regeneration temperature [15-17]. Similarly,
21 we must avoid the damage of the gasoline after-treatment device due to the large temperature increase. That is,
22 in view of durability, it may be inappropriate to oxidize the deposited soot in the filter at higher temperature

23 during the filter regeneration [18-20], because it causes the serious fuel penalty [10,13,15] as well as an
24 additional risk of melting the filter [17]. Additionally, compared with the diesel soot, the particulate size from
25 GDI is much smaller [2], and the number ratio of smaller particles is quite high in the gasoline exhaust. It may
26 be difficult to attain enough filtration efficiency. From the above, an establishment of a new filtration system
27 with enough thermal durability would be urgently required.

28 The focus of this work was an application of SiC fibers for the filtration system, which had been used
29 for trapping diesel soot [20]. It could be potentially applied to GPFs. In our previous numerical simulation
30 [21], we have reported that the porosity of SiC fiber can be increased up to 0.9, and the filter backpressure is
31 expectedly low. Typically, in the case of the honeycomb filter, its porosity is lower than 0.6 [4,6,18,19].
32 Moreover, the SiC fiber has enough thermal durability. So far, any optimizations have not been conducted.
33 One difficulty is that characteristics of gasoline particles unquestionably depend on fuel properties, exhaust

34 gas components, engine conditions, and driving cycles. Within the budget of time and resources, more
35 laboratory results are needed for the system design in the light of fundamental knowledge of filtration and
36 oxidation of the exhaust soot.

37 Here, in order to focus on non-volatile particles in the exhaust, we used carbon particles as model soot
38 formed by a carbon particle generator [22]. The size distribution of particles is very stable and repeatable. If
39 the conditions of nanoparticles in the feed gas are fixed, it would be much easier to optimize the filter
40 properties such as the fiber diameter and the porosity [20,21]. Three fiber diameters of 10, 14, 18 μm were
41 tested, and each fiber sheet was 1 mm thickness. To simulate a continuous regenerating trap, a tubular furnace
42 was used, by which it was possible to control the mimic exhaust temperature [22]. To promote the carbon
43 oxidation, the coating of Pt catalyst was newly tried [6,19,23].

44

45 **2. Experimental setup**

46 The filtration efficiency was evaluated by the measurement of the particle number concentration. The
47 experimental setup during the filtration is shown in Fig. 1. The carbon particle generator was used to produce
48 particles that were added to the mimic exhaust flow. To measure the pressure drop across the filter,
49 corresponding to the filter backpressure, the pressure transmitter was used. One was connected to the flow
50 pipe in front of the filter, and the other was set for detecting the atmospheric pressure. In the carbon oxidation
51 experiments, the particles were oxidized by the temperature-programmed reaction (TPR) system equipped
52 with the tubular furnace [21]. The temperature up to 1200 °C could be set. The components of CO, CO₂ and
53 O₂ were measured. The details are explained below.

54

55 *2.1. Tubular furnace*

56 To cause the oxidation of carbon particles at any fixed temperature, the electric tubular furnace,
57 ARF-30MC (Asahi Rika Seisakusho Co. Ltd., Japan), was used [22], with a digital temperature controller,
58 AMF-S (Asahi Rika Seisakusho Co. Ltd., Japan). The gas flow was heated with a temperature adjustment
59 within the error of ± 2.5 °C. For controlling the furnace temperature as well as for monitoring the filter inlet
60 temperature, two thermocouples were used. It was confirmed that the temperature inside the furnace was
61 quite uniform. In this experiment, the operating temperature was increased up to 820 °C to simulate the
62 continuous regenerating trap (CRT) system. This could be similar to the filtration combustion at constant
63 temperature [24].

64 To flow the carbon particles in the mimic exhaust gas, a quartz reaction tube with an external diameter
65 of 30 mm (internal diameter of 26 mm) and 560 mm length was inserted through the furnace, and the filter
66 was set inside the quartz tube. The inflow velocity of 10 cm/s was given, which was the total flow rate divided

67 by the cross-sectional area of reaction tube. Considering the furnace length of 300 mm, the residence time was
68 3 s. The mole fraction of oxygen was set to be 10 % in the gas flow [25,26]. Since there might be no oxygen
69 in the stoichiometric gasoline exhaust, 10 % may be achieved by supplying an outer air to the exhaust.

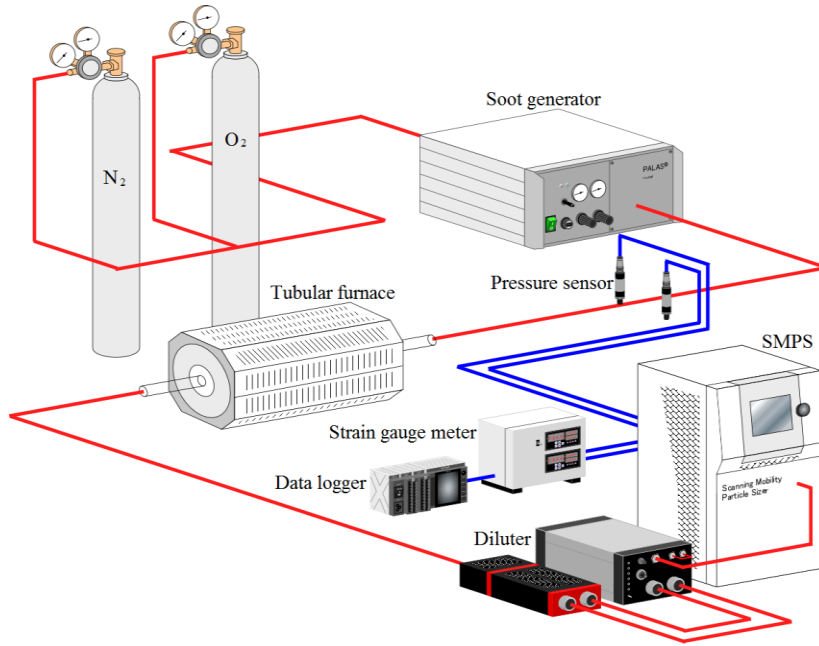
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71 2.2. *Carbon particle generator*

72 The carbon particle generator (DNP-2000; Palas GmbH, Germany) has been widely used in aerosol
73 science [27]. It can generate carbon particles having a fixed size distribution, which is suitable for laboratory
74 experiments [22]. The principle involves the use of two highly purified graphite rods as electrodes with a
75 discharge of 3000 V to generate nanoparticles. The particle concentration was controlled by changing the
76 discharge voltage. It produces the primary particles in the range of 20 to 300 nm, close to the real diesel or
77 gasoline soot [4,28]. The maximum of the particle feeding is the order of 10^7 1/cm³.

78 *2.3. Measurement of particle size and gas component*

79 The particle size measurement system was composed of a dilution device (MD19-2E; Matter
80 Engineering AG, Switzerland), a high efficiency particulate air (HEPA) filter, a scanning mobility particle
81 sizer (SMPS3034; TSI Incorporated, USA) and a personal computer for data analysis. The sampling period of
82 SMPS was 3 min. To reduce the effect of static charge for minimizing particle loss along the tubing wall, the
83 conductive silicone tubing (TSI Incorporated) was used. The dilution device was needed to avoid the particle
84 aggregation. After preliminary tests for sampling, the dilution ratio by using the air was set to be 500:1 [22,29].
85 The gases of CO, CO₂ and O₂ were detected by an infrared gas analyzer (CGT-7000; Shimadzu Corporation,
86 Japan). The concentration accuracy was 1 ppm.



87

88 Fig. 1 Experimental setup for the particle size measurement during the filtration.

89

90 3. Results and discussion

91 3.1. Particle trap

92 Before evaluating the filtration performance, we observed the microscopic structure of fibers to see the

93 change in appearance during the filtration. To monitor the deposition of carbon particles, three images of

94 initial fiber together with those at the duration time of 30 and 90 min are shown in Fig. 2. These were

95 visualized by using a photomicrography of VK-9500 (Keyence Japan). The filtration test was conducted at
96 room temperature, so that no particle oxidation occurred. In this figure, two sheets of 10 μm fiber diameter
97 were used. Figure 2a shows the fiber structure before the particle deposition, showing the assembly of
98 intertwined straight fibers. In Fig. 2b, the carbon particles adhered on the surface of fibers. When more
99 particles were deposited in Fig. 2c, the thick layer of carbon particles was formed. The above deposition
100 processes are called a depth filtration and a cake filtration, respectively [30].

101 Next, we measured the particle number concentration to evaluate the particle trap quantitatively. In
102 this experiment, the temperature was also room temperature (25 $^{\circ}\text{C}$). Figure 3 shows the particle size
103 distributions. Here, D_p is the so-called mobility diameter measured by SMPS. Two sheets of 10 μm fiber
104 diameter were used. The size distribution of 0 min is the distribution at the filter inlet, and three distributions of
105 9, 21, 30 min of the duration time are shown. Gradually, as the time evolves, the particle number decreases.

106 After 9 min, the total particle number was reduced by half. During the filtration, the particle size distribution
107 kept the similar shape, suggesting that the filtration efficiency could be independent on the particle size.

108 In terms of fiber properties, we focused on the porosity and the fiber diameter. First, the effect of the
109 porosity was examined by changing the density of the fiber sheet. The true density of the fiber is 2.48 g/cm^3 ,
110 and the number of fibers per unit volume was specifically varied by setting the different porosity. Expectedly,
111 the better filtration efficiency would be achieved if the porosity of the filter is reduced, because the number of
112 fibers for filtration is monotonically increased. The filtration efficiency is shown in Fig. 4a, together with the
113 pressure drop (Δp) in Fig. 4b. Five different porosity of 0.92, 0.93, 0.94, 0.95 and 0.96 were tested, using two
114 fiber sheets of $10 \text{ }\mu\text{m}$ -diameter. In general, the porosity of the well-known wall-flow DPF is in the range from
115 0.4 to 0.6 [4-6]. The porosity of SiC fiber filter is much higher, and we can reduce the pressure drop easily.
116 Here, the filtration efficiency (f_N) is described by

117

$$118 \quad f_N = \frac{\sum_i N_0(D_{pi}) - \sum_i N(D_{pi})}{\sum_i N_0(D_{pi})} \quad (1)$$

119

120 where N_0 is the number of particles at the filter inlet, and N is the number of particles passing through the filter.

121 The above filtration efficiency is based on the particle number [4,6]. Its total error (variation) caused by the

122 experimental variability such as the difference between samples and the time-variation in feeding particles

123 would be within 10 %.

124 Independent of the porosity, as the duration time evolves, the filtration efficiency is improved, but the

125 pressure drop also becomes larger. As seen in Fig. 2b, carbon particles are deposited along the fibers, with less

126 spacing between fibers. Resultantly, the filtration efficiency becomes higher, but the pressure drop accordingly

127 increases. As the porosity is lower, the filtration efficiency is increased, but the pressure drop becomes larger,

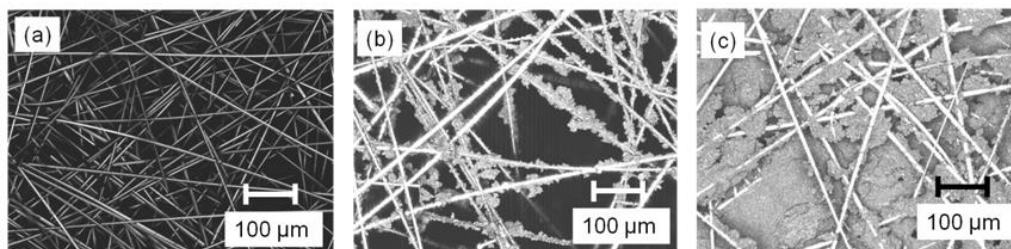
128 simply because it is difficult to pass the flow through the dense layer of deposited particles. However, in Fig.
129 4a, an insignificant improvement of filtration efficiency from the porosity of 0.95 and below is recognized.
130 Further, in Fig. 4b, the pressure drop largely increases when the porosity is lower than 0.93. Then, the porosity
131 of 0.94 could be more like a turning point. Afterwards, we set the porosity to be 0.94.

132 Next, effects of the fiber diameter were examined, which is shown in Fig. 5. Here, we used a set of
133 three fiber sheets. First and second choices were three sheets with the same fiber diameter of 10 or 14 μm .
134 The third choice was three different sheets, which was the combination of 18, 14, 10 μm -diameter sheets.
135 Needless to say, when the porosity of each fiber sheet is the same, the number of fibers becomes smaller as
136 the fiber diameter is larger. Thus, the sparse sheet of thick fibers was set more upstream, and conversely, the
137 dense of thin fibers was set more downstream. It is seen that, under the constant porosity condition, the
138 filtration efficiency of three 14 μm -diameter sheets is the worst, and that of three 10 μm -diameter sheets is the

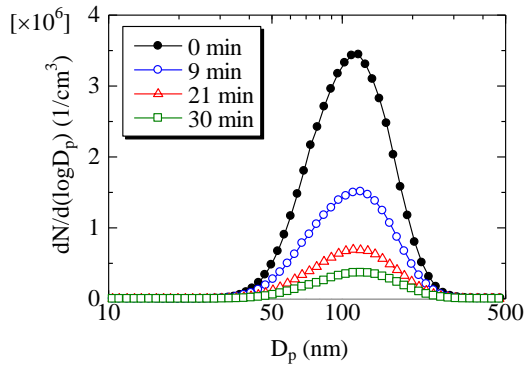
139 best. Interestingly, the combination of 18, 14, 10 μm -diameter sheets almost exhibits the same filtration
140 efficiency as that of three 10 μm -diameter sheets, but the pressure drop is much smaller. Based on our
141 numerical simulation, it is found that, when the fiber sheet of the larger diameter is placed more upstream, the
142 deposition of carbon particles widely occurs inside the filter, resulting in the smaller pressure drop [21]. Then,
143 the combination of 18, 14, 10 μm fiber sheets is the best choice for the better filtration with the smaller
144 pressure drop.

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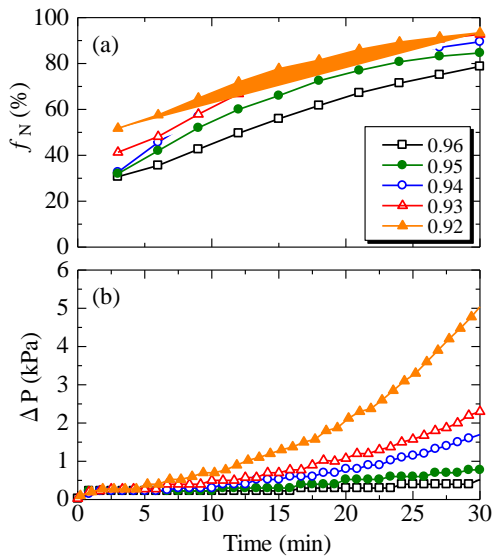


147 Fig. 2 Visualization of SiC fibers at the filtration time of (a) 0 min (b) 30 min (c) 90 min.



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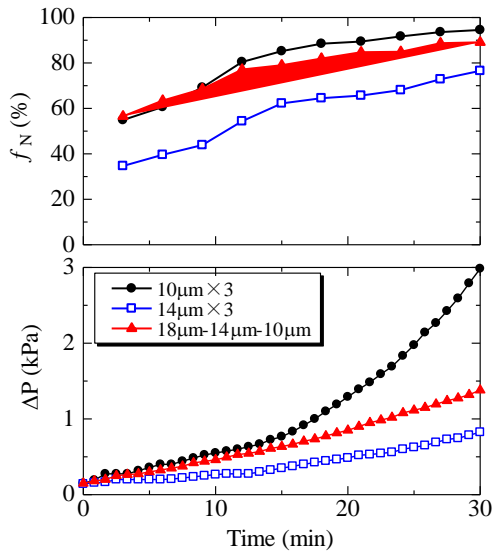
149 Fig. 3 Particle size distributions at 0, 9, 21, 30 min.



150

151 Fig. 4 (a) filtration efficiency and (b) pressure drop at different porosity.

152



153

154 Fig. 5 (a) filtration efficiency and (b) pressure drop at different fiber diameter.

155

156 3.2. Continuous regenerating trap (CRT)

157 It is important to emphasize that the filter back-pressure must be kept at lower level, because the larger

158 back-pressure would increase the fuel consumption rate and decrease the available torque [3-7,18-20]. Then,

159 we need to oxidize the excessive deposited soot to avoid the larger pressure drop. Accordingly, a

160 comprehensive understanding of soot oxidation during the filter regeneration is required [31]. However, we

161 cannot observe the oxidation process directly, because most of particles are trapped by the filter. In order to
162 evaluate the variation of the size and their number concentration of trapped particles during the filter
163 regeneration, we firstly investigated the particle oxidation process without the filter.

164 Figure 6 shows the size distributions of carbon particles by setting the furnace temperatures of 25, 345,
165 422, 530, 660 and 820 °C. Little change is observed until the temperature is 422 °C. At 530 °C, the size
166 distribution is only shifted to the smaller particle size, with the same particle number. At 660 °C, the size
167 distribution is more shifted with fewer particles. At 820 °C, the size distribution is largely shifted and the mode
168 particle size which is almost the peak diameter is reduced by more than 50%, compared to the original value
169 before the particle oxidation.

170 Since CO and CO₂ are produced by the oxidation of carbon particles, the amount of oxidized particles
171 are quantified based on these concentrations. Figure 7 shows the total concentration of CO and CO₂. The

172 volume fraction of carbon particles in the flow (ϕ_v) is also indicated. As seen in Fig. 6, at 422 or 530 °C, only
173 the particle size is smaller, but the particle number is almost the same. Hence, for evaluating the carbon
174 oxidation, the volume fraction could be more suitable than the particle number. Obviously, concentrations of
175 CO and CO₂ become higher as the furnace temperature is increased, because more carbon particles are
176 oxidized. The temperature at which ϕ_v starts to decrease matches the temperature at which CO and CO₂ are
177 produced. Thus, it is concluded that the particle size starts to decrease around 420 °C, and then, the particle
178 number subsequently decreases due to the complete oxidation.

179 Finally, the filtration at higher temperature was tested. The combination of 18, 14, 10 μm fiber
180 sheets was used. Controlling the inlet temperature of the filter, the filtration efficiency and the pressure drop
181 were monitored by feeding the carbon particles. This situation is similar to the continuous regenerating trap
182 (CRT) system [19,32]. By considering the fact that the particle size would firstly decrease before the particle

183 number reduction, it is better to adopt the filtration efficiency based on the particle mass. Here, the filtration

184 efficiency (f_m) is described by

185

$$186 \quad f_m = \frac{\rho \sum_i N_0(D_{p,i}) \cdot d_i^3 - \rho \sum_i N(D_{p,i}) \cdot d_i^3}{\rho \sum_i N_0(D_{p,i}) \cdot d_i^3} \quad (2)$$

187

188 where ρ is the true density of carbon particles ($=1.8 \text{ g/cm}^3$). Four different inlet temperatures of 25 (room

189 temperature), 500, 600, 800 °C were given. The resultant filtration efficiency and the pressure drop are shown

190 in Fig. 8. As already explained, no particle oxidation occurs at 25 °C. At this temperature, the cake filtration

191 with 100 % filtration efficiency is achieved at 90 min (see Fig. 2c). It is noted that, as seen in Fig. 7, the

192 oxidation of carbon particles is greatly accelerated when the temperature is higher than 500 °C.

193 In the CRT system, the particle filtration and the oxidation would occur simultaneously. Thus, in the

194 calculation of f_m , the particle which is neither oxidized nor trapped is counted for the leakage through the filter.

195 Intuitively, at higher temperature, it is expected that the filtration efficiency be better with the smaller pressure

196 drop, because more particles are oxidized. However, up to 500 °C, the pressure drop is even larger than that of

197 25 °C, because the higher temperature enlarges the pressure drop due to the flow expansion. Interestingly, at

198 600 °C, the pressure drop can be kept smaller, because a part of deposited particles is continuously oxidized.

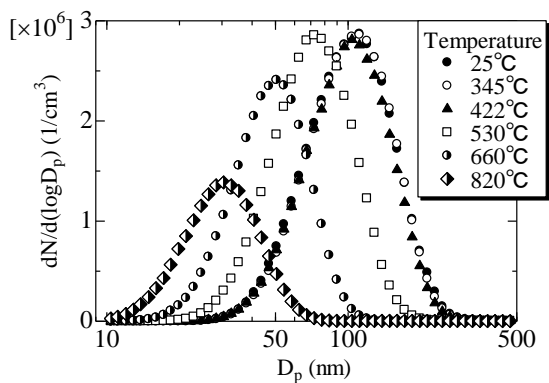
199 Simultaneously, in Fig. 8a, the filtration efficiency becomes 100 % at an earlier stage. This is because trapped

200 particles play a role in the filtration, and the suitable deposition layer of particles is formed. Inversely, at 800

201 °C or higher, the filtration efficiency is worsened with the larger pressure drop. Then, it is derived that the CRT

202 at 600 °C would be ideal condition where the 100 % filtration can be kept with the smaller pressure drop.

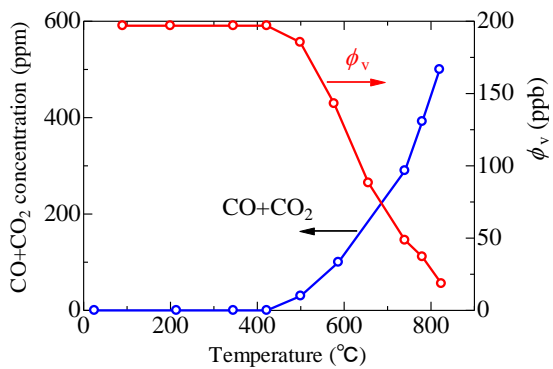
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205 Fig. 6 Particle size distributions at different furnace temperature.

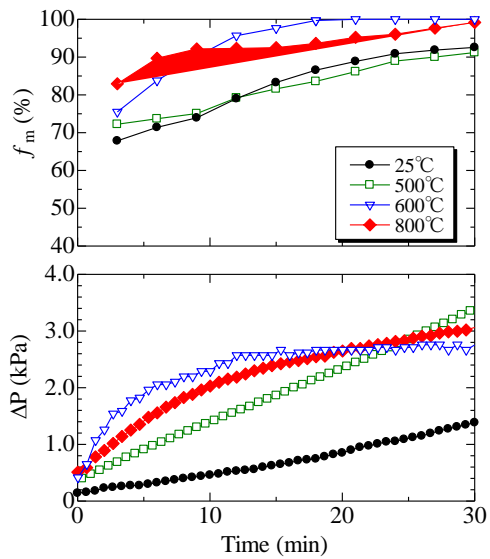
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208 Fig. 7 Total concentrations of CO and CO₂ and volume fraction of carbon particles.

209



210

211 Fig. 8 (a) filtration efficiency and (b) pressure drop at different furnace temperature.

212

213 3.3. Filter regeneration with catalysts

214 In this section, the Pt-catalyzed filter was tested mainly for the reduction of oxidation temperature. In

215 experiments, the combination of 18, 14, 10 μm -diameter sheets was adopted. First, a Scanning Electron

216 Microscopy (S-3400N; Hitachi High-Technologies Corporation) coupled with EDX (X-Max; Oxford

217 Instruments) spectral data was applied to check the catalyst coating of the fiber. Figure 9 is the enlarged image

218 of 14 μm -diameter fiber, which was sampled from the fiber sheet. Unfortunately, when one fiber was taken, a
219 part of Pt-coating was peeled off. In this picture, two points were selected for the energy dispersive X-ray
220 spectroscopy. Point A shown by a filled circle was the Pt-coating layer, and point B shown by a triangle was
221 the detached area of the catalyst. The elements of C, O, Si and Pt were analyzed. The catalyst surface of point
222 A was mostly composed of Pt (93.5% Pt, 3.8% C, 0.7% O and 2.0% Si), in contrast to their weight percent of
223 point B: 3.1% Pt, 38.5% C, 5.9% O and 52.5% Si. It was confirmed that the fiber was successfully coated
224 with Pt-catalysts.

225 Then, the effect of catalysts on the filtration was examined. Figure 10 shows the filtration efficiency
226 and the pressure drop. The temperature was 25 °C. One was the catalyzed filter, and the other was the
227 non-catalyzed filter. Both were composed of 18, 14, 10 μm -diameter sheets. As shown in Fig. 9, the catalyzed
228 fiber was thickened. Resultantly, there is less space between fibers, and the porosity was slightly reduced. As

229 seen in Fig. 10a, in the case of the catalyzed filter, the filtration efficiency is higher. It seems to be reasonable,
230 because the carbon particles would be easily trapped with narrow space between fibers. On the other hand, the
231 pressure drop of the catalyzed filter in Fig. 10b is increased.

232 Figure 11 shows the pressure drop of the filter composed of 18, 14, 10 μm -diameter sheets during
233 simple regeneration with and without catalysts. Initially, the same amount of carbon particles were deposited
234 inside both filters, corresponding to the conditions at $t = 30$ min in Fig. 10. At this point, the pressure drop of
235 the catalyzed filter was larger. Then, the temperature-programmed reaction (TPR) was started to evaluate the
236 effects of the catalyst on the carbon oxidation [8]. The heating rate was 10 $^{\circ}\text{C}/\text{min}$. At the beginning, by
237 increasing the temperature from 25 $^{\circ}\text{C}$, both pressure drops gradually increase due to the flow expansion. At
238 250 $^{\circ}\text{C}$, the pressure drop of the catalyzed filter starts to decrease, because carbon particles are oxidized by the
239 catalysts. Thus, from the view point of the pressure rise, the temperature of 250 $^{\circ}\text{C}$ is a balanced point where

240 the negative effect of the flow expansion could be canceled by the positive effect of the carbon oxidation.

241 Afterwards, when the temperature is higher than 330 °C, the pressure drop of the catalyzed filter is even

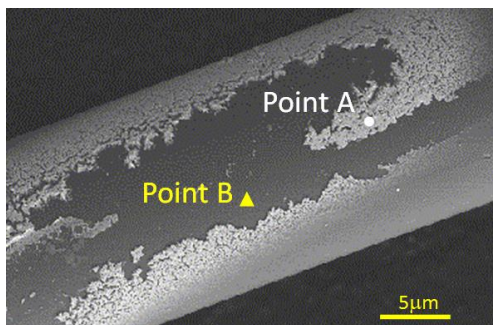
242 smaller than that of the non-catalyzed filter. It is seen that the pressure drop of the non-catalyzed filter turns to

243 decrease at 440 °C, simply because the carbon oxidation starts around this temperature (see Fig. 7). We could

244 confirm that the coating of the catalysts is very effective for reducing the pressure drop as well as the oxidation

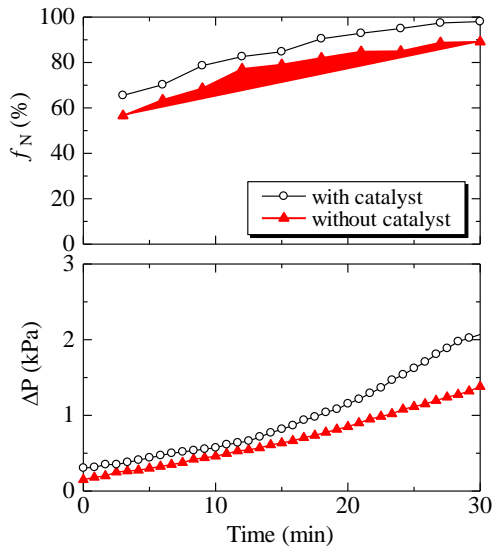
245 temperature.

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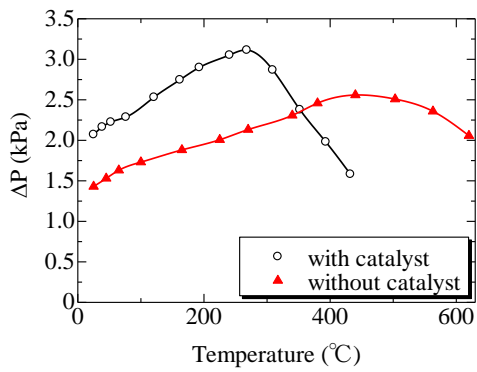
248 Fig. 9 SEM image of catalyzed SiC fiber of 14 μm is shown for energy dispersive X-ray spectroscopy.



249

250 Fig. 10 (a) filtration efficiency and (b) pressure drop with and without catalyst at temperature of 25 °C.

251



252

253 Fig. 11 Pressure drop during regeneration with and without catalyst.

254

255 4. Conclusions

256 In this work, the filter of SiC fibers was applied for exhaust gas filtration, by using carbon particles as
257 model soot. Focusing on the porosity and the fiber diameter, the filtration efficiency and the pressure drop
258 were examined. As for the filter regeneration, the inlet temperature of the filter was changed by the tubular
259 furnace to simulate the continuous regenerating trap (CRT) as well as the simple oxidation of deposited
260 particles. The following conclusions were derived:

261 (1) In the case of SiC fibers, particles are trapped by the depth filtration and the cake filtration. As the porosity
262 of the filter is decreased, the filtration efficiency is higher, but the pressure drop becomes larger. When the
263 porosity is lower than 0.94, the improvement of filtration efficiency is not enough. As the fiber diameter is
264 reduced by keeping the porosity constant, the higher filtration efficiency is achieved, but the pressure drop
265 accordingly increases. The combination of 18, 14, 10 μm -diameter sheets exhibits more efficient filtration

266 together with the smaller pressure drop.

267 (2) The oxidation of carbon particles starts around 420 °C. At higher temperature, more particles are oxidized,

268 but the pressure drop is larger, because the higher temperature enlarges the pressure drop due to the flow

269 expansion. At 600 °C, it is possible to reduce the pressure drop by keeping the 100 % filtration, because

270 the desirable layer of deposited particles is formed. At 800 °C or higher, the filtration efficiency is

271 worsened with the larger pressure drop. Then, the CRT at 600 °C is suitable.

272 (3) It was confirmed that the fiber was successfully coated with Pt-catalysts. When the temperature is

273 relatively low, the pressure drop of the catalyzed filter is always larger because pores between fibers are

274 partially plugged. At 250 °C, the pressure drop of the catalyzed filter turns to decrease. When the

275 temperature is higher than 330 °C, the pressure drop of the catalyzed filter is even smaller than that of the

276 non-catalyzed filter. Therefore, the coating of the catalysts is effective for reducing the pressure drop as

277 well as the oxidation temperature.

278

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