#### Passivation Mechanism of the High-performance Titanium Oxide 1

### Carrier-selective Contacts on Crystalline Silicon Studied by 2

#### **Spectroscopic Ellipsometry** 3

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10 Variable-angle spectroscopic ellipsometry (SE) analysis is performed to study the impact of post-deposition annealing on the passivation performance of the heterocontacts 11 12 consisting of titanium oxide and silicon oxide on crystalline silicon (c-Si) prepared by 13

atomic layer deposition (ALD) for development of the high performance

14 ALD-TiO<sub>x</sub>/SiO<sub>y</sub>/c-Si heterocontacts. The highest lifetime of 1.8 ms is obtained for the

TiO<sub>x</sub>/SiO<sub>y</sub>/c-Si heterocontacts grown at 175 °C after annealing at 275 °C for 3 min. With 15

increasing annealing temperature, the  $TiO_x$  layers of the  $TiO_x/SiO_y/c$ -Si heterocontacts

become dominant. Furthermore, the amplitude of dielectric functions of the ALD-TiO<sub>x</sub>

layer decreases as annealing temperature increases, which suggests that enhanced diffusion

of Ti into SiO<sub>v</sub> interlayers at higher annealing temperature. The sufficient diffusion of Ti

atoms into SiO<sub>v</sub> interlayers is caused by annealing at 275 °C for 3 min, yielding high

21 quality interface passivation.

## 1. Introduction

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2 Passivation of crystalline silicon (c-Si) surface is crucial technique to achieve high performance silicon heterojunction (SHJ) solar cells.<sup>1-3)</sup> SHJ solar cells exhibit high power 3 conversion efficiency by using the stacks of intrinsic and doped hydrogenated amorphous 4 silicon (a-Si:H).<sup>4,5)</sup> The intrinsic a-Si:H (i-a-Si:H) is of importance for the conventional SHJ 5 solar cells due to its excellent passivation effect. The i-a-Si:H passivating contacts reduces 6 defects at a-Si:H/c-Si heterointerfaces, which leads to high open-circuit voltage.<sup>6,7)</sup> Recently, 7 titanium oxides (TiO<sub>x</sub>) prepared by atomic layer deposition (ALD) attracts much attention as 8 9 a novel carrier-selective contact, since the ALD- $TiO_x$  provides high effective carrier lifetime (τ<sub>eff</sub>), often used as quantitative figure of merit for passivation performance, after post 10 deposition annealing. 8-17) Indeed, Yang et al. demonstrated high power conversion efficiency 11 by the SHJ solar cells using ALD-TiO<sub>x</sub> heterocontacts. <sup>18)</sup> 12 13 So far, we have developed the ALD-TiO<sub>x</sub>/SiO<sub>y</sub>/c-Si heterocontacts for use in SHJ solar cells and thus obtained the high  $\tau_{\rm eff}$  of 1.4 ms after forming gas annealing (FGA).<sup>17)</sup> 14 15 Furthermore, the passivation mechanism of ALD-TiO<sub>x</sub>/SiO<sub>y</sub>/c-Si was investigated by 16 combining high resolution transmission electron microscope and electron energy loss spectroscopy and hence we concluded that diffusion of Ti and O atoms into the SiO<sub>v</sub> 17 interlayer cause the enhancement of passivation performance.  $^{17)}$  Recently, the high  $\tau_{eff}$  of 1.7 18 ms has been demonstrated after FGA by employing the SiO<sub>v</sub> interlayer prepared by mixture 19 20 of hydrochloric acid, hydrogen peroxide and deionized water, often called standard clean 2 (SC2).<sup>19)</sup> Awaji et al. reported that the SiO<sub>v</sub> layer prepared by the SC2 solution is the lowest 21 film density. 20,21) Therefore, we considered that the highest passivation is caused by the 22 23 enhanced diffusion of Ti atoms around the TiO<sub>x</sub>/SiO<sub>y</sub> heterointerfaces owing to the SiO<sub>y</sub> 24 interlayer with low density. Although comprehension of passivation mechanism of the 25 ALD-TiO<sub>x</sub>/SiO<sub>y</sub>/c-Si heterocontacts is significantly important for further sophistication of SHJ solar cells, the diffusion of Ti is not fully unveiled. 26 27 Variable-angle spectroscopic ellipsometry (SE) is a powerful tool to determine layer thickness and optical constant of ultrathin films.<sup>22,23)</sup> The SE exhibits extreme sensitvity of 28 layer thickness with Angstrom order.<sup>24)</sup> Furthermore, The dielectric function of materials 29 and its structural properties strongly correlate with each other, meaning the structural 30 properties can be predicted by the dielectric function. Direct observation of local structure in 31 atomic scale is possible by transmission electron microscope, however large quantity of 32 samples cannot be performed in a limited time. X-ray spectroscopy is sensitive to surface 33 and thus the effect of surface contamination against ultrathin films is not neglected. Since the 34

- layer thickness and optical constant of very thin films can be nondestructively and instantly
- 2 investigated by SE, we address the local diffusion at the TiO<sub>x</sub>/SiO<sub>y</sub> heterointerfaces by using
- 3 SE.
- In this article, we studied the change in layer thickness and optical constant of the
- 5 ALD-TiO<sub>x</sub>/SiO<sub>y</sub>/c-Si heterocontacts by variable-angle SE so as to investigate diffusion of Ti
- atoms into the SiO<sub>v</sub> interlayers after FGA. Although part of this paper is presented in in
- 7 SSDM2020<sup>25)</sup>, we expanded the range of growth temperature and analysis is dielectric
- 8 function is included to discuss the diffusion of Ti atoms into the  $SiO_{\nu}$  interlayers.

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# 2. Experimental methods

- 11 Floating zone grown, double side mirror-polished c-Si(100) wafers were used as substrates.
- 12 The wafer thickness and resistivity were  $280 \pm 20 \mu m$  and 2-4  $\Omega$ ·cm, respectively. Prior to
- depositing the ALD-TiO<sub>x</sub>, the c-Si substrates were cleaned by 5% hydrofluoric acid to strip
- off the native oxide on the c-Si substrates. Following the c-Si substrates were rinsed in
- deionized water (DIW), they were dipped into SC2 solutions (hydrochloric acid/hydrogen
- peroxide/deionized water = 1:1:6) for 10 min to form ultrathin  $SiO_v$  layers. The substrates
- were loaded in ALD chamber after rinse in DIW. The  $\sim$ 3-nm-thick TiO<sub>x</sub> layer was deposited
- on both sides of the c-Si substrates by ALD (GEMStar-6, Arradiance Inc.). In the ALD
- 19 process, the titanium precursor, oxidizer and purge gas were tetrakis-dimethyl-amido
- 20 titanium (TDMAT), H<sub>2</sub>O and N<sub>2</sub> (99.9995%), respectively. The bottle for TDMAT was
- heated at 60 °C during ALD process. The deposition temperatures ( $T_{\rm depo}$ ) were 125, 150, 175,
- 22 200 and 225 °C. After depositing the TiO<sub>x</sub>, FGA was carried out in the mixture gas of 97%
- Ar and 3%  $H_2$  in order to improve passivation effect. The annealing temperature ( $T_{anneal}$ ) was
- varied from 150 to 350 °C and the annealing duration was fixed at 3 min.
- The injection-dependent  $\tau_{\rm eff}$  of the  ${\rm TiO_x/SiO_y/c\text{-}Si}$  heterocontacts before and after FGA
- was measured by WCT-120TS lifetime tester (Sinton Instrument) at room temperature to
- 27 investigate surface passivation performance.  $^{26,27)}$  The layer thickness and TiO<sub>x</sub> dielectric
- function  $\varepsilon_{\text{TiOx}}(E) = \varepsilon_1(E) i\varepsilon_2(E)$  were characterized by variable angle SE (M-2000DI, J. A.
- Woollam). In all SE measurements, the amplitude ratio ( $\psi$ ) and phase difference ( $\Delta$ ) spectra
- were acquired at three different incident angles of 65, 70 and 75°. To model dielectric
- 31 functions of the ALD-TiO<sub>x</sub> layer, Tauc-Lorentz model was employed. The Tauc-Lorentz
- model is widely applied to amorphous materials and the  $\varepsilon_2(E)$  of the Tauc-Lorentz model is
- expressed by the product of Lorentz model and Tauc gap as follows,

$$\varepsilon_{2}(E) = \begin{cases} \frac{ACE_{0}(E - E_{g})^{2}}{(E^{2} - E_{0}^{2})^{2} + C^{2}E^{2}} \frac{1}{E} & (E > E_{g}), \\ 0 & (E \leq E_{g}), \end{cases}$$
(1)

- where, A, C,  $E_0$ , and  $E_g$  are the amplitude parameter, broadening parameter, peak transition
- energy, and Tauc optical gap, respectively. 28,29 The  $\varepsilon_1(E)$  of the Tauc-Lorentz model is
- 3 obtained by using Kramers-Kronig relations and is given by

$$\varepsilon_1(E) = \varepsilon_1(\infty) + \frac{2}{\pi} P \int_{E_a}^{\infty} \frac{\xi \varepsilon_2(\xi)}{\xi^2 - E^2} d\xi, \tag{2}$$

4 where the P represents the Cauchy principal part of the integral and  $\varepsilon_1(\infty)$  is the

- 5 energy-independent parameter at high energy. <sup>28,29)</sup> The analytical solution of Eq. (2) is given
- 6 elsewhere. 28,29) For the SiO<sub>y</sub> interlayers, the optical constant was determined by Sellmeier
- 7 model.<sup>30)</sup> The optical constant of the SiO<sub>y</sub> interlayers was determined by analyzing single
- 8 SiO<sub>y</sub> layer on c-Si substrate and was fixed for analyses on the  $TiO_x/SiO_y/c$ -Si heterocontacts.
- 9 Thereby, seven parameters  $\{A, C, E_0, E_g, \varepsilon_1(\infty), t_{\text{TiOx}}, t_{\text{SiOy}}\}\$  were fitted in SE analyses and
- thus  $\varepsilon_{TiOx}$  (E) were derived, where  $t_{TiOx}$  and  $t_{SiOy}$  represent layer thickness of the ALD-TiO<sub>x</sub>
- layers and the SiO<sub>v</sub> interlayers, respectively. The schematic optical model is illustrated in
- Figure 1.

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### 3. Results and discussion

- Figure 2 (a) shows the  $\tau_{\rm eff}$  of the  $TiO_x/SiO_y/c$ -Si heterocontacts grown at 125, 175 and
- 16 225 °C as a function of minority carrier density (MCD). The  $\tau_{eff}$  are improved after FGA at
- 17 275 °C for 3 min. Figure 2 (b) and (c) shows the impact of  $T_{\text{anneal}}$  and  $T_{\text{depo}}$  on  $\tau_{\text{eff}}$  of the
- 18 TiO<sub>x</sub>/SiO<sub>y</sub>/c-Si heterocontacts at MCD of  $1 \times 10^{15}$  cm<sup>-3</sup>, respectively. The  $\tau_{\rm eff}$  tends to
- increase with increasing  $T_{\rm anneal}$  from 150 to 275 °C, while the decrease in the  $\tau_{\rm eff}$  set in
- 20  $T_{\text{anneal}} = 320$  °C. From Fig. 2 (c), the  $\tau_{\text{eff}}$  increased with increasing  $T_{\text{depo}}$  upto 175 °C and
- decreased at  $T_{\rm depo}$  from 200 °C. The highest lifetime of 1.8 ms was obtained for the
- 22 TiO<sub>x</sub>/SiO<sub>y</sub>/c-Si heterocontacts grown at 175 °C after FGA at 275 °C for 3 min. It is stated
- 23 that Ti diffusion into the SiO<sub>v</sub> interlayers is a key to improve the passivation
- 24 performance.<sup>17)</sup> To investigate the Ti diffusion, variation of layer thickness and dielectric
- 25 functions were characterized by SE analyses.
- Figure 3 shows amplitude ratio ( $\psi$ ) and phase difference ( $\Delta$ ) spectra of the
- TiO<sub>x</sub>/SiO<sub>y</sub>/c-Si heterocontacts fabricated at  $T_{\rm depo} = 175$  °C (a) before and (b) after annealing
- 28 at 275 °C for 3 min. The mean square error (MSE) values of all SE analyses were ranged
- in 1.2-1.8, indicating the optical model was reasonable. Reiners et al. reported that  $T_{\text{depo}}$

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that the TiO_x is amorphous after FGA.<sup>17)</sup> These results suggest the ALD-TiO_x layers are
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       amorphous before and after FGA. A good fitting to experimental data is obtained by using
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       the optical model, indicating dielectric function modeling of the TiO<sub>x</sub>/SiO<sub>y</sub>/c-Si
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       heterocontacts can be performed.
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          Figure 4 shows (a) total layer thickness of the TiO_x/SiO_y/c-Si heterocontacts (t_{total}), (b)
       ratio of t_{TiOx} to t_{total} and (c) t_{SiOy} to t_{total}. Compared with the as-deposited samples, t_{total} and
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       t_{SiOy}/t_{total} increased after annealing at 150 °C, while t_{TiOx}/t_{total} decreased. This implies
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       enhanced oxidation around SiO<sub>\nu</sub>/c-Si interfaces. With increasing T_{\rm anneal}, t_{\rm total} gradually
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       decreased possibly due to densification of the TiO<sub>x</sub>/SiO<sub>y</sub> heterocontacts. We reported that
       the TiO_x/SiO_v heterocontacts could be densified after annealing. 17) Furthermore, t_{TiOx}/t_{total}
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       increased, whereas the t_{SiOy}/t_{total} decreased as T_{anneal} increased, indicating that the TiO_x
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       layers in the TiO<sub>x</sub>/SiO<sub>y</sub>/c-Si heterostructures became dominant. Note that the optical
       constant of the SiO<sub>v</sub> interlayer was fixed in SE analyses and thus structural property of
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       pristine SiO<sub>V</sub> interlayer was maintained except for t_{SiOV}. Thereby, the decreased t_{SiOV}/t_{total}
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       denotes reduction of the pristine SiO<sub>v</sub> interlayer. These behaviors suggest that diffusion of
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       Ti atoms into SiO_{\nu} layers is induced by FGA and is enhanced at higher T_{anneal}.
          In addition, smaller t_{\text{total}} was observed for the samples prepared at higher T_{\text{depo}}, which
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       suggests ALD-TiO<sub>x</sub> became compact by employing higher T_{\text{depo}}. As T_{\text{depo}} was elevated,
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       t_{\text{TiOx}}/t_{\text{total}} and t_{\text{SiOy}}/t_{\text{total}} tends to be larger and smaller, respectively. As mentioned above, this
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       can be explained by enhanced Ti diffusion during the growth of the TiO_x. At higher T_{depo},
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       indiffusion of the Ti atoms into the SiO<sub>v</sub> interlayer would be enhanced and thus larger
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       t_{\text{TiOx}}/t_{\text{total}} and smaller t_{\text{SiOy}}/t_{\text{total}} were obtained. The details about diffusion of Ti atoms is
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       discussed later by correlating with dielectric functions.
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          Figure 5 shows the real and imaginary parts of the dielectric functions of the ALD-TiO<sub>x</sub>
       layers prepared at T_{\rm depo} = (a) 125, (b) 175, and (c) 225 °C. For as-deposited samples, the
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       peak amplitude of \varepsilon_1 and \varepsilon_2 spectra are 9.81 and 6.76 for (a), 8.98 and 6.18 for (b), 8.92
       and 6.12 for (c), respectively. After annealing up to 350 °C, the peak amplitude of \varepsilon_1(E)
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       and \varepsilon_2(E) were reduced to about 8.84 and 5.91 for (a), 8.52 and 5.68 for (b), 8.41 and 5.66
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       for (c), respectively. The peak amplitude of \varepsilon_1(E) and \varepsilon_2(E) shows tendency to decrease as
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       T_{\text{depo}} and T_{\text{anneal}} increased. The reduced \varepsilon_1(E) and \varepsilon_2(E) can be explained by crystallization
       of ALD-TiO<sub>x</sub>, lowering density of ALD-TiO<sub>x</sub> layer, formation of mixed TiO<sub>x</sub> with SiO<sub>y</sub>.
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       Figure 6 shows refractive index n of the TiO_x layers at a wavelength of 500 nm as function
       of T_{\text{depo}} and T_{\text{anneal}}. The n values were computed from \varepsilon_1(E) = n(E)^2 - k(E)^2 and \varepsilon_2(E) = n(E)^2 - k(E)^2
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lower than 200 °C leads to quasi-amorphous films. 31) Furthermore, we previously reported

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2n(E)k(E), where n(E) and k(E) are refractive index and extinction coefficient, respectively. 1 2 Figure about k is not shown here, since computed k values at 500 nm are zero. Larger n 3 values were obtained at lower  $T_{\text{depo}}$  and  $T_{\text{anneal}}$ , and n tended to be smaller at higher  $T_{\text{depo}}$ and Tanneal. It is reported by Mardare and Hones that increase in refractive index and 4 decrease in extinction coefficient of sputtered TiO2 thin films are observed by transition 5 from amorphous to crystalline phase.<sup>32)</sup> Furthermore, Amor et al., reported that increasing 6 n and decreasing k at 500 nm are observed for sputtered titanium oxide films with 7 annealing temperature owing to improved compactness of the TiO<sub>x</sub> films.<sup>33)</sup> Since the 8 decreasing trend of n was observed for the  $TiO_x/SiO_y/c$ -Si heterocontacts with increasing 9  $T_{\rm depo}$  and  $T_{\rm anneal}$ , crystallization and densification of the ALD-TiO<sub>x</sub> is less effective on the 10 dielectric function after annealing. Note that compactness of the TiO<sub>x</sub>/SiO<sub>y</sub> heterostructures 11 12 would be enhanced at higher  $T_{\text{depo}}$  and  $T_{\text{anneal}}$ , since the decrease in  $t_{\text{total}}$  with increasing  $T_{\text{depo}}$  and  $T_{\text{anneal}}$  is observed in Figure 4. Further, the refractive index of SiO<sub>2</sub> is smaller than 13 that of TiO<sub>x</sub>, <sup>30,32)</sup> and thus Si incorporated TiO<sub>x</sub> probably exhibits small dielectric function 14 compared with the original TiO<sub>x</sub>. Hence, we consider that decrease in optical constant is 15 attributed to intermixing of Ti and Si at the TiO<sub>x</sub>/SiO<sub>y</sub> heterointerfaces after annealing. It is 16 noted again that the heterocontacts are modeled as the stacks of  $TiO_x$  and  $SiO_y$  without 17 mixing layer into consideration, and the optical constant of the SiO<sub>v</sub> interlayer was 18 maintained in SE analyses. From Fig. 3, the increase in  $t_{\text{TiO}\nu}/t_{\text{total}}$  and decrease in  $t_{\text{SiO}\nu}/t_{\text{total}}$  are 19 20 observed after annealing. In addition, decreasing trend of optical constant of TiO<sub>x</sub> layer is observed. These results suggest that Si incorporated TiO<sub>x</sub> become dominant and pristine 21 22  $SiO_{\nu}$  interlayer is reduced with increasing  $T_{\text{anneal}}$ . 23 From these results, we concluded that Ti diffusion is responsible for the passivation 24 performance of the TiO<sub>x</sub>/SiO<sub>y</sub>/c-Si heterocontacts. The improved  $\tau_{\rm eff}$  is caused by the enhanced diffusion of Ti atoms and formation to the mixed oxide consisting of Si, Ti, O 25 26 atoms. The degradation of the passivation performance can be explained by excessive 27 diffusion of Ti atoms near the c-Si surface. It is well known that metal contaminations on c-Si surface damage severely electrical properties of Si based devices. 34-36) Thus, the 28

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### 4. Conclusions

We studied the effect of post-deposition annealing on the ALD-TiO<sub>x</sub>/SiO<sub>y</sub>/c-Si heterocontacts by using variable-angle spectroscopic ellipsometry. For SE analyses, we employed Tauc-Lorentz model to express dielectric function of for the ALD-TiO<sub>x</sub> layers.

highest  $\tau_{\rm eff}$  was obtained at  $T_{\rm depo} = 175$  °C and  $T_{\rm anneal} = 275$  °C.

Low MSE values ranged in 1.2-1.8 are obtained for all SE analyses, indicating the dielectric 1 2 functions of the 3-nm-thick ALD-TiO<sub>x</sub> layers can be expressed by Tauc-Lorentz model at 3 annealing temperature upto 350 °C. From injection-dependent  $\tau_{eff}$  measurements, the  $\tau_{eff}$ tends to increase with increasing  $T_{\text{anneal}}$  up to 275 °C and decreased from  $T_{\text{anneal}} = 320$  °C. 4 The highest lifetime of 1.8 ms was obtained for the TiO<sub>x</sub>/SiO<sub>y</sub>/c-Si heterocontacts grown at 5 175 °C after FGA at 275 °C for 3 min. With increasing  $T_{\rm anneal}$ , the  $t_{\rm TiOx}/t_{\rm total}$  values increased 6 7 whereas the  $t_{SiOv}/t_{total}$  values decreased, indicating that the TiO<sub>x</sub> layers of the TiO<sub>y</sub>/SiO<sub>v</sub>/c-Si heterocontacts became dominant. Furthermore, the amplitude of  $\varepsilon_1(E)$  and  $\varepsilon_2(E)$  curves of 8 9 the ALD-TiO<sub>x</sub> layer decreased as  $T_{\text{depo}}$  and  $T_{\text{anneal}}$  increased due to enhanced diffusion of Ti atoms into SiO<sub>y</sub> interlayers at higher  $T_{\text{depo}}$  and  $T_{\text{anneal}}$ , which leads to Si incorporated TiO<sub>x</sub> 10 11 become dominant. Therefore, we concluded that the improved  $\tau_{\rm eff}$  is attributed to adequate 12 formation of mixed oxide consisting of Si, Ti and O atoms. At lower T<sub>anneal</sub> than 275 °C the 13 diffusion of Ti and O atoms is insufficient, leading to unripe mixed oxide layer. On the other hand, the excessive diffusion of Ti atoms happens at higher T<sub>anneal</sub> than 275 °C, which results 14 in metal contamination against c-Si surface. 15

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# **Figure Captions**

Fig. 1. Schematic optical model of the SE analysis. (Color online)

**Fig. 2.** (a) Effective carrier lifetime of the as-deposited and annealed  $\text{TiO}_x/\text{SiO}_y/\text{c}$ -Si heterocontacts as a function of minority carrier density (MCD). The annealing temperature and duration were 275 °C and 3 min, respectively. (b) Effect of annealing temperature on effective carrier lifetime at MCD of 1 × 10<sup>15</sup> cm<sup>-3</sup>. (c) Dependence of effective carrier lifetime at minority carrier density of 1 × 10<sup>15</sup> cm<sup>-3</sup> on deposition temperature. (Color online)

**Fig. 3.** Amplitude ratio ( $\psi$ ) and phase difference ( $\Delta$ ) spectra of the TiO<sub>x</sub>/SiO<sub>y</sub>/c-Si heterocontacts fabricated at deposition temperature of 175 °C (a) before and (b) after annealing at 275 °C for 3 min. The red, green, and dotted lines represent experimental  $\psi$ ,  $\Delta$ , and fitting curves, respectively. (Color online)

**Fig. 4.** (a) total layer thickness of the  $TiO_x/SiO_y/c$ -Si heterocontacts ( $t_{total}$ ), (b) ratio of layer thickness of the ALD- $TiO_x$  layers ( $t_{TiOx}$ ) to  $t_{total}$  and (c) ratio of layer thickness of the  $SiO_y$  interlayers ( $t_{SiO_y}$ ) to  $t_{total}$  as a function of annealing temperature. (Color online)

Fig. 5. Real and imaginary parts of dielectric functions of the  $TiO_x$  layers prepared at  $T_{depo}$  = (a) 125, (b) 175, and (c) 225 °C. (Color online)

**Fig. 6.** Refractive index of the  $TiO_x$  layers at a wavelength of 500 nm as function of deposition and annealing temperature. (Color online)

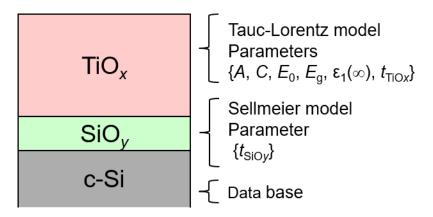


Fig. 1

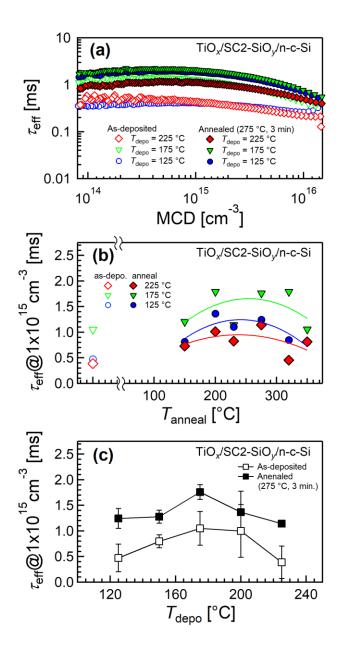


Fig. 2.

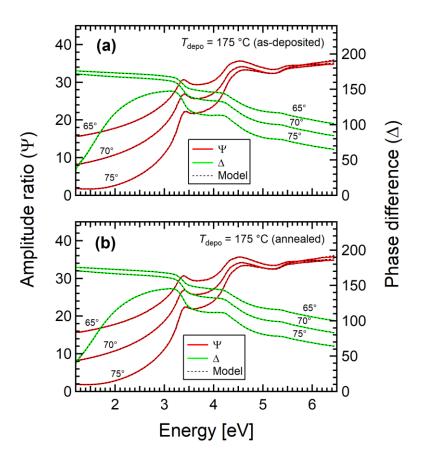


Fig. 3.

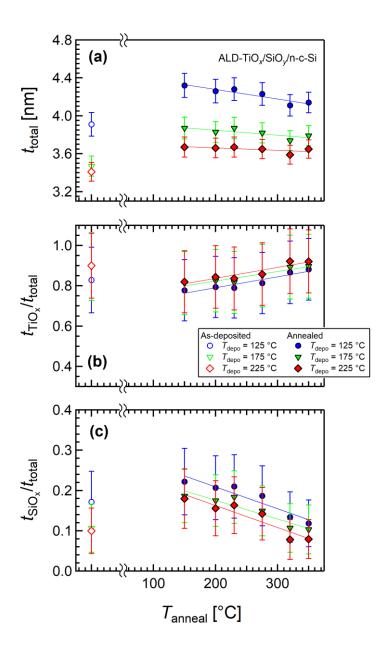


Fig. 4.

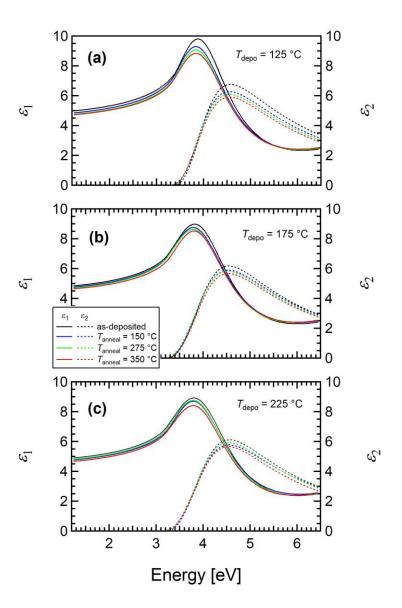


Fig. 5.

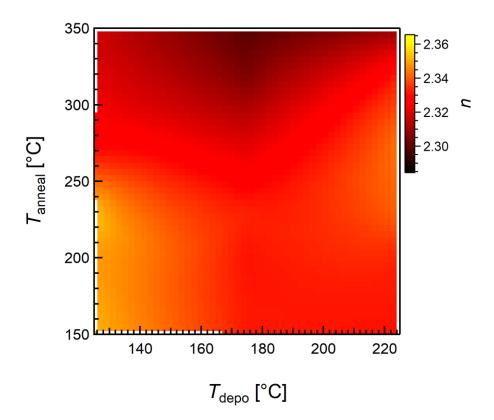


Fig. 6.