

1 **Reduction in operating voltage of AlGa_N homojunction tunnel**
2 **junction deep-UV light-emitting diodes by controlling impurity**
3 **concentrations**

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17 We reduced the operating voltage of AlGa_N homojunction tunnel junction (TJ) deep-
18 ultraviolet (UV) light-emitting diodes (LEDs) by two approaches: the suppression of carbon
19 incorporation and the doping of a high concentration of silicon in an n⁺-AlGa_N layer. The
20 AlGa_N homojunction TJ deep-UV LEDs had a significantly reduced forward voltage upon
21 suppressing the incorporation of carbon in the n⁺-AlGa_N layer. The suppression of electron
22 compensation by carbon in nitrogen sites and the doping of a high concentration of silicon
23 in an n⁺-AlGa_N layer are important for reducing the operating voltage of AlGa_N
24 homojunction TJ deep-UV LEDs.

1 Aluminum gallium nitride (AlGaN)-based light-emitting devices (LEDs) can realize deep-
2 ultraviolet (UV) emission from the UV-A region (365 nm) to the UV-C region (210 nm).
3 Instead of conventional mercury lamps, deep-UV LEDs are promising for a wide range of
4 applications such as sterilization, purification, bio/chemical sensing, resin curing, and
5 medical treatment. Recently, some research groups reported the room-temperature pulsed
6 laser oscillation of deep-UV laser diodes, [1, 2](#) demonstrating the high potential of AlGaN
7 for UV emitters. On the other hand, recent reports indicate that external quantum efficiencies
8 (EQEs) of more than 10% were achieved for deep-UV LEDs, with emission wavelengths of
9 approximately 280 nm.[3–8](#) However, further improvements in EQE are required for high-
10 power applications because it is necessary to make a module equipped with multiple LED
11 chips, marking the manufacturing cost extremely high. Additionally, an improvement in
12 wall-plug efficiency (WPE) is also desired to replace mercury lamps. The WPE is expressed
13 by the product of three efficiencies: internal quantum efficiency (IQE), light extraction
14 efficiency (LEE), and driving efficiency (DE) including joule loss and current injection loss.
15 Of these efficiencies, the LEE of deep-UV LEDs is extremely low, which is mainly caused
16 by the absorption of the top p-type gallium nitride (p-GaN) in the device layer structure. The
17 light emitted from the quantum well layer to the p-GaN side is fully absorbed. On the other
18 hand, the light to the n-layer side is mostly reflected at the interface between air and sapphire
19 or aluminum nitride (AlN), and is mostly absorbed by the p-GaN layer. To improve the LEE
20 of deep-UV LEDs, it is necessary to have a structure without GaN such as a p-AlGaN contact
21 or AlGaN-based tunnel junction (TJ) structures. Some research groups have reported a high
22 LEE in a p-AlGaN contact structure with high reflective electrodes such as Ni/Al and Rh, [4,](#)
23 [9–11](#) which achieved a high output power and a low operating voltage. However, no ohmic
24 contact has been obtained with the Al composition of 50% or more in the p AlGaN contact
25 layer. On the other hand, in some reports, the reported AlGaN-based TJ deep-UV LEDs have
26 realized device operation at a low voltage with the insertion of an interlayer such as GaN or
27 gallium indium nitride between the TJ layers, in which polarization-assisted tunneling was
28 used. [12–14](#) However, there is serious light absorption in the thin interlayer owing to a lower
29 bandgap energy than in AlGaN multi-quantum wells (MQWs). Higher output power deep-
30 UV LEDs need high-Al-composition AlGaN-based TJs without such a lower bandgap
31 interlayer. Some research groups reported AlGaN homojunction TJ deep-UV LEDs, that

1 operated at high voltages of 13 – 50 V.^{13, 15, 16)} The operating voltage is still very high.
 2 Therefore, it is necessary to increase the tunnel probability of the TJ layer by reducing the
 3 depletion region. In principle, a TJ uses a quantum tunneling phenomenon,^{17, 18)} in which
 4 electrons transit from the valence band to the conduction band. To induce the transition, the
 5 impurity needs to be highly doped, in other words, degenerate semiconductors in both n-
 6 AlGa_{0.6}N and p-AlGa_{0.6}N are necessary. Here, the effective densities of states in the conduction
 7 and valence bands for Al_{0.6}Ga_{0.4}N are estimated to be $4.5 \times 10^{18} \text{ cm}^{-3}$ and $2.4 \times 10^{20} \text{ cm}^{-3}$ at
 8 room temperature (RT), respectively.^{19, 20)} However, III-nitride semiconductors suffer
 9 from a low tunneling probability owing to the difficulty in achieving degenerate impurity
 10 doping and a high potential barrier. It is more difficult to change the higher activation
 11 energies of impurities, such as magnesium (Mg) and silicon (Si), and the higher potential
 12 barrier as the Al composition increases. We have reported the low resistivity and electronic
 13 degeneracy conduction in n-Al_{0.6}Ga_{0.4}N.^{21, 22)} We have confirmed that the $N_D - N_A$ value
 14 of $9.5 \times 10^{18} \text{ cm}^{-3}$ nullifies the ionization energy of Si donors, which is almost six times
 15 higher than that in GaN.²¹⁾ However, the n-Al_{0.6}Ga_{0.4}N layer with an overdoped Si
 16 concentration ($> 6 \times 10^{19} \text{ cm}^{-3}$) has an extremely high resistivity and does not degenerate
 17 owing to self-compensation by cation-vacancy–silicon ($V_{III-nSi}$) complexes.^{21–27)} In
 18 addition, carbon atoms possibly cause electron compensation because they occupy carbon
 19 on nitrogen sites (C_N), which is the same effect as in n-GaN.^{21, 22, 28, 29)} In this work, we
 20 demonstrate transparent AlGa_{0.6}N homojunction TJ deep-UV LEDs with Al compositions of
 21 more than 50% by controlling the growth pressure to suppress carbon incorporation. We also
 22 evaluate the characteristics of the TJ deep-UV LEDs with high Si concentrations in the TJ
 23 layers for a high tunneling probability.

24 The deep-UV LED structures were grown by low-pressure MOVPE on 4-inch flat (0001)
 25 sapphire substrates with a miscut angle of 0.35° toward the sapphire $[11\bar{2}0]$ direction.
 26 Trimethylaluminum (TMAI), trimethylgallium (TMGa), triethylgallium (TEGa), and
 27 ammonia (NH₃) gases as Al, Ga, and N sources, respectively, were supplied to a reactor
 28 using hydrogen (H₂) carrier gas. Bis(cyclopentadienyl)magnesium and monosilane (SiH₄)
 29 gas were used as Mg and Si sources, respectively. After the thermal cleaning of the sapphire
 30 substrates in H₂ atmosphere to reduce the threading dislocation density, the AlN template
 31 layer was grown by a two-step growth process of nucleation at a surface temperature of

1 1100 °C and the subsequent flatter growth of a 3- μm -thick layer at a surface temperature
 2 of 1270 °C.^{30, 31)} The threading dislocation densities of screw and edge dislocations
 3 including mixed components in the AlN-template estimated from the X-ray rocking curve
 4 were $9 \times 10^7 \text{ cm}^{-2}$ and $1 \times 10^9 \text{ cm}^{-2}$, respectively.³²⁾ No pits were observed on the AlN-
 5 template surface. The 1.3 μm -thick n-type $\text{Al}_{0.62}\text{Ga}_{0.38}\text{N}$ underlayer doped with a Si
 6 concentration of $3 \times 10^{19} \text{ cm}^{-3}$ was grown on the templates.^{21, 22)} The dislocation densities
 7 of screw and edge components in the n-type AlGaN layer were estimated to be $1 \times 10^8 \text{ cm}^{-2}$
 8 and $9 \times 10^8 \text{ cm}^{-2}$, respectively. The growth of the n-type AlGaN underlayer was followed by
 9 a second-period, multiple-quantum-well layer consisting of 11-nm-thick $\text{Al}_{0.55}\text{Ga}_{0.45}\text{N}$
 10 barriers, 2-nm-thick $\text{Al}_{0.45}\text{Ga}_{0.55}\text{N}$, and an $\text{Al}_{0.85}\text{Ga}_{0.15}\text{N}$ electron blocking layer (EBL).
 11 Subsequently, two structures were grown on the AlGaN EBL, as shown in Fig. 1 and Table
 12 1. The PN LEDs PN#1 and PN#2 consisted of 50-nm-thick p-AlGaN with Al compositions
 13 of 50% and 60% and a 20-nm-thick p⁺-GaN contact layer, respectively. On the other hand,
 14 the TJ LEDs from TJ#1 to TJ#5 consisted of 50-nm-thick p-AlGaN, 50-nm-thick p⁺-AlGaN,
 15 40-nm-thick n⁺- $\text{Al}_{0.6}\text{Ga}_{0.4}\text{N}$, and 270-nm-thick n- $\text{Al}_{0.6}\text{Ga}_{0.4}\text{N}$ contact layer, where the Al
 16 composition of p-AlGaN/p⁺-AlGaN layers consisted of 50% from TJ#1 to TJ#4 and 60% to
 17 TJ#5, respectively. Okumura *et al.* reported that $N_A - N_D$ and activation energy in p-GaN
 18 were $7.0 \times 10^{19} \text{ cm}^{-3}$ and 29 meV, respectively.³³⁾ Kozodoy *et al.* reported that acceptor
 19 concentration and activation energy in p⁺-GaN were $1.6 \times 10^{20} \text{ cm}^{-3}$ and 112 meV at a Mg
 20 concentration of $2.0 \times 10^{20} \text{ cm}^{-3}$, respectively.³⁴⁾ Hence, we adopted Mg concentrations of
 21 $5.0 \times 10^{19} \text{ cm}^{-3}$ and $1.7 \times 10^{20} \text{ cm}^{-3}$ in p-AlGaN and p⁺-AlGaN, respectively. We prepared
 22 the TJ LED samples from TJ#1 to TJ#5 with various impurity concentrations in n⁺-AlGaN/
 23 n-AlGaN layers, as shown in Table 1. The carbon concentrations of n⁺-AlGaN were
 24 approximately $3.0 \times 10^{18} \text{ cm}^{-3}$ in TJ#1 and TJ#2 and $6.5 \times 10^{17} \text{ cm}^{-3}$ in TJ#3 to TJ#5, which
 25 were controlled by growth at 50 mbar and 100 mbar, respectively. The mesa was formed by
 26 dry-etching with HCl gas. Then, we formed a V/Al/Ti/Pt/Au (20/150/50/100/240 nm)
 27 electrode for the n-AlGaN contact and an indium zinc oxide (IZO) (200 nm) electrode for
 28 the p-GaN contact for structure (a), which were annealed separately at 720°C and 350°C,
 29 respectively. We also formed V/Al/Ti/Pt/Au (20/150/50/100/240 nm) electrodes for both n-
 30 AlGaN contacts for structure (b); they were annealed simultaneously at 720°C for 30 s in
 31 nitrogen ambient. Then, the temperature was slowly lowered to 500°C over 20 min. Mg

1 activation annealing was performed simultaneously with n-electrode annealing, where
 2 hydrogen diffused laterally through the exposed portions of p-AlGa_{0.6}N and p-GaN.³⁴ The
 3 sizes of the LEDs, and anodes, and the thickness of sapphire were 1 mm², 0.56 mm², and
 4 200 μm, respectively. The light output values were directly measured using an integrating
 5 sphere. To examine the spread of light emission in the AlGa_{0.6}N homojunction TJ deep-UV
 6 LED, we took a UV light-emission image obtained by using an ARTCAM-407UV-WOM
 7 CCD camera manufactured by ARTRAY.

8 Figure 2 shows forward voltage – current density characteristics measured by direct
 9 current (DC) operation at RT for samples PN#1, TJ#1, TJ#2, TJ#3, and TJ#4. Our standard
 10 LED of sample PN#1 exhibited a forward voltage of 6.6 V at 63 A/cm² and almost the same
 11 characteristics as those the reported.^{7, 8, 10} TJ LEDs TJ#1 and TJ#2 exhibited a very high
 12 voltage (about 16 V at 4 A/cm²) and could not inject sufficient current, as shown in Fig. 2.
 13 However, the forward voltage of TJ#2 tended to drop slightly compared with that of TJ#1.
 14 On the other hand, the forward voltages of TJ LEDs TJ#3 and TJ#4 significantly decreased
 15 by more than 6 V than those of TJ#1 and TJ#2, which were operated at 12.1 V and 10.3 V at
 16 63 A/cm², respectively. These results showed that the doping of a high Si concentration of
 17 n⁺-AlGa_{0.6}N was effective in reducing the forward voltage by comparing TJ#1 with TJ#2 and
 18 TJ#3 with #TJ4. On the other hand, by comparing TJ#1 with TJ#3 and TJ#2 with #TJ4, it is
 19 evident that the low concentration of C incorporated markedly reduced the forward voltage.
 20 The carrier concentration and resistivity of n⁺-Al_{0.6}Ga_{0.4}N in TJ#2 should be very low (< 1.0
 21 × 10¹⁶ cm⁻³) and very high (> 2,000 Ωcm) at the Si concentration of 1.2 × 10²⁰ cm⁻³,¹⁹
 22 whereas those in TJ#4 are 3.5 × 10¹⁶ cm⁻³ and 23 Ωcm at the Si concentration of 1.2 × 10²⁰
 23 cm⁻³, respectively. Here, the carrier concentration and resistivity were evaluated by the van
 24 der-Pauw Hall-effect measurement at RT. The operating voltage of AlGa_{0.6}N homojunction TJ
 25 LEDs can be reduced because the carrier concentration of n⁺-Al_{0.6}Ga_{0.4}N is increased by
 26 suppressing C incorporation.

27 We also evaluated a PN LED consisting of p-Al_{0.6}Ga_{0.4}N (PN#2) and an n-Al_{0.6}Ga_{0.4}N/p-
 28 Al_{0.6}Ga_{0.4}N TJ LED (TJ#5) to increase LEE for the deep-UV LEDs. TJ LED TJ#5 was
 29 produced under the optimized growth conditions from the growths determined from the
 30 growths of TJ#1 to TJ#4. The characteristics of PN#2 were an output power of 35.7 mW, an
 31 operating voltage of 7.2 V, and a wavelength of 285 nm at RT and 63 A/cm² with DC

1 operation, as shown in Figs. 3 and 4. The operating voltage slightly increased by
 2 approximately 0.6 V as the Al composition of p-AlGa_N changed from 50% to 60%. The
 3 injection current dependence of output power is linear and is not affected by current droop.
 4 The p-GaN contact layer and p contact electrode of IZO absorbed photons below 3.4 eV and
 5 about 2.9 eV, respectively. Therefore, the emitted UV light was almost completely absorbed
 6 at the p-side. On the other hand, TJ LED TJ#5 operated similarly to TJ#4 and the
 7 characteristics were an output power of 27.6 mW, an operating voltage of 10.8 V, and a
 8 wavelength of 280 nm at RT and 63 A/cm² with DC operation. There was an increase of 0.5
 9 V with TJ#4. However, for TJ#5, the injection current dependence of the output power was
 10 nonlinear and slight output power droop occurred at more than 40 A/cm². Figure 5 shows a
 11 LED chip on a mounting board and UV light emission images of the AlGa_N homojunction
 12 TJ deep-UV LED at an injection current of 3.6 A/cm². The UV light emission pattern
 13 maintains a high uniformity from the initial injection current. This indicates that p-layers in
 14 the TJ LEDs had been fully activated by dehydrogenation from the mesa-processed sides.³⁵⁾

15 These results suggest that the reduction in TJ resistivity results from suppressing C
 16 incorporation and doping with a high concentration of Si in n⁺-AlGa_N. There is strong donor
 17 compensation effected by, for example, Ga vacancy in n-GaN and $V_{III-nSi}$ complexes in n-
 18 AlGa_N.^{21, 36, 37)} Regarding C incorporation, the carrier became n-type as the C
 19 concentration decreased by $6.5 \times 10^{17} \text{ cm}^{-3}$, and the operating voltage of the device decreased
 20 markedly. On the other hand, when the C concentration was $1.8 \times 10^{18} \text{ cm}^{-3}$, it became semi-
 21 insulated, and the TJ could not be formed. Therefore, $N_d - N_a$ should be between 6.5×10^{17}
 22 cm^{-3} and $1.8 \times 10^{18} \text{ cm}^{-3}$. One possibility is that the potential barrier at the TJ is lower. There
 23 may be a higher Fermi level in the n⁺-AlGa_N layer. Another possibility is that the tunneling
 24 probability at the TJ is higher owing to the increase in carrier concentration in the n⁺-AlGa_N
 25 layer. If the carbon concentration can be further reduced, the operating voltage of the AlGa_N
 26 homojunction TJ deep-UV LED can also be further reduced. In addition, it should be
 27 emphasized that the doping of a high concentration of Si also reduces the TJ resistivity.
 28 Highly doped donors can increase the tunneling probability of AlGa_N homojunction TJs.
 29 Continuously, further experiments are needed for achieving a low operating voltage and
 30 understanding tunneling conduction mechanisms in Al-rich AlGa_N TJs. In addition, there
 31 was no reflectance at the p-side as the n-electrodes sintered at high temperatures fully

1 absorbed UV light with wavelengths below 300 nm. As a future work, an improvement in
2 output power by about 1.3 to 2.3 times can be expected on adopting highly reflective
3 electrodes such as aluminum and rhodium.^{4, 7)} The high-Al-composition AlGaN
4 homojunction TJ deep-UV LED can be expected to achieve the high WPE required in
5 various applications.

6 In summary, we presented the reduction in the operating voltage of the transparent Al-rich
7 AlGaN homojunction TJ deep-UV LEDs grown by MOVPE. Al_{0.6}Ga_{0.4}N homojunction TJ
8 deep-UV LEDs attained an operating voltage of 10.8 V and a WPE of 0.7 % at 63 A/cm².
9 There were two essential factors, that is, a low carbon incorporation and a high Si
10 concentration in the n⁺-AlGaN layer, are necessary for the reduction in the operating voltage
11 of AlGaN homojunction TJ LEDs. In the near future, a high WPE of deep UV LEDs may be
12 achieved by combining an Al-rich AlGaN homojunction TJ LED and a highly reflective
13 electrode.

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1 **Figure Captions**

2 **Fig. 1.** Deep-UV LED structures for (a) PN and (b) TJ devices.

3

4 **Table 1.** Summary of evaluated parameters for all samples. PN and TJ indicate the PN
5 junction and TJ devices, respectively. Si and C concentrations in samples TJ#1, TJ#3, and
6 TJ#4 were directly measured for the device, while Si and C concentrations in samples TJ#2
7 and TJ#5 were estimated from the results for TJ#1, TJ#3, and TJ#4 (labeled by *).

8

9 **Fig. 2.** Forward voltage – current density characteristics measured by DC operation at room
10 temperature for samples PN#1, TJ#1, TJ#2, TJ#3, and TJ#4.

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12 **Fig. 3.** Forward voltage – current density characteristics measured by DC operation at room
13 temperature for samples PN#2 and TJ#5.

14

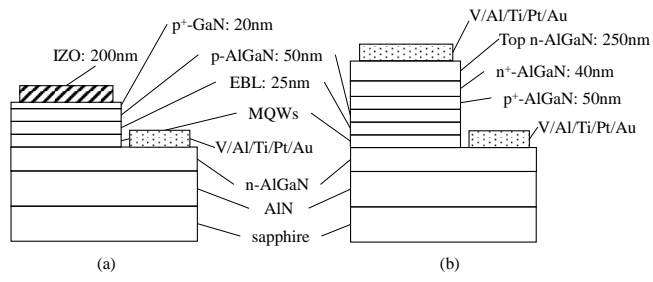
15 **Fig. 4.** Output power – current density characteristics measured by DC operation at room
16 temperature for samples PN#2 and TJ#5.

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18 **Fig. 5.** (a) LED chip on mounting board and (b) light emission images at 3.6 A/cm^2 .

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2 Fig.1.

3 (Single column)

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1 Table 1 (double column)

Sample	p-AlGaN	p ⁺ -AlGaN	n ⁺ -AlGaN		Top n-AlGaN		
	Al composition		[Si] (cm ⁻³)	[C] (cm ⁻³)	[Si] (cm ⁻³)	[C] (cm ⁻³)	
PN	#1	50%					
	#2	60%					
TJ	#1	50%	50%	6.2×10^{19}	1.8×10^{18}	2.2×10^{19}	3.0×10^{18}
	#2	50%	50%	$1.3 \times 10^{20*}$	$1.8 \times 10^{18*}$	$2.2 \times 10^{19*}$	$3.0 \times 10^{18*}$
	#3	50%	50%	6.3×10^{19}	6.5×10^{17}	2.6×10^{19}	3.1×10^{17}
	#4	50%	50%	1.3×10^{20}	6.5×10^{17}	2.6×10^{19}	3.1×10^{17}
	#5	60%	60%	$1.3 \times 10^{20*}$	$6.5 \times 10^{17*}$	$2.6 \times 10^{19*}$	$3.1 \times 10^{17*}$

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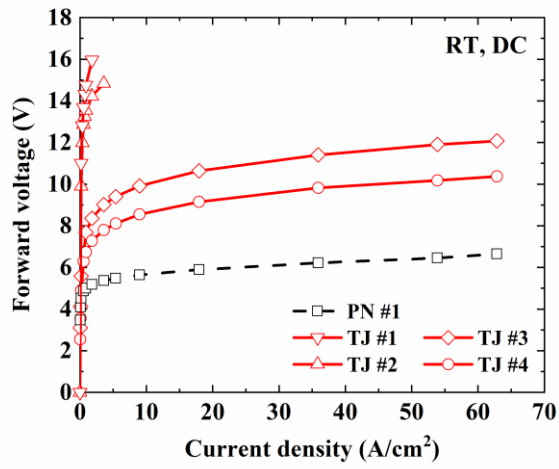
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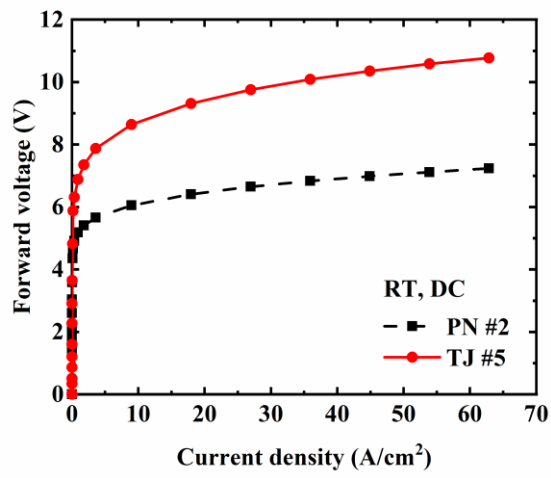
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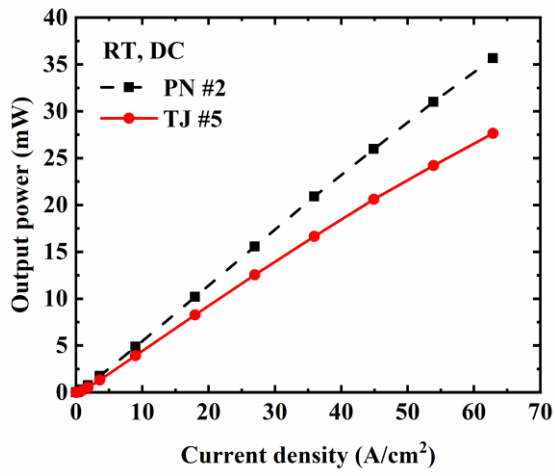
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 2 Fig. 2. (Color)
 3 Color print
 4 (single column)

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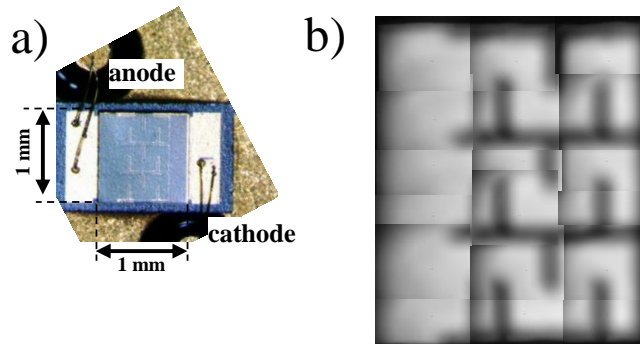
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 2 Fig. 3. (Color)
 3 Color print
 4 (single column)

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 2 Fig. 4. (Color)
 3 Color print
 4 (single column)

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2 Fig. 5. (Color)
3 Color print
4 (single column)
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