

1 **Measuring the Tensile Strain of Wood by Visible and Near-**  
2 **Infrared Spatially Resolved Spectroscopy**

3 -----

4 **Te Ma, Tetsuya Inagaki, Masato Yoshida, Mayumi Ichino, and Satoru Tsuchikawa\***

5

6 **\*Corresponding author: Satoru Tsuchikawa**, Graduate School of Bioagricultural  
7 Sciences, Nagoya University, Furo-Cho, Chikusa, Nagoya 464-8601, Japan, Email  
8 addresses: [st3842@agr.nagoya-u.ac.jp](mailto:st3842@agr.nagoya-u.ac.jp)

9 **Te Ma** ([mate@agr.nagoya-u.ac.jp](mailto:mate@agr.nagoya-u.ac.jp)), **Tetsuya Inagaki** ([inatetsu@agr.nagoya-u.ac.jp](mailto:inatetsu@agr.nagoya-u.ac.jp)),  
10 **Masato Yoshida** ([yoshida@agr.nagoya-u.ac.jp](mailto:yoshida@agr.nagoya-u.ac.jp)), **and Mayumi Ichino**  
11 ([ichino.mayumi@j.mbox.nagoya-u.ac.jp](mailto:ichino.mayumi@j.mbox.nagoya-u.ac.jp)): Graduate School of Bioagricultural  
12 Sciences, Nagoya University, Furo-Cho, Chikusa, Nagoya 464-8601, Japan.

13

14 **Declarations:**

15 Funding: This study is supported by JSPS (KAKENHI, no.19K15886)

16 Conflicts of interest/Competing interests: Not applicable

17 Availability of data and material: Yes

18 Code availability: Not applicable

- 19 Authors' contributions:
- 20 Te Ma: methodology, data collection and analysis, writing-original draft preparation
- 21 Tetsuya Inagaki: methodology, data validation, and writing- reviewing.
- 22 Masato Yoshida: contributed to capture the submicroscopic changes during tension
- 23 testing, writing- reviewing
- 24 Mayumi Ichino: data collection and analysis
- 25 Satoru Tsuchikawa\*: supervision, conceptualization, writing- reviewing and editing
- 26 Ethics approval: Not applicable
- 27 Consent to participate: Not applicable
- 28 Consent for publication: Not applicable

29

30 **Abstract:**

31 Strain measurement is critical for wood quality evaluation. Using conventional strain

32 gauges constantly is high cost, also challenging to measure precious wood materials due

33 to the use of strong adhesive. This study demonstrates the correlation between the light

34 scattering degrees inside the wood during tension testing and their macroscopic strain

35 values. A multifiber-based visible-near-infrared (Vis-NIR) spatially resolved

36 spectroscopy (SRS) system was designed to rapidly and conveniently acquire such light

37 scattering changes. For the preliminary experiment, samples with different thicknesses,  
38 from 2 mm to 5 mm, were measured to evaluate the influence of sample thickness. The  
39 differences in Vis-NIR SRS spectral data diminished with an increase in sample thickness,  
40 suggesting that the SRS method can successfully measure the wood samples' whole strain  
41 (i.e., surface and inside). Then, for the primary experiment, 18 wood samples were each  
42 prepared with approximately the same sample thickness of 2 mm and 5 mm to construct  
43 strain calibration models, respectively. The prediction accuracy of the 2-mm samples was  
44 characterized by a determination coefficient ( $R^2$ ) of 0.81 with a root mean squared error  
45 (RMSE) of  $343.54 \mu\epsilon$  for leave-one-out cross-validation; for test validation, the validation  
46 accuracy was characterized by an  $R^2$  of 0.76 and an RMSE of  $395.35 \mu\epsilon$ . For the validation  
47 accuracy of the 5-mm samples,  $R^2_{\text{val}}$  was 0.69 with  $440.78 \mu\epsilon$   $\text{RMSE}_{\text{val}}$ . Overall, the  
48 presented calibration results of the SRS approach were confirmed to be superior to the  
49 standard diffuse reflectance spectroscopy.

50

51 **Keywords:**

52 Tensile strain of wood; non-destructive evaluation; spatially resolved spectroscopy;  
53 visible and short-wave light scattering; multivariate analysis

54

55 **1. Introduction**

56 Wood is a natural material with multi-layered elongated cells. Due to the variability  
57 of its mechanical properties, the tensile strain evaluation of each structural wooden  
58 member is critical for quality management (Wang et al. 2020). The strain ( $\varepsilon$ ) is defined  
59 as the ratio of the change in length to the original length; it is a unitless quantity (Ambrose  
60 1993). The wood cell wall is a macromolecular composite formed of cellulose,  
61 hemicelluloses, and lignin (Hon and Chang 1984). Cellulose is the primary component in  
62 bearing tensile stress (Salmén and Bergström 2009). In contrast, hemicelluloses function  
63 as a coupling agent to hold the cellulose (Burgert 2006). The conventional method for  
64 wood strain measurement is to use a strain gauge, which is high cost (either disposable or  
65 reusable ones) in constant use (Yang et al. 2005). In addition, it is challenging to measure  
66 precious wood materials due to the use of a strong adhesive, which can destroy the wood  
67 after removal. For example, the heritage community generally does not apply strain  
68 gauges on genuine objects of art (Anaf et al. 2020). Moreover, difficulties arise when  
69 strain gauges are used in an environment where the electromagnetic wave interference is  
70 extensive. Such an environment can affect the measurement accuracy or even damage the  
71 experimental instruments (Liu et al. 2015; Barr et al. 2017).

72

73 In addition to the strain gauge, the wood strain can also be evaluated by monitoring the  
74 displacements that occurred during deformation, i.e., digital image correlation (DIC)  
75 techniques (Samarasinghe and Kulasiri 2000; Ozyhar et al. 2012). X-ray diffraction  
76 (Kamiyama et al. 2005) and infrared (IR) spectroscopy (Åkerholm and Salmén 2001;  
77 Salmén and Bergström 2009) can detect wood structure-function relationships at the  
78 nano- and microstructural levels. Near-IR (NIR) spectroscopy (wavelength: 800–2500  
79 nm or wavenumber: 12500–4000  $\text{cm}^{-1}$ ) is another well-suited method in wood research,  
80 mainly in combination with multivariate mathematical techniques (Tsuchikawa 2007;  
81 Watanabe et al. 2012; Hein et al. 2017). When NIR light illuminates and transmits through  
82 an object, the energy of the incident electromagnetic wave changes due to the stretching  
83 and bending vibrations of chemical bonds, such as O–H, N–H, and C–H. Subsequently,  
84 the quality and quantity of an object can be evaluated non-destructively, rapidly, and cost-  
85 effectively by analyzing the light reflectance and transmittance values (Tsuchikawa and  
86 Kobori 2015; Ma et al. 2020). Compared with microtomed sections needed for IR  
87 spectroscopy, NIR spectroscopy can non-destructively measure wood samples up to  
88 several millimeters thick without special sample pretreatments. It is essential when  
89 focusing on practical applications, as thin samples prepared in lab behave differently than  
90 solid wood, e.g., including stress relaxation in the former (Yu et al. 2009). Taking the

91 advantages of NIR spectroscopy, Guo and Altaner (2018) analyzed band shifts and band  
92 assignments on NIR light absorbance characteristics during the wood tension test, the  
93 results of which suggest that the observed band shifts correlate with wood tension levels.  
94 Their study is impressive and has reference value for future use of NIR spectroscopy (Guo  
95 et al. 2019). However, since the molecules are influenced by neighboring molecules,  
96 actual peaks generally overlap on the NIR spectra (Okazaki 2012). Although advanced  
97 curve-fitting approaches could be used to predict the small-signal peak shift, the band  
98 shift may also vary among specimens, which has been confirmed by the IR method  
99 (Eichhorn 2001). Additionally, the spectral information of such long-wave sensitive  
100 spectrometers is relatively rich, and, as such, it requires expensive equipment, such as  
101 detectors and light sources (Xing et al. 2008). Accordingly, there is still room to develop  
102 and improve NIR spectra collection and data analysis methods, especially for on-site  
103 application purposes.

104

105 The most likely to be neglected is that bulk wood is a highly scattering medium.  
106 Studies show that the reduced light scattering coefficient ( $\mu'_s = 10 - 100 \text{ cm}^{-1}$ ) is much  
107 larger than the absorption coefficient ( $\mu_a = 0.05 - 1.00 \text{ cm}^{-1}$ ) in the wavelength range  
108 of 700-1040 nm for both softwood and hardwood species treated in different ways (dry,

109 wet and degraded) (D’Andrea et al. 2007). The light scattering degree inside the wood  
110 cell wall highly correlates with the microstructure (Ban et al. 2018; Ma et al. 2018a, 2019).  
111 The deformation under longitudinal tension includes macromolecule deformations in the  
112 layers and interlaminar slippages. The former is related to the structure, orientation, and  
113 interaction of the polymers in the wood, and the latter slippage deformation results from  
114 the structural differences between cell-wall layers (Keckes et al. 2003; Adler and Buehler  
115 2013). For example, the misalignment between the cellulose fibrils to the strain direction  
116 could be amplified by bending and shearing (Montero et al. 2012; Salmén 2015).  
117 Moreover, the weak interfaces of wood cells or annual rings could deflect transverse  
118 cracks into the longitudinal plane (Smith et al. 2003; Marthin and Kristofer Gamstedt  
119 2019; Guo et al. 2020). Hence, effective utilization of the light scattering degrees (i.e.,  
120 microstructure changes) inside the wood during tension testing should predict strain  
121 levels accurately. This method also can reduce costs associated with equipment because  
122 shorter wavelengths are scattered more strongly than longer wavelengths in the visible  
123 (Vis)–NIR optical range (Ma et al. 2018a).

124

125 Conventional Vis-NIR spectrometry has the potential to gather information on both  
126 molecular and anatomical strain. However, since it generally acquires spectral data from

127 a single sample point based on the collective effects of light absorption (due to chemical  
128 components such as water and cellulose content) and scattering (due to physical structures  
129 such as cell size and intercellular spacing) (Vanoli et al. 2020); studies have mainly relied  
130 on performing further spectral pretreatments, such as baseline offset correction or  
131 standard normal variate (SNV), to reduce light scattering effects before training  
132 calibration models (Zude et al. 2011). By contrast, spatially resolved spectroscopy (SRS)  
133 requires relatively strong, steady-state spotlights for illumination; its diffusely reflected  
134 light pattern is collected at multiple distances for light absorption and scattering  
135 evaluation (Farrell et al. 1992; Qin et al. 2009; Lu et al. 2020). SRS has two main  
136 measurement configurations: spectral imaging and fiber probing. On the one hand, the  
137 SRS based on spectral imaging is a non-contact method that measures spatially resolved  
138 diffuse reflectance over a broad spectral range (Peng and Lu 2008; Qin and Lu 2008; Zhu  
139 et al. 2015). The measurement system mainly consists of a hyperspectral imaging (HSI)  
140 camera, a prime lens, and a small broadband beam as illumination. However, the distance  
141 between the light beam and the source-detector is required to be carefully considered in  
142 this configuration, as they determine the measured results (Cen and Lu 2010; Lu et al.  
143 2020). On the other hand, the fiber probe-based SRS is a contact method, which is often  
144 inconvenient for rapid online quality assessment (Ma et al. 2018a). However, due to the

145 easy-to-operate design with a strong light reflectance, fiber probe-based SRS portable  
146 systems are desirable alternatives for on-site applications. Additionally, the contact  
147 measurement is more convenient to predict the tension strains of wood samples.

148 This paper reports on wood strain prediction results obtained by evaluating the changes  
149 in Vis-NIR SRS spectral data collected from wood samples during tension testing. The  
150 objectives of this paper are as follows: (1) acquire light scattering characteristics in wood  
151 samples during tension testing by a newly designed multifiber-based Vis-NIR SRS  
152 system; (2) examine the relationship between SRS signals and wood strains by principal  
153 component analysis (PCA); (3) construct wood strain calibration models by partial least  
154 squares (PLS) regression; and (4) benchmark against standard diffuse reflectance  
155 spectroscopy to quantify the added value of the SRS method. This study should provide  
156 new insights to predict the tensile strain of wood samples conveniently and cost-  
157 effectively.

158

## 159 **2. Materials and methods**

### 160 **2.1 Sample preparation**

161 Wood samples (*Hinoki cypress*) with a length of 120 mm (longitudinal), a width of  
162 10 mm (radial), and various thicknesses (tangential: 2 mm, 3 mm, 4 mm, and 5 mm) were

163 sawn from air-dried wood board that commercially purchased from a local wood  
164 processing company. Specimens were selected from mature wood parts sufficiently far  
165 from the pith to neglect ring curvature.

166 For the preliminary experiment, samples with different thicknesses (2 mm, 3 mm, 4  
167 mm, and 5 mm) were measured to evaluate the influence of sample thickness. Then, for  
168 the primary experiment, 18 wood samples were each prepared for approximately the same  
169 thickness 2 mm and 5 mm to construct strain calibration models, respectively. The  
170 samples were selected based on the wood fiber directions, which were as parallel as  
171 possible to the longitudinal direction. Before the experiment, all the pieces remained in a  
172 desiccator, where relative humidity (RH) was controlled at 59% with a saturated salt  
173 solution of sodium bromide. Subsequently, the sample weights were measured using a  
174 digital balance (accuracy of 0.0001 g). A digital caliper (0.01 mm accuracy) was used to  
175 measure the sample dimensions. From the measured weights and dimensions of the raw  
176 data, sample moisture content (MC) and density were calculated according to the  
177 following equations:

$$178 \quad \text{MC}(\%) = \frac{W - W_d}{W_d} \quad (1)$$

$$179 \quad \text{Density} \left( \frac{\text{kg}}{\text{m}^3} \right) = \left( \frac{W}{V} \right) \quad (2)$$

180 where  $W$  is the sample weight before spectral data acquisition and  $W_d$  is the  
181 sample weight after oven-drying, and  $V$  is the sample volume under the equilibrated  
182 condition.

183

## 184 **2.2 Tensile testing**

185 Each prepared wood sample was placed in a bending testing machine (either  
186 Shimadzu AG-100KNI, Shimadzu, Japan or SVZ-50NA, IMADA-SS Corporation, Japan  
187 was used depending on the sample thickness and experiment schedule). The bending  
188 machine was suspended several times manually during the tension test to obtain strain  
189 measurements and Vis-NIR SRS data. The strain was recorded with strain gauges (FLAB-  
190 5-11, Tokyo Sokki Kenkyujo, Japan) glued to one side of each sample with instant  
191 adhesive (CN, Tokyo Sokki Kenkyujo, Japan) and connected to a strain-meter (TC-32K,  
192 Tokyo Sokki Kenkyujo, Japan). A Vis-NIR measurement system was used to collect light  
193 scattering characteristics on the other sample side (Fig. 1 (a) and (b)).

194

## 195 **2.3 Visible and near-infrared spatially resolved spectroscopy measurements**

196 Fig. 1 (c) and (d) show the measurement part (i.e., the fixator of light illumination and  
197 detection fibers) of the proposed Vis-NIR SRS system and a diagram of the internal

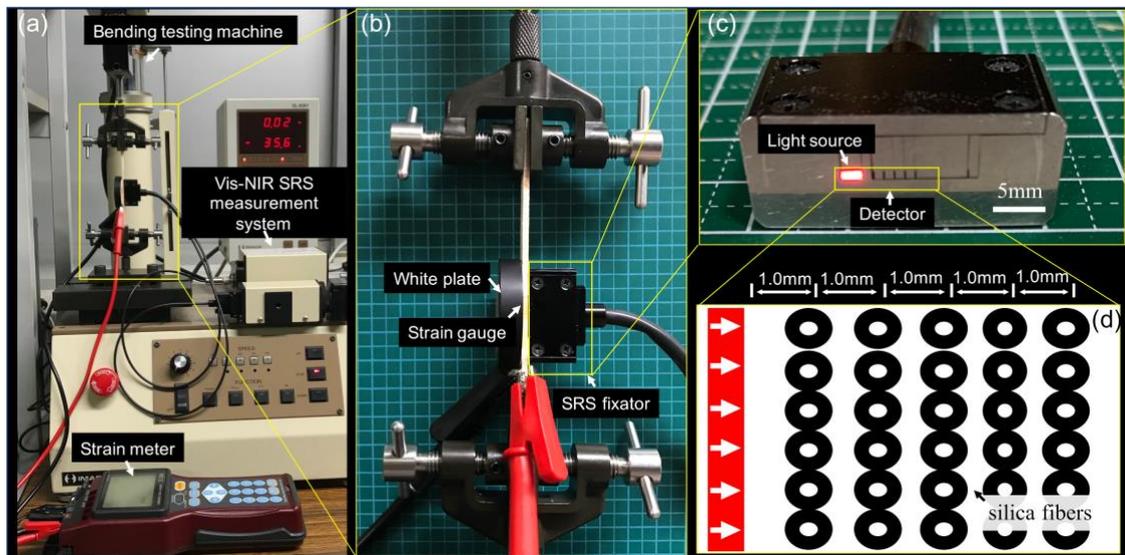
198 structure. A 5-W halogen lamp initially provided light illumination. An optical fiber  
199 (SOG-70S, Sumita Optical Glass, Inc., Saitama, Japan) translated the light source onto  
200 each wood sample. Then, 30 silica fibers (Vis-NIR type, Core: 100  $\mu\text{m}$ , Clad: 110  $\mu\text{m}$ ,  
201 Fiberguide Industries, New Jersey, USA) were separated into five groups (1, 2, 3, 4, and  
202 5 from the light illumination point) to collect the diffusely reflected light and transfer the  
203 light to the Vis-NIR HSI camera (SPECT-100nir1F, Spectral Application Research  
204 Laboratory Co., Ltd. Shizuoka, JAPAN). A fiber connector was used to order the 30 silica  
205 fibers horizontally at the side of the HSI camera. Then, the light beam was dispersed by  
206 a spectrometer into spectral components (vertical axis) while preserving spatial  
207 information (horizontal axis), and the two-dimensional light signals were collected. The  
208 shutter speed and framerate were set at 15 ms and 8 fps, respectively. In this study, the  
209 fixator was pasted parallelly to the sample grain direction with a double-sided tape, which  
210 can be easily removable after measurement collection. The sensitive wavelength range of  
211 the Vis-NIR HSI camera was 600–1100 nm, with a spectral resolution of 4.5 nm (a  
212 minimum reading width of approximately 0.65 nm/pixel). For each measurement, 16  
213 spectral images were captured and their averaged values saved. A barium sulfate white  
214 plate was used to reflect the transmitted Vis-NIR light through hinoki wood samples.  
215 Light reference was measured using a tailor-made integrating sphere, i.e., a plastic ball

216 (diameter of 6 cm) covered in barium sulfate. Dark values were measured by turning off  
 217 the light and covering the light-collection fibers. Wavelengths under 660 nm and over  
 218 1002 nm were noisy and unreliable. Thus, a wavelength range of 660–1002 nm was  
 219 selected for further data analysis. The collected spectra were then converted to the  
 220 reflectance values by Eq. (3):

$$221 \quad R_{\lambda} = \frac{S_{\lambda} - D_{\lambda}}{B_{\lambda} - D_{\lambda}} \quad (3)$$

222 where  $\lambda$  denotes the wavelength,  $S$  and  $B$  are the sample and a white reference spectrum,  
 223 respectively, and  $D$  is the dark spectrum.

224 A digital camera took photos ( $16\times$  amplification) of another wood sample (thickness  
 225 of 2 mm) before and after the tensile test to understand the submicroscopic changes.



**Fig. 1 (a) Experiment instruments; (b) Vis–NIR SRS data and strain measurements; (c) fixator of the Vis–NIR SRS measurement system; (d) internal structure diagram of the fixator.**

226

## 227 **2.4 Spectra pretreatments and principal component analysis**

228 The Vis-NIR SRS raw spectra were smoothed by a Savitzky–Golay filter (polynomial  
229 order: 2; frame length: 15). The spectral data, collected before tension testing subtracted  
230 from various strain levels, were tested to correct the natural variability of physical  
231 structure among wood samples. Then, PCA with the mean center was used to reduce the  
232 dimensionality of the Vis-NIR spectral data while minimizing information loss; the  
233 purpose of this was to examine the correlation between measured strain reference values  
234 and the spectral data changes. Principal component (PC) loadings are the weights for each  
235 variance value of spectral data when calculating the PC scores (Martens and Tormod  
236 1992). Generally, the first PC score accounts for the most variability in the original data,  
237 and each successive component accounts for as much of the remaining variability as  
238 possible (Ma et al. 2020). It is noteworthy that no other spectra pretreatments (e.g., SNV  
239 (Cuesta Sánchez et al. 1995) and the second derivative (Gorry 1991)) were used in this  
240 study to keep the maximum light scattering information.

241

## 242 **2.5 Partial least squares regression analysis**

243 To achieve the initial value correction purpose, the Vis-NIR difference spectral data  
244 obtained by subtracting the spectrum collected at strain 0 from others were used to

245 calibrate with the measured strain values via PLS regression (Martens and Tormod 1992).  
 246 To against overfitting, the wavelength range from 900 nm to 1000 nm was selected from  
 247 each fiber group (FG). In addition, 70 % of measured data was randomly selected as the  
 248 calibration set, leaving 30 % for the test set in developing the PLS regression models.  
 249 Leave-one-out cross-validation was used to optimize the number of latent variables  
 250 (LVs). The coefficients of determination ( $R^2$ ) and the root mean squared error (RMSE)  
 251 characterized the constructed calibration model's performance:

$$252 \quad R^2 = 1 - \frac{\sum_{i=1}^n (y_i - \hat{y}_i)^2}{\sum_{i=1}^n (y_i - \bar{y})^2} \quad (4)$$

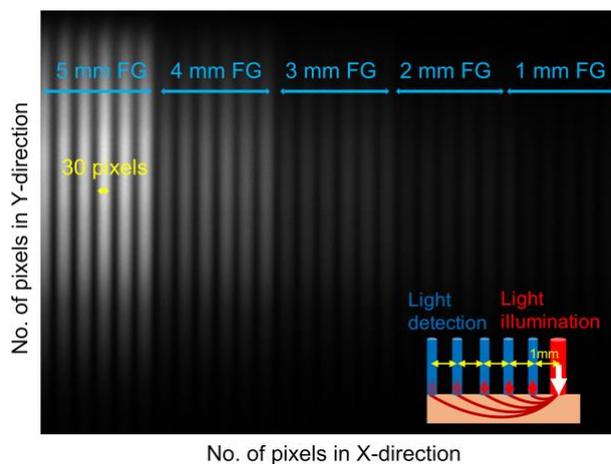
$$253 \quad \text{RMSE} = \sqrt{\frac{1}{n} \sum_{i=1}^n (\hat{y}_i - y_i)^2} \quad (5)$$

254 where  $n$  is the number of measurements,  $y$  is the reference strain values,  $\hat{y}$  is the strain  
 255 value predicted by PLS regression analysis, and  $\bar{y}$  is the mean value of  $y$ . To quantify  
 256 the added value of the SRS approach, the PLS calibration results earned by using the total  
 257 five FGs were also benchmarked against either using the first three FGs or the standard  
 258 spectroscopy analysis that only using one FG. Data analysis was performed by MATLAB  
 259 (The MathWorks Inc., Natick, MA).

260

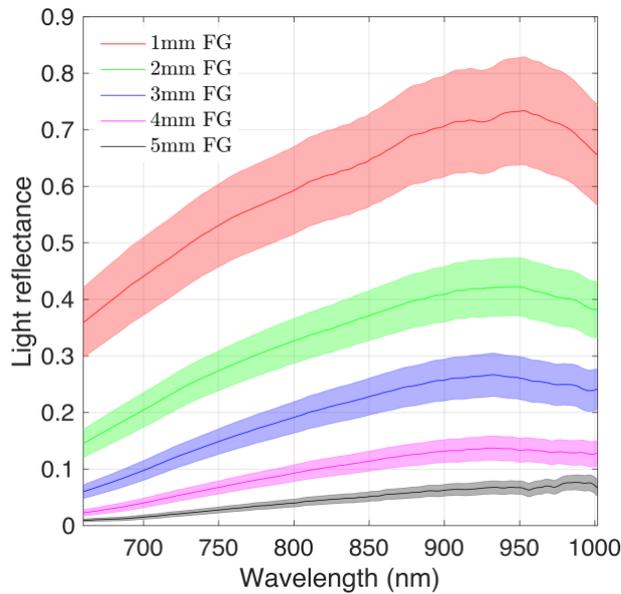
### 261 **3 Results and discussion**

262 Fig. 2 shows the raw spectral image of 2-mm wood sample taken by the Vis-NIR HSI  
263 camera before the tensile test. This image data contains the spatial information of the 30  
264 silica fibers (horizontal axis) and the spectral information of the measured wood sample  
265 (vertical axis). The main difficulty with conventional SRS methods is associated with  
266 quickly collecting the spectral data with a high signal-to-noise ratio. One way is to repeat  
267 the same data measurements and to average the results, which is time-consuming  
268 (Tkachenko 2006). This study is desirable for the spectral data acquisition time to be short  
269 of excluding other relaxation phenomena (Burgert 2006; Altaner et al. 2014). This was  
270 achieved by a two-step signal averaging process: (i) each fiber occupies 34 pixels of the  
271 HSI camera, and the central 30 pixels were averaged for spectral data collection, after  
272 which, (ii) the signals of six fibers in the same group were averaged.



**Fig. 2. Raw Vis-NIR SRS spectral image of a wood sample with 2 mm thickness.**

273 Fig. 3 shows the Vis-NIR SRS spectra with standard deviations of the 18 wood  
274 samples with approximately the same 2 mm and at various tension levels. It is logical that  
275 the overall spectral intensity quickly falls with an increase in distance from the light  
276 illumination. The wavelength at 925 nm corresponds to the third overtone of C–H  
277 absorption (Mohammadi-Moghaddam et al. 2018), which can be attributed to the  
278 chemical components of the wood samples. The wavelength at approximately 930 nm has  
279 the highest light reflectance when the FG is 3–4 mm away from the light illumination,  
280 suggesting that the light at said wavelength was less absorbed and transmitted further  
281 from the light illumination than other wavelengths along the wood grain direction. It is  
282 noteworthy that the optical scattering was not isotropic within each wood sample. The  
283 light propagated further in the parallel direction because the scattering coefficient along  
284 the cylinders is much smaller than that in the perpendicular direction to the grain direction  
285 (Ma et al. 2018b, 2019).



**Fig. 3 Averaged Vis-NIR raw spectra and the standard deviations (light color) of 18 samples with the same thickness (2 mm) and various tension strains.**

286

287 Fig. 4 shows the Vis-NIR spectral data for various strain measurements for wood

288 samples with different thicknesses (vertical) by different FGs (horizontal), respectively.

289 The wavelength range was selected to 900–950 nm to expand the image size. In this study,

290 the Vis-NIR light could transmit through the hinoki wood samples with an maximum

291 thickness of approximately 5 mm (see supplementary, Fig. S1). The light reflectance

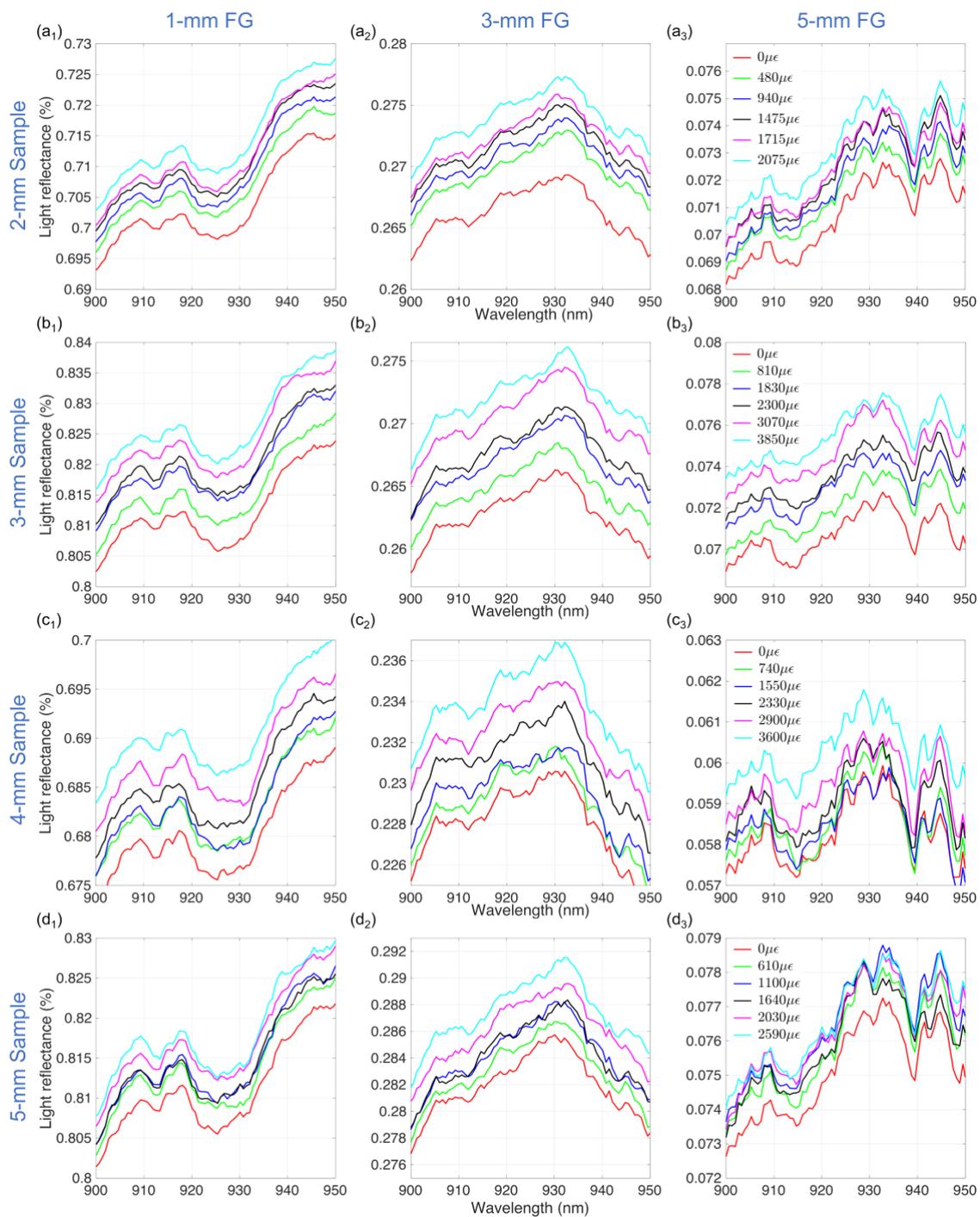
292 increased with an increase in wood strain. Light absorption at 925 nm is the most obvious

293 at the spectra collected by the 1-mm FG. The signal quality decreases with an increase in

294 distance between the light illumination and light-detection fibers, suggesting that

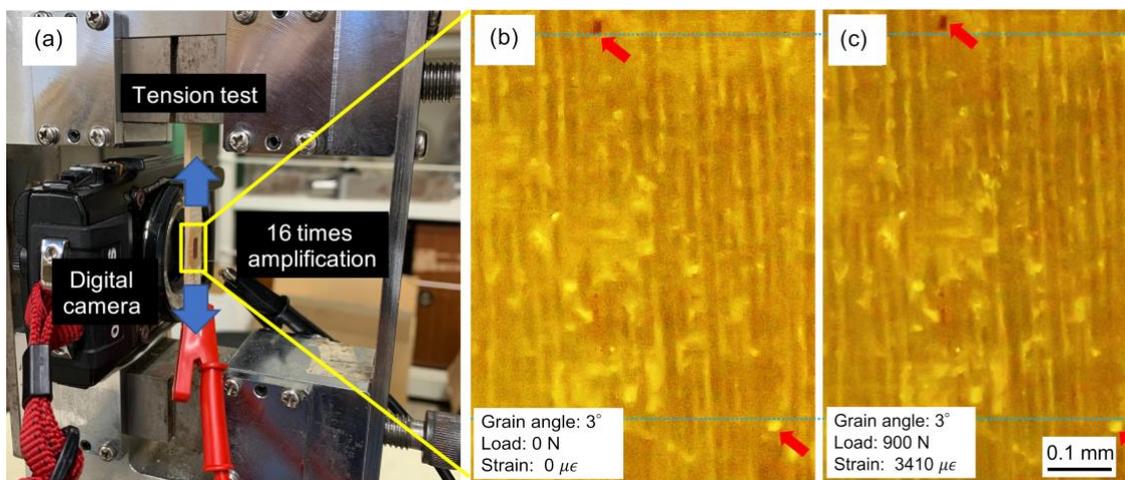
295 different FGs can collect spectral data with different light absorption and scattering

296 degrees. Light reflectance is also affected by sample thickness. The differences in the Vis-  
297 NIR spectral data, caused by sample strains, diminished in thicker samples, especially at  
298 far FGs. This could be due to the light transmission depth is different among the wood  
299 samples at various thicknesses. Since thicker wood samples have a more profound light  
300 transmission, which affected light propagates in parallel; stronger noise was associated  
301 with the collected spectra at more extended FGs. Except for signal quality, because the  
302 strain gauge was stuck on the opposite side of the SRS fixator, less transmission light  
303 could also reduce the correlation between SRS data and strain reference values. Further  
304 improvements could be considered to construct strain prediction models for thicker  
305 samples, such as reducing the distance between FGs and introducing a method to measure  
306 the strain changes where the spectral data were collected.



**Fig. 4 Vis-NIR SRS spectral data collected at various sample thicknesses (vertical) by different FGs (horizontal).**

308 Fig. 5 (b) and (c) show the digital photos of wood samples before and after tension  
309 testing with a strain of  $3410 \mu\epsilon$ . The stretched wood cell wall could decrease the amount  
310 of material in the measured area and increase the light transmissivity on the cell wall  
311 between the light source and the five FGs of the SRS fixator. Hence, the light reflectance  
312 values increased during the tension test.

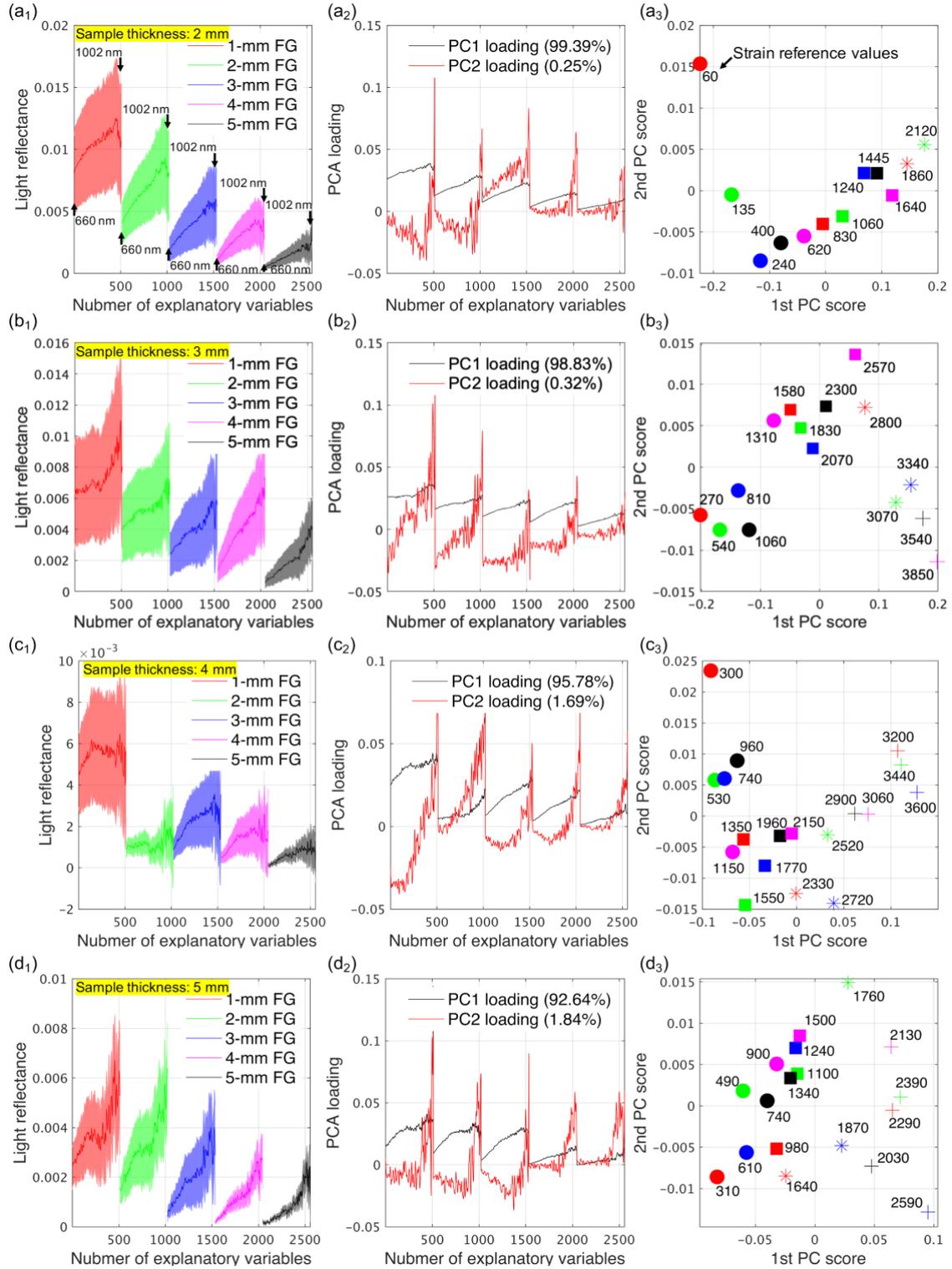


**Fig. 5 Digital photos of the wood sample with 2 mm thickness before (a) and after (b) the tension test, respectively.**

313  
314 To check the correlation between measured strain reference values and the spectral  
315 data changes. The SRS data collected from the total five FGs at a wavelength range of  
316 660–1002 nm were concatenated, resulting in 2555 variance values (Figure 6 (a<sub>1</sub>: 2-mm  
317 sample, b<sub>1</sub>:3-mm sample, c<sub>1</sub>: 4-mm sample, and d<sub>1</sub>: 5-mm sample)). Fig. 6 (a<sub>2</sub>-d<sub>2</sub>)) shows  
318 their first two PC loadings. The PC loadings can be understood as the weights for each  
319 variance value when calculating the PC score. The accumulated contribution rate of the

320 first two scores is approximately 99.64%, 99.15%, 97.47%, and 94.48% for a sample  
321 thickness of 2, 3, 4, and 5 mm, respectively. The PC1 and PC2 scores of the SRS are  
322 shown in Fig. 6 (a<sub>3</sub>-d<sub>3</sub>), where the Y-axis shows the PC2 score and the X-axis shows the  
323 PC1 score. There is a strong correlation between PC1 loading and light scattering  
324 differences, i.e., vertical baseline shift. As expected from Fig. 4 (i.e., the differences in  
325 the Vis-NIR spectral data diminished in thicker samples), the contribution rate of the PC  
326 1 score decreases with an increase in sample thickness. Moreover, PC2 loading has  
327 relatively high absolute values at light wavelengths close to water specific band at 970  
328 nm. It suggests a meaningful correlation between the light absorption by hydrogen bonds  
329 and wood strain changings, but the contribution rate was much lower than the light  
330 scattering differences. It could be supported by the knowledge that wood becomes more  
331 ductile with increased MC (Ozyhar et al. 2012; Mvondo et al. 2017), which also affects  
332 the light scattering degree (Konagaya et al. 2016). This also suggests that MC effects  
333 much be fully valued, to build individual calibration models depends on sample MC may  
334 be the best way to reduce the MC effects.

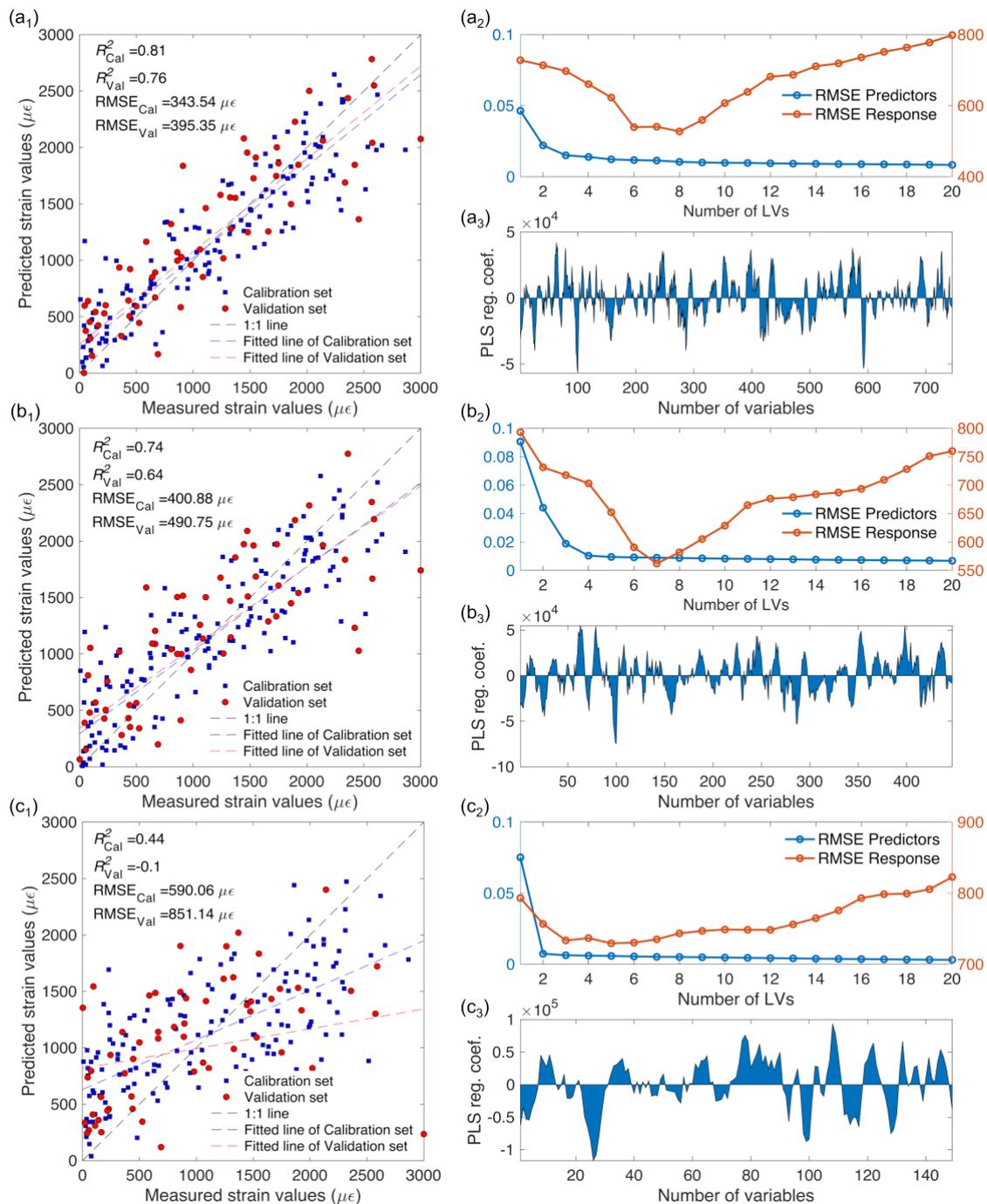
335



**Fig. 6 (a1-d1) Concatenated Vis-NIR difference spectral data used for PCA; (a2-d2) first two PC loadings; (a3-d3) first two PC scores of the concatenated Vis-NIR spectral data. The numbers in each scatter plot show the tensile strain reference values.**

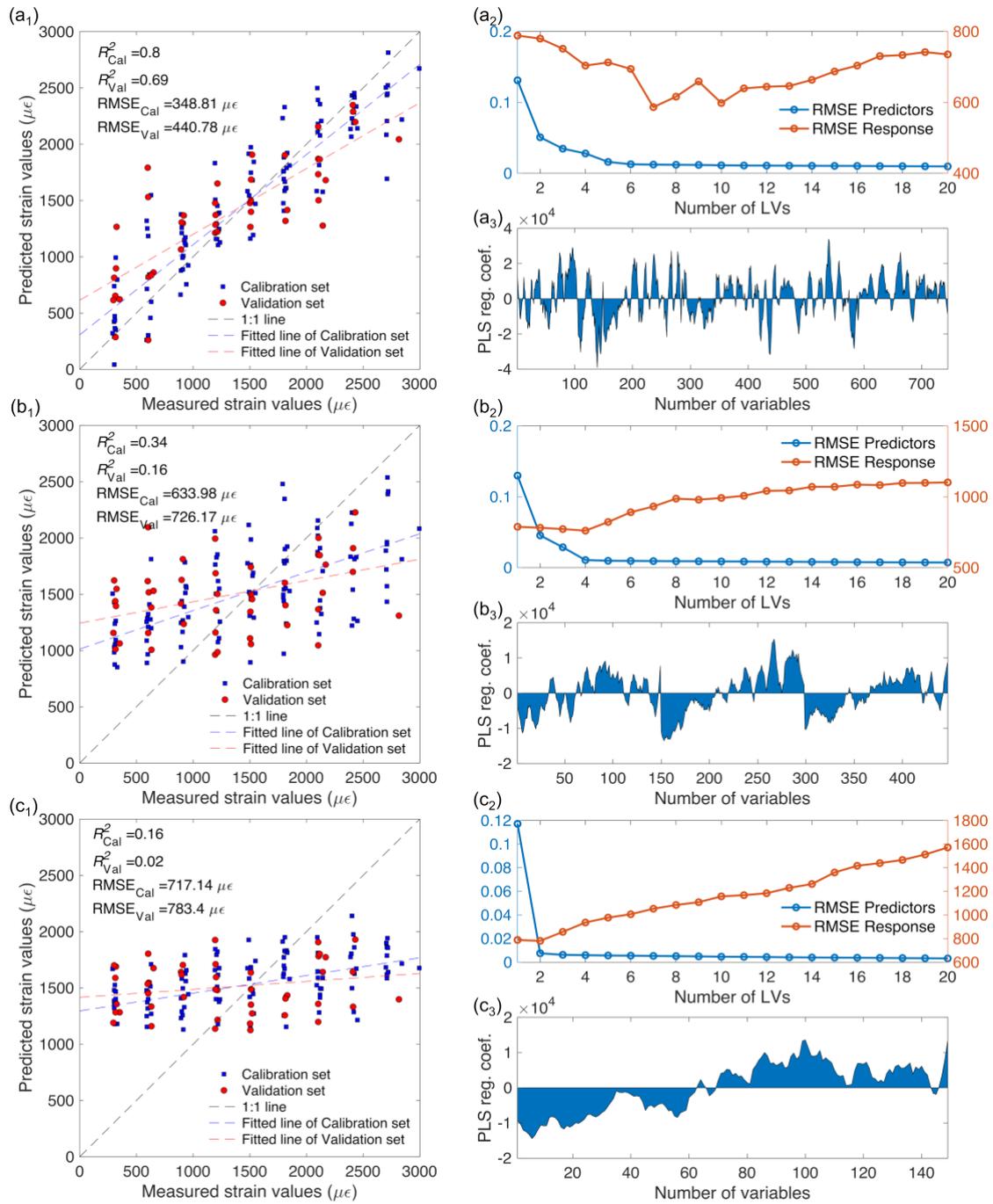
336 Fig. 7 (a<sub>1</sub>) shows the strain calibration results of the 18 wood samples with  
337 approximately the same thickness of 2 mm from the PLS regression method with the five  
338 FGs. The wavelength range of 900-1000 nm was selected from each FG. Fig. 7 (a<sub>2-3</sub>)  
339 shows the RMSE and the PLS regression coefficients regarding the Vis-NIR difference  
340 spectra with LV numbers 8, respectively. Table 1 shows the detailed density and MC  
341 values of all the wood samples. Overall, the PLS calibration model has a high prediction  
342 accuracy: the  $R^2$  and RMSE of the calibration set were 0.81 and 343.54  $\mu\epsilon$ , respectively.  
343 For the validation set, the  $R^2$  and RMSE were 0.76 and 395.35  $\mu\epsilon$ , respectively.  
344 Differences in the RMSE could be attributed to the strain gauge measured the strain  
345 reference values from the wood surface. By contrast, the SRS method measured the light  
346 scattering degrees mainly affected by the sample inside structures. Hence, there is a  
347 possibility that the SRS method could estimate the wood sample strain more accurately  
348 than the conventional strain gauges, but further studies are required to prove this. Fig. 7  
349 (b<sub>1-3</sub>) shows the calibration results of the same wood samples with the first three FGs (i.e.,  
350 1-mm FG, 2-mm FG, and 3-mm FG) and LV numbers 7. Fig. 7 (c<sub>1-3</sub>) shows the  
351 calibration results of the same wood samples with only the first FG (i.e., 1-mm FG) and  
352 LV numbers 5. It is evident that the prediction accuracy was improved by increasing the

353 number of FGs, suggesting the effectiveness of the SRS method compared to the  
 354 conventional NIR spectrometry.



**Fig. 7 (a<sub>1</sub>-c<sub>1</sub>) Scatter plot of measured and predicted strain values of 2-mm wood samples using; (a<sub>2</sub>-c<sub>2</sub>) RMSE of the predictors and response; (a<sub>3</sub>-c<sub>3</sub>) PLS regression coefficients.**

355 Fig. 8 (a<sub>1-3</sub>) shows the strain calibration results of the 18 wood samples with 5 mm  
356 thickness from the PLS regression method with the five FGs and LV numbers 7. The  
357 same wavelength range of 900-1000 nm was selected from each FG. Table 1 shows the  
358 detailed density and MC values of all the samples. Overall, the PLS calibration model  
359 had a good prediction accuracy: the  $R^2$  and RMSE of the calibration set were 0.8 and  
360 348.81  $\mu\epsilon$ , respectively. For the validation set, the  $R^2$  and RMSE were 0.69 and 440.78  
361  $\mu\epsilon$ , respectively. Fig. 8 (b<sub>1-3</sub>) shows the calibration results of the same wood samples with  
362 the first three FGs and LV numbers 4. Fig. 8 (c<sub>1-3</sub>) shows the calibration results of the  
363 same wood samples with only the first FG and LV numbers 2. Overall, the strain  
364 prediction accuracy of the 5-mm samples was lower than that of the 2-mm wood samples,  
365 which agrees that the differences in the Vis-NIR spectral data, caused by sample strains,  
366 diminished in thicker pieces. Nevertheless, that does not mean the SRS method can not  
367 be used to assess thicker structural timbers. The maximum measurable depth of the hinoki  
368 wood samples was confirmed to be approximately 5 mm using the designed Vis-NIR SRS  
369 system (see supplementary, Fig. S1); Hence, for thick timbers, the stain prediction would  
370 be achieved by estimating the light scattering changes in the sample subsurface layers.



**Fig. 8 (a<sub>1</sub>-c<sub>1</sub>) Scatter plot of measured and predicted strain values of 5-mm wood samples using; (a<sub>2</sub>-c<sub>2</sub>) RMSE of the predictors and response; (a<sub>3</sub>-c<sub>3</sub>) PLS regression coefficients.**

371  
372  
373  
374

375 Table 1 sample thickness, density, and MC

Sample number	2-mm wood samples			5-mm wood samples		
	Thickness (mm)	Density (kg/m <sup>3</sup> )	MC (%)	Thickness (mm)	Density (kg/m <sup>3</sup> )	MC (%)
1	2.0	407	11	4.8	369	8
2	2.0	408	11	4.9	421	9
3	1.9	397	11	4.9	435	9
4	1.9	429	10	5.0	443	8
5	1.8	399	10	4.8	421	8
6	1.8	420	10	4.8	438	9
7	1.8	419	11	4.8	473	9
8	1.9	409	10	4.9	451	9
9	2.0	420	10	5.2	435	9
10	2.0	427	11	5.1	462	9
11	2.0	403	11	5.1	430	8
12	2.2	390	11	5.5	408	8
13	2.1	425	10	5.0	435	9
14	2.0	406	10	5.3	442	8
15	2.0	407	10	5.1	439	9
16	2.0	446	10	5.0	393	8
17	2.1	418	10	5.0	379	9
18	1.9	439	10	5.0	461	8

376

377 **4 Conclusion**

378 This study aims to demonstrate the correlation between light scattering changes inside  
379 the wood samples during tension testing and their macroscopic strain values. Spatially  
380 resolved diffuse reflectance was collected by designing a portable and cost-effective  
381 measurement system based on fiber probes. For the preliminary experiment, samples with  
382 different thicknesses (2 mm, 3 mm, 4 mm, and 5 mm) were measured to evaluate the  
383 influence of sample thickness. Then, for the primary experiment, each 18 wood samples  
384 with the same thickness of 2 mm and 5 mm were tested to construct a strain calibration  
385 model. The prediction accuracy for the 2 mm samples was characterized by an  $R^2$  of 0.81  
386 and an RMSE for 343.54  $\mu\epsilon$  for leave-one-out cross-validation, 0.76 and 395.35  $\mu$  for test

387 validation. The  $R^2$  and RMSE of the calibration set for the 5-mm samples were 0.8 and  
388 348.81  $\mu\epsilon$ , respectively. For the validation set, the  $R^2$  and RMSE were 0.69 and 440.78  
389  $\mu\epsilon$ , respectively.

390 The designed SRS measurement system does not require sophisticated measurement  
391 techniques. Moreover, it has a cost-effective design due to the Vis-NIR HSI camera with  
392 short-wave sensitivity, which is much cheaper than long-wave sensitivity cameras.  
393 Further research should focus on extending the applicability of the SRS approach to a  
394 broader database of wood types and larger sample numbers with various thicknesses. The  
395 intervals between FGs should be changed to test the strain prediction of thicker wood  
396 samples. This research also references further research to measure growth strain in trees  
397 non-destructively. However, because light scattering degree is also affected by MC, this  
398 would require more in-depth spectral pretreatments.

399

## 400 **5 Acknowledgments**

401 The authors are grateful for the financial support provided by JSPS (KAKENHI,  
402 no.19K15886)

## 403 **6 References**

404 Adler DC, Buehler MJ (2013) Mesoscale mechanics of wood cell walls under axial  
405 strain. *Soft Matter* 9:7138–7144. <https://doi.org/10.1039/c3sm50183c>

406 Åkerholm M, Salmén L (2001) Interactions between wood polymers studied by  
407 dynamic FT-IR spectroscopy. *Polymer (Guildf)* 42:963–969.  
408 [https://doi.org/10.1016/S0032-3861\(00\)00434-1](https://doi.org/10.1016/S0032-3861(00)00434-1)

409 Altaner CM, Thomas LH, Fernandes AN, Jarvis MC (2014) How cellulose stretches:  
410 Synergism between covalent and hydrogen bonding. *Biomacromolecules* 15:791–  
411 798. <https://doi.org/10.1021/bm401616n>

412 Ambrose J (1993) *Building structures*. John Wiley & Sons

413 Anaf W, Cabal A, Robbe M, Schalm O (2020) Real-time wood behavior: The use of  
414 strain gauges for preventive conservation applications. *Sensors (Switzerland)* 20:.  
415 <https://doi.org/10.3390/s20010305>

416 Ban M, Inagaki T, Ma T, Tsuchikawa S (2018) Effect of cellular structure on the optical  
417 properties of wood. *J Near Infrared Spectrosc* 26:53–60.  
418 <https://doi.org/10.1177/0967033518757233>

419 Barr AD, Clarke SD, Tyas A, Warren JA (2017) Electromagnetic Interference in  
420 Measurements of Radial Stress During Split Hopkinson Pressure Bar Experiments.  
421 *Exp Mech* 57:813–817. <https://doi.org/10.1007/s11340-017-0280-4>

- 422 Burgert I (2006) Exploring the Micromechanical Design of Plant Cell Walls. *Am J Bot*  
423 93:1391–1401
- 424 Cen H, Lu R (2010) Optimization of the hyperspectral imaging-based spatially-resolved  
425 system for measuring the optical properties of biological materials. *Opt Express*  
426 18:17412. <https://doi.org/10.1364/oe.18.017412>
- 427 Cuesta Sánchez F, Toft J, van den Bogaert B, et al (1995) Monitoring powder blending  
428 by NIR spectroscopy. *Fresenius J Anal Chem* 352:771–778.  
429 <https://doi.org/10.1007/BF00323062>
- 430 D’Andrea C, Farina A, Comelli D, et al (2007) Time-resolved diffuse optical  
431 spectroscopy of wood. *Opt InfoBase Conf Pap* 62:569–574.  
432 <https://doi.org/10.1117/12.727955>
- 433 Eichhorn YRJ (2001) The young’s modulus of a microcrystalline cellulose. *Cellulose*  
434 8:197–207. <https://doi.org/10.1023/A:1013181804540>
- 435 Farrell TJ, Patterson MS, Brain W (1992) A diffusion theory model of spatially  
436 resolved, steady-state diffuse reflectance for the noninvasive determination of  
437 tissue optical properties in vivo. *Medphys* 19:879–888.  
438 <https://doi.org/10.1118/1.596777>

- 439 Gorry PA (1991) General Least-Squares Smoothing and Differentiation of  
440 Nonuniformly Spaced Data by the Convolution Method. *Anal Chem* 63:534–536.  
441 <https://doi.org/10.1021/ac00005a031>
- 442 Guo F, Altaner CM (2018) Molecular deformation of wood and cellulose studied by  
443 near infrared spectroscopy. *Carbohydr Polym* 197:1–8.  
444 <https://doi.org/10.1016/j.carbpol.2018.05.064>
- 445 Guo F, Altaner CM, Jarvis MC (2020) Thickness-dependent stiffness of wood: Potential  
446 mechanisms and implications. *Holzforschung* 74:1079–1087.  
447 <https://doi.org/10.1515/hf-2019-0311>
- 448 Guo F, Cramer M, Altaner CM (2019) Evaluation of near infrared spectroscopy to non-  
449 destructively measure growth strain in trees. *Cellulose* 26:7663–7673.  
450 <https://doi.org/10.1007/s10570-019-02627-2>
- 451 Hein PRG, Pakkanen HK, Dos Santos AA (2017) Challenges in the use of near infrared  
452 spectroscopy for improving wood quality: A review. *For Syst* 26:1–10.  
453 <https://doi.org/10.5424/fs/2017263-11892>
- 454 Hon DNS, Chang ST (1984) Surface Degradation of Wood By Ultraviolet Light. *J*  
455 *Polym Sci A1* 22:2227–2241. <https://doi.org/10.1002/pol.1984.170220923>

456 Kamiyama T, Suzuki H, Sugiyama J (2005) Studies of the structural change during  
457 deformation in *Cryptomeria japonica* by time-resolved synchrotron small-angle X-  
458 ray scattering. *J Struct Biol* 151:1–11. <https://doi.org/10.1016/j.jsb.2005.04.007>

459 Keckes J, Burgert I, Frühmann K, et al (2003) Cell-wall recovery after irreversible  
460 deformation of wood. *Nat Mater* 2:810–814. <https://doi.org/10.1038/nmat1019>

461 Konagaya K, Inagaki T, Kitamura R, Tsuchikawa S (2016) Optical properties of drying  
462 wood studied by time-resolved near-infrared spectroscopy. *Opt Express* 24:9561.  
463 <https://doi.org/10.1364/OE.24.009561>

464 Liu Q, Ding W, Zhou H, et al (2015) A Novel Strain Measurement System in Strong  
465 Electromagnetic Field. *IEEE Trans Plasma Sci* 43:3562–3567.  
466 <https://doi.org/10.1109/TPS.2015.2418276>

467 Lu R, Van Beers R, Saeys W, et al (2020) Measurement of optical properties of fruits  
468 and vegetables: A review. *Postharvest Biol Technol* 159:111003.  
469 <https://doi.org/10.1016/j.postharvbio.2019.111003>

470 Ma T, Inagaki T, Tsuchikawa S (2019) Three-dimensional grain angle measurement of  
471 softwood (*Hinoki cypress*) using near infrared spatially and spectrally resolved  
472 imaging (NIR-SSRI). *Holzforschung* 73:817–826. [https://doi.org/10.1515/hf-2018-](https://doi.org/10.1515/hf-2018-0273)  
473 0273

474 Ma T, Schajer G, Inagaki T, et al (2018a) Optical characteristics of Douglas fir at  
475 various densities, grain directions and thicknesses investigated by near-infrared  
476 spatially resolved spectroscopy (NIR-SRS). *Holzforschung* 1–8.  
477 <https://doi.org/10.1515/hf-2017-0213>

478 Ma T, Schajer G, Inagaki T, et al (2018b) Optical characteristics of Douglas fir at  
479 various densities, grain directions and thicknesses investigated by near-infrared  
480 spatially resolved spectroscopy (NIR-SRS). *Holzforschung* 72:789–796.  
481 <https://doi.org/10.1515/hf-2017-0213>

482 Ma T, Tsuchikawa S, Inagaki T (2020) Rapid and non-destructive seed viability  
483 prediction using near-infrared hyperspectral imaging coupled with a deep learning  
484 approach. *Comput Electron Agric* 177:.  
485 <https://doi.org/10.1016/j.compag.2020.105683>

486 Martens H, Tormod N (1992) *Multivariate calibration*. John Wiley & Sons.

487 Marthin O, Kristofer Gamstedt E (2019) Damage shielding mechanisms in hierarchical  
488 composites in nature with potential for design of tougher structural materials. *R*  
489 *Soc Open Sci* 6: <https://doi.org/10.1098/rsos.181733>

490 Mohammadi-Moghaddam T, Razavi SMA, Sazgarnia A, Taghizadeh M (2018)  
491 Predicting the moisture content and textural characteristics of roasted pistachio

492 kernels using Vis/NIR reflectance spectroscopy and PLSR analysis. *J Food Meas*  
493 *Charact* 12:346–355. <https://doi.org/10.1007/s11694-017-9646-7>

494 Montero C, Clair B, Alméras T, et al (2012) Relationship between wood elastic strain  
495 under bending and cellulose crystal strain. *Compos Sci Technol* 72:175–181.  
496 <https://doi.org/10.1016/j.compscitech.2011.10.014>

497 Mvondo RRN, Meukam P, Jeong J, et al (2017) Influence of water content on the  
498 mechanical and chemical properties of tropical wood species. *Results Phys*  
499 7:2096–2103. <https://doi.org/10.1016/j.rinp.2017.06.025>

500 Okazaki Y (2012) Near-Infrared Spectroscopy—Its Versatility in Analytical. *Anal*  
501 *Chem* 28:545–562

502 Ozyhar T, Hering S, Niemz P (2012) Moisture-dependent elastic and strength  
503 anisotropy of European beech wood in tension. *J Mater Sci* 47:6141–6150.  
504 <https://doi.org/10.1007/s10853-012-6534-8>

505 Peng Y, Lu R (2008) Analysis of spatially resolved hyperspectral scattering images for  
506 assessing apple fruit firmness and soluble solids content. *Postharvest Biol Technol*  
507 48:52–62. <https://doi.org/10.1016/j.postharvbio.2007.09.019>

- 508 Qin J, Lu R (2008) Measurement of the optical properties of fruits and vegetables using  
509 spatially resolved hyperspectral diffuse reflectance imaging technique. *Postharvest*  
510 *Biol Technol* 49:355–365. <https://doi.org/10.1016/j.postharvbio.2008.03.010>
- 511 Qin J, Lu R, Peng Y (2009) Prediction of apple internal quality using spectral  
512 absorption and scattering properties. *Trans ASABE* 52:486–499.  
513 <https://doi.org/10.13031/2013.26807>
- 514 Salmén L (2015) Wood morphology and properties from molecular perspectives. *Ann*  
515 *For Sci* 72:679–684. <https://doi.org/10.1007/s13595-014-0403-3>
- 516 Salmén L, Bergström E (2009) Cellulose structural arrangement in relation to spectral  
517 changes in tensile loading FTIR. *Cellulose* 16:975–982.  
518 <https://doi.org/10.1007/s10570-009-9331-z>
- 519 Samarasinghe S, Kulasiri G (2000) Displacement fields of wood in tension based on  
520 image processing Part 1. *Silva Fenn* 34:251–259
- 521 Smith I, Landis E, Gong M (2003) *Fracture and fatigue in wood*. John Wiley & Sons
- 522 Tkachenko N V (2006) Chapter 7 - Flash-photolysis. In: *Optical Spectroscopy*. Elsevier  
523 Science, Amsterdam, pp 129–149
- 524 Tsuchikawa S (2007) A Review of Recent Near Infrared Research for Wood and Paper.  
525 *Appl Spectrosc Rev* 42:43–71. <https://doi.org/10.1080/05704920601036707>

- 526 Tsuchikawa S, Kobori H (2015) A review of recent application of near infrared  
527 spectroscopy to wood science and technology. *J Wood Sci* 61:213–220.  
528 <https://doi.org/10.1007/s10086-015-1467-x>
- 529 Vanoli M, Van Beers R, Sadar N, et al (2020) Time- and spatially-resolved  
530 spectroscopy to determine the bulk optical properties of ‘Braeburn’ apples after  
531 ripening in shelf life. *Postharvest Biol Technol* 168:.  
532 <https://doi.org/10.1016/j.postharvbio.2020.111233>
- 533 Wang D, Lin L, Fu F (2020) Deformation mechanisms of wood cell walls under tensile  
534 loading: a comparative study of compression wood (CW) and normal wood (NW).  
535 *Cellulose* 27:4161–4172. <https://doi.org/10.1007/s10570-020-03095-9>
- 536 Watanabe K, Yamashita K, Noshiro S (2012) Non-destructive evaluation of surface  
537 longitudinal growth strain on Sugi (*Cryptomeria japonica*) green logs using near-  
538 infrared spectroscopy. *J Wood Sci* 58:267–272. [https://doi.org/10.1007/s10086-](https://doi.org/10.1007/s10086-011-1238-2)  
539 [011-1238-2](https://doi.org/10.1007/s10086-011-1238-2)
- 540 Xing Z, Wang J, Shen G (2008) Short-Wave Near-Infrared Spectroscopy for Rapid  
541 Quantification of Acidity of Aviation Kerosene. *Open Fuels Energy Sci J* 1:51–53.  
542 <https://doi.org/10.2174/1876973x00801010051>

543 Yang JL, Baillères H, Okuyama T, et al (2005) Measurement methods for longitudinal  
544 surface strain in trees: A review. *Aust For* 68:34–43.  
545 <https://doi.org/10.1080/00049158.2005.10676224>

546 Yu Y, Jiang Z, Tian G (2009) Size effect on longitudinal MOE of microtomed wood  
547 sections and relevant theoretical explanation. *For Stud China* 11:243.  
548 <https://doi.org/10.1007/s11632-009-0040-3>

549 Zhu Q, He C, Lu R, et al (2015) Ripeness evaluation of “Sun Bright” tomato using  
550 optical absorption and scattering properties. *Postharvest Biol Technol* 103:27–34.  
551 <https://doi.org/10.1016/j.postharvbio.2015.02.007>

552 Zude M, Pflanz M, Spinelli L, et al (2011) Non-destructive analysis of anthocyanins in  
553 cherries by means of Lambert-Beer and multivariate regression based on  
554 spectroscopy and scatter correction using time-resolved analysis. *J Food Eng*  
555 103:68–75. <https://doi.org/10.1016/j.jfoodeng.2010.09.021>

556