

1 **Are Municipalities Ready for Integrating *Blue Carbon* Concepts?: Content**  
2 **Analysis of Coastal Management Plans in the Philippines**

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## 27 **Are Municipalities Ready for Integrating *Blue Carbon* Concepts?: Content** 28 **Analysis of Coastal Management Plans in the Philippines**

29 The blue carbon ecosystems are gaining salience in the international arena due to their  
30 intrinsic role in climate change mitigation. Reviews on management strategies and  
31 plans at the local level are largely overlooked, although they are crucial factors in  
32 translating commitments to contextualized actions for sustainable management. The  
33 primary goal of this study is to investigate the present coastal management plans for  
34 blue carbon ecosystem management strategies using content analysis of the local plans  
35 of select municipalities in the Philippines. The analysis generated eight (8) clusters  
36 based on keywords focusing on mangrove and seagrass ecosystems, namely: *ecological*  
37 *profile, ecosystem services, carbon sequestration, tourism, natural threats,*  
38 *anthropogenic threats, laws, policies, & ordinances, and management activities.* The  
39 *management activities* cluster has the most coverage while the *carbon sequestration*  
40 cluster is the least mentioned. There is also a distinct gap in the inclusion of mangroves  
41 compared to seagrasses in the coastal management plans where these ecosystems are  
42 present in the localities concerned. Mangrove ecosystems are frequently mentioned,  
43 covering all clusters while seagrass ecosystems are discussed to less extent in only five  
44 (5) clusters. This study also showed that the “blue carbon” concept is not yet fully  
45 incorporated in the current management plans where carbon sequestration cluster is  
46 only discussed under mangrove ecosystems in one of the sites while no discussions for  
47 seagrasses’ “blue carbon” functions. The results of this study can serve as a benchmark  
48 for local policy-makers in updating their present management plans particularly in  
49 branching their focus on integrated management of seagrass ecosystems and advancing  
50 technical capacity and knowledge on blue carbon ecosystems.

51 Keywords: coastal management plans; content analysis; local level; blue carbon  
52 ecosystems; Philippines

### 53 **Introduction**

54 The term “blue carbon” refers to organic carbon that is sequestered and stored by the  
55 oceans and coastal ecosystems, particularly by mangrove forests, seagrass meadows, and tidal  
56 marshes (Nellemann et al. 2009; Macreadie et al. 2019a). These coastal ecosystems are  
57 collectively known as blue carbon ecosystems, whose rates of extraction and storing of  
58 carbon dioxide are almost four times higher than that in tropical forests (McLeod et al. 2011;

59 Crooks et al. 2017). Managing these coastal resources is an effective and sustainable way of  
60 increasing carbon absorption to mitigate climate change. However, there is a global decline  
61 of these ecosystems due to conversion to other uses; it is estimated that half of the mangrove  
62 forests and tidal marshes and 29% of seagrass meadows are lost since the turn of the 19th  
63 century (Crooks et al. 2017). In response, global researches have been geared toward the  
64 management and protection of blue carbon ecosystems. Recent advancements on “blue  
65 carbon” studies include, for example, prospects for blue carbon payments for their ecosystem  
66 services and protection (i.e., Hejnowicz et al. 2015; Muenzel and Martino 2018; Vanderklift  
67 et al. 2019), blue carbon financing for greenhouse gas reduction strategies (i.e., Friedmann,  
68 Ochu, and Brown 2020), and reviews on existing knowledge, policies, frameworks, and  
69 methods for blue carbon management (i.e., Sebastian 2014; Howard et al. 2017). There is also  
70 an observed shift toward the social component of blue carbon ecosystems researches such as  
71 focusing more on “indirect drivers” and values or perceptions of local communities in  
72 assessing biodiversity-related issues (i.e., Fortes and Nadaoka 2015). “Indirect drivers”  
73 assessments are conventionally given less focus compared to “direct drivers” or “pressures”  
74 which are highly discussed in the Driver-Pressure-State-Impact-response (DPSIR)  
75 frameworks (i.e., Kohsaka 2010) or Millennium Ecosystem Assessment frameworks (MEA,  
76 2005).

77 Blue carbon-related studies in the Philippines are similarly experiencing an increasing  
78 trend. Some of the recent researches include ecological surveys such as carbon stock  
79 assessment (Camacho et al. 2011; Gevaña, Pulhin, and Tapia 2019) and above-ground  
80 biomass mapping (Castello et al. 2017) and socio-political investigations like payment for  
81 ecosystem services (Thompson, Primavera, Friess 2017) and perceptions on ecosystem  
82 services (Quevedo, Uchiyama, and Kohsaka 2020a). However, the majority of these studies  
83 are focused on mangrove ecosystems. Globally, studies with scope of seagrass ecosystems in

84 the context of “blue carbon” are increasing, yet, there are knowledge gaps in their carbon  
85 sequestration mechanisms and socio-political implications. Currently, blue carbon researches  
86 of subtropical and tropical seagrass remain sparse and limited (Thorhaug et al. 2017), poorly  
87 understood (Macreadie et al. 2019), and rarely included in coastal management plans (Duarte  
88 et al. 2008; Quevedo, Uchiyama, and Kohsaka 2020b). According to the Partnerships in  
89 Environmental Management for the Seas of East Asia (PEMSEA) Report, entitled:  
90 “Understanding strategic coastal blue carbon opportunities”, there are some recommendations  
91 for countries to incorporate blue carbon ecosystems into integrated coastal management  
92 climate response, biodiversity conservation, and blue economy plans as practical steps into  
93 advancing blue carbon interventions (Crooks et al. 2017).

94 In terms of studies analyzing management plans and policies in the context of “blue  
95 carbon” services, investigations are advancing. For instance, Lukman et al. (2019) reviewed  
96 27 provincial spatial plans in Indonesia to determine the present focus in terms of mangrove  
97 management. The results of their content analysis show that there are nine (9) main topics or  
98 clusters on mangroves and of which the relatively new concept of “blue carbon” function is  
99 only discussed in three (3) provinces. Another study by Ganguly et al. (2018) evaluated the  
100 potential of seagrass carbon finance based on current national and international climate policy  
101 frameworks and recommended the inclusion of seagrass in informal climate change policies  
102 such as REDD+. Although much has been done in the past years, reviews on policies and  
103 management plans are still progressing. Thus, there is still no clear pathway on how existing  
104 coastal plans effectively captured “blue carbon” ecosystem management, particularly from  
105 the very local level, which is a critical phase for translating the strategies into contextualized  
106 implementations.

107 Most of the existing studies on coastal management plans in the Philippines are  
108 limited to the evaluation of coastal plans, its effectivity, application, and perception. An

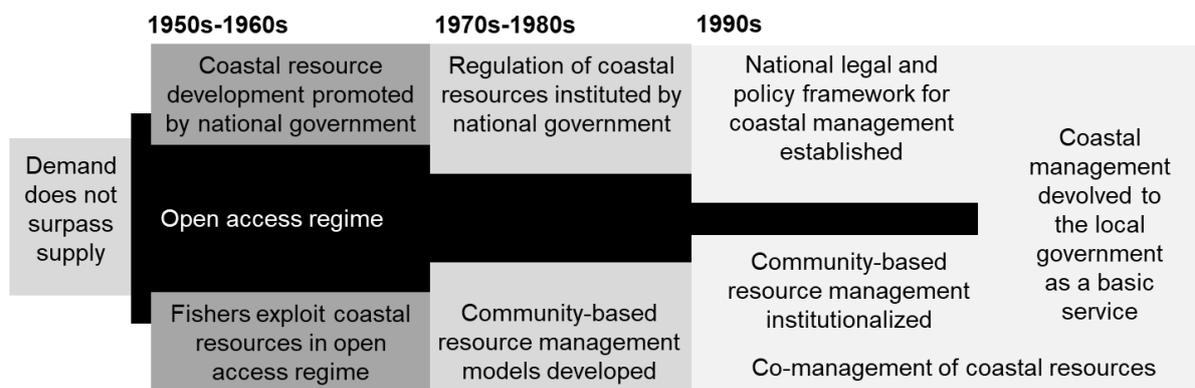
109 example is a study by Mudge (2018) that investigated coastal management practices using  
110 community perceptions in the coastal areas of Baybay City, Leyte. Another study evaluated  
111 the comprehension of the coastal communities in San Joaquin, Iloilo on coastal resource  
112 management using the Social Representations Theory (SRT) (Baquiano 2016). Alden,  
113 Fermin, and Agbayani (2011) explored the socio-cultural aspects of fishermen in Anini-y,  
114 Antique particularly on their participation in coastal resources management and the manner to  
115 which they become an effective partner in management activities. To date, there are no  
116 published studies that utilized content analysis to evaluate local coastal management plans on  
117 its present directives towards management in the context of “blue carbon” ecosystems. Thus,  
118 this study is the first in the Philippines. It aims to show how the contents of existing coastal  
119 management plans at the local level reveal the current directions of management  
120 implementation and their implications towards future management schemes. By doing so, this  
121 research could serve as a benchmark for future related investigations on other coastal  
122 management-related plans in other areas in the Philippines and other countries or basis for  
123 plans formulation that effectively encapsulate blue carbon ecosystems and their integrated  
124 management.

## 125 **Coastal resource management in the Philippines**

### 126 *Overview of coastal resource management*

127 The Department of Environment and Natural Resources (DENR), Department of  
128 Agriculture- Bureau of Fisheries and Aquatic Resources (DA-BFAR), and Department of the  
129 Interior and Local Government (DILG) (2001) have identified the mangrove forests, seagrass  
130 beds, along with coral reefs, beach systems, and lagoons and estuaries as the critical habitats  
131 to be addressed in coastal resource management (CRM) in the country. The continued  
132 overexploitation and degradation of these natural resources has resulted in developments of  
133 national policies and legal frameworks that support CRM in the country. Figure 1 shows the

134 evolution and timeline of CRM in the country from the top-down legal mandates of the 1970s  
 135 and 1980s to shifting to local government jurisdiction (CRMP 2004). CRM has progressed  
 136 over the years to more integrated, multi-sectoral, and ecosystem-based management  
 137 approaches.



138

139 Figure 1. Evolution of coastal resource management in the Philippines (modified from CRMP  
 140 2004).

141

142 The progress of CRM in the Philippines over the years has been influenced by two  
 143 major forces. The first is a series of donor-assisted government and non-government  
 144 programs that have provided several large outputs in CRM, also referred to as integrated  
 145 coastal resource management (ICRM) (Courtney and White 2000). These programs have  
 146 ranged from narrow to wide geographical boundaries and from low levels of financial support  
 147 to multimillion-dollar assistance over five or more years (White, Eisma-Osorio, and Green  
 148 2005). These programs have pushed for the inclusion of local communities and government  
 149 units to actively participate in coastal management to build constituencies for CRMs from the  
 150 bottom up (Courtney and White 2000). The second major force that influenced the legal and  
 151 policy framework of CRM in the country is the decentralization of authority from the central  
 152 (national) to the local governments (municipal and provincial) with the passage of the Local  
 153 Government Code (LGC) of 1991 and the Fisheries Code of 1998 (White et al. 2006). This

154 current legal and policy framework for CRM creates new institutional roles and  
155 responsibilities for various stakeholders (i.e. national and local governments, non-government  
156 organizations, and academe) and coincides well with the policy of including local  
157 communities in planning and management and with the Philippine constitution that  
158 recognizes democratic decision-making processes at all levels (Courtney and White 2000;  
159 DENR et al. 2001).

160         Based on the present legal and policy frameworks of CRM, the formulation, planning,  
161 and implementation of CRM or ICM plans are participated by municipal or city governments  
162 while government agencies at the national, regional, and provincial levels are obliged to  
163 provide technical, financial, and resource assistance (White, Eisma-Osorio, and Green 2005).  
164 Some of the legal bases that require municipalities or cities to create ICM plans include the  
165 LGC of 1991, Fisheries Code of 1998, and Executive Order (EO) No. 533 (2006) that states  
166 ICM as a national management policy framework strategy for sustainable development of  
167 coastal resources. However, despite the high motivations and perceptions of local  
168 stakeholders to manage their resources, as they understand what they will lose if their coastal  
169 resources continue to degrade and no actions are taken (Mudge 2018; Quevedo, Uchiyama,  
170 and Kohsaka 2020a, 2020b), the decentralization of coastal management responsibility is a  
171 challenge for ICM in the Philippines. Not all coastal municipalities can manage their natural  
172 resources (CRMP 2004), as pointed out by Courtney and White (2000), some municipalities  
173 lack trained personnel, budget, planning-capacity, and technical knowledge. Given the new  
174 CRM responsibilities, local authorities need funds to hire skilled personnel and train current  
175 staff, hire consultants and purchase or access to vehicles and boats for site inspections  
176 (Lowry, White, and Courtney 2005). Because of this, coastal habitats in other coastal  
177 municipalities are not well protected, conserved, and managed.

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180 *Mangrove and seagrass management*

181 Mangrove ecosystems have been a focus of the national government since the 1970s  
182 and are all protected by law with many kinds of research done on the plight and value of  
183 mangrove forests in the Philippines. A few of the earlier legal bases for the protection and  
184 conservation of mangrove areas in the country include the revised forestry code (Presidential  
185 Decree No. 705, 1975) which states the retention of a 20-m wide mangrove strip along  
186 shorelines that serves as a protection against high winds and typhoons, Presidential  
187 Proclamation 2146 (1981) that prohibits mangrove cutting, and DENR Administrative Order  
188 (AO) No. 15 (1990) which established regulations governing the utilization, development,  
189 and management of mangrove resources. The works of Primavera (2000, 2004) for instance,  
190 have documented how important mangrove ecosystems are, and if not protected would result  
191 in loss of valuable assets. In response to continued degradation over time, mangrove-related  
192 policies are progressing. Examples are the National Mangrove Conservation and  
193 Rehabilitation Act (House Bill No. 460, 2013), National Mangrove Forest Protection and  
194 Preservation Act (Senate Bill No. 326, 2016), and National Coastal Greenbelt Act (Senate  
195 Bill No. 1917, 2020). The strong presence and advances of legal frameworks for mangrove  
196 protection and conservation in the country have pushed to the strengthening of mangrove  
197 management strategies in ICRM plans. Fortes and Salmo (2017) have summarized the status  
198 and trends of mangrove research and management in the Philippines and highlighted that a  
199 science-based approach in mangrove conservation and management is necessary for it to be  
200 successful and effective.

201 Seagrass ecosystem management in the Philippines is much weaker in terms of the  
202 presence of legal mandates and policies in comparison to mangrove ecosystems. Oftentimes,  
203 seagrass meadows are generally lumped together with coral reefs and other ecosystems in  
204 marine conservation planning in the country and thus, are not usually addressed separately.

205 For instance, in the Philippine Fisheries Code of 1998 (Republic Act No. 8550), protection  
206 and conservation of seagrass beds are mandated along with the coral reefs, mangrove forests,  
207 and seaweed beds. Another example is the DA-BFAR Fisheries Administrative Order 250  
208 series of 2014 which states that collecting, harvesting, gathering, selling, and/or exporting  
209 seagrasses are strictly prohibited along with the brown algae (i.e., *Sargassum* spp.). Unlike  
210 mangrove ecosystems, seagrass ecosystem investigations are few and priorities for research  
211 development activities are usually directed toward other coastal resources (i.e., coral reefs)  
212 with immediate economic impacts (fishery industry) (Fortes 2012). Another important study  
213 of Fortes (2018) that reflects the weak seagrass ecosystem management strategies in the  
214 country is the big gap or disconnect between seagrass science, policy, and practice. In his  
215 study, most of the works on seagrass habitat management are focused largely on identifying  
216 but rarely quantifying the impacts and outcomes, and do not specify and recommend input  
217 variables that produce effective management and proposing solutions to issues.

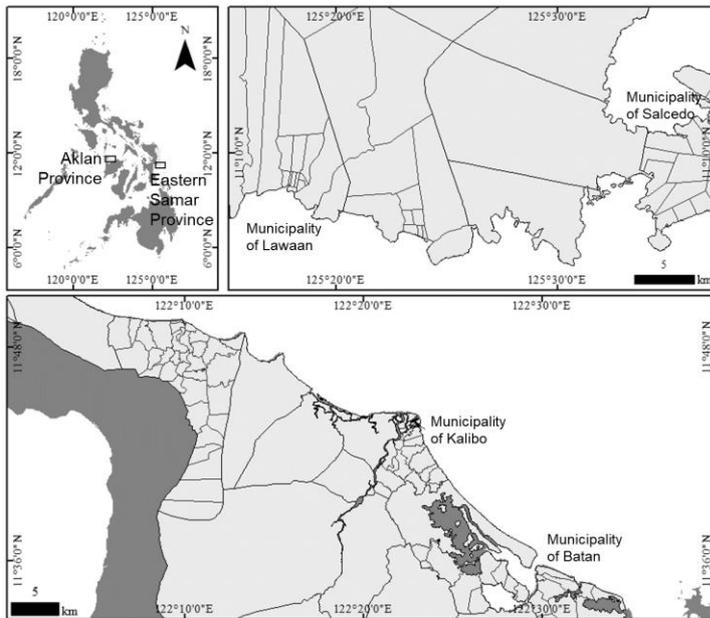
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## 219 **Materials and methods**

### 220 *Coastal management plans*

221 The materials used in this study are the existing municipal coastal management plans  
222 of the municipalities of Lawaan and Salcedo in Eastern Samar province, and municipalities of  
223 Batan and Kalibo in Aklan province (Figure 2). These sites were specifically selected to  
224 complement the previous works made by Quevedo, Uchiyama, and Kohsaka (2020a, 2020b;  
225 2021c). Although their study covered other municipalities, unfortunately, not all have coastal  
226 management plans. Thus, the studied documents are very limited to four (4) municipalities  
227 only. The retrieved coastal management plans in Salcedo and Lawaan are both in word  
228 document files (soft copy) while the plans in Batan and Kalibo are hard copies  
229 (photographed). Table 1 shows a brief summary of the details in each coastal plan. The

230 municipalities of Salcedo and Lawaan have a 5-year (2018–2022) coastal management plan  
 231 while Batan has a 10-year (2013–2022) plan. All three (3) plans are up for updating. Kalibo,  
 232 on the other hand, has a plan 6-year coastal management plan that has lapsed in 2019.  
 233



234  
 235 Figure 2. Location map of the study sites.

236 Table 1. List of the coastal management plans used in this study.

Coastal Management Plan	Municipality, Province	Implementation Year	Number of Pages
Integrated Coastal and Fisheries Management cum Sustainability Plan of Salcedo, Eastern Samar	Salcedo, Eastern Samar	2018 -2022	118
Integrated Coastal and Fisheries Resource Management cum Sustainability Plan of Lawaan, Eastern Samar	Lawaan, Eastern Samar	2018-2022	93
10 Year Coastal Resource Management Plan	Batan, Aklan	2013-2022	50
Integrated Coastal Management (ICM) Plan	Kalibo, Aklan	2014-2019	127

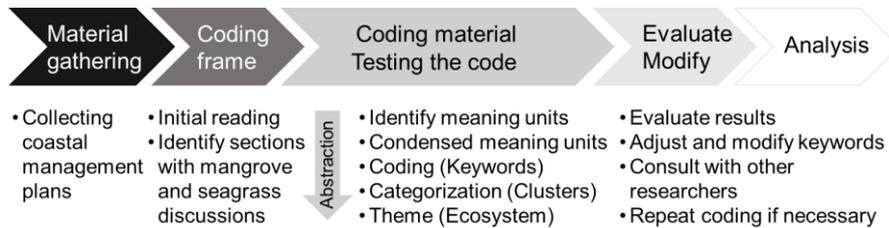
238 *Content analysis*

239 Coastal ecosystems in the provinces of Aklan and Eastern Samar are often disturbed  
240 by natural and anthropogenic forces as most of the coastal communities in the country. It is  
241 critical to examine how these resources are being managed at the municipal level and  
242 investigate perceptions toward different services and activities as reflected in the existing  
243 management plans. In evaluating the documents, a content analysis method was used to  
244 determine the current directions of the coastal management plans. Content analysis  
245 incorporating thematic/topical coding (Bowen 2009) to analyze the substance of a document  
246 (i.e., policy plans) is an increasingly employed approach toward recent years (Neuendorf  
247 2017). This method involves a sequence of steps including the building of a coding frame to  
248 cover several pre-identified categories (Schreier 2012) starting from a lower level to a higher  
249 level of abstraction where categories (called clusters) can reflect the latent meaning of the  
250 texts (Bengtsson 2016; Erlingsson and Brysiewicz 2017).

251 This study utilized the same methodological approach by Lukman et al. (2019) that  
252 analyzed Indonesia's provincial spatial plans with which the mangrove ecosystem is the main  
253 theme. However, in this study, coding was carefully performed with caution under an  
254 overarching theme "fishery" with two of its important resources, "mangrove" and "seagrass"  
255 ecosystems, as the main themes (Figure 3). Moreover, coding keywords were adjusted and  
256 modified to better capture the blue carbon ecosystems and their corresponding management  
257 schemes at the local level. For consistency and clarity, the terms "fishery" or "fisheries" were  
258 not used as keywords and strictly used as the overarching theme. However, there were several  
259 fishery-related keywords applied in the coding process such as "breeding," spawning," and  
260 "regulation" which were related to some of the clusters like ecosystem services and laws,  
261 policies, & ordinances. The complete list of keywords applied to derive the eight (8) clusters  
262 is presented in Table 2. Cluster frequency and total cluster frequency per ecosystem were also

263 calculated and presented in this study. The former is used to reflect how frequently the  
 264 clusters are being discussed in the management plans while the latter is about capturing the  
 265 present composition of the coastal plans with regards to the blue carbon ecosystems.

266



267

268 Figure 3. Flow of the methodology (modified from Lukman et al. 2019).

269 Table 2. List of keywords (codes) used to identify the clusters (categories).

Cluster (Category)	Keywords (Codes)
ecological profile	cover, area, abundance, distribution, land classification, associated, species, location
ecosystem services	food, livelihood, breeding, spawning, supporting, health, coastal protection, filter wastes, wave breaker habitat, export nutrients, wildlife support
carbon sequestration	carbon, sequestering
tourism	site, ecotourism industry, boardwalks, eco-park, tourism development
natural threats	degradation, water dynamics changes, shoreline erosion, climate change, typhoons, siltation, flooding, sea level rise, pests, hot temperature, monsoon, predation, inundation, tolerance to physical conditions
anthropogenic threats	land conversion, overexploitation, unregulated, household wastes, illegal logging, illegal fishing, coastal infrastructures, mining, firewood, charcoal, cutting, wrong species, informal settlers, encroachment, planting on seagrass beds, development,
management activities	reforestation, community-based, man-made plantation, planting, construction, protection, rehabilitation, monitoring, evaluation, formulation, implementation, advocacy program, integrated, capacity building, awareness campaigns, coastal zoning, regulate fishing, sustainable, management, stakeholders, coastal clean-up, budget allocation, assessment, restoration, conservation, enforcement
laws, policies and ordinances	mandate, community-based forest management, conservation code, guidelines, regulation, forestry code, prohibited activities,

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271

## 272 **Results**

273           The review of the coastal management plans shows that these municipal plans cover  
274 all of their respective coastal resources such as coral reefs, beach systems, mangroves forests,  
275 seagrass meadows, and others. However, in this study, the scope was limited to mangrove  
276 and seagrass ecosystems (the two main themes) in the content analysis. The clusters in each  
277 theme were analyzed and presented separately to show how local government units manage  
278 them individually. The following sections discuss the general content (clusters and cluster  
279 frequency per ecosystem) and clusters per municipality and their differences.

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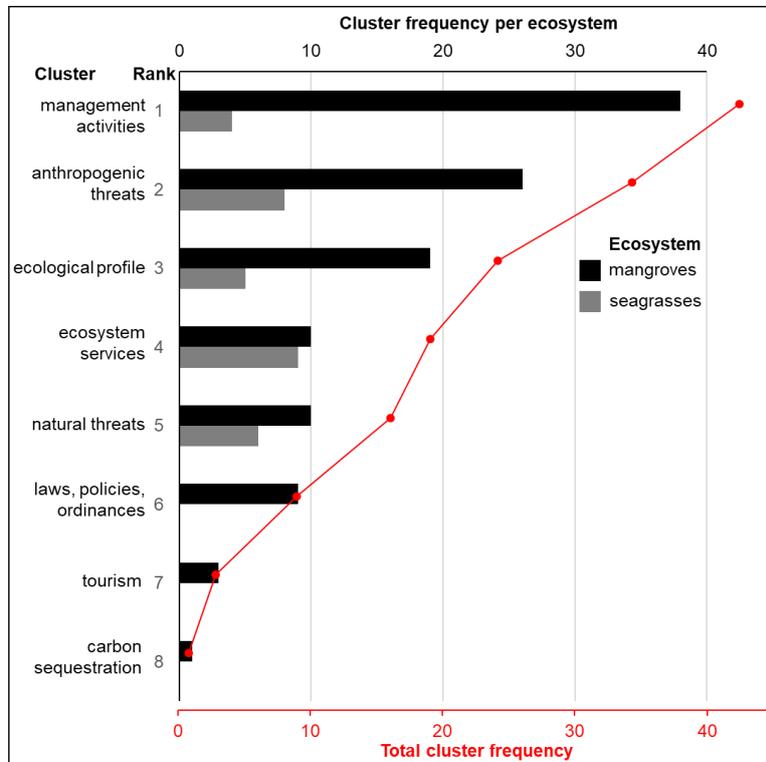
### 281 *Clusters*

282           The content analysis of the management plans relating to mangroves and seagrasses  
283 ecosystems generated 8 clusters namely: *ecological profile, ecosystem services, carbon*  
284 *sequestration, tourism, natural threats, anthropogenic threats, laws, policies, & ordinances,*  
285 *and management activities*. Figure 4 shows the cluster ranks, cluster frequencies per  
286 ecosystem, and overall total cluster frequencies. Among the clusters, *management*  
287 *activities* are the most frequented topic (first) with a total cluster frequency of 42. In contrast,  
288 the least mentioned cluster is *carbon sequestration* (eighth), with a total frequency of one (1).

289           All eight (8) clusters are covered in the mangroves section of the plans while only five  
290 (5) are discussed under the seagrass ecosystems section (Figure 4). *Management*  
291 *activities* cluster is common for both ecosystems. Activities on mangrove management such  
292 as planting and coastal clean-up, among others, are stated more frequently with 38 iterations  
293 compared to conservation actions for seagrasses, with only four (4) mentions. The basic  
294 information of the ecosystems such as distribution and abundance as well as the various

295 services they offered is categorized separately into *ecological profile* and *ecosystem*  
296 *services* clusters with 24 and 19 iterations, respectively.

297



298

299 Figure 4. Cluster rank, frequency per ecosystem, and total cluster frequency. The cluster rank  
300 is based on the total cluster frequency.

301 Threats caused by anthropogenic and natural disturbances to blue carbon ecosystems  
302 are also discussed in the documents. Human-induced disturbances such as illegal cutting of  
303 mangrove trees and mangrove planting in seagrass beds, among others, are repeatedly  
304 indicated across the plans; making the cluster the second most stated topic for both  
305 ecosystems (Figure 4). Natural calamities such as strong typhoons and its effects on blue  
306 carbon ecosystems, on the other hand, are relatively less mentioned. This cluster ranked  
307 fourth in mangrove ecosystems with a cluster frequency of 10 and third in seagrass  
308 ecosystems with a cluster frequency of 6. Other clusters discussed in the management plans  
309 include *laws, policies & ordinances*, *tourism*, and *carbon sequestration*, with cluster

310 frequency of 9, 3, and 1, respectively (Figure 4). However, these clusters are only mentioned  
311 under mangrove ecosystems

312

### 313 *Municipal coastal management plans*

314 The results of the analysis also show how clusters are distributed per ecosystem per  
315 municipality. Figure 5 presents the current content of these plans in terms of the frequency of  
316 clusters per ecosystem per municipality. All municipalities have relatively the same trends in  
317 the content of their plans; that is: clusters of mangrove ecosystems are frequently mentioned  
318 than seagrass ecosystems. Discussions on mangrove forests comprise 68.49% to 97.06% of  
319 the plans while a small fraction, about 2.94% to 31.51%, is accounted for seagrass meadows.  
320 Ecological profile, ecosystem services, anthropogenic threats, and management activities  
321 clusters for mangrove ecosystems are common in all four coastal plans. Management  
322 activities cluster dominates Lawaan, Batan, and Kalibo, whereas Salcedo town is more  
323 focused on the ecological profile of mangrove forests (Figure 4). Among the municipalities,  
324 Lawaan has the most number of discussed clusters, with 8 and 5 clusters for mangrove and  
325 seagrass ecosystems, respectively. Clusters highlighting mangrove areas are fairly stated in  
326 Salcedo (6), Batan (4), and Kalibo (7) while clusters stressing seagrass habitats are very few,  
327 one to three clusters only. Clusters of seagrass ecosystems are limited to their profile and  
328 benefits, threats, and a few management-related activities (Figure 4).

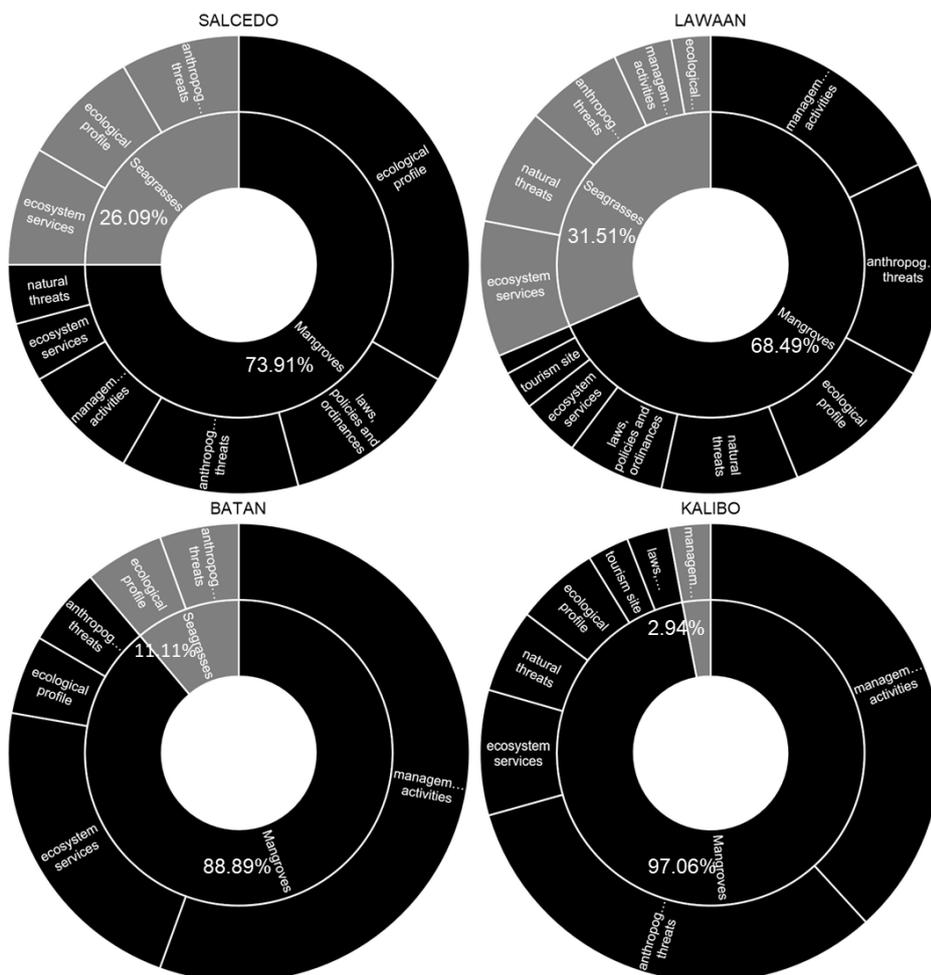
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### 330 **Discussions**

331 The existing municipal plans cover management strategies for the entire coastal  
332 ecosystems that can be found in their municipal jurisdictions. This study has revealed insights  
333 on how informed and comprehensively capacitated the concerned local government units are

334 in managing their mangrove and seagrass ecosystems. Current directives toward  
 335 resource/ecosystem management include assessment of coastal habitats (ecological profiles,  
 336 ecosystem services, and threats and its effects), implementation of local ordinances and  
 337 policies, and a list of possible conservation and protection services. The following sections  
 338 explore and discuss the current directions of the coastal management plans toward the  
 339 mangrove and seagrass ecosystems at the local level and the implications of this study and  
 340 how these results can be used as a potential benchmark for future management of blue carbon  
 341 ecosystems at the local scale.

342



343

344 Figure 5. Cluster distribution per ecosystem in each municipality. The inner portions  
345 represent total frequencies of mangroves and seagrasses in percent while the outer portions  
346 show the clusters. The size of each portion depends on its cluster frequency.

347

348

### 349 *Current focus on mangrove ecosystems*

350 The mangrove ecosystems, its services, threats, and management plans are well  
351 represented by the eight (8) clusters generated from the content analysis (Figure 4). Profile of  
352 mangrove areas are well established in the municipal plans since several mangrove ecosystem  
353 assessments have already been done in Eastern Samar—Salcedo and Lawaan (i.e., Mendoza  
354 and Alura 2001; Salmo, De la Cruz, and Gianan 2019), and Aklan—Batan, and Kalibo (i.e.,  
355 Primavera et al. 2004; Walton et al. 2006; Altamirano et al. 2010) that could provide data for  
356 the mangroves' location, abundance, distribution, and species present. Mangrove habitat  
357 assessments have increased in these provinces in response to the destruction brought by super  
358 typhoon Haiyan (i.e., Alura and Alura 2016; Long et al. 2016; Primavera et al. 2016). All  
359 four municipalities have been greatly affected by the super typhoon in 2013. Data from these  
360 assessments are accessible to local government units, hence, the ecological profiles of  
361 mangrove ecosystems are well reflected and robust in their respective coastal plans (Table 3).

362 Ecosystem services offered by mangroves are recognized in the coastal plans as well.  
363 However, only provisioning services (i.e., food source, firewood) are common in the four  
364 towns. Provisioning services are expected to be present in their plans since coastal  
365 communities depend on mangrove forest areas to source out food and sometimes livelihoods  
366 (Walton et al. 2006; Quevedo, Uchiyama, and Kohsaka 2020a). Other services that are  
367 stated at least once include coastal protection (Lawaan and Batan), home to various  
368 organisms (Batan and Kalibo), and nutrient cycling (Kalibo). It is interesting to note that

369 coastal protection as an ecosystem service of mangroves has had not been elaborately  
 370 discussed and explored as an opportunity for the municipalities of Lawaan and Salcedo given  
 371 that their plan effectivity period is five (5) years after the super typhoon Haiyan devastation  
 372 in 2013. Moreover, the clusters on tourism and carbon sequestration, which are cultural and  
 373 regulating ecosystem services, respectively, lack visibility in the plans. Because tourism  
 374 activities, in general, in the municipalities of Salcedo, Lawaan, and Batan, are still limited,  
 375 recreational activities in mangrove areas remain unexplored. In contrast, Kalibo town has a  
 376 well-established mangrove eco-park known as the Bakhawan Eco-Park (Quevedo, Uchiyama,  
 377 and Kohsaka 2021c), thus, the tourism cluster was mentioned in their coastal plan. Although  
 378 recreational activities in mangrove areas are already known globally, little attention is still  
 379 given toward pursuing them compared to other ecosystems (i.e., coral reefs, beaches, others)  
 380 (Spalding and Parrett 2019; Quevedo, Uchiyama, and Kohsaka 2021b).

381

382 Table 3. Mangrove and seagrass profiles retrieved in the present coastal management plans.

Municipality, Province	Available Ecological Profiles from the Coastal Management Plans ( <i>This study</i> )	
	Mangrove Profile	Seagrass beds Profile
Salcedo, Eastern Samar	1,791.05 ha (Areas of mangrove forests - p.65)	1,419.69 ha - p.8
	15 locally-known true mangroves and 9 associated species - p.65	mostly found in coastal villages facing the Pacific Ocean with an estimated area of 787.57 hectares - p.8
	Species commonly found are: <i>Rhizophora</i> sp., <i>Bruguiera</i> sp., <i>Lumnitzer</i> sp., <i>Scyphiphora</i> sp., <i>Xylocarpus</i> sp., <i>Nypa fruticans</i> - p.66	
	Total stem density of mangroves - p.68	
Total stem density of seedlings - p.69		
Lawaan, Eastern Samar	Total area of mangroves is 275.6 ha; mostly found in the villages of Maslog and Taguite - p.25	Six marine protected areas (MPAs) in Lawaan have patches of seagrass beds.

Mangrove cover per barangay - p. 25  
 Diversity and distribution of mangrove species across the coastal barangays in Lawaan - p.25

Only three of the 6 MPAs have more than 50% seagrass cover - p.26

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Batan, Aklan	<p>Four major mangrove species found in Batan namely bakhaw (<i>Rhizophora</i> sp.), nipa (<i>Nypa fruticans</i>), pagatpat (<i>Sonnerata</i> sp.) and piapi (<i>Avicennia</i> sp.) - p.24</p> <p>Around 112 ha of mangroves remain in barangays Bay-ang, Camaligan, Lalab, Poblacion and Magpag-ong. - p.24</p> <p>Rehabilitated areas are 3 ha in Napti, 5 ha in Mambuquiao, and 50 ha in Cabugao. - p.24</p>	<p>Seagrass species that are present includes spoon grasses (<i>Hydrocharitaceae</i>) in Napti and Mambuquiao, turtle grass (<i>Thalassia</i> sp.) and <i>Enhalus</i> sp. in Songcolan, Ipil, Tabon and Mambuquiao. - p.25</p>
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Kalibo, Aklan	<p>Man-made plantation of <i>Bakauan</i> sp. Around 220 ha. In barangays Buswang Old and Buswang New. - p.21</p> <p>Other species that naturally grows are <i>Perada</i> (<i>Sonneratia</i> sp.), <i>Pagatpat</i> (<i>Sonneratia</i> sp.), and <i>Rhizophora</i> sp. - p.21</p>	<p>No available description</p>
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383

384 Results of this study show that carbon sequestration cluster is the least mentioned  
 385 topic despite the gaining momentum of discourse and researches on the carbon capture and  
 386 storage capacities of mangrove ecosystems. These findings suggest how inchoate the existing  
 387 coastal management plans are in this field. These results may also indicate having a relatively  
 388 low or lack of knowledge toward carbon sequestration and the economic potential it could  
 389 offer to coastal communities. This observation is also documented in the perception survey  
 390 made by Quevedo, Uchiyama, and Kohsaka (2020a); people's awareness of the "blue carbon"  
 391 concept in Salcedo and Lawaan is relatively low. Moreover, even at a higher scale, in the  
 392 case of Indonesia for instance, the presence of the "blue carbon" topic is barely discussed in  
 393 the provincial spatial plans (Lukman et al. 2019).

394 Natural threats to mangrove forests like typhoons, sea-level rise, siltation, and  
395 predation among others are listed in the coastal plans of Salcedo, Lawaan, and Kalibo while  
396 none of these threats are mentioned in Batan. The effects of typhoons in mangrove areas are  
397 easily identified by the locals based on their previous experiences. For example, in Lawaan,  
398 they identified in their plan that the “typhoon damaged large portions of the mangroves...”  
399 referring to the aftermath of super typhoon Haiyan in 2013. Typhoons, storm surges, and  
400 strong waves are perceived to be the most concerning threats by coastal communities in  
401 Salcedo and Lawaan as documented by Quevedo, Uchiyama, and Kohsaka (2020a). The  
402 municipalities have also identified the anthropogenic activities that threaten their mangrove  
403 ecosystems. Among these activities, conversions of mangrove forests to other land-uses and  
404 illegal cutting of mangrove trees for charcoal and firewood production are common in the  
405 four municipalities. According to Long et al. (2014), 10.5% of total mangrove area loss from  
406 1990 to 2010 is attributed to anthropogenic activities with conversion to aquaculture ponds as  
407 the leading cause of mangrove degradation in the Philippines (Garcia, Gevaña, and Malabrigo  
408 2013). However, a recent study in Salcedo and Lawaan involving local communities has  
409 shown that mangrove cutting and charcoal making, as well as conversion to the fishpond, is  
410 not a major threat anymore due to strict implementation of local ordinances like “no illegal  
411 cutting of mangroves” policy (Quevedo, Uchiyama, and Kohsaka 2020a). Field observations  
412 and anecdotal records from Kalibo and Batan have also shown a decrease in these  
413 anthropogenic threats. However, scientific investigations should be conducted to corroborate  
414 these individual comments and observations from the locals, which largely remain as  
415 anecdotes. Management-related strategies in the four municipalities cover a wide range of  
416 activities. This is to be expected in a local management plan since LGUs are concerned with  
417 outlining the roadmap of activities for implementation and budgeting. These are also  
418 mandated under and supported by several laws, policies, and local ordinances. Mangrove

419 planting/rehabilitation/reforestation, which is a common mangrove conservation activity, is  
420 stated in all the coastal plans. This initiative has increased following the creation and filing of  
421 National Mangrove Forest Protection and Preservation Act (Senate Bill No. 326, 2016) and  
422 National Coastal Green Bill Act (Senate Bill No. 651, 2016) in the country which mandates a  
423 100-meter-wide band (protection zones) of mangroves. Other activities for instance, in  
424 Lawaan, include monitoring and evaluation, and coastal clean-up. In Batan, strategies contain  
425 solid waste management and increasing awareness on mangroves while Kalibo town aims to  
426 manage their mangroves through community-based forest management programs, coastal  
427 zoning, shoreline, and frontline development, and information and educational campaigns.  
428 These activities are oftentimes instructed by local authorities through their ordinances. For  
429 instance, an excerpt in the Lawaan plan says “an ordinance regulating the cutting and  
430 poaching of mangroves, specifically “bakhaw” within the jurisdiction of the Municipality of  
431 Lawaan”. These variations in the management activities in the coastal plans suggest how  
432 comprehensive the plans can be. For instance, some municipalities may have a robust list of  
433 activities while others may only identify restorative activities (i.e. mangrove planting). In  
434 addition, the lack of or limitation of management activities may correspond to a lack of  
435 technical expertise in the fields of strategic planning and formulation.

436

#### 437 *Current focus on seagrass ecosystems*

438 Seagrass ecosystems are unsubstantially tackled in the coastal management plan of  
439 the four municipalities compared to mangrove ecosystems (Figure 5), with clusters including  
440 only profile, threats, and a few management actions (Figure 4). As pointed by Duarte et al.  
441 (2008), the presence of seagrass ecosystems in coastal management is often disregarded and  
442 limited. The municipalities of Salcedo, Lawaan, and Batan have limited information on the

443 location of their seagrass beds, its extent, as well as what species they have in their coastal  
444 areas (Table 3). Kalibo town has no available profile of their seagrass meadows based on the  
445 analysis done (Table 3). This could be due to the fact that there are no reported seagrass  
446 habitats on their coasts, as revealed by local authorities during field consultations. However,  
447 anecdotal accounts from coastal communities have said that patches of seagrass beds are seen  
448 in some barangays (villages) of Kalibo. The lack of or no data suggests a more  
449 comprehensive assessment should be done to establish detailed ecological profiles. However,  
450 these constraints are sometimes caused by insufficient funding and resources (McKenzie et  
451 al. 2000) and capacity (Deguit, White, and Courtney 2002) of local government units.  
452 Moreover, the lack of available data on seagrass ecosystems in the municipalities can foster  
453 collaborations between the local government units and state universities or colleges in or near  
454 the area for seagrass-themed research.

455         Seagrass ecosystems offer several services to local communities (Cullen-Unsworth et  
456 al. 2014; Nordlund et al. 2018). However, only Salcedo and Lawaan have discussed some of  
457 these benefits in their current plans. Provisioning services such as a source of food are mostly  
458 mentioned while a few regulating services like filtering wastes from upland activities are  
459 stated. The limited discussion of their benefits in the plans suggests that awareness of  
460 seagrass ecosystem services is generally low. The study of Quevedo, Uchiyama, and Kohsaka  
461 (2020b) showed that perceptions of local communities in Salcedo and Lawaan vary on the  
462 type of ecosystem service; regulating and cultural services are not well perceived or known.  
463 Such trends are reflective of the global scenes as well. In broader contexts, global public  
464 awareness of seagrass benefits is understood to less extent (Duarte et al. 2008). Having said  
465 this, the visibility of scientific investigations of seagrass ecosystems are gaining salience in  
466 recent years, particularly in the field of their ecosystem services, contribution to natural

467 environment and people, and effective conservation and management strategies (United  
468 Nations Environment Programme 2020).

469         Seagrass beds are highly vulnerable to human-induced and natural disturbances. The  
470 municipalities have recognized the threats that damage their seagrasses. In Lawaan for  
471 example, they identified threats like unregulated gleaning, nutrient loading, and siltation  
472 while Salcedo has documented overexploitation and destructive fishing, as causes of seagrass  
473 degradation. Over the past 50 years, about half of the seagrass beds in the Philippines have  
474 been severely degraded. Some of the major causes include destructive and overfishing,  
475 sedimentation from coastal development, and eutrophication (Fortes 2018). Another  
476 concerning threat that was pointed out in the Lawaan management plan is mangrove planting  
477 in seagrass meadows. Planting on the seagrass beds has decreased the catch of edible  
478 invertebrates according to field survey results and personal accounts of the locals. Similar  
479 observations were found by the fishermen in Santa Fe, Bantayan Island in Central Visayas  
480 (Mendoza, Patalinghug, and Divinagracia 2019); where there is a decline in shellfish and  
481 rabbitfish catch in seagrass areas where mangroves were planted.

482         The most important content in the plans is the management activities cluster reflecting  
483 how LGUs are approaching management measures for seagrass ecosystems. However, only  
484 four activities have been recorded for seagrass beds management. These are coastal clean-up,  
485 seagrass habitat assessment and protection in Lawaan and seagrass ecosystem protection by  
486 regulating fishing activities in Kalibo. Coastal clean-up is a common management activity  
487 that is usually conducted at least once a month according to local government units. Although  
488 seagrass ecosystem assessments and protection are mentioned, it is too general to determine  
489 what kind of assessment and protection strategies are being planned for in Lawaan and  
490 Kalibo. According to Fortes (2018), the majority of current management of seagrass

491 ecosystems is focused largely on identifying the impacts or outcomes and not specifying and  
492 signifying input variables that produce effective management and proposing solutions to  
493 issues. Unfortunately, there are no management activities reported in Salcedo and Batan  
494 coastal plans. Although seagrass ecosystems are targeted for resource management in the last  
495 eight (8) years (Fortes 2012), management schemes are still lacking.

#### 496 *Blue carbon ecosystems management and policy implications*

497 The results of this study can shed light on the present direction of coastal management  
498 plans toward blue carbon ecosystems at the local level in the Philippines. Since only four  
499 municipal (Salcedo, Lawaan, Batan, and Kalibo) coastal plans were analyzed, future studies  
500 could look at and analyze other and more coastal plans in the Philippines. Despite the limited  
501 number of documents investigated, the following points are observed:

502 (1) Although mangroves and seagrasses are important blue carbon ecosystems, there is a  
503 gap in the management between these resources at the local level. Management  
504 strategies, as seen in the analysis (Figure 4), are more focused on mangrove forests,  
505 whereas management strategies of seagrass meadows are largely unexplored. More  
506 attention is given to mangrove forests due to their coastal protection services. These  
507 benefits have been particularly observed and experienced by coastal communities  
508 when super typhoon Haiyan hit the study sites in 2013. Anecdotes and scientific  
509 investigations in the affected areas contributed to increasing attention for mangroves'  
510 conservation and protection measures as part of disaster risk reduction strategies.  
511 Meanwhile, the presence of seagrass ecosystems in the municipal coastal plans is very  
512 limited. As pointed out by Fortes (2018), the link among scientific bodies, policy-  
513 makers, and stakeholders on seagrass habitat management is rather weak and  
514 oftentimes disconnected from each other. This discrepancy in the management at the

515 local level implies a lack of knowledge or awareness on seagrass habitats, thus,  
516 research initiatives on seagrass and its management should be increased, collaborating  
517 with those of mangrove forests. Generally, municipal governments are limited in  
518 human resources and budget in biodiversity, environmental management fields  
519 (Kohsaka and Uchiyama 2017). Intersectional policy collaborations, such as coastal  
520 and terrestrial management policies, is also a challenging issue (Uchiyama and  
521 Kohsaka 2019). Considering the issues of municipal governments, national agencies  
522 in the Philippines like the DENR should invest more in information and educational  
523 campaigns to increase awareness of the local authorities, management bodies, and the  
524 rest of the coastal communities and on capacity building workshops for local  
525 government agencies and stakeholders to carry seagrass habitat assessment, planning,  
526 protection, and monitoring.

527 (2) In the existing coastal plans, legal frameworks are limited to mangrove ecosystems.  
528 There are no reported laws, policies, or local ordinances to date that specifically  
529 addressed the conservation and management of seagrass ecosystems. Their protection  
530 and management are oftentimes integrated with coral reefs and other ecosystems  
531 under the coastal ecosystem network. Inconsistency of the content of the plans across  
532 the municipalities can be influenced by several factors; however, this study did not  
533 explore these factors. There is an opportunity to consider future studies on factors  
534 affecting plan formulation and eventual implementation. For instance, a future  
535 investigation could examine the role of stakeholders in the development of coastal  
536 management plans. Although this study did not include a detailed analysis of  
537 stakeholders' roles, their contributions have been identified in the studied documents.  
538 For instance, in the CRM plan of Batan (Table 1), non-government organizations  
539 (NGOs) have been acknowledged as important players in the implementation of CRM

540 plans while the academic institutions are valued for their technical assistance. In the  
541 ICFM plan of Salcedo (Table 1), NGOs are recognized to be vital in the planning of  
542 CRM and organizing the local stakeholders while government agencies like the DENR  
543 and DA-BFAR are important players in the conduct of biological and socio-economic  
544 assessment. Community organizations have also been identified to be important  
545 communicators in disseminating coastal management strategies (Quevedo, Uchiyama,  
546 and Kohsaka 2021c). These contributions are very important in contextualizing and  
547 implementing CRM plans, thus, a more comprehensive examination of their role can  
548 be conducted to support these anecdotes.

549 (3) The coastal management plans did not account for the indirect drivers (i.e. population  
550 growth, urbanization) that have potential and non-negligible effects on mangrove and  
551 seagrass ecosystem losses. Mostly discussed are the direct drivers such as human-  
552 induced (i.e. mangrove tree harvesting, unregulated gleaning) and natural (i.e.  
553 typhoons, strong waves) disturbances. The local government units have their own  
554 protocols (i.e. local ordinances) to manage and control the effects of direct drivers.  
555 Future investigation can consider in-depth interviews of local government units and  
556 applying, for instance, a DPSIR framework (Quevedo, Uchiyama, and Kohsaka  
557 2021a).

558 (4) Lastly, the role and recognition of blue carbon ecosystem services and their scale-  
559 dependence need to be addressed. The “blue carbon” concept is increasingly better  
560 understood in the global climate change mitigation, while it is barely established nor  
561 communicated at the local level. A 2017 report published by the Partnerships in  
562 Environmental Management for the Seas of East Asia and NGOs is showing strategic  
563 “blue carbon” opportunities in the seas of East Asia and it has recommended  
564 incorporating blue carbon ecosystems into integrated coastal management (Crooks et

565 al. 2017). Clearly, this is not yet realized. Although the results of this study show that  
566 mangrove ecosystems are well incorporated in the CRM plans, not much has been  
567 discussed in terms of their carbon sequestration and storage benefits. Whereas,  
568 seagrass ecosystems received less attention in the CRM plans with no information of  
569 their role in climate change mitigation. However, there are now efforts at the national  
570 level toward climate change mitigation focusing on blue carbon ecosystems. For  
571 instance, Blue Carbon Technical Working Group (BCTWG) has been established to  
572 provide advice to the government for climate change adaptation and mitigation. There  
573 is also the filing of the National Coastal Greenbelt Act (Senate Bill No. 1917, 2020)  
574 which includes seagrass meadows as a major component along with mangrove forests.  
575 These efforts at the national level are fundamental steps to shifting to more  
576 comprehensive blue carbon ecosystem strategies in the country which can be used as  
577 frameworks or guidelines for local level application and implementation.

578 Although the results presented are rather limited and at a local scale only, this study can  
579 serve as a benchmark for policy-makers and coastal managers in updating their present  
580 management plans particularly in branching their focus on integrated management of  
581 seagrass ecosystems and advancing technical capacity and knowledge on blue carbon  
582 ecosystems. Based on the understanding of the present directives of the coastal management  
583 plans, it is necessary to investigate the factors that facilitate the management practices and  
584 policies in different local contexts to strategically promote blue carbon ecosystem  
585 management beyond the scale of one nation (the Philippines in this case) to targeted regional  
586 and global areas. Identifying the status of coastal management plans is instrumental in  
587 understanding the status and trends of the issues as demonstrated in this paper. For instance,  
588 the data here can serve as a basis to be shared within the Coral Triangle Region where high  
589 concentrations of blue carbon ecosystems can be found. Content analysis can be applied to

590 the coastal zoning policy currently being adopted at Karimunjawa Island, Indonesia where  
591 locals identified it to be effective in blue carbon ecosystem management (Quevedo et al.  
592 2021). Moreover, in future research, factors such as incentives (i.e., payment for ecosystem  
593 services) that can be shared among local stakeholders and accelerate the management of blue  
594 carbon ecosystems can be explored further following the early works of, for example,  
595 Thompson, Primavera, and Friess (2017), Gevaña, Camacho, and Pulhin (2018), and  
596 Satizábal et al. (2020).

597

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607

#### 608 Declaration of Interest

609 The authors declare no conflict of interest.

610

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