1	Quantitative assessment of viral load in the blood and urine of patients
2	with congenital cytomegalovirus infection using droplet digital PCR
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### 1 Abstract

2	Congenital cytomegalovirus infection (cCMV) is a common cause of congenital
3	infections, leading to neurodevelopmental sequelae. Real-time quantitative polymerase
4	chain reaction (qPCR) has been widely used for the diagnosis and assessment of cCMV;
5	however, the correlation between CMV DNA load and the severity of cCMV symptoms
6	has been inconclusive. Droplet digital PCR (ddPCR) offers an improvement over the
7	current qPCR methods through the absolute quantification of viral loads. We compared
8	ddPCR and qPCR results for the quantification of CMV DNA in blood and urine
9	specimens from 39 neonates with cCMV (21 symptomatic and 18 asymptomatic). There
10	was no significant difference in blood CMV DNA loads measured by ddPCR and qPCR,
11	with or without any clinical findings. However, developmental delays at 36 months were
12	significantly more frequently observed in patients with high CMV DNA loads (≥2,950
13	copies/mL), as measured by ddPCR at diagnosis, than in those with lower CMV DNA
14	loads. The association of urine CMV DNA load with symptoms and developmental delay
15	was not observed. CMV DNA loads in the blood might be used as a predictor of
16	developmental outcomes in cCMV patients, and absolute quantitation of viral loads by
17	ddPCR assay could contribute to the standardization of CMV load measurement.

- 1 Keywords: Congenital cytomegalovirus infection, Droplet digital PCR, real-time
- 2 quantitative PCR, neurological sequelae, developmental delay

## 1 Background

2	Cytomegalovirus (CMV) is one of the most common causes of congenital viral
3	infection affecting infants, with a prevalence of 0.7% worldwide. <sup>1</sup> Congenital CMV
4	infection (cCMV) is the most frequent viral cause of neurodevelopmental sequelae. <sup>2</sup> Most
5	children with cCMV (85%–90%) do not have any clinical findings at birth (asymptomatic
6	infection), while the remaining 10%-15% have clinical findings such as sensorineural
7	hearing loss (SNHL), microcephaly, hepatosplenomegaly, and chorioretinitis
8	(symptomatic infection). <sup>3,4</sup> Antiviral treatment with ganciclovir or valganciclovir has
9	been recommended in symptomatic cCMV. <sup>5</sup>
10	Diagnosis of cCMV has been performed by viral culture or polymerase chain
11	reaction (PCR) of CMV from saliva or urine samples collected within 3 weeks of birth. <sup>1</sup>
12	Both saliva and urine samples of cCMV contain a high viral load, and postnatal diagnosis
13	of cCMV is preferably performed via real-time quantitative PCR (qPCR). Some neonates
13 14	of cCMV is preferably performed via real-time quantitative PCR (qPCR). Some neonates with cCMV show high CMV DNA loads in the blood and/or urine; however, the
13 14 15	of cCMV is preferably performed via real-time quantitative PCR (qPCR). Some neonates with cCMV show high CMV DNA loads in the blood and/or urine; however, the correlation between CMV DNA load and the severity or presence of cCMV symptoms
<ol> <li>13</li> <li>14</li> <li>15</li> <li>16</li> </ol>	of cCMV is preferably performed via real-time quantitative PCR (qPCR). Some neonates with cCMV show high CMV DNA loads in the blood and/or urine; however, the correlation between CMV DNA load and the severity or presence of cCMV symptoms has been inconclusive. <sup>2,6-10</sup>

18 intrinsic limitations in terms of accuracy, standardization, and precision.<sup>11</sup> The use of the

1	international standard material provided by the World Health Organization (WHO) has
2	addressed the interlaboratory standardization issue; however, the precision issue
3	remained. Droplet digital PCR (ddPCR) is a high-confidence method for measuring the
4	original target concentration. Therefore, ddPCR demonstrated higher precision than
5	conventional qPCR with higher or equivalent sensitivity. <sup>12</sup> In addition, ddPCR can
6	provide absolute quantitation of viral loads, and recent reports have shown the utility of
7	ddPCR in the diagnosis or disease monitoring of infectious diseases. <sup>12-14</sup> In previous
8	studies, ddPCR for CMV exhibited increased precision compared to qPCR and equivalent
9	sensitivity using clinical samples. <sup>11,15</sup> However, the utility of ddPCR in cCMV patients
10	has not been investigated. In this study, we compared the clinical utility of ddPCR and
11	qPCR in patients with cCMV.

#### 1 Materials and Methods

2 This study retrospectively enrolled 39 consecutive patients with cCMV diagnosed at the Nagoya University Hospital between January 2012 and December 2019. 3 All patients were diagnosed with cCMV by qPCR of their blood or urine within 21 days 4 of age. Patients with cCMV were identified by targeted CMV screening for newborns 5 6 who had clinical or laboratory signs consistent with cCMV or serological screening in pregnant women.<sup>16</sup> Clinical findings, laboratory findings, hearing by auditory brainstem 7 8 response, and neurological abnormalities by ultrasonography and/or magnetic resonance 9 imaging were investigated in all patients. Symptomatic infection was defined as the 10 presence of one or more of the following: thrombocytopenia, petechiae, hepatomegaly, splenomegaly, intrauterine growth restriction, hepatitis (as denoted by elevated 11 12 transaminase and/or bilirubin levels), central nervous system involvement (as denoted by one or more of microcephaly, neuroimaging abnormalities indicative of cCMV), 13 14 chorioretinitis, and SNHL. Neurological assessment and evaluation with developmental quotient (DQ) were performed at 18 and 36 months by skilled pediatricians, and DQ < 8015 was defined as a neurodevelopmental delay.<sup>17</sup> 16

Whole blood (200 µL) and urine (140 µL) samples were obtained from each
patient within 3 weeks of birth. DNA was extracted from whole blood and urine samples

1	using the QIAamp <sup>®</sup> DNA Blood Mini Kit (Qiagen, Hilden, Germany) and QIAamp <sup>®</sup> Viral
2	RNA Mini Kit (Qiagen), respectively. The specimens were eluted in 50 $\mu$ L of nuclease-
3	free water and stored at -80 °C.
4	The WHO international standard material for human CMV containing a total of
5	5 $\times 10^{6}$ international units (IU) was purchased from the National Institute for Biological
6	Standards and Control (NIBSC; Potters Bar, Hertfordshire, United Kingdom). The WHO
7	standard was diluted with whole blood obtained from a healthy adult volunteer, and serial
8	10-fold dilutions were made to obtain a concentration from 5 $\times$ 10 $^2$ IU/mL to 5 $\times$ 10 $^5$
9	IU/mL. DNA was extracted from each concentration and stored at -80 °C.
10	qPCR targeting the CMV UL123 gene was performed using the QuantStudio™
10 11	qPCR targeting the CMV UL123 gene was performed using the QuantStudio <sup>™</sup> Real-Time PCR system (Applied Biosystems, Foster City, CA, USA), as described
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10 11 12 13	qPCR targeting the CMV UL123 gene was performed using the QuantStudio <sup>™</sup> Real-Time PCR system (Applied Biosystems, Foster City, CA, USA), as described previously. <sup>18</sup> A five-point standard curve and a positive and negative control were included in all runs. CMV DNA loads were standardized using the WHO standard
10 11 12 13 14	qPCR targeting the CMV UL123 gene was performed using the QuantStudio <sup>™</sup> Real-Time PCR system (Applied Biosystems, Foster City, CA, USA), as described previously. <sup>18</sup> A five-point standard curve and a positive and negative control were included in all runs. CMV DNA loads were standardized using the WHO standard material for CMV and are represented as IU/mL.
<ol> <li>10</li> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> </ol>	qPCR targeting the CMV UL123 gene was performed using the QuantStudio <sup>™</sup> Real-Time PCR system (Applied Biosystems, Foster City, CA, USA), as described previously. <sup>18</sup> A five-point standard curve and a positive and negative control were included in all runs. CMV DNA loads were standardized using the WHO standard material for CMV and are represented as IU/mL. ddPCR for CMV detection was performed using the QX200 droplet digital PCR
<ol> <li>10</li> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> <li>16</li> </ol>	qPCR targeting the CMV UL123 gene was performed using the QuantStudio <sup>™</sup> Real-Time PCR system (Applied Biosystems, Foster City, CA, USA), as described previously. <sup>18</sup> A five-point standard curve and a positive and negative control were included in all runs. CMV DNA loads were standardized using the WHO standard material for CMV and are represented as IU/mL. ddPCR for CMV detection was performed using the QX200 droplet digital PCR system (Bio-Rad Laboratories Inc., Hercules, CA, USA). The primer-probe set was the
<ol> <li>10</li> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> </ol>	qPCR targeting the CMV UL123 gene was performed using the QuantStudio <sup>™</sup> Real-Time PCR system (Applied Biosystems, Foster City, CA, USA), as described previously. <sup>18</sup> A five-point standard curve and a positive and negative control were included in all runs. CMV DNA loads were standardized using the WHO standard material for CMV and are represented as IU/mL. ddPCR for CMV detection was performed using the QX200 droplet digital PCR system (Bio-Rad Laboratories Inc., Hercules, CA, USA). The primer-probe set was the same as that used for the qPCR assay described above. The ddPCR reaction mixture

1	Japan), 50 $\mu M$ CMV primer, 10 $\mu M$ CMV probe (VIC), and 2.5 $\mu L$ purified nucleic acid
2	in a final volume of 22.5 $\mu L.$ The restriction enzyme (Alu I) was used to improve the
3	accuracy of ddPCR by separating tandem gene copies, reducing sample viscosity, and
4	template accessibility. The reaction mixture was loaded into an automated droplet
5	generator (Bio-Rad). PCR amplification was performed using a C100 Touch Thermal
6	Cycler (Bio-Rad) with a thermal profile beginning at 95 °C for 10 min, followed by 40
7	cycles of 94 °C for 30 s and 55 °C for 60 s, 1 cycle at 98 °C for 10 min, and ending at
8	12 °C. After amplification, the plate was loaded onto a QX200 Droplet Reader (Bio-Rad),
9	and the droplets from each well of the plate were read automatically at 32 wells/h. ddPCR
10	data were analyzed using QuantaSoft analysis software (Bio-Rad), and quantification of
11	the target molecule was presented as the number of copies per PCR reaction.
12	The association between CMV DNA load and symptoms or developmental delay
13	was statistically assessed using the Mann-Whitney $U$ test. The area under the curve
14	(AUC) of the receiver operating characteristic (ROC) curve was used to analyze the cutoff
15	value for the CMV DNA load to predict developmental delay at 18 months and 36 months.
16	The cutoff values were considered adequate at an AUC $\geq$ 0.70. Then, Youden's index was
17	used to identify the most appropriate cutoff value for the best relationship between the
18	sensitivity and specificity. All statistical analyses were performed using SPSS version 27

1 (IBM Corp., Armonk, NY, USA). Statistical significance was set at p < 0.05.

#### 1 Results

### 2 1. Evaluation of ddPCR and qPCR using the WHO standard. 3 First, the sensitivity, quantity, and reproducibility of CMV DNA loads were 4 compared between ddPCR and qPCR by measuring the WHO standard material diluted 5 in whole blood. The sensitivity of ddPCR and qPCR was 50 IU/reaction (Supplemental 6 Table 1). Reproducibility was evaluated using the coefficient of variance. The coefficient 7 of variance in ddPCR was smaller than that in the qPCR assay both in the intra-run (intraassay) and between-run (inter-assay) assays, indicating the high reproducibility of ddPCR. 8 9 Furthermore, the correlation coefficient of ddPCR was slightly higher than that of qPCR 10 $(r^2=0.998 \text{ vs. } 0.969)$ (Supplemental Fig. 1). 2. Comparison of ddPCR to qPCR for quantitative detection of CMV DNA in 11 12 cCMV patients. CMV DNA loads in whole blood and urine of cCMV patients were evaluated 13 14 using ddPCR and qPCR. The patient characteristics are shown in Table 1. Among 21 symptomatic patients, SNHL was observed in 13, and abnormal brain imaging was 15 16 identified in 14. The gestational age and birth weight were also significantly lower in 17 symptomatic patients than in asymptomatic patients. In addition, the DQ was evaluated in 30 and 27 cCMV patients at 18 and 36 months, respectively. Among them, 18

1	developmental delay (DQ < $80$ ) at 18 months was observed in 6 and 3 patients with
2	symptomatic and asymptomatic cCMV, respectively. Moreover, developmental delay at
3	36 months was observed in 7 symptomatic patients and 1 asymptomatic patient with
4	cCMV.
5	A comparison of CMV DNA loads in whole blood is shown in Figure 1. There
6	was no significant difference in CMV DNA loads measured by ddPCR and qPCR, with
7	or without any symptoms at birth or in abnormal brain imaging. CMV DNA loads in
8	patients with and without developmental delay at 18 months of age were not significantly
9	different. In contrast, CMV DNA loads measured by ddPCR tend to be higher in cCMV
10	patients with developmental delay than in patients with normal development at 36 months
11	of age; however, the statistical significance was marginal (median: 3000 copies/mL vs.
12	620 copies/mL, $p = 0.059$ ). No association was observed between urine CMV DNA loads
13	and symptoms, abnormal brain imaging, or developmental delay (Fig. 2).
14	We examined the cutoff value of CMV DNA loads for predicting developmental
15	delay in whole blood, as measured by ddPCR. The cut-off value of CMV DNA load
16	(2,950 copies/mL) was calculated using the Youden's index of the ROC curve (Fig. 3B).

18 more likely to show developmental delay at 36 months than those with lower CMV DNA

17

In addition, cCMV patients with CMV DNA loads ≥2,950 copies/mL at diagnosis were

1 loads (4/7 vs. 3/19, p = 0.034) (Fig. 3C). Because most CMV DNA in whole blood are 2 considered cell-associated, each CMV DNA load was converted to CMV DNA load per 3  $10^{6}$  leukocytes, and the cut-off value was recalculated. Similarly, cCMV patients with 4 CMV DNA loads  $\geq$  270 copies/10<sup>6</sup> leukocytes were more likely to show developmental 5 delays at 36 months (5/8 vs. 2/18, p = 0.014) (Supplemental Fig. 2).

#### 1 Discussion

2	We developed ddPCR for CMV DNA measurement and compared the clinical
3	utility of ddPCR and qPCR in patients with cCMV. ddPCR showed comparable sensitivity,
4	better quantifiability, and reproducibility than qPCR. Generally, ddPCR shows better
5	reproducibility and quantifiability than qPCR, especially in measuring low viral loads. <sup>19</sup>
6	Therefore, ddPCR can be applied as a reliable tool for diagnosis, viral load monitoring,
7	and defining therapeutic endpoints. In immunocompromised hosts, viral loads of latently
8	infected viruses, such as CMV, Epstein-Barr virus, and adenovirus, have been used for
9	pre-emptive diagnosis and treatment. <sup>20</sup> However, qPCR for these viruses has not been
10	standardized. The absolute quantitation of viral loads by ddPCR could be applied to
11	standardize viral load measurements and determine cutoff values for diagnosis and
12	treatment initiation.

In this study, CMV load in the whole blood measured by ddPCR during the neonatal period was marginally significantly higher in cCMV neonates with developmental delay at 36 months of age. Previous reports have shown that patients with high CMV DNA loads are likely to have SNHL in the neonatal or later period.<sup>6,9</sup> However, an association between CMV DNA loads and neurological sequelae, including developmental delay, has not been fully investigated.<sup>2,7,8</sup> Lanari et al. showed that CMV

1	DNA load in the neonatal period with $>100$ copies/ $10^5$ polymorphonuclear leukocytes
2	was associated with neurological sequelae at 12 months. <sup>7</sup> Moreover, Forner et al. have
3	analyzed the relationship between the CMV load in whole blood at birth and the
4	development of late-onset neurological sequelae in asymptomatic cCMV. They found that
5	the risk of neurological sequelae increased dramatically to a range of 3,000-30,000
6	copies/mL.8 However, SNHL was included in neurological sequelae in these studies, and
7	most patients did not have neurological sequelae other than SNHL. Although another
8	report evaluated the association between CMV load in the neonatal period and
9	developmental delay, no difference was observed. <sup>2</sup> Therefore, the association between
10	CMV load measured in the neonatal period and developmental delay has been
11	inconclusive.
12	Antiviral treatment is considered for symptomatic patients with cCMV. However,
13	it is sometimes challenging to differentiate symptomatic cCMV patients from
14	asymptomatic ones during the neonatal period. Moreover, some patients who were
15	asymptomatic at birth develop late-onset neurodevelopmental sequelae. CMV DNA loads
16	in the blood might be useful to evaluate the disease severity of cCMV; however, high
17	CMV DNA loads are sometimes observed in asymptomatic patients. Therefore, the

1	suggest that cCMV patients with high CMV DNA loads ( $\geq 2,950$ copies/mL), as measured
2	by ddPCR, tended to show developmental delay. The recent study including 120 cCMV
3	patients has shown that the median CMV DNA load in patients with neurological
4	involvement was 6600 copies/mL. Therefore, a high CMV load of $\geq$ 2,950 copies/mL
5	obtained using ddPCR would be a reasonable consideration in this study. <sup>2</sup> Detailed
6	neurological evaluation and careful follow-up may be required in both symptomatic and
7	asymptomatic cCMV patients with high CMV DNA loads. Absolute quantitation of viral
8	loads by ddPCR assay could contribute to the standardization of CMV load measurement
9	in cCMV patients.

10 This study has some limitations. First, this study was retrospective, and only a 11 small number of patients with cCMV were evaluated. Second, universal cCMV screening was not performed in this study. Most symptomatic patients were identified by hearing 12 13 screening or neurological abnormalities on ultrasonography, which might have resulted 14 in a high prevalence of developmental delay among symptomatic patients. Moreover, 15 most symptomatic cCMV patients in this study did not have life-threatening manifestations, such as sepsis-like illness and severe end-organ involvement, which 16 17 might be attributed to the lack of significant differences in CMV DNA loads between symptomatic and asymptomatic patients. 18

## 1 Data Availability Statement

2	The data supporting the findings of this study are available from the
3	corresponding author upon reasonable request.
4	
5	Authors' Contribution Statement
6	MY, JK, KHo, and YI conceived and designed the experiments. MY performed
7	the experiments and analyzed the data. JK, TY, KHa, TS, and YI collected the clinical
8	samples and data. MY and JK wrote the manuscript. YT and YI supervised the study.
9	All authors have read and approved the final manuscript.
10	
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15	Grants-in-Aid for Scientific Research from the Ministry of Education, Culture, Sports,
16	Science, and Technology of Japan (21K07748 to JK).
17	
18	Conflict of Interest
19	We declare no conflicts of interest.

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1	Figure Legends	5
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2	Figure	1
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3	The CMV load in whole blood was measured using ddPCR (upper row) and qPCR (lower
4	row). Whole blood CMV DNA loads were compared between cCMV patients with and
5	without any symptoms at birth, abnormal brain imaging, and developmental delay at 18
6	and 36 months of age.
7	CMV, cytomegalovirus; ddPCR, droplet digital PCR; qPCR, quantitative PCR; cCMV,
8	congenital CMV infection.
9	
10	Figure 2
11	CMV load in urine was measured with ddPCR (upper row) and qPCR (lower row). Urine

12 CMV DNA loads were compared between cCMV patients with and without any

13 symptoms at birth, abnormal brain imaging, and developmental delay at 18 and 36 months

14 old.

CMV, cytomegalovirus; ddPCR, droplet digital PCR; qPCR, quantitative PCR; cCMV,
 congenital CMV infection.

# 1 Figure 3

2	ROC curves derived from CMV load in whole blood as measured with ddPCR and the
3	presence of developmental delay in congenital CMV patients at (A) 18 months and (B)
4	36 months old. Black dots indicate the best cutoff values. (C) Association between CMV
5	load in whole blood as measured by ddPCR and developmental delay at 36 months old.
6	P-value was calculated by Fisher's exact test.
7	ROC, receiver operating characteristic; CMV, cytomegalovirus; ddPCR, droplet digital
8	PCR. Developmental delay was defined as a developmental quotient < 80.
9	
10	Supplemental Figure 1
11	Regression analysis of measured values of ddPCR and qPCR against assigned CMV copy
12	number. Each dilution was assayed in four replicate reactions.
13	CMV, cytomegalovirus; ddPCR, droplet digital PCR; qPCR, quantitative PCR.
14	
15	Supplemental Figure 2
16	CMV loads in the whole blood were converted to CMV loads per 10 <sup>6</sup> leukocytes. These
17	ROC curves were derived from CMV loads and the presence of developmental delay in
18	congenital CMV patients at (A) 18 months and (B) 36 months. Black dots indicate the

1	best cutoff values. (C) Association between CMV load converted to CMV DNA loads per
2	10 <sup>6</sup> leukocytes and developmental delay at 36 months (C). P-values were calculated using
3	the Fisher's exact test.
4	CMV, cytomegalovirus; ROC, receiver operating characteristic. Developmental delay
5	was defined as a developmental quotient < 80.
6	
7	

#### **Table 1**

### 2 Characteristics of cCMV patients

	Symptomatic (n = 21)	Asymptomatic (n = 18)	P value
Gestational age (weeks)	38(36.0-39.0)	39.0 (38.0-40.0)	0.008
Birth weight (grams)	2,580 (2,040-2,831)	2,814 (2,580-3,122)	0.018
Hearing loss	13/21 (62%)	0/18 (0%)	< 0.001
Abnormal brain image	14/21 (67%)	0/18 (0%)	< 0.001
Developmental delay at aged 18 months (DQ < 80)	6/17 (35%)	3/13 (23%)	0.691
Developmental delay at aged 36 months (DQ < 80)	7/13 (54%)	1/14 (7%)	0.013

4 Data of gestational age and birth weight are median (IQR, interquartile range)

5 cCMV, congenital Cytomegalovirus infection; DQ, developmental quotient

## 1 Supplemental Table 1

2	Comparison of	within-run (	(intra-assay)	and between-ru	n (inter-assay	) variability fo	or ddPCR and	qPCR using the	e WHO standard
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		ddPCR			qPCR				
	assigned copy number (IU)	5	50	500	5,000	5	50	500	5,000
intro mun	Mean	n.d	15	140	1,875	n.d	16	631	4,320
mra-run	SD	-	4	10	78	-	7	18	260
(n – 4)	CV	-	0.267	0.071	0.042	-	0.438	0.029	0.060
intor run	Mean	n.d	12	156	1,821	n.d	32	601	3,783
(n-3)	SD	-	3	20	39	-	20	90	533
(n – 3)	CV	-	0.250	0.128	0.021	-	0.625	0.149	0.141

3 ddPCR, Droplet DigitalPCR; qPCR, quantitative PCR; SD, standard deviation; CV, coefficient of variance (=SD/Mean); n.d, not detected

4