

1 **Identification of biosynthetic intermediates for the mating hormone $\alpha 2$ of the plant**
2 **pathogen *Phytophthora***

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16 Running head: Biosynthesis of *Phytophthora* mating hormone $\alpha 2$

17

18 **ABSTRACT**

19 The heterothallic group of the plant pathogen *Phytophthora* can sexually reproduce between
20 the cross-compatible mating types A1 and A2. The mating hormone α_2 , produced by A2
21 mating type and utilized to promote the sexual reproduction of the partner A1 type, is known
22 to be biosynthesized from phytol. In this study, we identified two biosynthetic intermediates,
23 11- and 16-hydroxyphytols (**1** and **2**), for α_2 by administering the synthetic intermediates to
24 an A2 type strain to produce α_2 and by administering phytol to A2 strains to detect the
25 intermediates in the mycelia. The results suggest that α_2 is biosynthesized by possibly two
26 cytochrome P450 oxygenases via two hydroxyphytol intermediates (**1** and **2**) in A2 hyphae
27 and secreted outside.

28
29 **Keywords:** plant pathogen, *Phytophthora*, mating hormone, sexual reproduction, biosynthesis

30
31 The genus *Phytophthora*, a group of filamentous fungus-like oomycetes, includes well-known
32 plant pests, some of which are deleterious to a broad range of economically and ecologically
33 important plant species (Erwin et al. 1996). Among them *P. infestans* is most notorious as the
34 causal agent for the Irish Potato Famine during the mid-1840s, leading to mass starvation.
35 Sexual reproduction is one of the most attractive biological events of *Phytophthora*, where the
36 female and male organs fuse and are fertilized to produce sexual spore so-called oospores.
37 The heterothallic (self-sterile) members require pairing of two compatibility types, mating
38 types A1 and A2, for their sexual reproduction (Savage et al. 1968), and the hormonal factors
39 termed α_1 (secreted by A1) and α_2 (secreted by A2) were predicted as the triggers for the
40 *Phytophthora* sexual reproduction (Ko, 1978). Since the resulting oospores provide
41 advantages such as a sustainable double-walled structure and the potential of accelerated
42 evolution (Judelson et al. 2005), research on the mechanisms behind the *Phytophthora* sexual
43 reproduction could be a key to controlling this agricultural pest. After long-term efforts, the
44 mating hormones α_1 (Qi, et al. 2005) and α_2 (Ojika et al. 2011) were chemically identified
45 (Fig. 1). The hormone α_2 was found to be biosynthesized from phytol by A2 mating type and
46 then converted to α_1 by A1 mating type (Ojika et al. 2011). Since the biosynthesis of α_2 is the
47 starting point of the sexual reproduction of *Phytophthora*, the mechanism of α_2 biosynthesis
48 is worthy to be elucidated. Since α_2 is regarded as 11,16-dihydroxyphytol, this hormone
49 could be biosynthesized by cytochrome P450s via 11- or/and 16-hydroxyphytols (Fig. 2). The
50 aim of the present study is to identify these plausible biosynthetic intermediates, which would

51 partially contribute to establish the molecular basis of the *Phytophthora* sexual reproduction
52 system in the future.

53

54 (Figure 1)

55 (Figure 2)

56

57 **Materials and methods**

58 **General**

59 IR spectra were measured on a Jasco IR-4100 spectrometer. ¹H and ¹³C NMR spectra were
60 recorded on Jeol ECS400 spectrometer using the residual solvent peak at $\delta = 7.26$ (for ¹H) or
61 $\delta = 77.0$ (for ¹³C) as an internal standard. High resolution MS data were recorded on Jeol
62 JMS-T100 and Agilent 6530 spectrometers. Column chromatography was performed with
63 silica gel Wakogel-C200. Flush column chromatography was performed with Kanto Silica Gel
64 60 (spherical).

65

66 ***Phytophthora* strains and cultivation.**

67 *P. nicotianae* ATCC 38606 (A2 mating type) was purchased from the ATCC (YA, USA). *P.*
68 *nicotianae* NBRC 33192 and *P. capsici* NBRC 8386 were obtained from the Biological
69 Resource Centre, National Institute of Technology and Evaluation (NBRC, Chiba, Japan). A
70 loopful of mycelia of a *Phytophthora* strain was inoculated onto a Petri plate (ϕ 9 cm)
71 containing 20% V8 juice, 0.3% CaCO₃, and 2% agar, and precultured at 25 °C and 60%
72 humidity for 7-9 days in the dark by using an Environmental Chamber KCL-2000 (EYELA,
73 Tokyo, Japan). The mycelia on V8-agar medium were used for the next experiments.

74

75 **LC-MS analysis of α hormones and α 2 intermediates (1 and 2)**

76 A standard solution (1-10 μ L, 0.1-1 μ M) of α 1, α 2, and synthetic hydroxyphytols (*mix-1* and
77 *mix-2*) in 50-80% MeCN or a solution (1-10 μ L) of *Phytophthora* culture extracts in an
78 appropriate concentration and solvent was injected to a 1100 High-Performance Liquid
79 Chromatography (HPLC) system (Agilent Technologies, Santa Clara, CA) connected to an
80 Agilent 6520 Accurate-Mass Q-TOF spectrometer. The HPLC conditions were as follows:
81 column Poroshell 120 EC-C18 (ϕ 3.0 \times 50 mm, Agilent Technologies); mobile phase (i) 75-
82 100% (0-12.5 min) MeOH-10 mM ammonium formate or (ii) 60-100% (0-20 min) MeOH-10
83 mM ammonium formate; flow rate 0.2 mL min⁻¹. The MS conditions were as follows: ESI-

84 TOF, positive ion mode, gas temperature 300 °C, dry gas 7 L min⁻¹, nebulizer 35 psig, and
85 capillary voltage 3500 V. The hormone α 2 and intermediates (**1**, **2**) were detected on extracted
86 ion chromatographs (EIC) by using [M+Na]⁺ ion masses of 351.287, 335.292, and 335.292 at
87 the retention times of 5.5/12.6, 10.7/18.1, and 11.0/18.5 min, respectively, in the conditions of
88 (i)/(ii) mentioned above. The EIC peak areas were used for quantitative analysis.

89

90 **Synthesis of stereoisomeric mixtures of 11-hydroxyphytols (*mix-1*)**

91 **10-Hydroxy-6,10,14-trimethylpentadecan-2-yl acetate (**4**) and 14-hydroxy-6,10,14-**

92 **trimethylpentadecan-2-yl acetate (**5**).** To a stirred solution of RuCl₃·xH₂O (5.2 mg, 25

93 μ mol), KBrO₃ (250 mg, 1.5 mmol), and pyridine (4.0 μ L, 50 μ mol) in water (4 mL) was

94 added a solution of **3** (Tori et al. 1985) (157 mg, 500 μ mol) in MeCN (4 mL) at room

95 temperature (McNeill et al. 2010). The mixture was stirred for 3 h at 60 °C. After cooling to

96 room temperature, saturated Na₂SO₃ aq. solution was added carefully to the mixture. The

97 aqueous layer was extracted with EtOAc, and the combined organic layer was washed with

98 water and brine. The organic layer was dried with Na₂SO₄ and concentrated. The residue was

99 purified by silica gel column chromatography with hexane-EtOAc (10:1) to give **4** (16.5 mg,

100 10%, diastereomeric mixture) and **5** (19.8 mg, 12%, diastereomeric mixture) as colorless oils,

101 and the starting material **3** (75.8 mg, 48%) was recovered.

102 **4**: IR (film): ν_{\max} = 3500(br), 1738 cm⁻¹; ¹H NMR (400 MHz, CDCl₃) δ = 0.86 (d, J = 6.6 Hz,

103 3H), 0.88 (d, J = 6.6 Hz, 6H), 1.05-1.48 (m, 19H), 1.15 (s, 3H), 1.20 (d, J = 6.5 Hz, 3H), 1.55

104 (m, 1H), 2.03 (s, 3H), 4.89 (m, 1H); ¹³C NMR (100 MHz, CDCl₃) δ = 19.9, 20.0, 21.4 (2C),

105 21.7, 22.6, 22.8/22.9 (total 1C), 27.0, 27.9, 32.6, 36.2, 36.7, 37.3, 37.6, 39.6, 42.2, 71.0, 72.8,

106 170.8; HR-DART-MS (m/z): [M+H]⁺ calcd. for C₂₀H₄₁O₃ 329.3056, found 329.3058.

107 **5**: IR (film): ν_{\max} = 3440(br), 1738 cm⁻¹; ¹H NMR (400 MHz, CDCl₃) δ = 0.84-0.91 (m, 6H),

108 1.04-1.85 (m, 20H), 1.20 (s, 6H), 2.02 (s, 3H), 2.13-2.64 (m, 4H), 4.89 (m, 1H); ¹³C NMR

109 (100 MHz, CDCl₃) δ = 19.64, 19.69, 20.0, 21.3, 21.4, 21.8, 22.6, 22.7, 22.8, 24.4, 24.8, 26.8,

110 26.9, 28.0, 29.3, 32.6, 32.8, 36.2, 36.4, 36.8, 37.3, 37.4, 37.5, 37.6, 39.3, 41.5, 42.2, 42.3,

111 44.3, 70.8, 71.1, 72.6, 170.8 (The discrepancy between the number of signals and the carbon

112 number of the compound reflects the presence of diastereomers); HR-DART-MS (m/z):

113 [M+H]⁺ calcd. for C₂₀H₄₁O₃ 329.3056, found 329.3046.

114 **10-Hydroxy-6,10,14-trimethylpentadecan-2-one (**6**).** To a stirred solution of **4** (1.84 g, 5.61

115 mmol) in MeOH (20 mL) was added K₂CO₃ (776 mg, 5.60 mmol). After stirring for 26 h, sat.

116 NH₄Cl aq. was added to the mixture. The aqueous phase was extracted with EtOAc, and the

117 combined organic layer was washed with water and brine. The organic layer was dried with
118 Na_2SO_4 , and concentrated. The residue was dissolved in CH_2Cl_2 (40 mL), and the resulting
119 solution was cooled to 0 °C. To the solution powdered MS4A (2 g) and PCC (1.32 g, 6.14
120 mmol) were added portionwise. After stirring for 90 min, the mixture was diluted with ether
121 and filtered through a pad of silica gel. The filtrate was concentrated, and the residue was
122 purified by column chromatography with hexane-EtOAc (5:1) to give **6** as a colorless oil (921
123 mg, 58%, diastereomeric mixture). IR (film): $\nu_{\text{max}} = 3490(\text{br}), 1714 \text{ cm}^{-1}$; $^1\text{H NMR}$ (400
124 MHz, CDCl_3) $\delta = 0.86$ (d, $J = 6.9$ Hz, 3H), 0.87 (d, $J = 6.9$ Hz, 6H), 1.03-1.67 (m, 19H), 1.14
125 (s, 3H), 2.13 (s, 3H), 2.39 (t, $J = 7.3$ Hz, 2H); $^{13}\text{C NMR}$ (100 MHz, CDCl_3) $\delta = 19.2, 20.9,$
126 21.0, 21.3, 22.3, 26.6, 27.6, 29.5, 32.3, 36.1, 37.1, 39.2, 41.7, 41.8, 43.6, 72.2, 208.9; HR-
127 DART-MS (m/z): $[\text{M}+\text{H}]^+$ calcd. for $\text{C}_{18}\text{H}_{37}\text{O}_2$ 285.2794, found 285.2781.

128 **Ethyl (*E*)-11-hydroxy-3,7,11,15-tetramethylhexadec-2-enoate (7).** To a suspension of NaH
129 (60% in oil, washed with hexane, 76 mg, 3.2 mmol) in THF (3 mL) was added triethyl
130 phosphonoacetate (652 μL , 3.17 mmol) under Ar. After stirring for 1h, the mixture was cooled
131 to 0 °C, and a solution of **6** in THF (1 mL) was added to the mixture. The mixture was stirred
132 for 20 h at room temperature and quenched with sat. NH_4Cl aq. The aqueous phase was
133 extracted with EtOAc, and the combined organic layer was washed with water and brine. The
134 organic layer was dried with Na_2SO_4 , and concentrated. The residue was purified by column
135 chromatography with hexane-EtOAc (10:1) to give **7** as a colorless oil (61.3 mg, 85%,
136 *E:Z*=4:1, diastereomeric mixture). A sample of pure (*E*)-isomer was partially obtained by the
137 column chromatography. IR (film): $\nu_{\text{max}} = 3450(\text{br}), 1717, 1650 \text{ cm}^{-1}$; $^1\text{H NMR}$ (400 MHz,
138 CDCl_3) $\delta = 0.86$ (d, $J = 6.9$ Hz, 3H), 0.87 (d, $J = 6.9$ Hz, 6H), 1.05-1.58 (m, 19H), 1.15 (s,
139 3H), 1.28 (t, $J = 7.3$ Hz, 3H), 2.11 (t, $J = 7.8$ Hz, 2H), 2.15 (d, $J = 0.9$ Hz, 3H), 4.14 (q, $J =$
140 7.3 Hz, 2H), 5.65 (s, 1H); $^{13}\text{C NMR}$ (100 MHz, CDCl_3) $\delta = 14.3, 18.7, 19.6, 21.3, 21.7, 22.6,$
141 24.8, 27.0, 27.9, 32.6, 36.5, 37.5, 39.5, 41.2, 42.2, 59.4, 72.8, 115.5, 160.3, 166.9; HR-DART-
142 MS (m/z): $[\text{M}+\text{H}]^+$ calcd. for $\text{C}_{22}\text{H}_{43}\text{O}_3$ 355.3212, found 355.3198.

143 **11-Hydroxyphytol (mix-1).** To a suspension of LAH (23 mg, 610 μmol) in ether (1 mL) was
144 added a solution of **7** (30 mg, 85 μmol) in ether (1 mL) at 0 °C under Ar. After stirring for 90
145 min, water (23 μL), 15% NaOH aq. (23 μL), and water (23 μL) were added to the mixture.
146 The resulting mixture was filtered through a pad of Celite, and the filtrate was concentrated.
147 The residue was purified by flash column chromatography with hexane-EtOAc (3:1) to give
148 *mix-1* as a colorless oil (23.6 mg, 89%, *E:Z*=4:1, diastereomer mixture). A sample of pure (*E*)-
149 isomer was partially obtained by the flash column chromatography. IR (film): $\nu_{\text{max}} =$

150 3348(br), 2932, 2867, 1464, 1377, 1307, 1247, 1154, 1083, 1016 cm^{-1} ; ^1H NMR (400 MHz,
151 CDCl_3) δ = 0.86 (d, J = 6.9 Hz, 3H), 0.87 (d, J = 6.9 Hz, 6H), 1.03-1.48 (m, 15H), 1.15 (s,
152 3H), 1.55 (m, 1H), 1.66 (s, 3H), 1.99 (t, J = 7.3 Hz, 2H), 2.11 (t, J = 7.8 Hz, 2H), 2.15 (d, J =
153 0.9 Hz, 3H), 4.15 (d, J = 6.8 Hz, 2H), 5.40 (t, J = 6.8 Hz, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ
154 = 16.2, 19.7, 21.3, 21.7, 22.6(x2), 25.0, 27.0, 27.9, 32.5, 36.4, 37.5, 39.5, 39.7, 42.1(x2), 59.4,
155 72.9, 123.2, 140.0; HR-DART-MS (m/z): $[\text{M}+\text{H}]^+$ calcd. for $\text{C}_{20}\text{H}_{41}\text{O}_2$ 313.3107, found
156 313.3100.

157

158 **Synthesis of stereoisomeric mixtures of 16-hydroxyphytols (*mix-2*)**

159 **Ethyl (*E*)-14-acetoxy-2,6,10-trimethylpentadec-2-enoate (10).** To a solution of **5** (1.96 g,
160 5.98 mmol) in pyridine (18 mL) was added POCl_3 (1.19 mL, 8.96 mmol) at -20°C under Ar.
161 After stirring for 16 h, sat. NH_4Cl aq. was added to the mixture, and the aqueous phase was
162 extracted with EtOAc. The combined organic layer was washed with water and brine. The
163 organic layer was dried with Na_2SO_4 , and concentrated. The residue was purified by column
164 chromatography with hexane-EtOAc (3:1) to give **8** as a colorless oil (1.37 g, 74%,
165 *endo:exo*=7:2). This was employed in the next step without further purification. To a solution
166 of **8** (150 mg, 483 μmol) in acetone/water (5:2, 3 mL) were added NMO (113 mg, 966 μmol)
167 and a solution of 1% OsO_4 in *t*-BuOH (1.5 mL). After stirring for 50 h, the mixture was
168 poured into water, and the aqueous phase was extracted with CH_2Cl_2 . The combined organic
169 layer was washed with water and brine. The organic layer was dried with Na_2SO_4 , and
170 concentrated. The residue was purified by column chromatography with hexane-EtOAc (1:1)
171 to give **9** as a colorless oil (113 mg, 68%). This was employed in the next step without further
172 purification. To a solution of **9** (75.8 mg, 220 μmol) in THF/water (4:1, 1 mL) was added
173 NaIO_4 (141 mg, 661 μmol) at 0°C under Ar. After stirring for 2 h, brine was added to the
174 mixture, and the aqueous phase was extracted with EtOAc. The combined organic layer was
175 washed with water and brine. The organic layer was dried with Na_2SO_4 , and concentrated.
176 The residue was dissolved in CH_2Cl_2 (1 mL), and (1-
177 ethoxycarbonyl ethylidene)triphenylphosphorane (239 mg, 660 μmol) was added to the
178 solution. After stirring for 14 h, the mixture was concentrated, and the residue was purified by
179 flash column chromatography with hexane-EtOAc (20:1) to give **10** as a colorless oil (30.3
180 mg, 37%, diastereomeric mixture). IR (film): ν_{max} = 1738, 1713, 1652 cm^{-1} ; ^1H NMR (400
181 MHz, CDCl_3) δ = 0.83 (d, J = 6.4 Hz, 3H), 0.88 (d, J = 6.4 Hz, 3H), 1.02-1.58 (m, 16H), 1.20
182 (d, J = 6.4 Hz, 3H), 1.29 (t, J = 6.4 Hz, 3H), 1.83 (s, 3H), 2.02 (s, 3H), 2.16 (m, 2H), 4.18 (q,

183 $J = 6.4$ Hz, 2H), 4.89 (sex, $J = 6.4$ Hz, 1H), 6.74 (dt, $J = 1.4, 7.3$ Hz, 1H); ^{13}C NMR (100
184 MHz, CDCl_3) $\delta = 14.2, 19.4, 19.5, 19.89, 19.93, 21.3, 22.8, 24.3, 29.7, 32.3, 32.6, 35.1, 36.2,$
185 $36.7, 37.1, 37.2, 60.0, 70.96, 70.99, 121.0, 149.6, 166.7, 170.7$ (The discrepancy between the
186 number of signals and the carbon number of the compound reflects the presence of
187 diastereomers); HR-DART-MS (m/z): $[\text{M}+\text{H}]^+$ calcd. for $\text{C}_{22}\text{H}_{41}\text{O}_4$ 369.3005, found 369.3001.
188 **Ethyl 14-acetoxy-2,6,10-trimethylpentadecanoate (11)**. To a solution of **10** (47.1 mg, 128
189 μmol) in EtOAc (1 ml) was added 10% Pd/C (11 mg) under Ar. After replacing the argon in
190 the flask with hydrogen, the mixture was stirred for 2 h. The mixture was filtered through a
191 pad of Celite, and the filtrate was concentrated. The residue was purified by column
192 chromatography with hexane-EtOAc (10:1) to give **11** as a colorless oil (46.0 mg, 97%,
193 diastereomeric mixture). IR (film): $\nu_{\text{max}} = 1738$ cm^{-1} ; ^1H NMR (400 MHz, CDCl_3) $\delta = 0.81$
194 (d, $J = 6.9$ Hz, 6H), 0.99-1.66 (m, 20H), 1.10 (d, $J = 6.9$ Hz, 3H), 1.17 (d, $J = 6.4$ Hz, 3H),
195 1.22 (t, $J = 6.9$ Hz, 3H), 1.99 (s, 3H), 2.24-2.42 (m, 1H), 4.09 (q, $J = 6.9$ Hz, 2H), 4.86 (sex, J
196 $= 6.4$ Hz, 1H); ^{13}C NMR (100 MHz, CDCl_3) $\delta = 14.2, 17.0, 17.1, 19.55, 19.57, 19.86, 19.91,$
197 $21.3, 22.8, 24.4, 24.57, 24.60, 25.2, 26.5, 32.58, 32.62, 34.06, 34.08, 34.3, 36.2, 36.5, 36.72,$
198 $36.74, 36.8, 37.3, 39.5, 59.9, 60.0, 70.94, 70.96, 170.6, 173.7, 176.8$ (The discrepancy
199 between the number of signals and the carbon number of the compound reflects the presence
200 of diastereomers); HR-DART-MS (m/z): $[\text{M}+\text{H}]^+$ calcd. for $\text{C}_{22}\text{H}_{43}\text{O}_4$ 371.3161, found
201 371.3154.

202 **Ethyl 2,6,10-trimethyl-14-oxopentadecanoate (12)**. To a solution of **11** (46.0 mg, 124 μmol)
203 in EtOH (1 mL) was added K_2CO_3 (8.6 mg, 62 μmol). After stirring for 24 h at 40 $^\circ\text{C}$, an
204 additional K_2CO_3 (8.6 mg, 62 μmol) was added to the mixture. After stirring for 4 h, the
205 mixture was allowed to cool to room temperature, and the mixture was poured into sat. NH_4Cl
206 aq. The aqueous phase was extracted with EtOAc. The combined organic layer was washed
207 with water and brine. The organic layer was dried with Na_2SO_4 , and concentrated. The residue
208 was dissolved in CH_2Cl_2 (3 mL), and cooled to 0 $^\circ\text{C}$. To the solution powdered MS4A (58
209 mg) and PCC (39.0 mg, 181 μmol) were added portionwise. After stirring for 3 h, the mixture
210 was diluted with ether, and filtered through a pad of silica gel. The filtrate was concentrated,
211 and the residue was purified by column chromatography with hexane-EtOAc (10:1) to give **12**
212 as a colorless oil (36.6 mg, 91%, diastereomeric mixture). IR (film): $\nu_{\text{max}} = 1734, 1720$ cm^{-1} ;
213 ^1H NMR (400 MHz, CDCl_3) $\delta = 0.82$ (d, $J = 6.9$ Hz, 3H), 0.84 (d, $J = 6.4$ Hz, 3H), 1.00-1.68
214 (m, 18H), 1.12 (d, $J = 7.3$ Hz, 3H), 1.23 (t, $J = 6.9$ Hz, 3H), 2.11 (s, 3H), 2.25-2.42 (m, 3H),
215 4.11 (q, $J = 6.9$ Hz, 2H); ^{13}C NMR (100 MHz, CDCl_3) $\delta = 14.2, 17.0, 17.1, 19.5, 19.6, 21.4,$

216 22.8, 24.4, 24.59, 24.63, 25.3, 26.6, 29.8, 32.60, 32.63, 34.08, 34.10, 34.4, 36.4, 36.6, 36.8,
217 37.2, 37.3, 39.5, 44.1, 60.0, 60.1, 173.8, 176.9, 209.2 (The discrepancy between the number
218 of signals and the carbon number of the compound reflects the presence of diastereomers);
219 HR-DART-MS (m/z): $[M+H]^+$ calcd. for $C_{20}H_{39}O_3$ 327.2899, found 327.2902.

220 **Diethyl (*E*)-3,7,11,15-tetramethylhexadec-2-enedioate (13).** To a solution of triethyl
221 phosphonoacetate (74.5 μ L, 373 μ mol) in THF (1 mL) was added a solution of *n*-BuLi in
222 hexane (1.6 M, 175 μ L, 280 μ mol) at 0 °C under Ar. After stirring for 15 min at room
223 temperature, a solution of **12** (15.2 mg, 46.6 μ mol) in THF (1 mL) was added to the mixture
224 at 0 °C. The mixture was stirred for 43 h and poured into sat. NH_4Cl aq. The aqueous phase
225 was extracted with EtOAc, and the combined organic layer was washed with water and brine.
226 The organic layer was dried with $MgSO_4$, and concentrated. The residue was purified by flash
227 column chromatography with hexane-EtOAc (30:1) to give an isomeric mixture containing **13**
228 as a colorless oil (5.4 mg, 29%, *E:Z*=5:2). The starting material **12** (8.7 mg, 57%,
229 diastereomeric mixture) was recovered. A sample of pure *E* isomer (**13**) was obtained by the
230 flash column chromatography. IR (film): ν_{max} = 1736, 1718, 1649 cm^{-1} ; 1H NMR (400 MHz,
231 $CDCl_3$) δ = 0.83 (d, J = 6.4 Hz, 3H), 0.85 (d, J = 6.0 Hz, 3H), 1.01-1.68 (m, 19H), 1.13 (d, J =
232 6.9 Hz, 3H), 1.25 (t, J = 6.9 Hz, 3H), 1.28 (t, J = 7.2 Hz, 3H), 2.11 (m, 1H), 2.15 (d, J = 1.0
233 Hz, 3H), 2.41 (m, 1H), 4.13 (m, 4H), 5.66 (d, J = 1.0 Hz, 1H); ^{13}C NMR (100 MHz, $CDCl_3$) δ
234 = 14.26, 14.32, 17.0, 17.1, 18.7, 19.6, 24.4, 24.6, 24.8, 25.1, 32.6, 34.12, 34.13, 36.5, 36.8,
235 36.8, 37.26, 37.31, 37.32, 39.6, 41.2, 59.4, 60.0, 115.4, 160.3, 166.9, 176.9 (The discrepancy
236 between the number of signals and the carbon number of the compound reflects the presence
237 of diastereomers); HR-DART-MS (m/z): $[M+H]^+$ calcd. for $C_{24}H_{45}O_4$ 397.3318, found
238 397.3315.

239 **16-Hydroxyphytol (mix-2).** To a solution of **13** (1.8 mg, 4.6 μ mol) in CH_2Cl_2 (1 mL) was
240 added a solution of DIBAL in hexane (1.0 M, 24 μ L, 24 μ mol) at -78 °C under Ar. After
241 stirring for 4.5 h, an additional solution of DIBAL in hexane (1.0 M, 12 μ L, 12 μ mol) was
242 added to the mixture. After stirring for 90 min at 0 °C, the mixture was poured into sat.
243 Rochelle salt aq. The aqueous phase was extracted with CH_2Cl_2 , and the combined organic
244 layer was washed with water and brine. The organic layer was dried with $MgSO_4$, and
245 concentrated. The residue was purified by flash column chromatography with hexane-EtOAc
246 (3:1) to give *mix-2* as a colorless oil (1.0 mg, 70%, diastereomer mixture). IR (film): ν_{max} =
247 3320(br), 2926, 2856, 1463, 1377, 1159, 1010 cm^{-1} ; 1H NMR (400 MHz, $CDCl_3$) δ = 0.85 (d,
248 J = 6.9 Hz, 6H), 0.92 (d, J = 6.9 Hz, 3H), 1.02-1.47 (m, 18H), 1.54 (br, 2H), 1.61 (m, 1H),

249 1.67 (s, 3H), 1.99 (t, $J=7.3$ Hz, 2H), 3.42 (dd, $J=6.6, 10.5$ Hz, 1H), 3.51 (dd, $J=6.0, 10.5$
250 Hz, 1H), 4.15 (d, $J=7.0$ Hz, 2H), 5.41 (br.t, $J=7.0$ Hz, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ
251 = 16.2, 16.55, 16.64, 19.7, 19.8, 24.3, 24.41, 24.44, 25.1, 32.66, 32.70, 32.74, 33.4, 33.5,
252 35.8, 36.6, 37.2, 37.31, 37.32, 37.4, 37.32, 39.8, 59.4, 68.4, 68.5, 123.1, 140.3 (The
253 discrepancy between the number of signals and the carbon number of the compound reflects
254 the presence of diastereomers); HR-ESI-MS (m/z): $[\text{M}+\text{H}]^+$ calcd. for $\text{C}_{20}\text{H}_{41}\text{O}_2$ 313.3101,
255 found 313.3098.

256

257 **Quantitative analysis of intermediates (1, 2) in *Phytophthora* cultures**

258 Two *Phytophthora* A2 mating type strains, were used for evaluating the productivity of **1** and
259 **2**. A whole agar plate (9 cm diameter) of precultured *P. nicotianae* NBRC 33192 was soaked
260 in 0.1% V8 juice medium (200 mL) supplemented with an ethanol solution of phytol (200
261 $\mu\text{g}/200 \mu\text{L}$) in a 500-mL Erlenmeyer flask, and incubated at 27 °C, 80 rpm for 1-3 d in a
262 BioShaker BR-23FP (TAITEC, Koshigaya, Saitama, Japan). In the case of *P. capsici* NBRC
263 8386, incubation was carried out in ultrapure water for 2-4 days. The supernatant was
264 separated from mycelia and agars by decantation, and extracted with EtOAc (100 mL, 2
265 times). The mycelia and agar were shaken in MeCN (100 mL) at room temperature, 100 rpm
266 for 1 h and then removed by decantation. The EtOAc and MeCN extracts were concentrated
267 to yield supernatant and mycelial extracts, respectively. These were dissolved in MeOH (2
268 mL), and a portion (10 μL , equiv. to 1 mL-culture broth) was used for LC/MS analysis with
269 the mobile phase (i).

270

271 **Quantitative analysis of intermediates (1, 2) in a large-scale culture of *P. capsici***

272 Seventeen pieces (1 cm square each) of precultured *P. capsici* NBRC 8386 mycelia on V8-
273 agar medium were inoculated into 20% V8 juice medium (1.7 L) supplemented with an
274 ethanol solution of phytol (1.7 mg/1.7 mL) in a 3-L Erlenmeyer flask. A pair of cultures (3.4 L
275 in total) were incubated at 27 °C, 80 rpm for 6 d in a shaking incubator TB-98RS (Takasaki
276 Scientific Instruments, Saitama, Japan). The cultures were filtered by suction to separate into
277 supernatant and mycelia. The mycelia (wet weight 55 g in total) were stored at -30 °C until
278 use. A supernatant was extracted with EtOAc (1 L, 0.5 L), and the extracts were combined
279 and washed with water (150 mL). The organic layer was concentrated and combined with
280 another batch of culture, yielding the supernatant extract (311 mg) from 3.4 L cultures. The
281 mycelia were homogenized in MeOH (400 mL) by a blender and filtered by suction. The
282 mycelial cake was washed with MeOH (100 mL, twice), and combined filtrates were

283 concentrated. The residue was dissolved in 90% MeOH (200 mL) and extracted with hexane
284 (200 mL, twice). The hexane layers were combined and concentrated to give mycelial hexane
285 extract (0.81 g). The supernatant extract was dissolved in MeCN (3.4 mL) and a portion (10
286 μL) was diluted with 75% MeCN (990 μL). A portion (2.5 μL , equiv. to 25 μL -culture broth)
287 of the resulting solution was injected to the LC/MS apparatus. On the other hand, the mycelial
288 hexane extract was dissolved in MeOH (3.4 mL) and a portion (0.1 mL) was passed through a
289 Sep-Pak C18 cartridge (100 mg) with MeOH (1 mL). A portion (2.5 μL , equiv. to 250 μL -
290 culture broth) of the resulting solution was injected to the LC/MS apparatus. The mobile
291 phase (ii) was used for LC.

292

293 **Conversion of synthetic intermediates (*mix-1*, *mix-2*) to $\alpha 2$ by *P. nicotianae***

294 Two pieces (1 cm square each) of precultured *P. nicotianae* ATCC 38606 mycelia on V8-agar
295 medium were inoculated into 100 mL ultrapure water supplemented with an ethanol solution
296 of *mix-1* (0.5 mg/0.1 mL) or *mix-2* (0.1 mg/0.1 mL) in 200-mL Erlenmeyer flask, and
297 incubated at 27 °C, 80 rpm for 24 h in a BioShaker BR-23FP. To the culture was added MeCN
298 (25 mL) and the mixture was shaken at 25 °C, 120 rpm for 30 min. The mixture was filtered
299 and the filtrate was concentrated to dryness and dissolved in 75% MeCN (1 mL). A portion (1
300 μL , equiv. to 0.1 mL-culture broth) was used for LC/MS analysis with the mobile phase (i).

301

302 **Results and Discussion**

303

304 **Synthesis of hydroxyphytols (*mix-1* and *mix-2*)**

305 The starting material **3**, prepared from phytol according to the methods reported previously
306 (Tori et al. 1985), was subjected to ruthenium-catalyzed C–H oxygenation (McNeill et al.
307 2010) to give tertiary alcohols **4** and **5** in 10% and 12%, respectively (Scheme 1). The
308 position of hydroxylation in **4** was determined by two-dimensional NMR analysis and that in
309 **5** was readily clarified by the resonances at δ 3.42 (dd) and 3.51 (dd). For preparing 11-
310 hydroxyphytol (*mix-1*), the acetyl group of **4** was removed and the resulting alcohol was
311 oxidized to ketone **6**. Two-carbon elongation of **6** by Horner-Wadsworth-Emmons (HWE)
312 reaction gave unsaturated ester **7**, which was converted to *mix-1* by hydride reduction. For
313 preparing 16-hydroxyphytol (*mix-2*), dehydration of **5** was performed to give a mixture of
314 *endo* and *exo*-alkene (**8**) in the ratio of 7:2. The mixture was treated with osmium tetroxide
315 and the desired 14,15-diol **9** was chromatographically purified. The oxidative cleavage of **9**

316 followed by Wittig reaction afforded unsaturated ester **10**, which was then converted to
317 saturated ester **11** by hydrogenation. The acetyl group of **11** was removed and the resulting
318 alcohol was oxidized to ketone **12**. Two-carbon elongation of **12** by HWE reaction gave
319 unsaturated ester **13**, which was finally converted to *mix-2* by hydride reduction. Although
320 both *mix-1* and *mix-2* are the epimeric mixtures at the positions 11 and 15, respectively, the
321 stereoisomers were not distinguished each other by ¹H and ¹³C NMR and reversed-phase
322 HPLC.

323

324 (Scheme 1)

325

326 **Identification of $\alpha 2$ biosynthetic intermediates in *Phytophthora* cultures.**

327 Two *Phytophthora* A2 mating type strains, *P. nicotianae* NBRC 33192 and *P. capsici* NBRC
328 8386, were used for examining their productivity of **1** and **2** from phytol. The strains were
329 incubated in the presence of phytol (1 mg/L) for 1-4 d. The supernatant and mycelia were
330 separately extracted and then analyzed by liquid chromatography-electrospray ionization
331 time-of-flight mass spectrometry (LC-ESI-TOF MS). The mating hormone $\alpha 2$ was detected in
332 both strains in the concentrations of 2.1 $\mu\text{g/L}$ (1 day) and 5.0 $\mu\text{g/L}$ (4 days), respectively (Fig.
333 3a). Among the biosynthetic intermediates, only 11-hydroxyphytol (**1**) was detected in both
334 strains in the concentrations of 0.11 $\mu\text{g/L}$ (1 day) and 0.25 $\mu\text{g/L}$ (4 days), respectively (Fig.
335 3a).

336 To confirm the production of the $\alpha 2$ intermediates (**1** and **2**) in A2 mating type, *P. capsici*
337 NBRC 8386 was again cultured in a large scale (1.7 L/flak). This time the nutritious 20% V8
338 juice medium was used to promote the growth of mycelia, in which the less polar
339 intermediates might be richer than in supernatant. The culture period was set for 6 days, when
340 the $\alpha 2$ production reaches the plateau (Tomura et al. 2017). The supernatants were separated
341 from mycelia and extracted with EtOAc. The mycelia were extracted with MeOH and the
342 extract was partitioned between hexane and aqueous MeOH. Non-polar extracts from the
343 supernatant and mycelia were analyzed by LC-MS, demonstrating that both intermediate **1**
344 (2.4 $\mu\text{g/L}$) and **2** (3.7 $\mu\text{g/L}$) were detected in mycelia. It is worthy to note that the
345 intermediates were detected only in mycelia and the mating hormones were in supernatant.
346 Without the external addition of phytol, the production of $\alpha 2$ was not observed, and lower
347 production of **1** (0.15 $\mu\text{g/L}$), **2** (0.28 $\mu\text{g/L}$), and $\alpha 1$ (12 $\mu\text{g/L}$) was observed, which were
348 generated from natural phytol in V8 vegetable juice (data not shown). These results confirmed

349 the intermediacy of **1** and **2** and suggested that the mating hormones are biosynthesized inside
350 the hyphal cells via the intermediates **1** and **2** before being secreted into the medium.
351 Since the intermediates lodge in A2 cells and, after further oxygenation, $\alpha 2$ is secreted
352 outside, this enzymatic reaction could be executed inside the cells. On the other hand, $\alpha 1$
353 could be biosynthesized on the surface of A1 cells by capturing free $\alpha 2$ in the medium.
354 Although $\alpha 1$ had been regarded to be produced by A1 mating type, we have recently found
355 that there were many A2 strains that produce $\alpha 1$; *P. capsici* NBRC 8386 produced both
356 hormones as mentioned in this paper and *P. cryptogea* NBRC 32326 surprisingly produces
357 only $\alpha 1$ in a high yield (Tomura et al. 2017). Although this appears inconsistent with the
358 mating types, the generally used definition of the *Phytophthora* mating type is based on the
359 responsiveness (oospore formation) to the counter mating type rather than hormone
360 productivity. The two exceptional strains described above actually produce oospores when co-
361 cultured with an A1 mating type strain. *P. capsici* NBRC 8386 and other several A2 type
362 strains possibly possesses not only $\alpha 2$ biosynthetic enzymes but also an $\alpha 1$ biosynthetic
363 enzyme, and the secreted free $\alpha 2$ is readily converted to $\alpha 1$ on the cell surface of A2 type like
364 A1. The identification of these biosynthetic enzymes is under progress.

365
366 (Figure 3)

367

368 **Conversion of synthetic intermediates to $\alpha 2$ by *Phytophthora***

369 Further evidence for the intermediacy of **1** and **2** for $\alpha 2$ biosynthesis was obtained by the
370 conversion of the synthetic intermediates *mix-1* and *mix-2* to $\alpha 2$. The synthetic intermediates
371 were added to cultures of *P. nicotianae* ATCC 38606 strain (A2 mating type). After incubating
372 for 24 h in water, the amount of $\alpha 2$ in the cultures was analyzed by LC-MS. The results
373 demonstrated that both synthetic compounds were converted to $\alpha 2$ (Fig. 4); 5 mg/L of *mix-1*
374 was converted to 0.35 mg/L of $\alpha 2$ (6.6% yield), 1 mg/L of *mix-2* was to 0.041 mg/L of $\alpha 2$
375 (3.9% yield). The yields are based on the weight including the unnatural stereoisomer but, if
376 the enzymatic reaction was stereospecific, the yields could be 13.2% and 7.8%, respectively.
377 These results clearly support the intermediacy of both hydroxyphytols **1** and **2**.

378

379 (Figure 4)

380

381 **Supplementary material**

382 Supplementary material is available at Bioscience, Biotechnology, and Biochemistry online.

383

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387

388 **Data availability**

389 The data underlying this article are available in the article and in its online supplementary

390 material.

391

392 **Author contribution**

393 M.O. designed the experiments. S.A. and Y.I. cultured the microorganism and analyzed the

394 biosynthetic intermediates. R.O., R.K., and A.Y. synthesized the biosynthetic intermediates.

395 T.S. supported LC/MS analysis.

396

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400

401 **Disclosure statement**

402 The authors declare no conflicts of interest.

403

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424

425 **Figure captions**

426

427 **Figure 1. The *Phytophthora* mating hormones**

428

429 **Figure 2. Biosynthetic route for the *Phytophthora* mating hormones with plausible**
430 **biosynthetic intermediates**

431

432 **Figure 3. Production of plausible $\alpha 2$ intermediates (1, 2) in *Phytophthora* strains**

433 (a) The production of $\alpha 2$ (square) and intermediates (1: circle; 2: triangle) by *P. nicotianae*
434 NBRC 33192 (0.1% V8 medium) and *P. capsici* NBRC 8386 (water medium) in a small scale
435 (100 mL). The values are the sum of the production in supernatant and mycelia. (b) The
436 production of mating hormones ($\alpha 1$, $\alpha 2$) and intermediates (1, 2) by *P. capsici* NBRC 8386 in
437 20% V8 juice medium in a large scale (1.7 L, 6 days). The content in mycelia and supernatant
438 is separately indicated.

439

440 **Figure 4. Conversion of synthetic intermediates *mix-1* and *mix-2* to $\alpha 2$ by *Phytophthora***

441 Extracted ion chromatographs (EIC) of $\alpha 2$ (m/z 351.28, red lines) of the extract of *P.*
442 *nicotianae* ATCC 38606 cultured in the presence of *mix-1* (a) or *mix-2* (b) (m/z 335.29, black
443 lines).

444

445 **Scheme 1. Synthesis of 11-hydroxyphytol (*mix-1*) and 16-hydroxyphytol (*mix-2*).**

446 *Reagents and conditions:* (a) $\text{RuCl}_3 \cdot x\text{H}_2\text{O}$, KBrO_3 , pyridine, MeCN, H_2O , 60 °C (10% for **4**,
447 12% for **5**, and 48% recovered **3**); (b) 1) K_2CO_3 , MeOH; 2) PCC, MS4A, CH_2Cl_2 (58% in 2
448 steps); (c) triethyl phosphonoacetate, NaH, THF, 0 °C to r.t. (85%); (d) LAH, ether, 0 °C to r.t.
449 (89%); (e) POCl_3 , pyridine, -20 °C (74%); (f) OsO_4 , NMO, acetone, *t*-BuOH, H_2O , then
450 separation (68%); (g) 1) NaIO_4 , THF, H_2O ; 2) (1-
451 ethoxycarbonylethylidene)triphenylphosphorane, CH_2Cl_2 (37% in 2 steps); (h) H_2 , Pd/C,
452 EtOAc (97%); (i) 1) K_2CO_3 , EtOH, 40 °C; 2) PCC, MS4A, CH_2Cl_2 (93% in 2 steps); (j)
453 triethyl phosphonoacetate, *n*-BuLi, THF, 0 °C (29% and 57% recovered **12**); (k) DIBAL,
454 CH_2Cl_2 , -78 to 0 °C (70%).

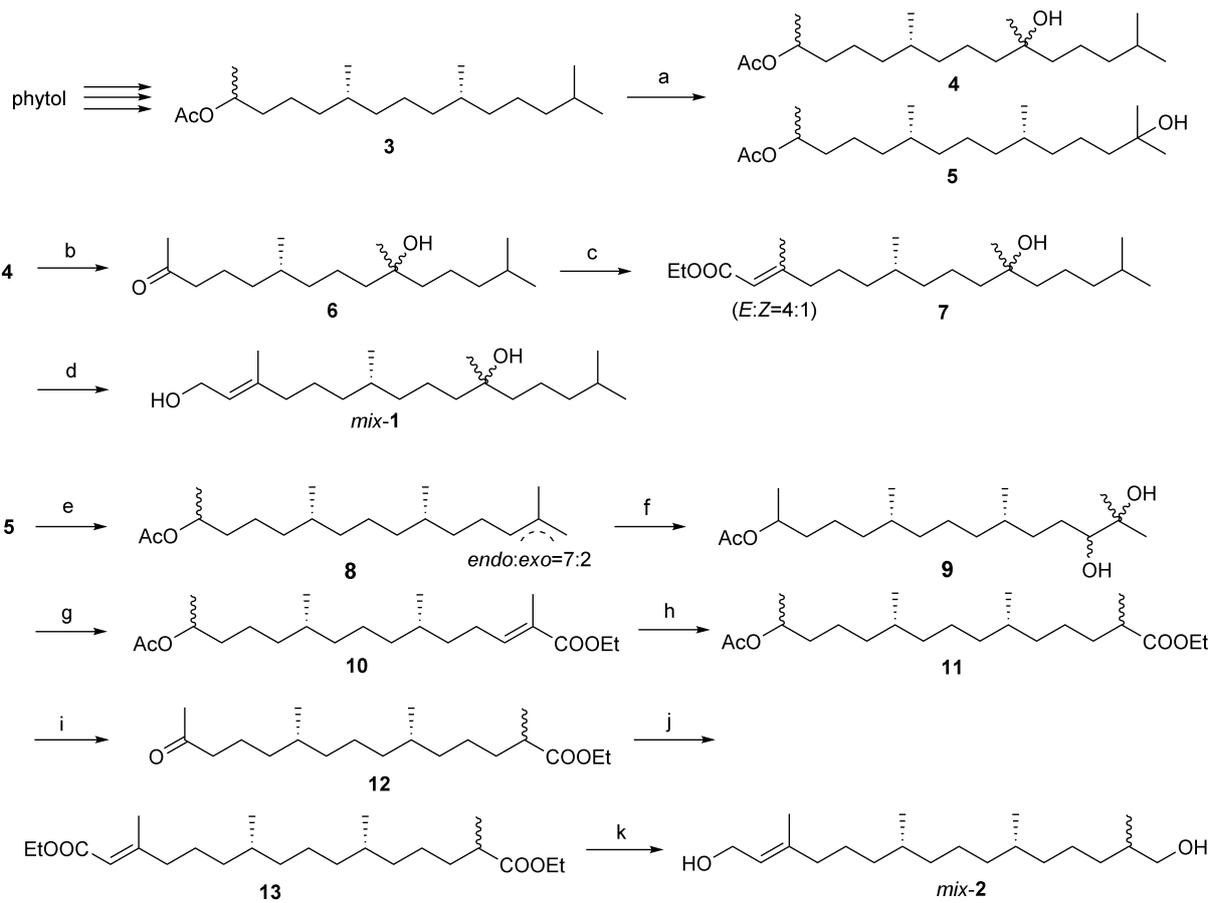
455

456 **Caption for Graphical Abstract**

457

458 The mating hormone $\alpha 2$ of *Phytophthora* (A2 type) is biosynthesized from phytol via 11- and

459 16-hydroxyphytols, promoting the sexual reproduction of the partner A1 type.



Scheme 1

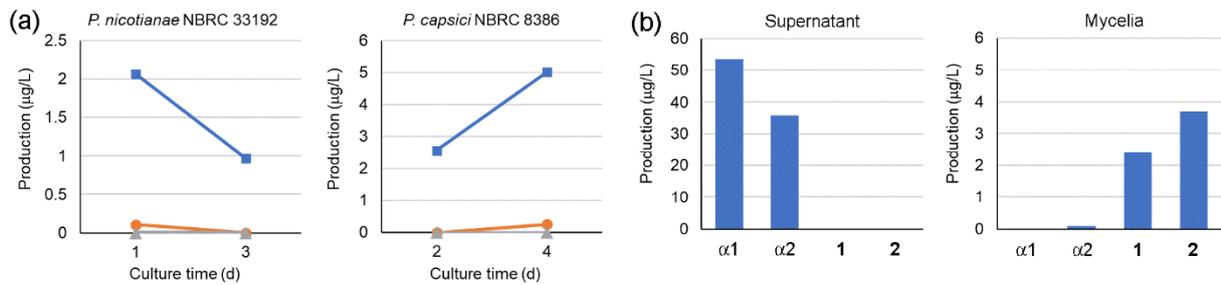


Figure 3

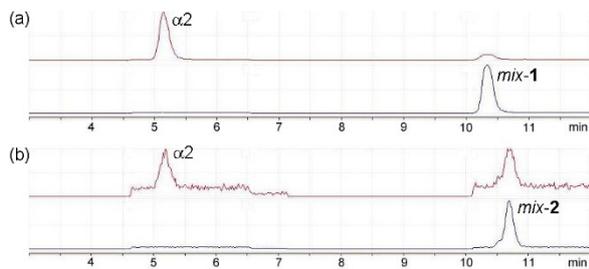


Figure 4