# Global existence of solutions of the Navier-Stokes equations with the Coriolis force

Graduate School of Mathematics, Nagoya University 521301017 Hiroki Ito

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#### Abstract

The Cauchy problem for the Navier-Stokes equations with the Coriolis force is considered. It is proved that a similar a priori estimate, which is derived for the Navier-Stokes equations by Lei-Lin [12], holds under the effect of the Coriolis force. As an application existence of a unique global solution for arbitrary speed of rotation is proved, as well as its asymptotic behavior.

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# Chapter 1

### Introduction

In this thesis, we consider the initial value problem of the Navier-Stokes equations with the Coriolis force in  $\mathbb{R}^3$ ,

$$\begin{cases} \partial_t u - \nu \Delta u + \Omega e_3 \times u + (u, \nabla) u + \nabla p = 0, & \text{in } (0, \infty) \times \mathbb{R}^3, \\ \operatorname{div} u = 0, & \operatorname{in } (0, \infty) \times \mathbb{R}^3, \\ u|_{t=0} = u_0, & \operatorname{in } \mathbb{R}^3, \end{cases}$$
(NS<sub>Ω</sub>)

where  $u = u(t, x) = (u_1(t, x), u_2(t, x), u_3(t, x))$  denotes the unknown velocity field, and p = p(t, x) denotes the unknown scalar pressure, while  $u_0 = u_0(x) = (u_0^1(x), u_0^2(x), u_0^3(x))$  denotes the initial velocity field. The constant  $\nu > 0$  denotes the viscosity coefficient of the fluid, and  $\Omega \in \mathbb{R}$  represents the speed of rotation around the vertical unit vector  $e_3 = (0, 0, 1)$ , which is called the Coriolis parameter.

Recently, this problem gained some attention due to its importance in applications to geophysical flows, see e.g. [4]. Mathematically,  $(NS_{\Omega})$  also have a interesting feature that there exists a global solution for arbitrary large data provided the speed of rotation  $\Omega$  is large enough, see e.g. [1, 4, 9]. There are another type of results which shows the existence of a global solution uniformly in  $\Omega$  provided the data is sufficiently small, see e.g. [5, 8, 11, 10]. The purpose of this thesis is, concerning to the latter, to relax the smallness condition of the data, based on the idea for the Navier-Stokes equations,  $\Omega = 0$  in  $(NS_{\Omega})$ , by [12].

Before stating our main results, we give a definition of function spaces. For  $m \in \mathbb{R}$ , we define

$$\chi^{m}(\mathbb{R}^{3}) := \{ f \in \mathcal{S}' \mid \widehat{f} \in L^{1}_{\text{loc}}, \ \|f\|_{\chi^{m}} := \int_{\mathbb{R}^{3}} |\xi|^{m} |\widehat{f}(\xi)| \, d\xi < \infty \}.$$

Recently, Lei and Lin introduced the space  $\chi^{-1}$ , which is contained in  $BMO^{-1}$ and equivalent to the Fourier-Herz space  $\dot{\mathcal{B}}_1^{-1}$ . It is known that  $H^s(\mathbb{R}^3) \subseteq \chi^{-1}$ , if  $s > \frac{1}{2}$ , see Lemma 2.1. Moreover it is known that there is an example so that  $H^{\frac{1}{2}}(\mathbb{R}^3) \not\subseteq \chi^{-1}$ , see [12]. It is also known that  $\chi^{-1} \not\subseteq H^{\frac{1}{2}}(\mathbb{R}^3)$ , see [14].

**Theorem 2.3.** Let  $u_0 \in \chi^{-1}$  satisfy div  $u_0 = 0$  and  $||u_0||_{\chi^{-1}} < (2\pi)^3 \nu$ . For T > 0, assume that  $u \in C([0,T); \chi^{-1})$  is a solution to  $(NS_{\Omega})$  in the distribution sense satisfying

$$u \in L^1(0,T; \chi^1), \quad \partial_t u \in L^1(0,T; \chi^{-1}).$$

Then, u satisfies

$$\|u(t)\|_{\chi^{-1}} + (\nu - (2\pi)^{-3} \|u_0\|_{\chi^{-1}}) \int_0^t \|u(\tau)\|_{\chi^1} d\tau \le \|u_0\|_{\chi^{-1}}, \quad 0 \le t < T.$$
(1.0.1)

**Remark 1.1.** (1) This a priori estimate is first derived in the case  $\Omega = 0$  in [12, Proof of Theorem 1.1]. Here, Theorem 2.3 states that the same estimate also holds under the effect of the Coriolis force.

(2) In this thesis, we define the Fourier transform of f by

$$\widehat{f}(\xi) = \mathcal{F}[f](\xi) := \int e^{-ix\cdot\xi} f(x) \, dx$$

The constant  $(2\pi)^3$  in the theorem appears from the following formula:

$$\mathcal{F}[fg](\xi) = (2\pi)^{-3}(\widehat{f} * \widehat{g})(\xi),$$

where f \* g denotes the convolution of f and g.

As an application of Theorem 2.3 we obtain the global solution to  $(NS_{\Omega})$ .

**Theorem 2.5.** Let s > 3/2 and  $\Omega \in \mathbb{R}$ . Assume that  $u_0 \in H^s(\mathbb{R}^3)$  satisfy div  $u_0 = 0$  and  $||u_0||_{\chi^{-1}} < (2\pi)^3 \nu$ . Then, there exists a unique global solution  $u \in C([0,\infty); H^s(\mathbb{R}^3))$  to  $(NS_{\Omega})$  satisfying

$$u \in AC([0,\infty); H^{s-1}(\mathbb{R}^3)) \cap L^1_{\text{loc}}(0,\infty; H^{s+1}(\mathbb{R}^3))$$

and

$$\sup_{t>0} \left\{ \|u(t)\|_{\chi^{-1}} + (\nu - (2\pi)^{-3} \|u_0\|_{\chi^{-1}}) \int_0^t \|u(\tau)\|_{\chi^1} \, d\tau \right\} \le \|u_0\|_{\chi^{-1}}.$$

**Remark 1.2.** Since s > 3/2, we have  $H^s \hookrightarrow \chi^{-1}$  by Lemma 2.1. For a interval I and a Banach space X, AC(I; X) denotes the space of X-valued absolutely continuous functions. There are several results which treats the existence of a unique global solution to  $(NS_{\Omega})$ , see [10] and reference therein. The advantage of this result is that the condition of the size of the data is merely  $||u_0||_{\chi^{-1}} < (2\pi)^3 \nu$ .

In chapter 3, we show the existence of a unique global solution for the data  $u_0 \in \chi^{-1}$  with  $||u_0||_{\chi^{-1}} < (2\pi)^3 \nu$ . For the Navier-Stokes case  $\Omega = 0$ , see [14, Theorem 1.3].

**Theorem 3.1.** Let  $u_0 \in \chi^{-1}$  and  $||u_0||_{\chi^{-1}} < (2\pi)^3 \nu$ . Then, there is a unique global in time solution  $u \in C([0,\infty); \chi^{-1})$  of  $(NS_{\Omega})$  satisfying

$$u \in L^2(0,\infty;\chi^0) \cap L^1(0,\infty;\chi^1), \quad \partial_t u \in L^1(0,\infty;\chi^{-1}),$$

and

$$\sup_{t>0} \left\{ \|u(t)\|_{\chi^{-1}} + (\nu - (2\pi)^{-3} \|u_0\|_{\chi^{-1}}) \int_0^t \|u(\tau)\|_{\chi^1} \, d\tau \right\} \le \|u_0\|_{\chi^{-1}}.$$

**Remark 1.3.** (1) There are several results which treats the existence of a unique global solution to  $(NS_{\Omega})$ , see [10] and reference therein. In particular, the spaces  $FM_0^{-1}$ , which is considered by Giga, Inui, Mahalov, and Saal [5], and  $\mathcal{B}_{1,2}^{-1}$  by [10], are larger than  $\chi^{-1}$ . However, the advantage of this result is that the condition of the size of the data is merely  $||u_0||_{\chi^{-1}} < (2\pi)^3 \nu$ .

(2) In the Navier-Stokes equations, the case  $\Omega = 0$ , the corresponding result is proved in [12, Theorem 1.1]. We notice that there is also the another approach by [14, Theorem 1.3].

## Chapter 2

# $H^s$ Theory

#### 2.1 A Priori Estimate and Its Application to $H^s$ Theory

In this thesis, we only use spaces  $\chi^{-1}$ ,  $\chi^0$ , and  $\chi^1$  below, so we summarize elementary estimates concerning the spaces we will use later.

**Lemma 2.1.** (1) For m > -3/2, and s > m + 3/2,  $\|f\|_{\chi^m(\mathbb{R}^3)} \le C \|f\|_{L^2}^{1-\frac{1}{s}(m+\frac{3}{2})} \|f\|_{\dot{H}^s}^{\frac{1}{s}(m+\frac{3}{2})}.$ 

- (2)  $||f||_{\chi^0} \le ||f||_{\chi^{-1}}^{1/2} ||f||_{\chi^1}^{1/2}.$
- (3)  $\|\nabla f\|_{L^{\infty}} \leq (2\pi)^{-3} \|f\|_{\chi^1}$ .

**Remark 2.2.** Taking m = -1, 1 in Lemma 2.1 (1) respectively, we have for s > 1/2,

$$||f||_{\chi^{-1}(\mathbb{R}^3)} \le C ||f||_{L^2}^{1-\frac{1}{2s}} ||f||_{\dot{H}^s}^{\frac{1}{2s}},$$

and for s > 5/2,

$$\|f\|_{\chi^1(\mathbb{R}^3)} \le C \|f\|_{L^2}^{1-\frac{5}{2s}} \|f\|_{\dot{H}^s}^{\frac{5}{2s}}.$$

proof. (1) We take R > 0, which is determined later, to divide the integral

$$\begin{split} \|f\|_{\chi^m} &= \int_{|\xi| \le R} |\xi|^m |\widehat{f}(\xi)| \, d\xi + \int_{|\xi| > R} |\xi|^m |\widehat{f}(\xi)| \, d\xi \\ &\leq \left(\int_{|\xi| \le R} |\xi|^{2m} \, d\xi\right)^{1/2} (2\pi)^{\frac{3}{2}} \|f\|_{L^2} + \left(\int_{|\xi| > R} |\xi|^{2(m-s)} \, d\xi\right)^{1/2} \|f\|_{\dot{H}^s} \\ &= |S^2|^{1/2} \left(\frac{1}{\sqrt{2m+3}} R^{m+3/2} (2\pi)^{\frac{3}{2}} \|f\|_{L^2} + \frac{1}{\sqrt{2(s-m)-3}} R^{m-s+3/2} \|f\|_{\dot{H}^s}\right). \end{split}$$

Then, choosing  $R = \|f\|_{L^2}^{-1/s} \|f\|_{\dot{H}^2}^{1/s}$ , we obtain the desired result. (2) This estimate is easily derived by the Hölder inequality,

$$||f||_{\chi^0} = \int |\xi|^{-1/2} |\widehat{f}(\xi)|^{1/2} |\xi|^{1/2} |\widehat{f}(\xi)|^{1/2} d\xi \le ||f||_{\chi^{-1}}^{1/2} ||f||_{\chi^1}^{1/2}.$$

(3) This is also easily derived from the Fourier inversion formula and the Hausdorff-Young inequality.  $\hfill \Box$ 

Now we state our main results.

**Theorem 2.3.** Let  $u_0 \in \chi^{-1}$  satisfy div  $u_0 = 0$  and  $||u_0||_{\chi^{-1}} < (2\pi)^3 \nu$ . For T > 0, assume that  $u \in C([0,T); \chi^{-1})$  is a solution to  $(NS_{\Omega})$  in the distribution sense satisfying

$$u \in L^1(0,T; \chi^1), \quad \partial_t u \in L^1(0,T; \chi^{-1}).$$

Then, u satisfies

$$\|u(t)\|_{\chi^{-1}} + (\nu - (2\pi)^{-3} \|u_0\|_{\chi^{-1}}) \int_0^t \|u(\tau)\|_{\chi^1} d\tau \le \|u_0\|_{\chi^{-1}}, \quad 0 \le t < T.$$
(2.1.1)

**Remark 2.4.** From the a priori estimate (2.1.1), we especially obtain

$$||u||_{L^{\infty}(0,T;\chi^{-1})} \le ||u_0||_{\chi^{-1}}, \quad ||u||_{L^1(0,T;\chi^1)} \le \frac{||u_0||_{\chi^{-1}}}{\nu - (2\pi)^{-3} ||u_0||_{\chi^{-1}}}.$$

As an application of Theorem 2.3 we obtain the global solution to  $(NS_{\Omega})$ .

**Theorem 2.5.** Let s > 3/2 and  $\Omega \in \mathbb{R}$ . Assume that  $u_0 \in H^s(\mathbb{R}^3)$  satisfy div  $u_0 = 0$  and  $||u_0||_{\chi^{-1}} < (2\pi)^3 \nu$ . Then, there exists a unique global solution  $u \in C([0,\infty); H^s(\mathbb{R}^3))$  to  $(NS_\Omega)$  satisfying

$$u \in AC([0,\infty); H^{s-1}(\mathbb{R}^3)) \cap L^1(0,\infty; H^{s+1}(\mathbb{R}^3))$$

and

$$\sup_{t>0} \left\{ \|u(t)\|_{\chi^{-1}} + (\nu - (2\pi)^{-3} \|u_0\|_{\chi^{-1}}) \int_0^t \|u(\tau)\|_{\chi^1} \, d\tau \right\} \le \|u_0\|_{\chi^{-1}}.$$

**Remark 2.6.** Since s > 3/2, we have  $H^s \hookrightarrow \chi^{-1}$  by Lemma 2.1. For a interval I and a Banach space X, AC(I; X) denotes the space of X-valued absolutely continuous functions. There are several results which treats the existence of a unique global solution to  $(NS_{\Omega})$ , see [10] and reference therein. The advantage of this result is that the condition of the size of the data is merely  $||u_0||_{\chi^{-1}} < (2\pi)^3 \nu$ .

Next theorem states the asymptotic behavior of a given global solution to  $(NS_{\Omega})$ .

**Theorem 2.7.** Let s > 1/2 and  $\Omega \in \mathbb{R}$ . Assume that  $u \in C([0, \infty); H^s(\mathbb{R}^3))$ is a global solution to  $(NS_{\Omega})$  satisfying

$$u \in AC([0,\infty); H^{s-1}(\mathbb{R}^3)) \cap L^1_{\text{loc}}([0,\infty); H^{s+1}(\mathbb{R}^3)).$$

Then,  $\lim_{t\to\infty} \|u(t)\|_{\chi^{-1}} = 0.$ 

**Remark 2.8.** In the Navier-Stokes case  $\Omega = 0$ , this result corresponds to the result in [3]. In that result, the assumption is only  $u \in C([0, \infty); \chi^{-1})$  is a global solution. Compared with that result, additional assumptions are imposed for the uniqueness of solutions.

As an application of Theorem 2.7 we obtain the following.

**Corollary 2.9.** The global solution to  $(NS_{\Omega})$  derived in Theorem 2.5 satisfies

$$\lim_{t \to \infty} \|u(t)\|_{\chi^{-1}} = 0.$$

This chapter is organized as follows. In Section 2.2 we give a proof of Theorem 2.3. In Section 2.3 we prove Theorem 2.5 as an application of Theorem 2.3. In Section 2.4 we give a proof of Theorem 2.7.

#### 2.2 Proof of Theorem 2.3

In this section we give a proof of Theorem 2.3.

*Proof of Theorem* 2.3. By applying the Fourier transform to the equation, we have

$$\partial_t \widehat{u} + \nu |\xi|^2 \widehat{u} + \Omega e_3 \times \widehat{u} + \mathcal{F}[(u, \nabla)u] + i\xi \widehat{p} = 0.$$

Thus, we obtain

$$\partial_t |\widehat{u}|^2 = 2\operatorname{Re}(\partial_t \widehat{u} \cdot \overline{\widehat{u}}) = -2\nu |\xi|^2 |\widehat{u}|^2 - 2\Omega \operatorname{Re}\left[(e_3 \times \widehat{u}) \cdot \overline{\widehat{u}}\right] - 2\operatorname{Re}\left\{\mathcal{F}\left[(u, \nabla)u\right] \cdot \overline{\widehat{u}}\right\} - 2\operatorname{Re}\left[(i\xi\widehat{p}) \cdot \overline{\widehat{u}}\right].$$

Here, since

$$(e_3 \times \widehat{u}) \cdot \overline{\widehat{u}} = -\widehat{u}_2 \overline{\widehat{u}_1} + \widehat{u}_1 \overline{\widehat{u}_2} = 2i \operatorname{Im}\left[\widehat{u}_1 \overline{\widehat{u}_2}\right]$$

we observe that  $\operatorname{Re}[(e_3 \times \widehat{u}) \cdot \overline{\widehat{u}}] = 0$ . Also, we have  $(i\xi \widehat{p}) \cdot \overline{\widehat{u}} = 0$ , since  $\operatorname{div} u = 0$ . Moreover, we notice that

$$\mathcal{F}[(u,\nabla)u]_{j}(\xi) = \sum_{k=1}^{3} (2\pi)^{-3} \widehat{u}_{k} * \widehat{\partial_{k}u_{j}}(\xi)$$
$$= \sum_{k=1}^{3} (2\pi)^{-3} \int \widehat{u}_{k}(\xi-\eta) i\eta_{k} \widehat{u}_{j}(\eta) d\eta$$
$$= \sum_{k=1}^{3} (2\pi)^{-3} i\xi_{k} \int \widehat{u}_{k}(\xi-\eta) \widehat{u}_{j}(\eta) d\eta$$

since  $\sum_{k=1}^{3} (\xi_k - \eta_k) \widehat{u}_k (\xi - \eta) = 0$ . Therefore, we obtain

$$\begin{aligned} \partial_t |\widehat{u}|^2 + 2\nu |\xi|^2 |\widehat{u}|^2 &\leq 2(2\pi)^{-3} \sum_{j,k=1}^3 |\xi_k| \left( |\widehat{u}_k| * |\widehat{u}_j| \right) |u_j| \\ &\leq 2(2\pi)^{-3} |\xi| |\widehat{u}| \left( |\widehat{u}| * |\widehat{u}| \right). \end{aligned}$$

Then, for  $\varepsilon > 0$ , we observe that

$$\partial_t (|\widehat{u}|^2 + \varepsilon)^{1/2} = \frac{\partial_t |\widehat{u}|^2}{2(|\widehat{u}|^2 + \varepsilon)^{1/2}} \\ \leq -\frac{\nu |\xi|^2 |\widehat{u}|^2}{(|\widehat{u}|^2 + \varepsilon)^{1/2}} + (2\pi)^{-3} \frac{|\xi| |\widehat{u}|}{(|\widehat{u}|^2 + \varepsilon)^{1/2}} (|\widehat{u}| * |\widehat{u}|).$$

Integrating with respect to t, we obtain

$$\begin{aligned} &(|\widehat{u}(t,\xi)|^{2}+\varepsilon)^{1/2}+\int_{0}^{t}\frac{\nu|\xi|^{2}|\widehat{u}(\tau,\xi)|^{2}}{(|\widehat{u}(\tau,\xi)|^{2}+\varepsilon)^{1/2}}\,d\tau\\ &\leq (|\widehat{u}_{0}(\xi)|^{2}+\varepsilon)^{1/2}+(2\pi)^{-3}\int_{0}^{t}\frac{|\xi|\,|\widehat{u}(\tau,\xi)|}{(|\widehat{u}(\tau,\xi)|^{2}+\varepsilon)^{1/2}}\,(|\widehat{u}(\tau)|*|\widehat{u}(\tau)|)(\xi)\,d\tau.\end{aligned}$$

Then, letting  $\varepsilon \to 0$ , we get

$$|\widehat{u}(t,\xi)| + \int_0^t \nu|\xi|^2 |\widehat{u}(\tau,\xi)| \, d\tau \le |\widehat{u}_0(\xi)| + (2\pi)^{-3} \int_0^t |\xi| \, (|\widehat{u}(\tau)| * |\widehat{u}(\tau)|)(\xi) \, d\tau.$$

Finally, dividing by  $|\xi|$ , and then integrating over  $\mathbb{R}^n$ , we obtain

$$\|u(t)\|_{\chi^{-1}} + \nu \int_0^t \|u(\tau)\|_{\chi^1} d\tau \le \|u_0\|_{\chi^{-1}} + (2\pi)^{-3} \int_0^t \|u(\tau)\|_{\chi^0}^2 d\tau.$$

By applying Lemma 2.1 (2), we obtain,

$$\|u(t)\|_{\chi^{-1}} + \nu \|u\|_{L^{1}((0,t);\chi^{1})} \leq \|u_{0}\|_{\chi^{-1}} + (2\pi)^{-3} \|u\|_{L^{\infty}((0,t);\chi^{-1})} \|u\|_{L^{1}((0,t);\chi^{1})}.$$
(2.2.1)

To derive the desired estimate (2.1.1), it suffices to prove that

$$||u||_{L^{\infty}((0,t);\chi^{-1})} \le ||u_0||_{\chi^{-1}}, \quad 0 \le t < T.$$

For the proof, we first show that

$$\|u(t)\|_{\chi^{-1}} < (2\pi)^3 \nu, \quad 0 \le t < T$$
(2.2.2)

holds by contradiction. From the assumption  $||u_0||_{\chi^{-1}} < (2\pi)^3 \nu$  and  $u \in C([0,T); \chi^{-1})$ , we observe that there exists  $\delta > 0$  such that (2.2.2) holds on  $[0,\delta)$ . Now assume that there exists  $t_0 \in (0,T)$  such that  $||u(t)||_{\chi^{-1}} < (2\pi)^3 \nu$  for  $0 < t < t_0$  and

$$\|u(t_0)\|_{\chi^{-1}} = (2\pi)^3 \nu,$$

then by (2.2.1) we reach the contradiction

$$(2\pi)^{3}\nu = ||u(t_{0})||_{\chi^{-1}} \le ||u_{0}||_{\chi^{-1}} < (2\pi)^{3}\nu,$$

since  $||u||_{L^{\infty}((0,t_0);\chi^{-1})} = (2\pi)^3 \nu$ . Therefore, we obtain (2.2.2). Finally, applying (2.2.2) to estimate on the right hand side of (2.2.1), we obtain

$$\|u(t)\|_{\chi^{-1}} < \|u_0\|_{\chi^{-1}}, \quad 0 \le t < T.$$

This completes the proof.

#### 2.3 Proof of Theorem 2.5

Below we fix  $\Omega \in \mathbb{R}$ . For the existence of local solutions, we employ the following result.

**Proposition 2.10.** Let s > 3/2. For  $u_0 \in H^s(\mathbb{R}^3)$  with div  $u_0 = 0$ , there exists  $T = T(|\Omega|, s, ||u_0||_{H^s}) > 0$  such that  $(NS_{\Omega})$  admits a unique strong solution  $u \in C([0, T]; H^s(\mathbb{R}^3))$  satisfying

$$u \in AC([0,T]; H^{s-1}(\mathbb{R}^3)) \cap L^1(0,T; H^{s+1}(\mathbb{R}^3)).$$

**Remark 2.11.** (1) For the proof, we refer to [13, Lemma 3.1]. We notice that the condition in [13, Lemma 3.1] is s > 3/2 + 1, because their main subject is the Euler equation. For the above statement, s > 3/2 is sufficient.

(2) In this proposition, the size of T is characterized by

$$C_0|\Omega|T + C_1||u_0||_{H^s}(T + T^{1/2}\nu^{-1/2}) \le \frac{1}{2}.$$
 (2.3.1)

(3) Since s > 3/2, the solution constructed by Proposition 2.10 satisfies the assumptions in Theorem 2.3. In particular, since

$$\partial_t u = \nu \Delta u - \Omega \mathbb{P}(e_3 \times u) - \mathbb{P}(u, \nabla u) \quad \text{in } H^{s-1}$$

holds for a.e.  $t \in (0, T)$ , where  $\mathbb{P} = (\delta_{ij} + R_i R_j)_{i,j}$  is the Helmholtz projection, we easily observe that  $\partial_t u \in L^1(0, T; \chi^{-1})$ .

We will use the following energy estimate.

**Proposition 2.12.** Let  $s \ge 0$  and T > 0. Assume that  $u \in C([0, T); H^s(\mathbb{R}^3))$  is a solution to  $(NS_{\Omega})$  satisfying

$$u \in AC([0,T); H^{s-1}(\mathbb{R}^3)) \cap L^1(0,T; H^{s+1}(\mathbb{R}^3)).$$

Then, u satisfies

$$\|u(t)\|_{H^s} \le \|u_0\|_{H^s} e^{C\int_0^T \|\nabla u(\tau)\|_{L^{\infty}} d\tau}, \quad 0 \le t < T.$$

**Remark 2.13.** For the proof of this proposition, we also refer to [13, Proof of Theorem 4.1]. There, we easily observe that

$$\frac{d}{dt} \|u(t)\|_{H^s} \le C \|\nabla u(t)\|_{L^{\infty}} \|u(t)\|_{H^s}$$

holds for  $s \ge 0$ . We notice that the term concerning  $\Omega e_3 \times u$  vanishes due to its property of the skew symmetry in  $H^s$ .

Now we are in a position to prove Theorem 2.5.

Proof of Theorem 2.5. Let  $T^*$  be the maximal existence time of a unique solution derived by applying Proposition 2.10 repeatedly. Now assume  $T^* < \infty$ . Then, by (2.3.1), we must have

$$\lim_{t \to T^*} \|u(t)\|_{H^s} = \infty.$$
(2.3.2)

Since this solution satisfies the energy estimate in Proposition 2.12, we have  $\pi^*$ 

$$\|u(t)\|_{H^s} \le \|u_0\|_{H^s} e^{C \int_0^{T^*} \|\nabla u(\tau)\|_{L^{\infty}} d\tau}, \quad 0 \le t < T^*.$$

Then, since  $||u_0||_{\chi^{-1}} < (2\pi)^3 \nu$ , applying Theorem 2.3 we obtain

$$\int_0^{T^*} \|\nabla u(\tau)\|_{L^{\infty}} d\tau \le \|u\|_{L^1(0,T^*;\chi^1)} \le \frac{\|u_0\|_{\chi^{-1}}}{\nu - (2\pi)^{-3} \|u_0\|_{\chi^{-1}}}.$$

This implies  $\sup_{0 < t < T^*} \|u(t)\|_{H^s} < \infty$ , which contradicts to (2.3.2).

#### 2.4 Proof of Theorem 2.7

In this section we give a proof of Theorem 2.7.

We take  $\varepsilon > 0$  arbitrary small. Since  $u_0 \in H^s \hookrightarrow \chi^{-1}$ , we are able to take  $R_0 > 0$  such that

$$\int_{|\xi|>R_0} |\xi|^{-1} |\widehat{u}_0(\xi)| \, d\xi < \frac{\varepsilon}{2}.$$

Now we set

$$v_0 = \mathcal{F}^{-1}[\chi_{\{|\xi| \le R_0\}} \widehat{u_0}], \quad w_0 = \mathcal{F}^{-1}[\chi_{\{|\xi| > R_0\}} \widehat{u_0}].$$

Then, we observe that  $v_0 \in H^{\infty}$ ,  $w_0 \in H^s$ ,  $u_0 = v_0 + w_0$ , and

$$\|w_0\|_{\chi^{-1}} < \frac{\varepsilon}{2}.$$

By applying Theorem 2.5 for the initial data  $w_0$  we obtain the solution  $(w, p_w)$  to  $(NS_{\Omega})$ . Then,  $w \in C([0, \infty); H^s) \cap L^1(0, \infty; H^{s+1})$  satisfies

$$\|w(t)\|_{\chi^{-1}} + (\nu - (2\pi)^{-3} \|w_0\|_{\chi^{-1}}) \int_0^t \|w(\tau)\|_{\chi^1} d\tau \le \|w_0\|_{\chi^{-1}} < \frac{\varepsilon}{2}, \quad t > 0.$$
(2.4.1)

Now we set v := u - w. Then,  $v \in C([0, \infty); H^s)$  satisfies

$$v \in AC([0,\infty); H^{s-1}(\mathbb{R}^3)) \cap L^1(0,\infty; H^{s+1}(\mathbb{R}^3))$$

and

$$\begin{cases} \partial_t v + \nu \Delta v + \Omega e_3 \times v + (v, \nabla)v + (w, \nabla)v + (v, \nabla)w + \nabla (p - p_w) = 0, \\ \operatorname{div} v = 0, \\ v|_{t=0} = v_0. \end{cases}$$

Taking  $L^2$ -inner product with v, the equation becomes

$$\frac{d}{dt} \|v(t)\|_{L^2}^2 + \nu \|\nabla v(t)\|_{L^2}^2 = \langle (v, \nabla)w, v \rangle_{L^2}.$$

Since

$$\langle (v, \nabla) w, v \rangle_{L^2} = -\langle w, (v, \nabla) v \rangle_{L^2},$$

we obtain

$$\begin{aligned} |\langle (v, \nabla)w, v \rangle_{L^2}| &\leq \|w\|_{L^{\infty}} \|v\|_{L^2} \|\nabla v\|_{L^2} \\ &\leq C \|w\|_{\chi^0} \|v\|_{L^2} \|\nabla v\|_{L^2} \\ &\leq C_{\nu} \|w\|_{\chi^0}^2 \|v\|_{L^2}^2 + \frac{\nu}{2} \|\nabla v\|_{L^2}^2 \end{aligned}$$

Therefore, we obtain

$$\frac{d}{dt} \|v(t)\|_{L^2}^2 + \frac{\nu}{2} \|\nabla v(t)\|_{L^2}^2 = C_{\nu} \|w(t)\|_{\chi^0}^2 \|v(t)\|_{L^2}^2.$$

Then, by Gronwall's inequality,

$$\|v(t)\|_{L^{2}}^{2} + \frac{\nu}{2} \int_{0}^{t} \|\nabla v(t)\|_{L^{2}}^{2} \le \|v(0)\|_{L^{2}}^{2} e^{C_{\nu} \int_{0}^{t} \|w(\tau)\|_{\chi^{0}}^{2} d\tau}.$$
 (2.4.2)

Here, by (2.4.1) we have

$$\int_{0}^{t} \|w(\tau)\|_{\chi^{0}}^{2} d\tau \leq \|w\|_{L^{\infty}((0,t);\chi^{-1})} \|w\|_{L^{1}((0,t);\chi^{1})} \leq \frac{\|w_{0}\|_{\chi^{-1}}^{2}}{\nu - (2\pi)^{-3} \|w_{0}\|_{\chi^{-1}}}.$$
(2.4.3)

Therefore, by Lemma 2.1 (1), (2.4.2), (2.4.3) we obtain

$$\int_0^\infty \|v(t)\|_{\chi^{-1}}^4 d\tau \le \int_0^\infty \|v(t)\|_{L^2}^2 \|\nabla v(t)\|_{L^2}^2 \le \frac{2}{\nu} \|v_0\|_{L^2}^4 \exp\Big(\frac{C_\nu \|w_0\|_{\chi^{-1}}^2}{\nu - (2\pi)^{-3} \|w_0\|_{\chi^{-1}}}\Big).$$

Since  $v \in C([0,\infty); \chi^{-1})$ , we observe that there exists  $t_0 > 0$  such that  $\|v(t_0)\|_{\chi^{-1}} < \varepsilon/2$ , and thus we have  $\|u(t_0)\|_{\chi^{-1}} \le \|v(t_0)\|_{\chi^{-1}} + \|w(t_0)\|_{\chi^{-1}} < \varepsilon$ . So, applying Theorem 2.5 for the data  $u(t_0)$  we obtain

$$\|u(t)\|_{\chi^{-1}} \le \|u(t_0)\|_{\chi^{-1}} < \varepsilon, \quad t > t_0,$$

which implies  $\lim_{t\to\infty} ||u(t)||_{\chi^{-1}} = 0.$ 

Here, we notice that in the final part of the proof we need the uniqueness of solutions, which is assured in our class of solutions. In fact, if  $u_1$ , and  $u_2 \in C([0,\infty); H^s)$  are two solutions to  $(NS_{\Omega})$  satisfying

$$u_1, u_2 \in AC([0,\infty); H^{s-1}(\mathbb{R}^3)) \cap L^1_{loc}(0,\infty; H^{s+1}(\mathbb{R}^3)),$$

then,  $\tilde{u} := u_1 - u_2$  satisfies div $\tilde{u} = 0$  and

$$\partial_t \widetilde{u} + \nu \Delta \widetilde{u} + \Omega e_3 \times \widetilde{u} + (\widetilde{u}, \nabla) \widetilde{u} + (u_1, \nabla) \widetilde{u} + (\widetilde{u}, \nabla) u_2 + \nabla (p_1 - p_2) = 0,$$

and thus we obtain

$$\frac{d}{dt}\|\widetilde{u}(t)\|_{L^2}^2 + \frac{\nu}{2}\|\nabla\widetilde{u}(t)\|_{L^2}^2 = |\langle (\widetilde{u}, \nabla)u_2, \widetilde{u} \rangle_{L^2}| \le \|\nabla u_2(t)\|_{L^\infty}^2 \|\widetilde{u}(t)\|_{L^2}^2.$$

Therefore, we have

$$\frac{d}{dt} \|\widetilde{u}(t)\|_{L^2}^2 = C \|u_2(t)\|_{H^{s+1}} \|\widetilde{u}(t)\|_{L^2}^2$$

and Gronwall's inequality implies  $\widetilde{u}(t) = 0$  for t > 0.

# Chapter 3 $\chi^{-1}$ Theory

#### **3.1** Main Theorem in $\chi^{-1}$ Theory

In this section, we state our main result and representation of the solution of linearized equation of  $(NS_{\Omega})$ .

**Theorem 3.1.** Let  $u_0 \in \chi^{-1}$  and  $||u_0||_{\chi^{-1}} < (2\pi)^3 \nu$ . Then, there is a unique global in time solution  $u \in C([0,\infty); \chi^{-1})$  of  $(NS_{\Omega})$  satisfying

$$u \in L^2(0,\infty;\chi^0) \cap L^1(0,\infty;\chi^1), \quad \partial_t u \in L^1(0,\infty;\chi^{-1}),$$

and

$$\sup_{t>0} \left\{ \|u(t)\|_{\chi^{-1}} + (\nu - (2\pi)^{-3} \|u_0\|_{\chi^{-1}}) \int_0^t \|u(\tau)\|_{\chi^1} \, d\tau \right\} \le \|u_0\|_{\chi^{-1}}.$$

**Remark 3.2.** In the Navier-Stokes equations, the case  $\Omega = 0$ , the corresponding result is proved in [12, Theorem 1.1]. We notice that there is also another approach by [14, Theorem 1.3]. The argument below is based on the latter.

For the proof of Theorem 3.1 we consider the integral equation

$$u(t) = \mathcal{S}(t)u_0 - \int_0^t \mathcal{S}(t-s)\mathbb{P}\nabla \cdot (u \otimes u)(s)ds, \qquad (3.1.1)$$

where  $\mathbb{P} = (\delta_{ij} + R_i R_j)_{i,j}$  denotes the Helmholtz projection,  $R_j = \mathcal{F}^{-1} \frac{i\xi_j}{|\xi|} \mathcal{F}$ denotes the Riesz transforms, and  $\nabla \cdot (u \otimes u) = (\sum_j \partial_j (u_i u_j))_{i=1,2,3}$ . Here  $\mathcal{S}(t)$  represents the semigroup corresponding to the linear problem

$$\begin{cases} \partial_t v - \nu \Delta v + \Omega e_3 \times v + \nabla q = 0, \\ \operatorname{div} v = 0, \\ v|_{t=0} = v_0, \end{cases}$$
(3.1.2)

which is given explicitly by

$$\widehat{\mathcal{S}(t)v_0}(\xi) = \cos\left(\Omega\frac{\xi_3}{|\xi|}t\right)e^{-\nu|\xi|^2t}I\widehat{v_0}(\xi) + \sin\left(\Omega\frac{\xi_3}{|\xi|}t\right)e^{-\nu|\xi|^2t}R(\xi)\widehat{v_0}(\xi),$$

where I is the  $3\times 3$  identity matrix and

$$R(\xi) = \begin{pmatrix} 0 & \frac{\xi_3}{|\xi|} & -\frac{\xi_2}{|\xi|} \\ -\frac{\xi_3}{|\xi|} & 0 & \frac{\xi_1}{|\xi|} \\ \frac{\xi_2}{|\xi|} & -\frac{\xi_1}{|\xi|} & 0 \end{pmatrix}.$$

For its derivation, see e.g. [8]. The integral equation (3.1.1) formally derived as follows. We first apply  $\mathbb{P}$  to the equation, then we have

$$\partial_t u - \nu \Delta u + \Omega \mathbb{P} e_3 \times u + \mathbb{P} \nabla \cdot (u \otimes u) = 0, \qquad (3.1.3)$$

where  $(u, \nabla u) = \nabla \cdot (u \otimes u)$  holds since div u = 0. Here, we notice that

$$\Omega \mathbb{P}e_3 \times u = \Omega e_3 \times u + \nabla q \tag{3.1.4}$$

holds, where q denotes the pressure to the linear problem (3.1.2). Indeed, taking div to the first equation of (3.1.2), we have

$$\operatorname{div} \partial_t u - \nu \operatorname{div} \Delta u + \Omega \operatorname{div} (e_3 \times u) + \Delta q = 0.$$

Since div u = 0, it follows that

$$\Delta q = -\Omega \operatorname{div} (e_3 \times u)$$
$$= \Omega(\partial_1 u_2 - \partial_2 u_1).$$

Thus we have

$$\widehat{\nabla q} = i\xi \left( -\Omega \left( \frac{i\xi_1}{|\xi|^2} \widehat{u}_2 - \frac{i\xi_2}{|\xi|^2} \widehat{u}_1 \right) \right).$$

Since  $\mathbb{P} = (\delta_{ij} + R_i R_j)_{i,j}$ , we find that

$$\begin{aligned} \mathbb{P}e_{3} \times u &= \mathbb{P}\begin{pmatrix} -u_{2} \\ u_{1} \\ 0 \end{pmatrix} \\ &= \begin{pmatrix} -u_{2} \\ u_{1} \\ 0 \end{pmatrix} + \begin{pmatrix} -R_{1}^{2}u_{2} + R_{1}R_{2}u_{1} \\ -R_{2}R_{1}u_{2} + R_{2}^{2}u_{1} \\ -R_{3}R_{1}u_{2} + R_{3}R_{2}u_{1} \end{pmatrix} \\ &= e_{3} \times u + \frac{1}{\Omega} \nabla q, \end{aligned}$$

by definition of  $R_j$ . Thus, we obtain the equation

$$\begin{cases} \partial_t u - \nu \Delta u + \Omega e_3 \times u + \nabla q = -\mathbb{P}(u, \nabla)u, \\ \operatorname{div} u = 0, \\ u|_{t=0} = u_0, \end{cases}$$
(3.1.5)

Therefore, by Duhamel's principle, we obtain (3.1.1).

#### 3.2 Existence of Solutions for Any Time Interval

In this section, we state there exists a local solution of  $(NS_{\Omega})$  if  $||u_0||_{\chi^{-1}} \leq 4\pi^3 \nu$ . First we prove the following lemma about  $\mathcal{S}(t)$ .

**Lemma 3.3.** For  $u \in S'$  and  $\widehat{u} \in L^1_{\text{loc}}$ , we have

$$|\widehat{\mathbb{P}u}(\xi)| \le |\widehat{u}(\xi)|,$$

and

$$|\widehat{\mathcal{S}}(t)u(\xi)| \le e^{-\nu|\xi|^2 t} |\widehat{u}(\xi)|.$$

*proof.* By definition of  $\mathbb{P}$ , we have

$$\begin{split} |\widehat{\mathbb{P}u}(\xi)| &= \left| \mathcal{F} \left( \begin{array}{c} u_1 + R_1 \sum_{j=1}^3 R_j u_j) \\ u_2 + R_2 \sum_{j=1}^3 R_j u_j) \\ u_3 + R_3 \sum_{j=1}^3 R_j u_j) \end{array} \right) \right| \\ &= \left| \left( \begin{array}{c} \widehat{u}_1 + \frac{i\xi_1}{|\xi|} \sum_{j=1}^3 \frac{i\xi_j}{|\xi|} \widehat{u}_j) \\ \widehat{u}_2 + \frac{i\xi_2}{|\xi|} \sum_{j=1}^3 \frac{i\xi_j}{|\xi|} \widehat{u}_j) \\ \widehat{u}_3 + \frac{i\xi_3}{|\xi|} \sum_{j=1}^3 \frac{i\xi_j}{|\xi|} \widehat{u}_j) \end{array} \right) \right| \\ &= \left| \left( \begin{array}{c} \widehat{u}_1 - \frac{\xi_1}{|\xi|^2} (\xi \cdot \widehat{u}) \\ \widehat{u}_2 - \frac{\xi_2}{|\xi|^2} (\xi \cdot \widehat{u}) \\ \widehat{u}_3 - \frac{\xi_3}{|\xi|^2} (\xi \cdot \widehat{u}) \end{array} \right) \right| \\ &= \sqrt{|\widehat{u}|^2 - \frac{2}{|\xi|^2} (\xi \cdot \widehat{u})^2 + \frac{\xi_1^2 + \xi_2^2 + \xi_3^2}{|\xi|^4} (\xi \cdot \widehat{u})^2} \\ &= \sqrt{|\widehat{u}|^2 - \frac{1}{|\xi|^2} (\xi \cdot \widehat{u})^2} \leq |\widehat{u}(\xi)|. \end{split}$$

By definition of  $R(\xi)$ , we have

$$R(\xi)u = \frac{\xi}{|\xi|} \times u.$$

It follows that

$$\begin{split} e^{2\nu|\xi|^{2}t}|\widehat{\mathcal{S}(t)u}(\xi)|^{2} &= \left|\cos\left(\Omega\frac{\xi_{3}}{|\xi|}t\right)\widehat{u}(\xi) + \sin\left(\Omega\frac{\xi_{3}}{|\xi|}t\right)\frac{\xi}{|\xi|}\times\widehat{u}(\xi)\right|^{2} \\ &= \cos^{2}\left(\Omega\frac{\xi_{3}}{|\xi|}t\right)|\widehat{u}(\xi)|^{2} + \sin^{2}\left(\Omega\frac{\xi_{3}}{|\xi|}t\right)\left|\frac{\xi}{|\xi|}\times\widehat{u}(\xi)\right|^{2} \\ &+ 2\cos\left(\Omega\frac{\xi_{3}}{|\xi|}t\right)\sin\left(\Omega\frac{\xi_{3}}{|\xi|}t\right)\widehat{u}(\xi)\cdot\left(\frac{\xi}{|\xi|}\times\widehat{u}(\xi)\right) \\ &= \cos^{2}\left(\Omega\frac{\xi_{3}}{|\xi|}t\right)|\widehat{u}(\xi)|^{2} + \sin^{2}\left(\Omega\frac{\xi_{3}}{|\xi|}t\right)\left\{|\widehat{u}(\xi)|^{2} - \left(\frac{\xi}{|\xi|}\cdot\widehat{u}(\xi)\right)^{2}\right\} \\ &= |\widehat{u}(\xi)|^{2} - \sin^{2}\left(\Omega\frac{\xi_{3}}{|\xi|}t\right)\left(\frac{\xi}{|\xi|}\cdot\widehat{u}(|\xi|)\right)^{2} \\ &\leq |\widehat{u}(\xi)|^{2}. \end{split}$$

**Proposition 3.4.** For any T > 0, we define  $\mathcal{B} : L^2([0,T];\chi^0) \times L^2([0,T];\chi^0) \rightarrow L^2([0,T];\chi^0)$  as

$$\mathcal{B}(u,v) = \int_0^t \mathcal{S}(t-s) \mathbb{P} \nabla \cdot (u \otimes v) ds, \quad u,v \in L^2([0,T];\chi^0).$$

Then,  $\mathcal{B}$  is the continuous bilinear map, and

$$\|\mathcal{B}\| := \sup_{\|u\| \le 1, \|v\| \le 1} \|\mathcal{B}(u, v)\|_{L^2_T \chi^0} \le \frac{1}{\sqrt{2\nu} (2\pi)^3}.$$

proof. For T > 0 and  $u, v \in L^2([0, T]; \chi^0)$ , we have from Lemma 3.3

$$\begin{aligned} |\widehat{\mathcal{B}(u,v)}(t,\xi)| &\leq \int_0^t |\mathcal{F}[\mathcal{S}(t-s)\mathbb{P}\nabla \cdot (u\otimes v)](s,\xi)|ds\\ &\leq \int_0^t e^{-\nu(t-s)|\xi|^2} |\mathcal{F}[\mathbb{P}\nabla \cdot (u\otimes v)](s,\xi)|ds\\ &\leq \int_0^t e^{-\nu(t-s)|\xi|^2} |\mathcal{F}[\nabla \cdot (u\otimes v)](s,\xi)|ds\\ &\leq \frac{1}{(2\pi)^3} \int_0^t e^{-\nu(t-s)|\xi|^2} |\xi||\widehat{u}| * |\widehat{v}|(s,\xi)ds. \end{aligned}$$

Using Minkowski's integral inequality and Young's inequality, we have

$$\begin{split} \|\mathcal{B}(u,v)\|_{L^{2}([0,T];\chi^{0})} &= \left(\int_{0}^{T} \|\mathcal{B}(u,v)\|_{\chi^{0}}^{2} dt\right)^{\frac{1}{2}} = \left(\int_{0}^{T} \left(\int_{\mathbb{R}^{3}} |\widehat{\mathcal{B}(u,v)}(t,\xi)| d\xi\right)^{2} dt\right)^{\frac{1}{2}} \\ &\leq \frac{1}{(2\pi)^{3}} \left(\int_{0}^{T} \left(\int_{\mathbb{R}^{3}} \int_{0}^{t} e^{-\nu(t-s)|\xi|^{2}} |\xi||\widehat{u}| * |\widehat{v}| ds d\xi\right)^{2} dt\right)^{\frac{1}{2}} \\ &= \frac{1}{(2\pi)^{3}} \left(\int_{0}^{T} \left(\int_{\mathbb{R}^{3}} \int_{0}^{T} \chi_{[0,t]}(s) e^{-\nu(t-s)|\xi|^{2}} |\xi||\widehat{u}| * |\widehat{v}| ds d\xi\right)^{2} dt\right)^{\frac{1}{2}} \\ &\leq \frac{1}{(2\pi)^{3}} \int_{\mathbb{R}^{3}} \int_{0}^{T} \left(\int_{s}^{T} e^{-2\nu(t-s)|\xi|^{2}} |\xi|^{2} (|\widehat{u}| * |\widehat{v}|(s,\xi))^{2} dt\right)^{\frac{1}{2}} ds d\xi \\ &= \frac{1}{(2\pi)^{3}} \int_{\mathbb{R}^{3}} \int_{0}^{T} |\xi||\widehat{u}| * |\widehat{v}|(s,\xi) \left(\int_{s}^{T} e^{-2\nu(t-s)|\xi|^{2}} dt\right)^{\frac{1}{2}} ds d\xi \\ &\leq \frac{1}{\sqrt{2\nu}(2\pi)^{3}} \int_{\mathbb{R}^{3}} \int_{0}^{T} |\widehat{u}| * |\widehat{v}|(s,\xi)| ds d\xi \\ &\leq \frac{1}{\sqrt{2\nu}(2\pi)^{3}} \int_{0}^{T} \|\widehat{u}(s,\cdot)\|_{L^{1}} \|\widehat{v}(s,\cdot)\|_{L^{1}} ds \\ &\leq \frac{1}{\sqrt{2\nu}(2\pi)^{3}} \|u\|_{L^{2}([0,T];\chi^{0})} \|v\|_{L^{2}([0,T];\chi^{0})}, \end{split}$$

where  $\chi_{[0,t]}$  is characteristic function on [0,t]. Thus we conclude

$$\|\mathcal{B}\| \le \frac{1}{\sqrt{2\nu}(2\pi)^3}.$$

**Theorem 3.5.** Let  $u_0$  be in  $\chi^{-1}$  and  $||u_0||_{\chi^{-1}} \leq 4\pi^3 \nu$ . For any T > 0, there is a unique solution  $u \in L^2([0,T];\chi^0)$  of  $(NS_\Omega)$  such that  $||u||_{L^2([0,T];\chi^0)} \leq 2\pi^3\sqrt{2\nu}$ .

Now we use the following lemma to prove this.

**Lemma 3.6** ([2]). Let E be a Banach space,  $\mathcal{B}$  a continuous bilinear map from  $E \times E \to E$ , and a positive real number  $\alpha$  such that  $\alpha < \frac{1}{4||\mathcal{B}||}$ . For any a in the ball  $B(0, \alpha) = \{x \in E; ||x||_E \leq \alpha\}$ , then there exists a unique x in  $B(0, 2\alpha)$  such that x = a + B(x, x).

Using Lemma 3.6, we can prove Theorem.

*proof.* Using  $\|\mathcal{B}\| \leq \frac{1}{\sqrt{2\nu}(2\pi)^3}$ , we can get for any T > 0,

$$\begin{split} \|\mathcal{S}(t)u_0\|_{L^2([0,T];\chi^0)} &\leq \left(\int_0^T \left(\int_{\mathbb{R}^3} e^{-\nu|\xi|^2 t} |\widehat{u_0}(\xi)| d\xi\right)^2 dt\right)^{\frac{1}{2}} \\ &\leq \int_{\mathbb{R}^3} \left(\int_0^T e^{-2\nu|\xi|^2 t} |\widehat{u_0}(\xi)|^2 dt\right)^{\frac{1}{2}} d\xi \\ &\leq \int_{\mathbb{R}^3} \frac{|\widehat{u_0}(\xi)|}{(2\nu)^{\frac{1}{2}} |\xi|} d\xi = \frac{1}{(2\nu)^{\frac{1}{2}}} \|u_0\|_{\chi^{-1}}. \end{split}$$

Since  $||u_0||_{\chi^{-1}} \le 4\pi^3 \nu$ , we have  $\frac{1}{(2\nu)^{\frac{1}{2}}} ||u_0||_{\chi^{-1}} \le \frac{\sqrt{2\nu}(2\pi)^3}{4} \le \frac{1}{4||\mathcal{B}||}$ .

So using Lemma 3.6 for  $\alpha = \sqrt{2\nu}\pi^3$ ,  $E = L^2([0,T];\chi^0)$  and  $a = \mathcal{S}(t)u_0$ , we can conclude there exists a unique u in  $B(0,2\alpha)$  such that  $u = \mathcal{S}(t)u_0 + \mathcal{B}(u,u)$ . Moreover, we have  $\|u\|_{L^2([0,T];\chi^0)} \leq 2 \cdot \sqrt{2\nu}\pi^3 = 2\pi^3\sqrt{2\nu}$ .  $\Box$ 

#### 3.3 Existence of Local Solutions for Any Initial Data

In this section we prove existence of local solutions for any initial data in  $\chi^{-1}$ .

**Theorem 3.7.** For any  $u_0 \in \chi^{-1}$ , there exists a positive number  $\rho = \rho_{u_0} > 0$ and  $T = T(\nu, ||u_0||_{\chi^{-1}}, \rho) > 0$  such that  $(NS_{\Omega})$  has a unique solution  $u \in C([0, T]; \chi^{-1})$  satisfying

$$u \in L^2(0,T;\chi^0) \cap L^1(0,T;\chi^1), \quad \partial_t u \in L^1(0,T;\chi^{-1}).$$

**Remark 3.8.** T is determined by

$$T = \frac{\pi^6 \nu}{2\rho_{u_0}^2 \|u_0\|_{\chi^{-1}}^2}.$$

*proof.* We fix some positive number  $\rho_{u_0} > 0$  such that

$$\int_{|\xi| \ge \rho_{u_0}} \frac{|\widehat{u}_0(\xi)|}{|\xi|} d\xi \le \pi^3 \nu.$$

Defining  $u_0^{\flat} = \mathcal{F}^{-1}(\chi_{B(0,\rho_{u_0})}(\xi)\widehat{u_0}(\xi))$ , we get

$$\begin{split} \|\mathcal{S}(t)u_{0}^{\flat}\|_{L^{2}([0,T];\chi^{0})} &= \left(\int_{0}^{T} \left(\int_{\mathbb{R}^{3}} |\mathcal{F}[\mathcal{S}(t)u_{0}^{\flat}](\xi)|d\xi\right)^{2} dt\right)^{\frac{1}{2}} \\ &\leq \left(\int_{0}^{T} \left(\int_{|\xi| \leq \rho_{u_{0}}} |\widehat{u}_{0}^{\flat}(\xi)|d\xi\right)^{2} dt\right)^{\frac{1}{2}} \\ &\leq \left(\int_{0}^{T} \left(\int_{|\xi| \leq \rho_{u_{0}}} |\xi| \cdot \frac{1}{|\xi|} |\widehat{u}_{0}(\xi)|d\xi\right)^{2} dt\right)^{\frac{1}{2}} \\ &\leq \rho_{u_{0}} \|u_{0}\|_{\chi^{-1}} T^{\frac{1}{2}}. \end{split}$$

So using Minkowski inequality, we deduce that

$$\begin{split} \|\mathcal{S}(t)u_{0}\|_{L^{2}([0,T];\chi^{0})} &\leq \|\mathcal{S}(t)(u_{0}-u_{0}^{\flat})\|_{L^{2}([0,T];\chi^{0})} + \|\mathcal{S}(t)u_{0}^{\flat}\|_{L^{2}([0,T];\chi^{0})} \\ &\leq \left(\int_{0}^{T} \left(\int_{|\xi| \geq \rho_{u_{0}}}^{T} e^{-\nu|\xi|^{2}t} |\widehat{u_{0}}(\xi)| d\xi\right)^{2} dt\right)^{\frac{1}{2}} + \rho_{u_{0}} \|u_{0}\|_{\chi^{-1}} T^{\frac{1}{2}} \\ &\leq \int_{|\xi| \geq \rho_{u_{0}}} \left(\int_{0}^{T} e^{-2\nu|\xi|^{2}t} |\widehat{u_{0}}(\xi)|^{2} dt\right)^{\frac{1}{2}} d\xi + \rho_{u_{0}} \|u_{0}\|_{\chi^{-1}} T^{\frac{1}{2}} \\ &\leq \frac{1}{\sqrt{2\nu}} \int_{|\xi| \geq \rho_{u_{0}}} \frac{|\widehat{u_{0}}(\xi)|}{|\xi|} d\xi + \rho_{u_{0}} \|u_{0}\|_{\chi^{-1}} T^{\frac{1}{2}} \\ &\leq \frac{1}{\sqrt{2\nu}} \cdot \pi^{3}\nu + \rho_{u_{0}} \|u_{0}\|_{\chi^{-1}} T^{\frac{1}{2}}. \end{split}$$

So if

$$T = \frac{\pi^6 \nu}{2\rho_{u_0}^2 \|u_0\|_{\chi^{-1}}^2},\tag{3.3.1}$$

we get

$$\|\mathcal{S}(t)u_0\|_{L^2([0,T];\chi^0)} \le \sqrt{2\nu}\pi^3.$$

#### By Lemma 3.6, this implies that $(NS_{\Omega})$ has a unique solution u in $L^{2}([0, T]; \chi^{0})$ .

Now we show  $u \in L^1([0,T];\chi^1)$ .

$$\begin{split} \|S(t)u_0\|_{L^1_T\chi^1} &= \int_0^T \|S(t)u_0\|_{\chi^1} dt \\ &= \int_0^T \int_{\mathbb{R}^3} |\xi| |\widehat{S(t)u_0}(\xi)| d\xi dt \\ &\leq \int_{\mathbb{R}^3} |\xi| |\widehat{u_0}(\xi)| \int_0^T e^{-\nu|\xi|^2 t} dt d\xi \\ &\leq \int_{\mathbb{R}^3} \frac{|\xi|}{\nu|\xi|^2} |\widehat{u_0}(\xi)| d\xi \\ &= \frac{1}{\nu} \|u_0\|_{\chi^{-1}}. \end{split}$$

Similarly, we see that by Lemma 3.3

$$\begin{split} \left\| \int_0^t \mathcal{S}(t-s) \mathbb{P} \nabla \cdot (u \otimes u)(s) ds \right\|_{L^1_T \chi^1} \\ &\leq \int_0^T \int_{\mathbb{R}^3} |\xi| \int_0^t |\mathcal{F}[\mathcal{S}(t-s) \mathbb{P} \nabla \cdot (u \otimes u)](s,\xi)| \, ds d\xi dt \\ &\leq \int_0^T \int_{\mathbb{R}^3} |\xi| \int_0^t e^{-\nu |\xi|^2 (t-s)} |\mathcal{F}[\mathbb{P} \nabla \cdot (u \otimes u)](s,\xi)| \, ds d\xi dt \\ &\leq \int_0^T \int_{\mathbb{R}^3} \int_0^t e^{-\nu |\xi|^2 (t-s)} \frac{|\xi|^2}{(2\pi)^3} |\widehat{u}| * |\widehat{u}|(s,\xi) \, ds d\xi dt \\ &\leq \int_0^T \int_{\mathbb{R}^3} \frac{1}{\nu (2\pi)^3} |\widehat{u}| * |\widehat{u}|(s,\xi) \, d\xi ds \\ &\leq \frac{1}{\nu (2\pi)^3} \int_0^T \|u(s)\|_{\chi_0}^2 \, ds. \end{split}$$

Therefore we obtain that  $u \in L^1([0,T];\chi^1)$ .

We see  $u \in L^{\infty}_T \chi^{-1}$ . Indeed, we have

$$\begin{split} \|\mathcal{S}(t)u_0\|_{L^{\infty}_T\chi^{-1}} &= \sup_{0 \le t \le T} \|\mathcal{S}(t)u_0\|_{\chi^{-1}} \\ &= \sup_{0 \le t \le T} \int_{\mathbb{R}^3} |\xi|^{-1} |\widehat{\mathcal{S}(t)u_0}(\xi)| d\xi \\ &\le \sup_{0 \le t \le T} \int_{\mathbb{R}^3} |\xi|^{-1} e^{-\nu|\xi|^2 t} |\widehat{u_0}(\xi)| d\xi \\ &\le \int_{\mathbb{R}^3} |\xi|^{-1} |\widehat{u_0}(\xi)| d\xi = \|u_0\|_{\chi^{-1}}. \end{split}$$

Moreover using Lemma 3.3 and Hausdorff-Young inequality, we obtain

$$\begin{split} \left\| \int_{0}^{t} \mathcal{S}(t-s) \mathbb{P} \nabla \cdot (u \otimes u)(s) ds \right\|_{L_{T}^{\infty} \chi^{-1}} \\ \sup_{0 \leq t \leq T} \left\| \int_{0}^{t} \mathcal{S}(t-s) \mathbb{P} \nabla \cdot (u \otimes u)(s) ds \right\|_{\chi^{-1}} \\ &= \sup_{0 \leq t \leq T} \int_{\mathbb{R}^{3}} |\xi|^{-1} \left| \mathcal{F} \left[ \int_{0}^{t} \mathcal{S}(t-s) \mathbb{P} \nabla \cdot (u \otimes u)(s) ds \right] (\xi) \right| d\xi \\ &= \sup_{0 \leq t \leq T} \int_{\mathbb{R}^{3}} |\xi|^{-1} \left| \int_{0}^{t} \mathcal{F} \left[ \mathcal{S}(t-s) \mathbb{P} \nabla \cdot (u \otimes u)(s) \right] (s,\xi) ds \right| d\xi \\ &\leq \sup_{0 \leq t \leq T} \int_{\mathbb{R}^{3}} |\xi|^{-1} \int_{0}^{t} |\mathcal{F} \left[ \mathcal{S}(t-s) \mathbb{P} \nabla \cdot (u \otimes u)(s) \right] (s,\xi) | ds d\xi \\ &\leq \sup_{0 \leq t \leq T} \int_{\mathbb{R}^{3}} |\xi|^{-1} \int_{0}^{t} e^{-\nu |\xi|^{2}(t-s)} |\mathcal{F} \left[ \mathbb{P} \nabla \cdot (u \otimes u)(s) \right] (s,\xi) | ds d\xi \\ &\leq \sup_{0 \leq t \leq T} \int_{\mathbb{R}^{3}} |\xi|^{-1} \int_{0}^{t} e^{-\nu |\xi|^{2}(t-s)} |\mathcal{F} \left[ \nabla \cdot (u \otimes u)(s) \right] (s,\xi) | ds d\xi \\ &\leq \sup_{0 \leq t \leq T} \int_{\mathbb{R}^{3}} |\xi|^{-1} \int_{0}^{t} e^{-\nu |\xi|^{2}(t-s)} |\mathcal{F} \left[ \nabla \cdot (u \otimes u)(s) \right] (s,\xi) | ds d\xi \\ &\leq \frac{1}{(2\pi)^{3}} \sup_{0 \leq t \leq T} \int_{0}^{t} \int_{\mathbb{R}^{3}} |\widehat{u}| * |\widehat{u}| (s,\xi) (s,\xi) d\xi ds \\ &\leq \frac{1}{(2\pi)^{3}} \sup_{0 \leq t \leq T} \int_{0}^{t} ||u(s)||_{\chi^{0}}^{2} ds \\ &\leq \frac{1}{(2\pi)^{3}} \int_{0}^{T} ||u(s)||_{\chi^{0}}^{2} ds. \end{split}$$

It follows that  $u \in L^{\infty}_T \chi^{-1}$ . Next we prove  $\partial_t u \in L^1([0,T];\chi^{-1})$ , which implies  $u \in C([0,T];\chi^{-1})$ . We have

$$\partial_t u(t) = \nu \Delta u(t) - \Omega \mathbb{P} e_3 \times u(t) - \mathbb{P} \nabla \cdot (u \otimes u)$$

in the distribution sense.

Then we see that

$$\int_0^T \|\Delta u(t)\|_{\chi^{-1}} dt \le \int_0^T \int_{\mathbb{R}^3} |\xi| |\widehat{u}(t,\xi)| d\xi dt = \|u\|_{L^1_T \chi^1}$$

and

$$\begin{split} \int_0^T \|\mathbb{P}\nabla \cdot (u \otimes u)\|_{\chi^{-1}} dt &\leq \int_0^T \int_{\mathbb{R}^3} \frac{1}{|\xi|} |\mathcal{F}[\nabla \cdot (u \otimes u)](t,\xi)| d\xi dt \\ &\leq \int_0^T \int_{\mathbb{R}^3} |\widehat{u}| * |\widehat{u}| d\xi dt \\ &\leq \frac{1}{(2\pi)^3} \|u\|_{L^2_T \chi^0}. \end{split}$$

Since  $u \in L^{\infty}_T \chi^{-1}$ , we see

$$\int_0^T \|\Omega \mathbb{P} e_3 \times u(t)\|_{\chi^{-1}} dt \le \Omega T \|u\|_{L^\infty_T \chi^{-1}}.$$

Thus we have  $\partial_t u \in L^1([0,T];\chi^{-1})$ . Finally, we prove uniqueness of the solution to  $(NS_{\Omega})$  in  $L_T^{\infty}\chi^{-1} \cap L_T^1\chi^1$ . For  $u, v \in L_T^{\infty}\chi^{-1} \cap L_T^1\chi^1$ , we set w := u - v. Then, we observe

$$w(t) = \left\{ \mathcal{S}(t)u_0 - \int_0^t \mathcal{S}(t-s)\mathbb{P}\nabla \cdot (u\otimes u)(s)ds \right\} \\ - \left\{ \mathcal{S}(t)u_0 - \int_0^t \mathcal{S}(t-s)\mathbb{P}\nabla \cdot (v\otimes v)(s)ds \right\} \\ = -\int_0^t \mathcal{S}(t-s)\mathbb{P}\nabla \cdot (u\otimes u - v\otimes v)(s)ds.$$

So by Lemma 3.3, we see

$$\begin{split} |\widehat{w}(t,\xi)| &= \left| \mathcal{F}\left[ \int_0^t \mathcal{S}(t-s) \mathbb{P} \nabla \cdot (u \otimes u - v \otimes v)(s) ds \right] (\xi) \right| \\ &\leq \int_0^t |\mathcal{F}[\mathcal{S}(t-s) \mathbb{P} \nabla \cdot (u \otimes u - v \otimes v)] (s,\xi)| \, ds \\ &\leq \int_0^t e^{-\nu |\xi|^2 (t-s)} |\mathcal{F}[\mathbb{P} \nabla \cdot (u \otimes u - v \otimes v)] (s,\xi)| \, ds \\ &\leq \int_0^t e^{-\nu |\xi|^2 (t-s)} |\mathcal{F}[\nabla \cdot (u \otimes (u-v) + (u-v) \otimes v)] (s,\xi)| \, ds \\ &\leq \frac{1}{(2\pi)^3} \int_0^t e^{-\nu |\xi|^2 (t-s)} |\xi| (|\widehat{u}| * |\widehat{w}| (s,\xi) + |\widehat{w}| * |\widehat{v}| (s,\xi)) ds. \end{split}$$

Then we have

$$\begin{split} \|w(t)\|_{\chi^{-1}} &\leq \frac{1}{(2\pi)^3} \int_{\mathbb{R}^3} \int_0^t \{ (|\widehat{u}| * |\widehat{w}|)(s,\xi) + (|\widehat{w}| * |\widehat{v}|)(s,\xi) \} ds d\xi \\ &\leq \frac{1}{(2\pi)^3} \int_0^t (\|u(s)\|_{\chi^0} + \|v(s)\|_{\chi^0}) \|w(s)\|_{\chi^0} ds. \end{split}$$

Using Lemma 2.1, for  $\varepsilon > 0$ , there exists a positive number  $C_{\varepsilon}$  such that

$$\begin{aligned} \|u(s)\|_{\chi^{0}}\|w(s)\|_{\chi^{0}} &\leq \|u(s)\|_{\chi^{1}}^{\frac{1}{2}}\|u(s)\|_{\chi^{-1}}^{\frac{1}{2}} \cdot \|w(s)\|_{\chi^{1}}^{\frac{1}{2}}\|w(s)\|_{\chi^{-1}}^{\frac{1}{2}} \\ &\leq C_{\varepsilon}\|u(s)\|_{\chi^{1}}\|w(s)\|_{\chi^{-1}} + \varepsilon \|u(s)\|_{\chi^{-1}}\|w(s)\|_{\chi^{1}}.\end{aligned}$$

Thus we have

$$||w||_{L^{\infty}_{T}\chi^{-1}} \leq \varepsilon ||u||_{L^{\infty}_{T}\chi^{-1}} ||w||_{L^{1}_{T}\chi^{1}} + C_{\varepsilon} ||u||_{L^{1}_{T}\chi^{1}} ||w||_{L^{\infty}_{T}\chi^{-1}}.$$

Similarly we see

$$\nu \|w\|_{L^{1}_{T}\chi^{1}} \leq \varepsilon \|u\|_{L^{\infty}_{T}\chi^{-1}} \|w\|_{L^{1}_{T}\chi^{1}} + C_{\varepsilon} \|u\|_{L^{1}_{T}\chi^{1}} \|w\|_{L^{\infty}_{T}\chi^{-1}}.$$

Here we take sufficiently small  $\varepsilon>0$  such that

$$\varepsilon \|u\|_{L^{\infty}_{T}\chi^{-1}} < \frac{\nu}{4}.$$

Furthermore, if we take  $\delta>0$  such that

 $C_{\varepsilon} \|u\|_{L^1_{\delta}\chi^1} < \frac{1}{4},$ 

we have

$$\begin{split} \|w\|_{L^{\infty}_{\delta}\chi^{-1}} + \nu \|w\|_{L^{1}_{\delta}\chi^{1}} \leq & 2\varepsilon \|u\|_{L^{\infty}_{\delta}\chi^{-1}} \|w\|_{L^{1}_{\delta}\chi^{1}} + 2C_{\varepsilon} \|u\|_{L^{1}_{\delta}\chi^{1}} \|w\|_{L^{\infty}_{\delta}\chi^{-1}} \\ \leq & \frac{1}{2} (\|w\|_{L^{\infty}_{\delta}\chi^{-1}} + \nu \|w\|_{L^{1}_{\delta}\chi^{1}}). \end{split}$$

Thus we deduce  $\|w\|_{L^\infty_\delta\chi^{-1}}+\nu\|w\|_{L^1_\delta\chi^1}=0.$  Therefore , we have

$$w(t) = 0, \quad t \in [0, \delta].$$

Repeating this argument, we see uniqueness of the solution.

#### 3.4 Proof of Theorem 3.1

In this section, we prove Theorem 3.1.

proof. Let  $T^*$  be the maximal existence time of a solution of  $(NS_{\Omega})$ , derived by applying Theorem 3.7 repeatedly. Suppose  $T^* < \infty$ . By (3.3.1), we must have  $\lim_{t \to T^*} \|u(t)\|_{\chi^{-1}} = \infty,$ 

or

$$\lim_{t \to T^*} \rho(t) = \infty, \tag{3.4.1}$$

where  $\rho(t)$  is determined by

$$\rho(t) = \inf\left\{\rho > 0; \int_{|\xi| \ge \rho} \frac{|\widehat{u}(t,\xi)|}{|\xi|} d\xi \le \pi^3 \nu\right\}$$

We easily observe that  $\sup_{0 < t < T^*} ||u(t)||_{\chi^{-1}} \leq ||u_0||_{\chi^{-1}}$  by Theorem 2.3. So, it suffices to show that (3.4.1) would never happen. For  $0 < t < T^*$ , we find that

$$\begin{aligned} |\widehat{u}(t,\xi)| &\leq |\widehat{\mathcal{S}(t)u_0}(\xi)| + \int_0^t |\mathcal{F}[\mathcal{S}(t-s)\mathbb{P}\nabla \cdot (u\otimes u)](s,\xi)| ds \\ &\leq e^{-\nu|\xi|^2 t} |\widehat{u_0}(\xi)| + \int_0^t e^{-\nu(t-s)|\xi|^2} |\mathcal{F}[\nabla \cdot (u\otimes u)](s,\xi)| ds \\ &\leq |\widehat{u_0}(\xi)| + \int_0^t e^{-\nu(t-s)|\xi|^2} |\mathcal{F}[\nabla \cdot (u\otimes u)](s,\xi)| ds \\ &\leq |\widehat{u_0}(\xi)| + \int_0^t e^{-\nu(t-s)|\xi|^2} \frac{|\xi|}{(2\pi)^3} |\widehat{u}| * |\widehat{u}|(s,\xi) ds. \end{aligned}$$

Hence we see that

$$\begin{split} \int_{\mathbb{R}^3} \sup_{0 \le t \le T^*} |\widehat{u}(t,\xi)| \frac{1}{|\xi|} d\xi &\le \|u_0\|_{\chi^{-1}} + \int_{\mathbb{R}^3} \int_0^{T^*} \frac{1}{(2\pi)^3} |\widehat{u}| * |\widehat{u}|(s,\xi) ds d\xi \\ &\le \|u_0\|_{\chi^{-1}} + \frac{1}{(2\pi)^3} \int_0^{T^*} \|u(s)\|_{\chi^0}^2 ds \\ &\le \|u_0\|_{\chi^{-1}} + \frac{1}{(2\pi)^3} \|u\|_{L^2([0,T^*);\chi^0)}^2. \end{split}$$

Applying Theorem 2.3, we obtain that

$$\begin{split} \|u\|_{L^{2}([0,T^{*});\chi^{0})}^{2} &= \int_{0}^{T^{*}} \|u(t)\|_{\chi^{0}}^{2} dt \\ &\leq \int_{0}^{T^{*}} \|u(t)\|_{\chi^{-1}} \|u(t)\|_{\chi^{1}} dt \\ &\leq \|u_{0}\|_{\chi^{-1}} \cdot \frac{\|u_{0}\|_{\chi^{-1}}}{\nu - \frac{1}{(2\pi)^{3}} \|u_{0}\|_{\chi^{-1}}}. \end{split}$$

Thus we have there exists some M > 0, such that

$$\int_{\mathbb{R}^3} \sup_{0 \le t \le T^*} |\widehat{u}(t,\xi)| \frac{1}{|\xi|} d\xi < M.$$

This implies that we are able to take  $\rho>0$  such that

$$\int_{|\xi|>\rho} \sup_{0\le t\le T^*} |\widehat{u}(t,\xi)| \frac{1}{|\xi|} d\xi < \pi^3 \nu,$$

we get for any  $0 < t < T^*$ ,

$$\int_{|\xi|>\rho} |\widehat{u}(t,\xi)| \frac{1}{|\xi|} d\xi < \int_{|\xi|>\rho} \sup_{0 \le t \le T^*} \frac{|\widehat{u}(t,\xi)|}{|\xi|} d\xi < \pi^3 \nu.$$

This contradicts to (3.4.1).

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