

1 **Fabrication of heterojunction crystalline Si solar cells with BaSi₂**
2 **thin films prepared by 2-step evaporation method**

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13 We have fabricated p-type BaSi₂ (p-BaSi₂)/n-type crystalline Si (n-c-Si) heterojunction solar
14 cells using thermal evaporation. To control the defect around the heterointerface, samples
15 were fabricated by two methods using different current profiles during evaporation. One-
16 step method was designed to avoid supplying Ba-rich vapor, and two-step method was
17 designed to intentionally introduce Ba-rich vapor at the first step. Deep-level transient
18 spectroscopy measurements revealed that defect densities in n-c-Si side were almost the
19 same for both samples. Open-circuit voltage (V_{oc}) was successfully improved from 319 to
20 463 mV by the two-step method. This may be due to the increase of carrier density in p-
21 BaSi₂ prepared using two-step method. As a result of optimization, the conversion efficiency
22 of 6.23% was achieved by the heterojunction solar cells.
23

1. Introduction

To realize solar cells with high conversion efficiency at low cost, numerous researchers have pursued alternative materials to Si such as perovskite, Cu(In,Ga)Se, CdTe and so on [1-3]. As one of the promising materials, we have investigated BaSi₂ with prominent suitable characteristics for solar cells. BaSi₂ consists of abundant elements of Ba and Si, and exhibits n-type conductivity in non-doped state if one does not precisely control the flux ratio [4-6]. The bandgap is ~1.3 eV [4,7], which is close to ideal value of 1.4 eV for single junction solar cells, and the electron affinity is 3.2 eV [8]. Several prominent characteristics have been found including the minority carrier lifetime $\tau=10\ \mu\text{s}$ [9-11], minority carrier diffusion length $L\sim 10\ \mu\text{m}$ [12,13] and absorption coefficient $3.0 \times 10^4\ \text{cm}^{-1}$ at photon energy of 1.5 eV [14-16]. Accordingly, the materials have attracted attention as absorption layer alternative to crystalline Si (c-Si). In fact, the BaSi₂ pn junction solar cells are expected to achieve conversion efficiency of 25% with only thickness of 2 μm [17]. As a simple method to prepare BaSi₂ films, we have utilized thermal evaporation using BaSi₂ compound as a source, and revealed the growth mechanism and characteristics of the BaSi₂ films [18-24]. As a result, the films demonstrated a long carrier lifetime of 27 μs [25] and the photoresponse property [26], indicating that BaSi₂ films prepared by thermal evaporation are promising for solar cells.

We recently have proposed to adopt p-type BaSi₂ (p-BaSi₂) to hole selective material as one of the promising applications of BaSi₂. In theory, minority holes generated in n-type c-Si (n-c-Si) can be transmitted through p-BaSi₂/n-c-Si interface, while majority electrons are repelled there due to high conduction band offset [27]. The conversion efficiency of 9 % has been reported for the p-BaSi₂/n-c-Si heterojunction solar cells using the p-BaSi₂ thin films prepared by molecular beam epitaxy (MBE) method [28, 29]. Based on the accumulated knowledge of the BaSi₂ evaporation, we developed the new fabrication method, which consists of thermal evaporation of BaSi₂ on B-doped hydrogenated amorphous Si (a-Si:H) deposited by plasma enhanced chemical vapor deposition (PECVD) [30]. This method permitted the preparation of B-doped p-BaSi₂ on a large area Si substrate. The hole density and carrier mobility obtained from the films were $7.3 \times 10^{19}\ \text{cm}^{-3}$, and $3.8\ \text{cm}^2/\text{V}\cdot\text{s}$, respectively, which are sufficient values to reach high open-circuit voltage (V_{oc}) for p-BaSi₂/n-c-Si heterojunction solar cells. In order to apply the p-BaSi₂ films to p-BaSi₂/n-c-Si

1 heterojunction solar cells, the control of the interface is of crucial importance. Especially,
2 the deposition control of initial stage of source heating would give large impact on the
3 interface between p-BaSi₂ and n-c-Si owing to the initial Ba-rich vapor [24].

4 In this study, we report the fabrication of p-BaSi₂/n-c-Si heterojunction solar cells by
5 using thermal evaporation with different two methods to reduce defects around pn interface.
6 The first method is designed to prevent extra Ba from diffusing into n-c-Si by heating the
7 source material at high temperature. The second method is designed to form stoichiometric
8 p-BaSi₂ by reaction of Ba with p-type a-Si:H at the first step, and grow the rest of BaSi₂
9 layer on that at the second step. Structural and electrical properties of BaSi₂ films and solar
10 cells prepared by both methods are compared. Finally, the structure and current density (J)-
11 voltage (V) characteristics of solar cells using an optimized source heating method are
12 demonstrated.

14 2. Experimental method

15 B-doped a-Si:H thin films with about thickness of 10 nm were deposited on n-c-Si(111)
16 substrate (resistivity=1-4 $\Omega\cdot\text{cm}$ and area=1.44 cm^2) by PECVD. Substrate temperature was
17 kept at 180 °C during the deposition. The gas flow rates of SiH₄, H₂, and 1% B₂H₆ were
18 same and set at 40 sccm. The B concentration in the p-type a-Si:H was 20 times higher than
19 as evidenced by the hole density of $1.8\times 10^{20} \text{ cm}^{-3}$ obtained from the same sample after
20 crystallization. The BaSi₂ was prepared on the B-doped a-Si:H by thermal evaporation. We
21 used BaSi₂ granules (99 % in purity, Kojundo Chemical, Ltd.) as a source material for
22 thermal evaporation. The granules were placed on a tungsten boat in an evaporation chamber.
23 The granules were melted using resistive heating of the boat. According to our previous study,
24 the weight of BaSi₂ was chosen as 0.015 g since extra Ba could be consumed completely by
25 the a-Si:H layer. Two different heating current profiles were used as shown in Fig. 1. One is
26 the same method as the previous study (hereafter, this sample is called “one-step”). The
27 temperature on the boat was gradually increased by controlling the current from 0 to 50 A
28 until 300 s. After that, the current was increased and kept at 80 A around 10 s. Subsequently,
29 shutter was opened to start the deposition at 310 s and current density was increased at 140
30 A. Finally, the deposition was finished at 360 s. This method aims to prevent excess Ba from
31 diffusing to n-c-Si side and to reduce the defect derived from Ba in n-c-Si around the

1 heterointerface. On the other hand, in the case of the second method, after the source material
 2 was melted at a lower temperature, the temperature on the boat was increased (hereafter, this
 3 sample is called “two-step”). Before the first step, the current was increased to 50 A until
 4 300 s. After opening the shutter, the current was increased to 90 A and kept for 20 s (The
 5 first step). After that, the current was increased from 90 to 140 A for 10 s and kept for 30 s
 6 (The second step). This method aims to prepare stoichiometric p-BaSi₂ to reduce defects on
 7 the p-BaSi₂ film side at the pn-interface. During this evaporation, substrate temperature was
 8 kept at 600 °C. After the evaporation, the samples were annealed at 700 °C for 10 min under
 9 Ar flow (purity: 99.9999%). The prepared thin films were analyzed by Raman scattering
 10 spectroscopy (Tokyo Instruments Nanofinder) with an Ar⁺ ion laser ($\lambda = 488$ nm). For
 11 electrical measurement, Au-Ga ohmic contacts were formed on the surface of the films and
 12 Au-Sb ohmic contacts were formed on the back side of the sample by thermal evaporation,
 13 respectively. The depth profiles of Ba and Si were obtained from Auger electron
 14 spectroscopy (AES). The deep-level transient spectroscopy (DLTS) measurement was
 15 performed in the temperature range between 70–300 K. Pulse width, forward voltage, and
 16 reverse voltage are 50 ms, +0.5 V, and -0.1 V, respectively. Finally, from above these results,
 17 we attempted to optimize the solar cell structures by employing BaSi₂ prepared by the two-
 18 step method and back surface field layer (n⁺⁺-Si layer) to achieve high conversion
 19 efficiency. In order to increase short-circuit current density (J_{sc}), the film thickness of p-
 20 BaSi₂ layer was reduced to 25 nm. In addition, 80-nm-thick indium tin oxide (ITO) layer
 21 was deposited onto p-BaSi₂ by Radio frequency (RF)-sputtering for anti-reflection coating.
 22 Ag finger electrodes were deposited onto the ITO layer by thermal evaporation. J - V
 23 measurement was carried out under the solar simulator illumination at AM1.5G, 100
 24 mW/cm², and room temperature.

25

26 **3. Results and discussion**

27 A schematic of the p-BaSi₂/n-c-Si heterojunction solar cells is shown in Fig. 2(a). Light and
 28 dark J - V curves for three cells fabricated with either the one-step or two-step process can be
 29 seen in Figs. 2(b) and 2(c), respectively. V_{oc} , J_{sc} , fill factor (FF), and conversion efficiency
 30 (η) are shown in Table I. Both of the cells showed rectifying characteristics, suggesting that
 31 pn junctions were successfully formed. From the dark J - V curves, the one-step and two-step

1 samples show the current density is saturated in the voltage range over 200, and 500 mV,
 2 respectively. This result suggests that a blocking layer against majority carriers was formed
 3 in the solar cells. This saturation of current density could be attributed to the formation of
 4 oxide layers on the surface of p-BaSi₂ layer or heterointerface of p-BaSi₂/n-c-Si. According
 5 to a previous report, oxides exist at the BaSi₂/Si heterointerface since Si surface was oxidized
 6 or initial Ba-rich layer was oxidized [31]. Note that this layer is not necessarily uniform. One
 7 candidate of oxides is BaO since BaSi₂ reacts with trace amount of oxygen above 600 °C as
 8 reported before [32]. If the BaO layer was formed around heterointerface, band diagram is
 9 calculated as Fig. 2(d). The band diagram was calculated by a one-dimensional device
 10 simulator, Afors-HET ver 2.5 [33]. Since electron affinity of BaO is 0.57 eV [34], this layer
 11 could be a blocking layer for electron.

12 Compared to the one-step sample, V_{oc} , J_{sc} and FF of the two-step sample were improved.
 13 Especially, V_{oc} was significantly improved by 45%. The J - V characteristics can be written
 14 using the series resistance (R_s) and the shunt resistance (R_{sh}) as the following equation (1).

15

$$16 \quad J = J_0 \left[\exp \left\{ \frac{q(V + R_s J)}{nk_B T} \right\} - 1 \right] + \frac{V + R_s J}{R_{sh}} \quad (1)$$

17

18 Here, J_0 is saturation current density, q is elementary charge, n is ideal factor, T is
 19 temperature, and k_B is Boltzmann constant. By fitting the obtained J - V characteristics with
 20 the Eq. (1), R_{sh} of the one-step and two-step samples were estimated at 2.94, and 4.56 $\Omega \cdot \text{cm}^2$,
 21 respectively. It is considered that FF of the one-step sample is smaller than that of the two-
 22 step sample due to leakage current since R_{sh} of the one-step sample is smaller than two-step
 23 sample. In addition, J_0 of the one-step and two-step samples were 0.14, and 1.5×10^{-4} A/cm²,
 24 respectively. V_{oc} can be calculated according to following equation (2).

25

$$26 \quad V_{oc} = \frac{nk_B T}{q} \ln \left(\frac{J_{sc}}{J_0} + 1 \right) \quad (2)$$

27

28 Since J_{sc} is in the same order for the both samples, J_{sc} is not responsible for the difference in
 29 V_{oc} . J_{sc} of two-step sample is slightly increased comparing to the one-step sample and this

1 improvement of J_{sc} is caused by the decrease of R_s . R_s of the one-step sample and two-step
 2 samples are 0.414, and 0.229 $\Omega \cdot \text{cm}^2$, respectively. This decrease of R_s is caused by
 3 decreasing sheet resistance of p-BaSi₂ due to the increase of carrier density. n for the one-
 4 step sample is same as that of the two-step sample and the value was 1.1. From these results,
 5 we have concluded that the decrease of R_s and increase of R_{sh} lead to the increase of FF of
 6 the two-step sample.

7 Figure 3 shows Raman scattering spectra of the one-step and two-step samples. The peak
 8 around 521 cm^{-1} derived from c-Si and five peaks derived from [Si₄]⁴⁻ in BaSi₂ [35] can be
 9 seen. The c-Si peak might come from Si substrate since the incident light reached the
 10 substrate through the BaSi₂ film with the thickness of approximately 23~30 nm. The peak of
 11 BaSi₂ A_g around 485 cm^{-1} [36] was fitted by the Lorentz function to obtain the full width at
 12 half maximum (FWHM). FWHM of the two-step sample was 7.44 cm^{-1} and that of the one-
 13 step sample was 7.54 cm^{-1} . This result indicates that crystal quality of the films is almost the
 14 same.

15 Figures 4(a) and 4(b) show depth profiles of the Ba, Si, and O atomic composition of the
 16 one-step and two-step samples measured by AES. Note that approximate 70 nm-thick ITO
 17 region exists on the front side of the sample to prevent BaSi₂ from the progress of oxidation
 18 due to atmosphere exposure. Judging from distribution of Ba composition profile, we can
 19 identify the surface position of p-BaSi₂ film and around the BaSi₂/n-c-Si heterointerface.
 20 The region in the depth of 70 to 100 nm is the oxide layer by taking the O composition
 21 profile into account. The film thicknesses for the one-step and two-step samples are
 22 approximately 30 and 23 nm, respectively. The oxygen profile of both samples around p-
 23 BaSi₂/n-c-Si heterointerface is 5% or less, so that there is no significant difference between
 24 both samples. Although the saturation of current density shown in Figs. 2(b) and 2(c)
 25 suggested the formation of oxide layers on the surface of p-BaSi₂ layer or heterointerface of
 26 p-BaSi₂/n-c-Si, these AES spectra show the formation of oxide layers on the surface of the
 27 p-BaSi₂ layer. Figure 4(c) show Ba/Si composition ratio in BaSi₂ thin films. In the depth
 28 range of 100 to 110 nm, where there is little influence of BaO and Si substrate on the Ba/Si
 29 composition ratio, the two-step sample has a composition closer to stoichiometry (Ba/Si =
 30 0.5) compared with the one-step sample. The reason why Ba composition was reduced in
 31 the one-step sample is due to the diffusion of Ba into the Si substrate, since total amount of

1 deposited Ba is same. According to a previous report, a β -FeSi₂ seed layer is effective at
 2 preventing the diffusion of Fe into the Si substrate during FeSi₂ crystallization from Fe/Si
 3 multilayer stacks deposited on Si substrates [37]. Similarly, a stable BaSi₂ layer might be
 4 formed at the initial stage of deposition by the two-step method and thus the diffusion of Ba
 5 into Si substrate could be suppressed. Based on the results of AES measurements, we
 6 consider the cause of the observed difference in V_{oc} . For the one-step sample, p-BaSi₂ with
 7 non-stoichiometric composition was formed in the vicinity of the heterointerface, leading to
 8 a larger defect density and lower V_{oc} . On the other hand, in the case of the two-step sample,
 9 high V_{oc} could be achieved by forming p-BaSi₂ with nearly-stoichiometric composition since
 10 Ba atoms have sufficient time to diffuse toward the n-c-Si side. In addition, in the one-step
 11 sample, the p-BaSi₂ layer is Si-rich in comparison with the two-step sample, consequently
 12 impurities or defects tend to be generated in this layer. As a result, the R_s was increased and
 13 the J_{sc} was decreased.

14 Figure 5 shows the results of DLTS measurement. Since the carrier density in n-c-Si is
 15 much less than that in p-BaSi₂, it can be regarded that the signal originates from the n-c-Si
 16 side. Both the samples show the peak around 270 K. From this peak, defect densities in the
 17 two-step and one-step samples were estimated to be $1.5 \times 10^{13} \text{ cm}^{-3}$ and $1.2 \times 10^{13} \text{ cm}^{-3}$,
 18 respectively [5]. Since the peaks are positive, these defects trapped minority carriers in the
 19 n-c-Si. Since the defect densities between one-step and two step samples are almost identical,
 20 the difference in V_{oc} is caused by the p-BaSi₂ side. One possible factor of decreasing V_{oc} is
 21 defect around interface due to their deposition rate of the first step.

22 From above these results, we attempted to optimize the solar cell structures by employing
 23 BaSi₂ prepared by the two-step method and back surface field layer (n⁺⁺-Si layer) to achieve
 24 high conversion efficiency, as show in Fig. 6(a). As a result, we obtained J - V characteristics
 25 shown in Fig. 6(b). V_{oc} =432 mV, J_{sc} =27.9 mA/cm², FF =50.8, and η =6.23 % were obtained.
 26 The J - V characteristics of the previous cell, which was fabricated by the one-step method,
 27 correspond to those of the “Light 3” shown in Fig. 2(b). All the parameters increase in
 28 comparison with previous one. Since J_{sc} and FF in the previous cell were influenced by the
 29 absence of ITO, the discussion about them is not necessary. The most remarkable thing is
 30 V_{oc} . In spite of a larger cell area of 1.44 cm² than a BaSi₂/Si heterojunction solar cell
 31 fabricated by a MBE method [28, 29], V_{oc} is shown to be comparable. If textured substrate

1 was used, higher conversion efficiency exceeding 10% can be attainable.

3 **4. Conclusions**

4 We have fabricated p-BaSi₂ film on n-c-Si(111) substrate by using thermal evaporation by
5 one-step and two-step methods to clarify the effect of interface between c-Si and deposited
6 p-BaSi₂ films. We confirmed that there is no significant difference between one-step and
7 two-step samples in terms of FWHM of Raman spectra. AES measurement showed that the
8 two-step sample is closer to stoichiometry in p-BaSi₂ layer. DLTS measurements indicated
9 that the defect density around c-Si side of the one-step sample is almost same for the two-
10 step sample. From *J-V* characteristics, V_{oc} was improved from 319 to 463 mV by the two-
11 step method. The increase of built-in potential between the heterojunction led to
12 improvement of V_{oc} . Finally, the conversion efficiency of 6.23%, V_{oc} of 432 mV, J_{sc} of 27.9
13 mA/cm², and $FF=50.8\%$ was achieved by optimized cell structure, suggesting that p-
14 BaSi₂/n-c-Si heterojunction solar cells fabricated by thermal evaporation is promising to
15 apply to large-area substrates.

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Figure Captions

Fig. 1. Current profiles of (a) one-step and (b) two-step method in the W boat during thermal evaporation.

Fig. 2. (a) Schematics of BaSi₂/c-Si heterojunction solar cells. Dark and illuminated J - V characteristics of the sample fabricated by (b) one-step and (c) two-step methods. (d) Calculated band diagram of the p-BaSi₂/BaO/n-c-Si structure. The band diagram was calculated by a one-dimensional device simulator, Afors-HET ver 2.5 [33].

Fig. 3. Raman scattering spectra of the films prepared by one-step and two-step methods.

Fig. 4. Depth profile of Ba, Si, and O atomic composition of a part of area in the films prepared by (a) the one-step and (b) two-step methods. The region where the Si composition is above 80% was regarded as a c-Si. The region where the sum of Ba and Si composition is above 80% was regarded as BaSi₂. The region where Ba exists and O composition is above 20% was regarded as BaO. (c) Ba/Si composition ratio in the range of BaSi₂ thin films. The dashed line shows a stoichiometric composition of BaSi₂.

Fig. 5. DLTS spectra of the samples prepared by (a) one-step and (b) two-step methods.

Fig. 6. (a) Schematics of optimized solar cell structure. (b) Illuminated J - V characteristics before and after optimization.

Table I. J_{sc} , V_{oc} , FF and η value for the solar cells fabricated by one-step and two-step methods. The structure of solar cells is shown in Fig. 2(a).

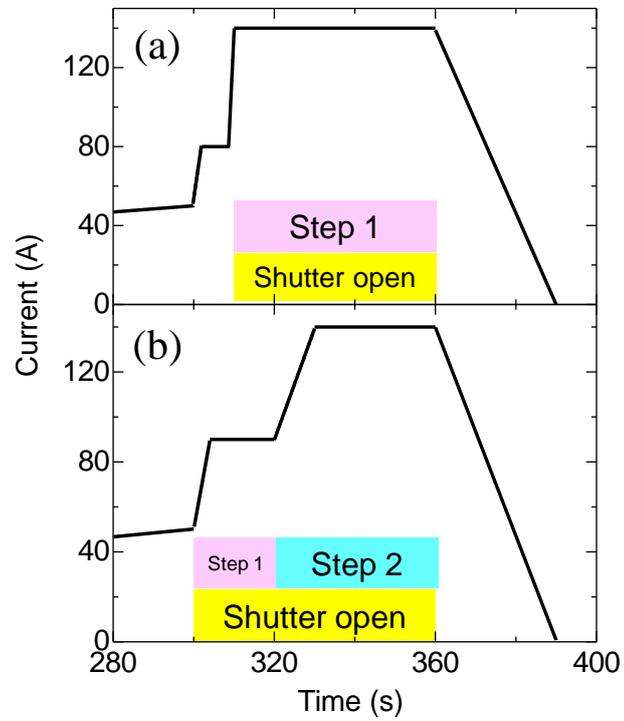
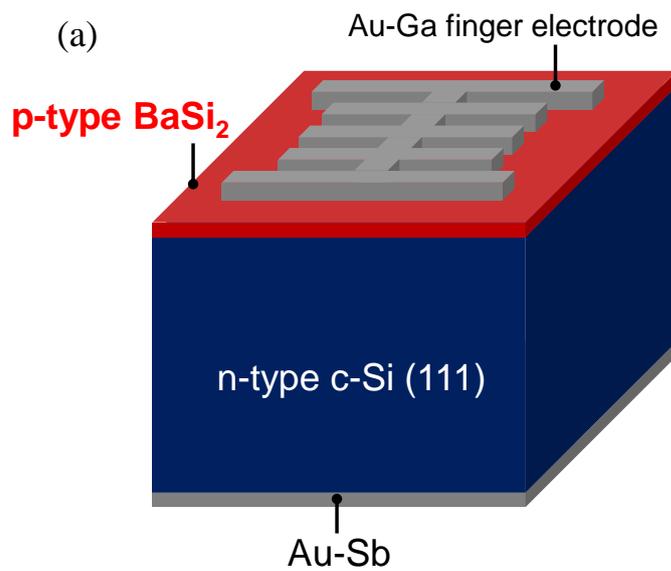


Fig. 1. (Color online)



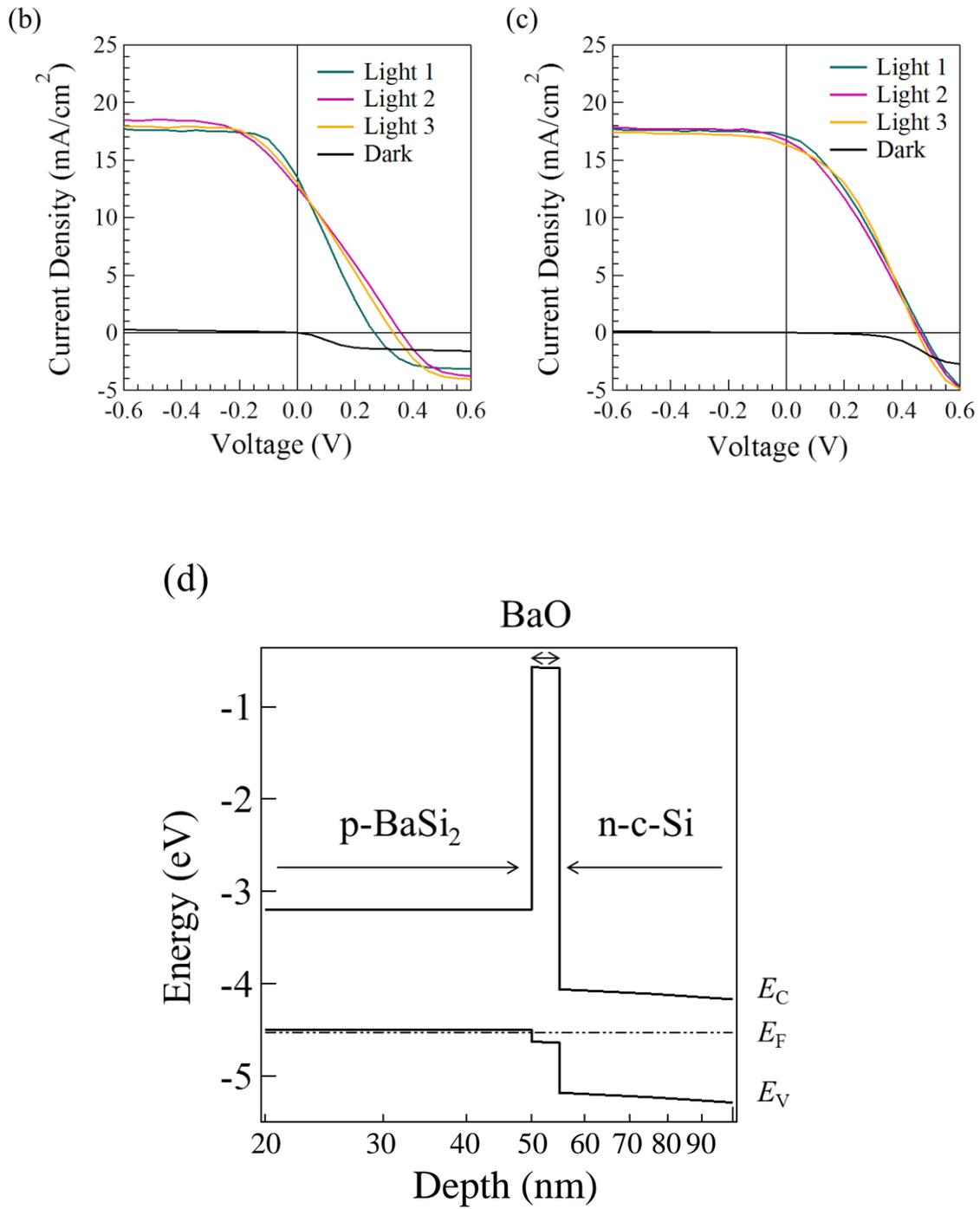


Fig. 2. (Color online)

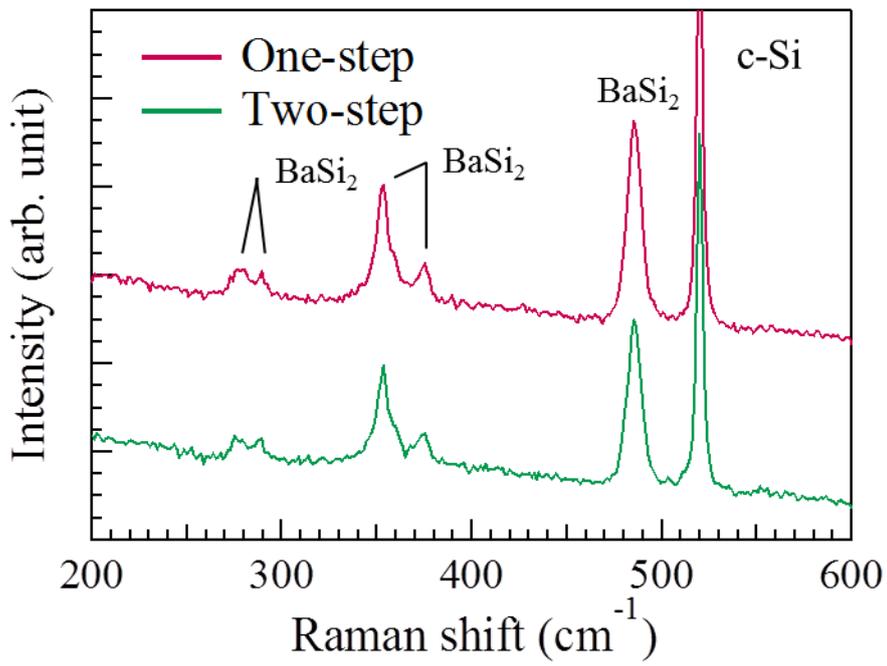
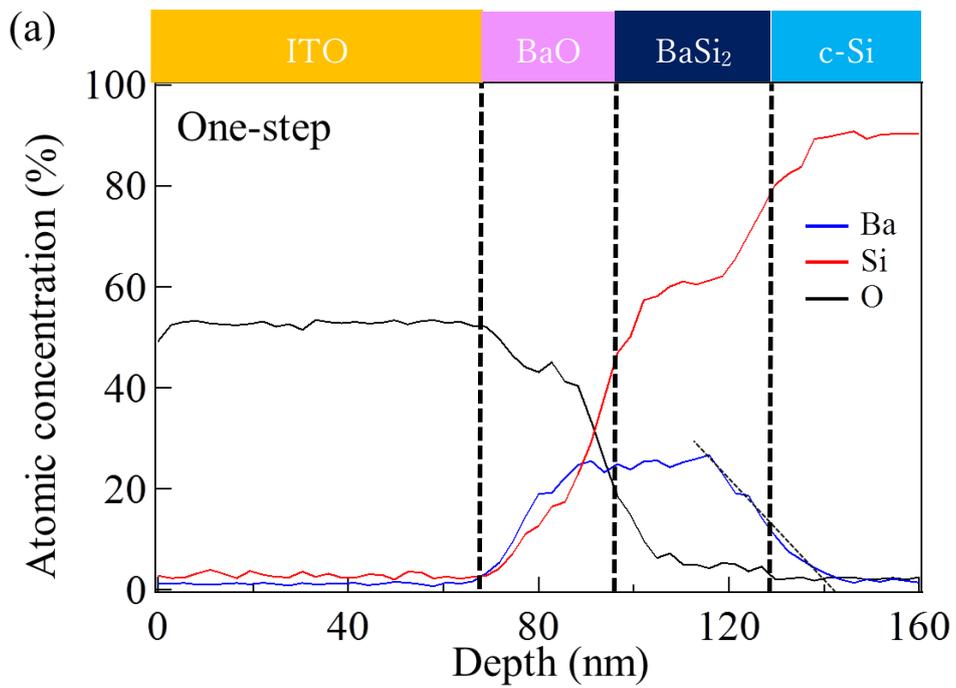


Fig. 3. (Color online)



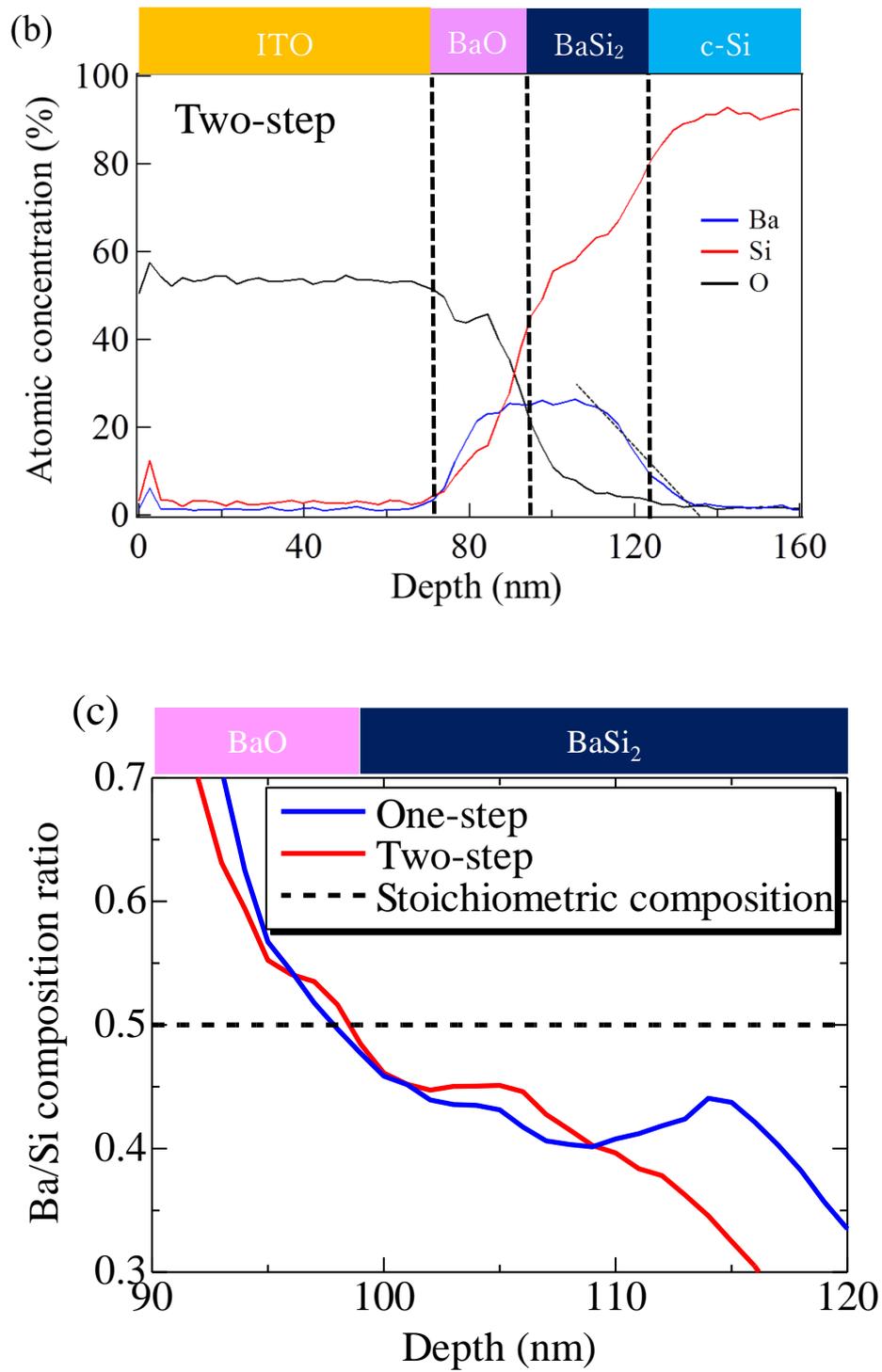


Fig. 4. (Color online)

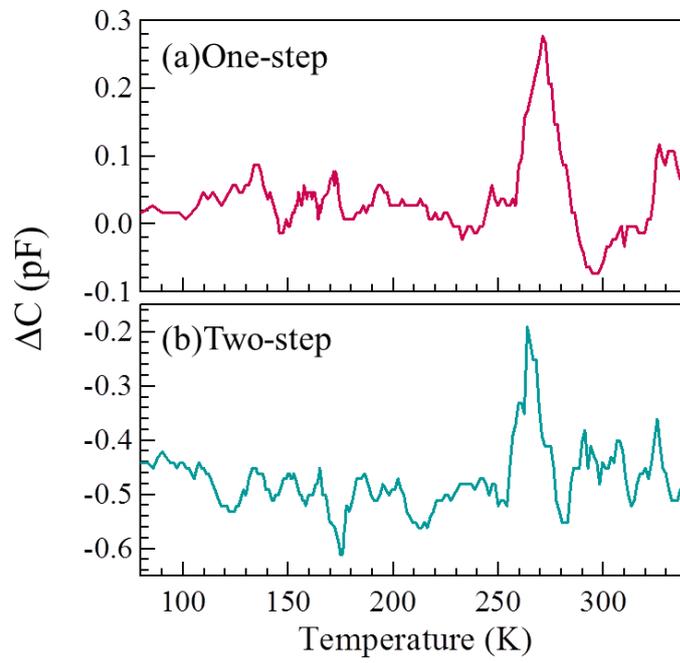
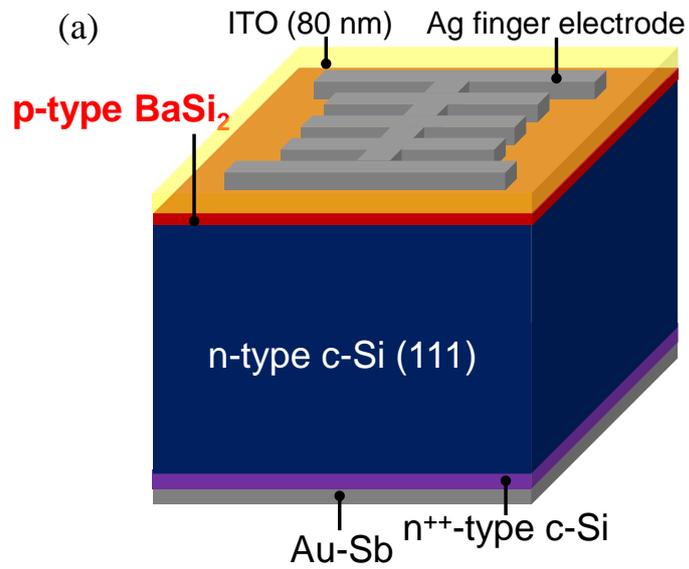


Fig. 5. (Color online)



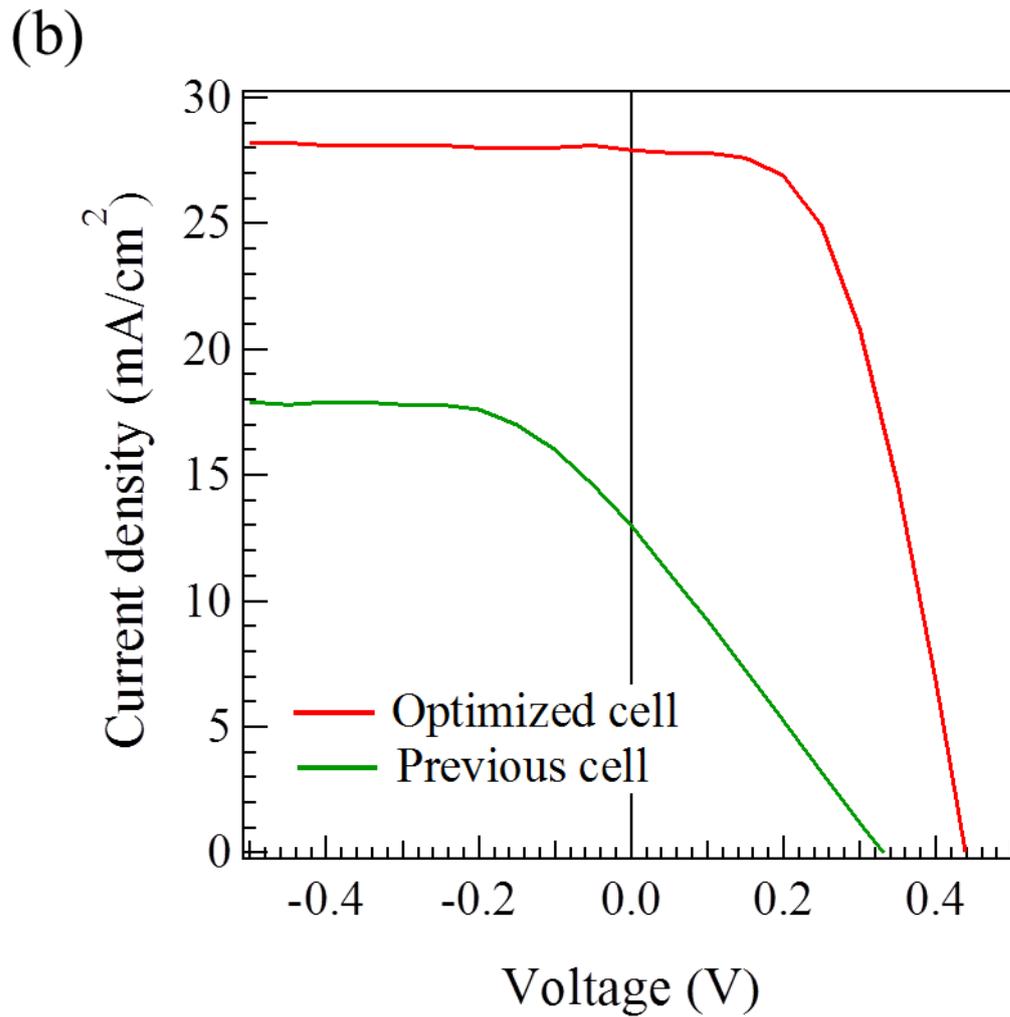


Fig. 6. (Color online)

Table I

Method	J_{sc} (mA/cm^2)	V_{oc} (mV)	$FF(\%)$	$\eta(\%)$
One-step	13.0	319	25	1.03
Two-step	16.7	463	34	2.63