

Title page

Title:

Theoretical estimation of the mechanical performance of traditional mortise-tenon joint involving a gap

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1 **Abstract**

2 The mortise-tenon joint, which connects columns and beams of a wooden building, often
3 creates a gap in contact part of members. This gap is considered to affect mechanical
4 performance of the mortise-tenon joint. This study derived a method of theoretical estimation
5 with a gap as parameter for the mechanical performance of mortise-tenon joint. In addition, it
6 experimentally validated the method of estimation and numerically analyzed the influence of
7 a size of such gap on mechanical properties. As a result, the estimated relationship between
8 moment resistance and deformation angle of column shows the agreement with the
9 experimental results, demonstrating validity of the estimation method derived. Results of the
10 numerical analysis quantify the influence of the size of the gap on mechanical properties of the
11 joint. The numerically analysis clarified the large influence of the gap at joints on the
12 mechanical properties.

13

14 **Introduction**

15

16 Wood-to-wood joints are common among traditional wooden framework buildings in Japan
17 and other countries. Many of them resist an external force by engagement of their members.
18 Therefore, the main mechanism of resistance is compression perpendicular to grain and friction
19 between members. It is known that the rotational stiffness of the joints is rather small, however,
20 their deformability is very large. The mortise-tenon joint covered in this study is one of such
21 wood-to-wood joints. The joint is often used to connect columns and beams in traditional
22 Japanese wooden buildings. The joint, of which shape is as shown in Fig. 1, is formed by
23 inserting a tenon at the tip of column into a mortise.

24 Such joints play an important role in the mechanical performance of traditional wooden
25 framework buildings. This is verified by a shaking table test for buildings. Suzuki et al. [1], for

1 example, conducted a full-scale shaking table test and static test and clarified the importance
2 of Nuki joint (crisscross joint connecting columns and beams) for the mechanical performance
3 of buildings. Furthermore, Weng et al. [2] performed a half-scale shaking table test for a
4 Chinese traditional wooden building to show the importance of the energy absorption capacity
5 of mortise-tenon joints for the mechanical performance of buildings.

6 Therefore, the mechanical performance and evaluation of the wood-to-wood joints is a major
7 matter of concern, and a large number of studies have been carried out for it. With regard to
8 the mechanical performance of Nuki joints mentioned above, Guan et al. [3] simulated the
9 relationship between moment resistance and deformation angle of column of the joints through
10 the three-dimensional finite element model (FEM) analysis, and demonstrated that the analysis
11 results recreated the experimental results with a high degree of accuracy. Chang et al. [4]
12 developed a mechanical model by applying the compressive and frictional theories of wood for
13 Nuki joints with gap, of which shape are found mainly in Taiwan, and theoretically derived an
14 estimating equation for the relationship between moment resistance and deformation angle of
15 column. The estimating equation was validated by its comparison with test results. In addition,
16 they used the equation to simulate the relationship between gap size and rotational stiffness.
17 The simulation shows that the involvement of gaps decrease the rotational stiffness, while its
18 influence is limited in the range of small gap size

19 With regard to the mechanical performance of mortise-tenon joints, Eckelman et al. [5], for
20 example, experimentally studied the influence of a size of tenon on the stiffness and maximum
21 load, and clarified the large influence of the long-side direction length of tenon section on
22 rotational stiffness. In addition, they demonstrated, by observing the specimens after the test,
23 the contact between mortise and upper and lower part of tenon as well as between corner of
24 column and beam (Fig. 2) when the column are at an angle. Nishimura et al. [6] experimentally
25 measured the stress transfer of tenons under the rotational deformation of the joint, and

1 examined specific features required of a mechanical analysis model. Furthermore, Sakata et al.
2 [7] developed a mechanical model by applying the compressive and frictional theories of wood,
3 and formulated the relationship between moment resistance and deformation angle of column
4 at mortise-tenon joints. They validated the formulation derived through its comparison with
5 test results.

6 Thus, many have studied the mechanical performance of mortise-tenon joints; however, a
7 gap at the joints is, in fact, often caused by wood shrinkage and/or loading history. It is expected
8 that a gap created at the joints will result in insufficient contact between members, which will
9 affect the mechanical performance. In spite of this, a study on such gap at the mortise-tenon
10 joints and the mechanical performance has not been conducted sufficiently. This study,
11 therefore, examines the influence of the gaps on the mechanical performance of mortise-tenon
12 joints. Firstly, the relationship between moment resistance M and deformation angle θ of
13 column at the mortise-tenon joints involving a gap is theoretically derived, and the experiments
14 are also conducted to validate the theory. Furthermore, the method of estimation derived is used
15 to numerically analyze the influence of a gap size on the mechanical performance. The analysis
16 results are then compared with experimental results.

17

18 **Theoretical derivation**

19

20 **Model of Joint Deformation**

21 This study theoretically derived an equation of relationship between moment resistance M
22 and deformation angle θ of column at the mortise-tenon (column-beam) joint involving a gap.
23 The equation enables to estimate the relationship between M and θ of the joint by using the
24 relationship between compressive stress and strain perpendicular to grain of wood. Fig. 2
25 presents how the mortise-tenon joint rotated due to an external force P applied horizontally,

1 resulting in the deformation angle θ of column. The assumption is that the long side length (h
2 – g) of a tenon section is shorter than the one of a mortise section h by g , leading to a gap at
3 the mortise-tenon joint that exists on both sides with a size of $g/2$. The mechanical model
4 assumes that a compressive deformation locally appears perpendicular to the grain, which is
5 shown in gray color in Fig. 2. In addition, P_{c1} , P_{c2} and P_{c3} with a white arrows represent the
6 partial compressive resistance while P_{f1} , P_{f2} and P_{f3} with a gray arrows represent the frictional
7 resistance associated with it.

8 As Fig. 2 shows, the deformed mortise-tenon joint is modeled in the x - y plane. The model
9 assumes that a rotational center of the joint is always at the centroid of the tenon. Therefore,
10 the Point O_b , which is regarded as an origin in the x - y plane, is the center of rotation until the
11 start of deformation. It was assumed that the column would rise with Intersection H as fulcrum
12 along with increasing deformation, and it was considered that this would involve the shift of
13 the rotational center to Point O_a . The shift was presumed to occur on the y -axis, and the shift
14 distance Y is represented by Eq. (1).

$$15 \quad Y = \frac{h_c}{2} \sin \theta \quad (1)$$

16 Although the center of rotation actually also shifts toward the x -axis, it is known that the
17 amount of shift is small compared with the one along the y -axis [8]. Therefore, the shift was
18 not taken into consideration here.

19 Secondly, the geometric arrangement of tenons under deformation angle θ is presented in
20 Fig. 2. Eight lines (Lines 1 – 8) comprising the joint, and eight intersections (Intersections A –
21 H) are shown in the figure. First of all, a linear function equation was derived that represents
22 each Line in the x - y plane with Point O_b as origin. As the slope of Line 1 is $-\tan \theta$ and y -
23 intercept is $l/(2\cos\theta) + Y$, the linear equation is as follows.

$$24 \quad \text{Line 1: } y = -\tan \theta \cdot x + \frac{l}{2\cos \theta} + Y \quad (2)$$

1 Similarly, the other lines (Lines 2 – 8) were expressed by a linear function. In addition,
 2 Intersections A – H in Fig. 2 were calculated with the function equations for those Lines.
 3 Function equations of Lines and calculations of coordinates of each Intersections are shown in
 4 Appendix.

5

6 **Compressive and Frictional Resistance**

7 Then, the compressive resistance P_{c1} was calculated that occurred at the lower part of tenon.
 8 As Fig. 2 shows, coordinate axes $x_1 - \delta_1$ with Point A (A_x, A_y) as origin were newly established.
 9 As Axis x_1 fully overlaps Line 4, and Axis δ_1 is perpendicular to Axis x_1 , these axes rotate with
 10 a change in the deformation angle θ of column. The amount of compressive deformation at the
 11 lower tenon perpendicular to grain is represented by Eq. (3) in accordance with Axes $x_1 - \delta_1$.

$$12 \quad \delta_1 = \frac{\sqrt{(C_x - B_x)^2 + (C_y - B_y)^2}}{\sqrt{(A_x - B_x)^2 + (A_y - B_y)^2}} \quad (3)$$

13 In addition, the strain ε_1 at minute intervals is obtained by dividing the deformation amount δ_1
 14 by the long side length h of a tenon section to obtain the stress σ_1 at minute intervals on the x_1 .
 15 The stress σ_1 was multiplied by the short side length b of a mortise section to obtain the
 16 resistance ΔP_{c1} at minute intervals on the x_1 . This is represented by Eq. (4) by expressing the
 17 relationship between compressive stress and strain perpendicular to grain of wood as $\sigma = f(\varepsilon)$.

$$18 \quad \Delta P_{c1} = b\sigma_1 = bf\left(\frac{\delta_1}{h}\right) = bf(\varepsilon_1) \quad (4)$$

19 This is integrated by x_1 to obtain P_{c1} .

$$20 \quad P_{c1} = \int_0^{\sqrt{(A_x - B_x)^2 + (A_y - B_y)^2}} \Delta P_{c1} dx_1 \quad (5)$$

21 Furthermore, the frictional resistance P_{f1} , P_{f2} and P_{f3} is represented by Eq. (6), as the normal
 22 force is multiplied by the frictional coefficient μ based on Coulomb's law.

$$P_{f1} = \mu P_{c1} \cos \theta, \quad P_{f2} = \mu P_{c2} \cos \theta, \quad P_{f3} = \mu P_{c3} \quad (6)$$

2

3 Moment Resistance at Joint

4 When an external force is applied on the mortise-tenon joint, the compressive and frictional
 5 resistance occurs. This study derived the relationship between M and θ at the joint based on a
 6 concept that the horizontal and vertical forces are respectively balanced and that the moment
 7 created by an external force is balanced with the one by compressive and frictional resistance.

8 Here, the distance was calculated between a line of action of two types of (compressive and
 9 frictional) resistance mentioned above and the rotational center $O_a (0, Y)$. The distance L_{c1} , L_{c2} ,
 10 and L_{c3} between partial compressive resistance P_{c1} , P_{c2} , and P_{c3} and rotational center is:

$$L_{c1} = \frac{l}{2} - \frac{1}{3} \sqrt{(B_x - A_x)^2 + (B_y - A_y)^2} \quad (7)$$

$$L_{c2} = \frac{l}{2} - \frac{1}{3} \sqrt{(E_x - D_x)^2 + (E_y - D_y)^2} \quad (8)$$

$$L_{c3} = \frac{h_c}{2} - \frac{1}{3} \sqrt{(G_x - H_x)^2 + (G_y - H_y)^2} \quad (9)$$

14 The distance L_{f1} , L_{f2} , and L_{f3} between frictional resistance P_{f1} , P_{f2} , and P_{f3} and rotational center
 15 is:

$$L_{f1} = \frac{h}{2} \quad (10)$$

$$L_{f2} = \frac{h}{2} \quad (11)$$

$$L_{f3} = \frac{l}{2} \quad (12)$$

19 Here, the following three Eqs. (13) - (15) are derived based on the balance of horizontal and
 20 vertical forces, respectively, and that of moment with Point O_a as center, as shown in Fig. 2.

$$P_{f1} + P_{c1} \sin \theta + P_{f2} - P_{c2} \sin \theta - P_{f3} \sin \theta - P_{c3} \cos \theta = 0 \quad (13)$$

$$1 \quad P_{c1} \cos \theta - P_{c2} \cos \theta - P_{f3} \cos \theta + P_{c3} \sin \theta + P = 0 \quad (14)$$

$$2 \quad P_{c1} \cdot L_{c1} + P_{f1} \cos \theta \cdot L_{f1} + P_{c2} \cdot L_{c2} - P_{f2} \cos \theta \cdot L_{f2} + P_{c3} \cdot L_{c3} + P_{f3} \cdot L_{f3} = PL \quad (15)$$

3 While these equations are similar to those suggested by Sakata et al. [7], this study differs in
 4 that it takes into consideration the deformation angle θ of column for the resistance P_{c1} , P_{c2} ,
 5 P_{c3} and P_{f3} .

6 Based on Eq. (6), Eqs. (13) - (15) above are:

$$7 \quad P_{c1}(\mu \cos \theta + \sin \theta) + P_{c2}(\mu \cos \theta - \sin \theta) - P_{c3}(\mu \sin \theta + \cos \theta) = 0 \quad (13')$$

$$8 \quad P_{c1} \cos \theta - P_{c2} \cos \theta - P_{c3}(\mu \cos \theta - \sin \theta) + P = 0 \quad (14')$$

$$9 \quad P_{c1}(L_{c1} + L_{f1}\mu \cos^2 \theta) + P_{c2}(L_{c2} - L_{f2}\mu \cos^2 \theta) + P_{c3}(L_{c3} + L_{f3}\mu) = PL \quad (15')$$

10 Furthermore, by organizing Eqs. (13') - (15'), the relationship between M and θ at the
 11 mortise-tenon joints is expressed by Eq. (16).

$$12 \quad M = PL = \frac{p(\theta) \cdot t(\theta) - q(\theta) \cdot s(\theta)}{r(\theta) \cdot t(\theta) - q(\theta) \cdot u(\theta)} P_{c1} L \quad (16)$$

13 where,

$$14 \quad p(\theta) = \cos^2 \theta (\mu^2 - 1) - \mu \sin \theta \cos \theta - \sin^2 \theta$$

$$15 \quad q(\theta) = \cos^2 \theta (\mu^2 + 1) - \mu \sin \theta \cos \theta + \sin^2 \theta$$

$$16 \quad r(\theta) = \mu \sin \theta + \cos \theta$$

$$17 \quad s(\theta) = (L_{c1} + L_{f1}\mu \cos^2 \theta)(\mu \cos \theta - \sin \theta) + (L_{c3} + L_{f3}\mu) \cos \theta$$

$$18 \quad t(\theta) = (L_{c2} - L_{f2}\mu \cos^2 \theta)(\mu \cos \theta - \sin \theta) - (L_{c3} + L_{f3}\mu) \cos \theta$$

$$19 \quad u(\theta) = L(\mu \cos \theta - \sin \theta) - (L_{c3} + L_{f3}\mu)$$

20

21 **Materials and Methods**

22

1 Mechanical test with joint specimens

2 In order to validate the estimation method derived in this study, a mechanical test was
3 conducted on mortise-tenon joints. The six specimens, which are T-shaped, consisting of
4 column and beam (Fig. 3) were prepared. Columns are made of Japanese cedar (*Cryptomeria*
5 *japonica* D.DON) 1300 mm long and beams are made of Japanese cypress (*Chamaecyparis*
6 *obtusa* Sieb. et Zucc.) 800 mm long, both of which are air-dried wood. The section size of
7 columns and beams ($b_c \times h_c$ and $b_b \times h_b$) is 105×105mm, and the size of tenons is 90 (l)×68 – 72
8 ($h - g$)×30 (b) mm. As Fig. 1 shows, the long side length ($h - g$) of a tenon section was
9 processed to be slightly smaller than that of a mortise h to provide a gap g at the joint ($g = 2.98,$
10 2.96, 2.83, 2.48, 1.02, and 0.99 mm).

11 The test with the joint specimens was conducted in accordance with the test method
12 standardized by Japan Housing and Wood Technology Center [9]. Fig. 3 shows test specimen
13 and experimental setup. The beam of specimen was secured to a load test device with anchor
14 bolts, while a tip of the column was pin-jointed to a hydraulic actuator through an iron jig and
15 load cell. With this as loading point, the moment resistance at the joints created by the reverse
16 cyclic load was measured. The loading sequence consisted of ten cyclic steps with three
17 identical cycles. The deformation angle amplitudes θ of each of the cyclic steps were $\pm 1/450,$
18 $1/300, 1/200, 1/150, 1/100, 1/75, 1/50, 1/30, 1/10$ and $1/6.5$ rad (at the maximum stroke of the
19 machine). Two displacement gages were set on the upper and lower parts of column, and the
20 deformation angle θ of column was obtained based on values indicated by the gages v_1 and v_2
21 and distance between the gages d (1120 mm). If the bending deformation of column was small
22 enough to ignore, the deformation angle θ could be simply represented by Eq. (17).

$$23 \quad \theta = \frac{v_1 - v_2}{d} \quad (17)$$

24 As the movement speed of actuator is 100 mm min^{-1} , the test time was around 55 minutes per
25 specimen.

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Compression Perpendicular to Grain Test with Small Clear Specimens

The estimation method derived in this study requires the relationship between compressive stress and strain perpendicular to grain ($\sigma = f(\varepsilon)$ in Eq. (4)). Therefore, small clear specimens were taken from columns of the joint specimens to conduct the test. Six small clear specimens, whose size is 30×30×90 mm, were obtained from each column of the six T-shaped specimens, totaling 36. As Fig. 4 shows, loading plates were placed on upper and lower surfaces of the specimen [10], and the loading area was 30×30 mm, and the length of indirectly loaded area on both sides of the loading part was 30 mm, respectively. A load was applied in a radial direction of wood. The loading speed of crosshead is 1.0 mm min⁻¹. The relationship between stress σ and strain ε is approximated by Eq. (18) [11] to determine a parameter that expresses this relationship. Then, Eq. (18) is assigned to Eq. (4), and finally Eq. (16) allows calculation of the relationship between moment resistance M and deformation angle θ of column at joints.

$$\sigma = f(\varepsilon) = (m_0 + m_1 \cdot \varepsilon) \left[1 - \exp \left\{ -\frac{E_{\perp}}{m_0} (\varepsilon + \alpha \cdot \varepsilon^{\beta}) \right\} \right] \quad (18)$$

Here, E_{\perp} represents an elastic coefficient under compressive load perpendicular to grain, m_0 represents an intercept of asymptote in a plastic region, m_1 represents a slope in a plastic region, and α and β represent a coefficient used to increase the agreement around a yield point.

In order to apply the test results (relationship between stress σ and strain ε) to the analyses of mechanical performance of the joint, it is required that geometrical condition of small clear specimens and loading condition are similar with the conditions applied to the joint. However, the similar conditions were difficult to recreate on compressive test. This research applied the results obtained by the compressive test shown in Fig. 4 to the mechanical analyses of the joint because the deformed mechanism in Fig. 4 is not so different with one in the joint and the test conditions is easy to set up.

1

2 **Results and Discussion**

3

4 **Hysteresis Characteristics of mortise-tenon Joint**

5 Figure 5 shows an example of the relationship between moment resistance M and
6 deformation angle θ of column obtained by the mechanical test with mortise-tenon joints. This
7 is the result from Specimen T-1 with a gap g of 2.98 mm at the mortise-tenon joint. Fig. 6
8 shows envelope curves taken from Fig. 5. The thin solid and dashed lines represent envelope
9 curves for positive- and negative-direction loading, respectively, and the thick solid line
10 represents the average moment resistance for these two envelope curves at the same
11 deformation angle. The line shows that the sufficient moment resistance does not occur in the
12 early stage of loading (at around 0.04 rad or lower). This indicates that mortise and tenon do
13 not sufficiently contact each other because of a gap at the joint, although a column is at a tilt.
14 When the deformation angle subsequently exceeds 0.04 rad, the mortise and tenon gradually
15 contact each other, and the moment resistance comes to occur. While the moment resistance
16 grows as the deformation angle increases, it yields when the angle reaches around 0.07 rad,
17 showing a rapid decrease before modest increase. After the test, the specimens were dismantled
18 to observe its failure mode. As a result, while a compressive deformations were found at contact
19 parts between a mortise and tenon and between beam and column perpendicular to grain, there
20 were no breakages or other damages.

21

22 **Compressive Behavior Perpendicular to Grain of Small Clear Specimens**

23 Figure 7 shows one example of the relationship between stress σ and strain ε obtained by the
24 compression perpendicular to grain test with the small clear specimens. The six thin lines in
25 the figure represent results of six small clear specimens taken from a column of Specimen T-1,

1 and the thick line represents the average stress for the six test results at the same strain. In order
2 to express the thick line by Eq. (18), five parameters were determined. The square plots in the
3 figure represent the relationship between stress and strain from Eq. (18) that were re-plotted
4 with determined parameters. As these plots show the agreement with the thick line of test
5 results, it was decided to use the equation and these parameters for the estimation of the
6 relationship between M and θ at the mortise-tenon joints. Table 1 lists parameters with regard
7 to compressive behavior of six small clear specimens which were taken each column of joint
8 specimen.

9

10 **Estimation of $M-\theta$ Relationship at mortise-tenon Joints**

11 Round plots in Fig. 6 show results of estimation of the relationship between M and θ at the
12 mortise-tenon joints. These are results from Specimen T-1, and are obtained by Eq. (16) through
13 Eq. (4) after expressing the relationship between compressive stress and strain perpendicular
14 to grain by Eq. (18) with the parameters in Table 1. The plots show that the moment value is
15 zero when the deformation angle is about 0.03 rad or lower. This is because mortise and tenon,
16 in terms of the mechanical model proposed, do not contact each other due to a gap at the joint,
17 that is, there is no resistance. When the deformation angle reaches around 0.04 rad, the moment
18 resistance comes to occur, while the moment resistance starts growing in an exponential
19 manner with an increase in the deformation. Round plots are between thin solid and dashed
20 lines of the test results, showing behavior as if in line with the thick solid line. As the
21 estimations for the other five specimens (T-2 – T-6) generally agree with the test results, this
22 analysis model which takes into consideration a gap at the joint is considered to have good
23 capability to estimate the relationship between M and θ .

24 Next, in order to verify various aspects of the estimation method for mechanical behavior
25 derived in this study, a change in mechanical properties associated with a change in gap g was

1 numerically analyzed. Fig. 8 describes the properties analyzed; rotational stiffness, initial slip,
2 and strain energy. The rotational stiffness is represented by a slope at the linear part of the
3 relationship between M and θ , and the initial slip is an intersection where the initial slope
4 representing the rotational stiffness meets a horizontal axis (that is, the deformation angle that
5 triggers the occurrence of sufficient moment resistance at the joints). For the strain energy, the
6 area enclosed by $M-\theta$ curves up to the maximum deformation angle of the mechanical test
7 (1/6.5 rad) was calculated.

8

9 **Rotational Stiffness**

10 Figure 9 shows the relationship between rotational stiffness and gap g . The vertical axis,
11 which expresses the rotational stiffness, is normalized by the estimated rotational stiffness
12 when the gap is 0.0 mm. Six lines represent numerical analysis results for six joint specimens,
13 while six plots constitute experimental results (average for each specimen under the positive-
14 and negative-direction loading). Generally, it is expected that the greater a gap at the mortise-
15 tenon joints becomes, the lower the rotational stiffness is shown. Experimental results show
16 that the rotational stiffness decreases by approximately 27% for g of 1.0 mm, and by 50% for
17 g of 3.0 mm, making clear the large influence of a gap on a decrease in the rotational stiffness.
18 On the other hand, the numerical analysis results also clearly indicate that the rotational
19 stiffness declines with an increase in a size of gap g , with a decrease by approximately 22%
20 for g of 1.0 mm and 47% for g of 3.0 mm. Thus, as the analysis results generally agree with
21 experimental results, use of a gap size as parameter enables to quantify a decrease in the
22 rotational stiffness.

23

24 **Initial Slip**

25 Figure 10 provides the relationship between initial slip and gap g . Plots of experimental

1 results show the moment resistance occurs at the joints when the deformation angle reaches
2 approximately 0.023 rad for g of 1.0 mm and 0.034 rad for g of 3.0 mm. Numerical analysis
3 results indicate that the six lines almost agree with each other, demonstrating that the initial
4 slip goes up proportionately with an increase in a size of gap g , with the initial slip of
5 approximately 0.014 rad for g of 1.0 mm and 0.039 rad for g of 3.0 mm. Compared with the
6 experimental results, the numerical analysis results generally show the agreement with them,
7 with a value being underpredicted in the range of small g and overpredicted in the range of
8 large g . The numerical analysis results show that an influence of difference in compressive
9 properties perpendicular to grain (Table 1) on the initial slip is smaller than that on the rotational
10 stiffness. It is considered that this is because the initial slip is dominantly influenced by the
11 geometric arrangement of mortise and tenon (whether tenon and mortise contact each other or
12 not) when the joints deform (or columns are at a tilt).

13

14 **Strain Energy**

15 Figure 11 describes the relationship between strain energy and a gap g . The vertical axis
16 expresses the strain energy normalized by its estimation when g is 0.0 mm. Plots in the figure
17 constitute experimental results (average for each specimen under the positive- and negative-
18 direction loading). The figure shows that the strain energy decreases by approximately 13% for
19 g of 1.0 mm and by 47 % for g of 3.0 mm. (However, as it decreases by approximately 85%
20 for the specimen T3, an outlier may appear.) The numerical analysis results are expressed
21 almost by one line, with the strain energy decreasing by 21% for g of 1.0 mm and by 52% for
22 g of 3.0 mm. Compared with experimental results, the numerical analysis results generally
23 show the agreement with them, although consideration should be given to the possible
24 appearance of a plot well below the analysis results (Specimen T3). Like the initial slip, the
25 analysis of the strain energy finds the little influence of a difference in partial compressive

1 properties perpendicular to grain (Table 1).

2

3 **Conclusion**

4

5 This study theoretically and experimentally analyzed the mechanical performance of
6 mortise-tenon joints involving a gap. Firstly, a method to estimate the moment resistance and
7 deformation angle of column at the joints was theoretically derived. According to the
8 estimation, the moment resistance does not occur in the range of small deformation angle if the
9 mortise-tenon joints involve a gap. After that, the moment resistance comes to occur with
10 growing proportionately with an increase in deformation. After yield, it modestly increases.
11 Compared with experimental results, the estimated results generally show the agreement with
12 them, indicating the validity of the estimation method derived. Furthermore, the derived
13 estimation method was used to numerically analyze to determine the relationship between gap
14 size and mechanical properties (rotational stiffness, initial slip and strain energy). As a result,
15 a gradual decrease in the mechanical performance of the joints with the increase in size of the
16 gap was quantified, indicating the importance of giving consideration to the gap in evaluating
17 the mechanical performance of the joints.

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Appendix

Lines 2 – 8 in Fig. 2, based on their geometric relationship, are obtained as follows:

$$\text{Line 2: } y = -\tan \theta \cdot x - \frac{l}{2 \cos \theta} + Y \quad \text{Line 3: } y = \frac{1}{\tan \theta} x - \frac{h-g}{2 \sin \theta} + Y$$

$$\text{Line 4: } y = \frac{1}{\tan \theta} x + \frac{h-g}{2 \sin \theta} + Y \quad \text{Line 5: } y = \frac{l}{2}$$

$$\text{Line 6: } x = -\frac{h}{2} \quad \text{Line 7: } x = \frac{h}{2}$$

$$\text{Line 8: } y = \frac{1}{\tan \theta} x - \frac{h_c}{2 \sin \theta} + Y$$

In addition, by solving a simultaneous equation with function equations for Lines 1 – 8, the coordinates of Intersections A – H are calculated as follows:

$$A(A_x, A_y) = \left\{ -\frac{h}{2}, -\frac{h-g}{2} \left(\frac{1}{\tan \theta} - \frac{1}{\sin \theta} \right) - \frac{g}{2 \tan \theta} + Y \right\}$$

$$B(B_x, B_y) = \left[-\frac{1}{2} \{l \sin \theta + (h-g) \cos \theta\}, \frac{1}{2} \{l \sin \theta \tan \theta + (h-g) \sin \theta\} - \frac{l}{2 \cos \theta} + Y \right]$$

$$C(C_x, C_y) = \left(-\frac{h}{2}, \frac{h}{2} \tan \theta - \frac{l}{2 \cos \theta} + Y \right)$$

$$D(D_x, D_y) = \left\{ \frac{h}{2}, \frac{h-g}{2} \left(\frac{1}{\tan \theta} - \frac{1}{\sin \theta} \right) + \frac{g}{2 \tan \theta} + Y \right\}$$

$$E(E_x, E_y) = \left[\frac{1}{2} \{l \sin \theta + (h-g) \cos \theta\}, -\frac{1}{2} \{l \sin \theta \tan \theta + (h-g) \sin \theta\} + \frac{l}{2 \cos \theta} + Y \right]$$

$$F(F_x, F_y) = \left(\frac{h}{2}, -\frac{h}{2} \tan \theta + \frac{l}{2 \cos \theta} + Y \right)$$

$$G(G_x, G_y) = \left[\frac{1}{\tan \theta} \left\{ \frac{l}{2} \left(\frac{1}{\cos \theta} - 1 \right) + Y \right\}, \frac{l}{2} \right]$$

$$H(H_x, H_y) = \left[\frac{1}{2} \{l \sin \theta + h_c \cos \theta\}, -\frac{1}{2} \{l \sin \theta \tan \theta + h_c \sin \theta\} + \frac{l}{2 \cos \theta} + Y \right]$$

Table legend and Figure legends

Table 1 Parameters expressing the relationships between compressive stress and strain perpendicular to grain (Eq. (18))

^a Joint specimen from which a small specimens were taken

^b Number of small clear specimens

Fig. 1 Mortise-tenon joint

Fig. 2 Modeling of deformation at mortise-tenon joints. The joint deformed at deformation angle θ of column due to external force P . Partial compressive deformation are represented with the gray parts. Partial compressive and frictional resistance is represented with white and gray allows, respectively. Lines 1–8 and Intersections A–H are defined for presenting the geometric arrangement

Fig. 3 Experimental setup of mechanical test with mortise-tenon joint

Fig. 4 Compression perpendicular to grain test with small clear specimens. (a) Front view, and (b) Side view

Fig. 5 Hysteresis characteristics of mortise-tenon joints

Fig. 6 Experimental and estimated results of mechanical test

Fig. 7 Relationships between compressive stress and strain perpendicular to grain and approximation by Eq. (18)

Fig. 8 Properties analyzed of mortise-tenon joints

Fig. 9 Experimental and analytical results of rotational stiffness. The vertical axis is normalized by the estimated rotational stiffness when the gap is 0.0 mm, while the horizontal axis expresses a gap g between tenon and mortise

Fig. 10 Experimental and analytical results of initial slip

Fig. 11 Experimental and analytical results of strain energy. The vertical axis is normalized

by the estimated strain energy when the gap is 0.0 mm, while the horizontal axis expresses a gap g between tenon and mortise.

Table 1

Specimen ^a	n ^b	E_{\perp} (MPa)	m_0 (MPa)	m_1 (MPa)	α	β
T-1	6	254.5	3.41	22.4	208.6	2.2
T-2	6	305.2	3.55	22.8	444.0	2.3
T-3	6	340.2	4.07	19.9	387.2	2.3
T-4	6	449.2	5.34	40.9	357.2	2.3
T-5	6	266.0	3.75	32.4	17.4	1.7
T-6	6	303.9	3.07	22.4	555.4	2.4

^a Joint specimen from which small clear specimens were taken

^b Number of small clear specimens

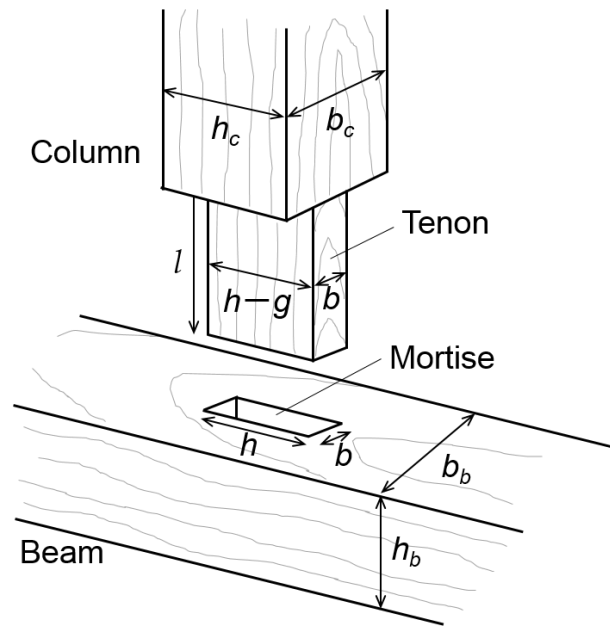


Fig. 1

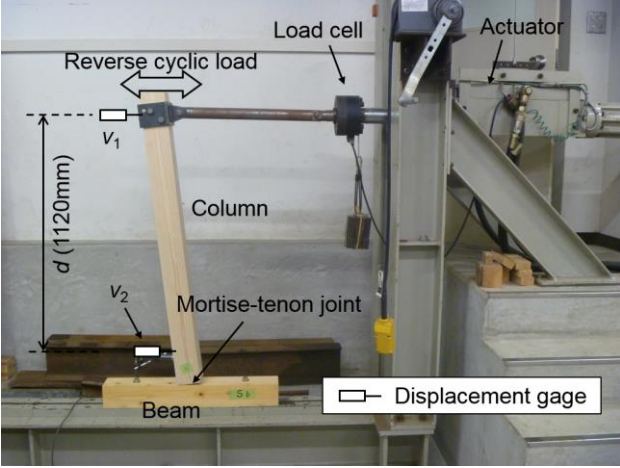


Fig. 3

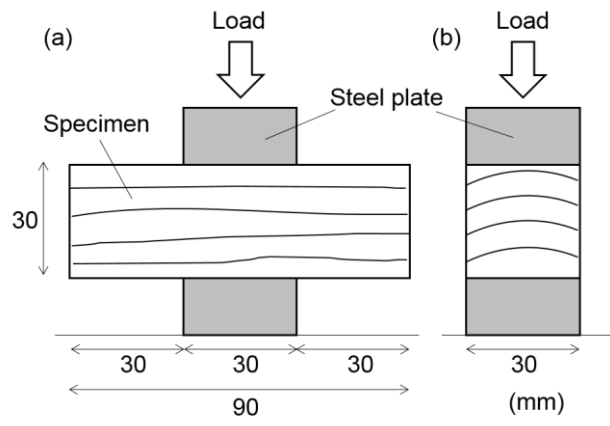


Fig. 4

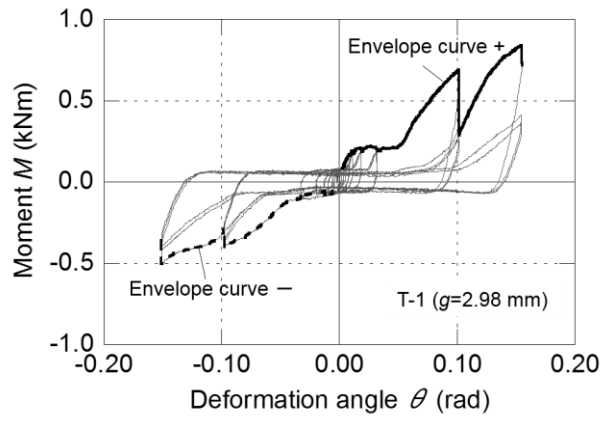


Fig. 5

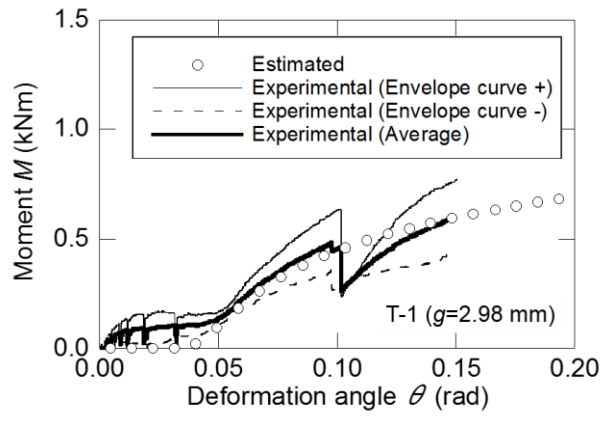


Fig. 6

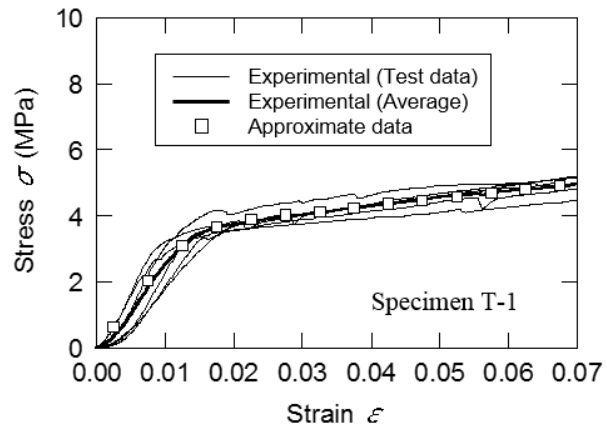


Fig. 7

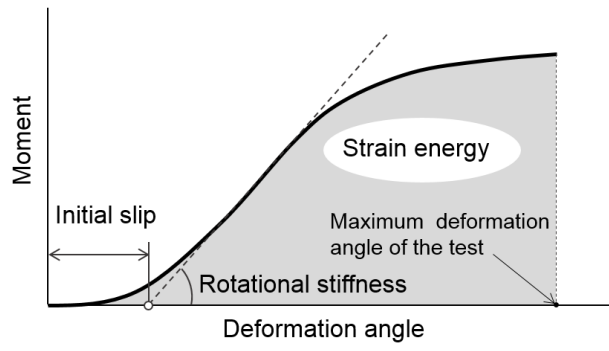


Fig. 8

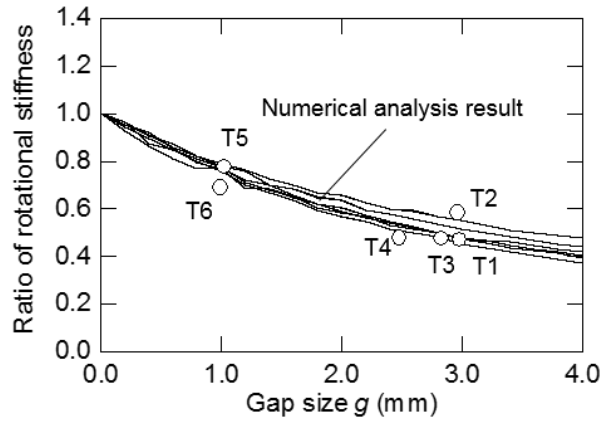


Fig. 9

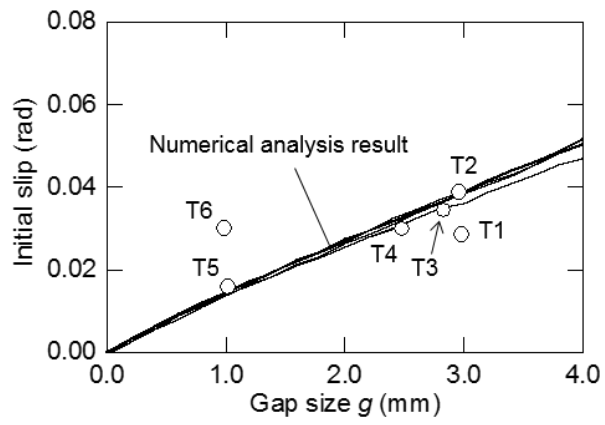


Fig. 10

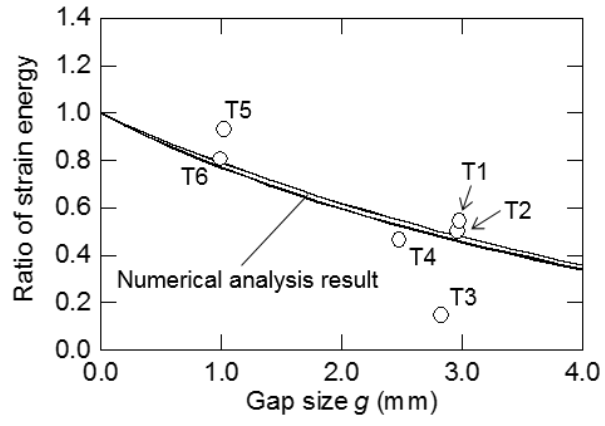


Fig. 11