

1 **Performance monitoring and response conflict resolution associated with choice**  
2 **stepping reaction tasks**

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7

8 **Abstract**

9 Choice reaction requires response conflict resolution, and the resolution  
10 processes that occur during a choice stepping reaction task undertaken in a standing  
11 position, which requires maintenance of balance, may be different to those processes  
12 occurring during a choice reaction task performed in a seated position. The study  
13 purpose was to investigate the resolution processes during a choice stepping reaction  
14 task at the cortical level using electroencephalography and compare the results with a  
15 control task involving ankle dorsiflexion responses. Twelve young adults either  
16 stepped forward or dorsiflexed the ankle in response to a visual imperative stimulus  
17 presented on a computer screen. We used the Simon task and examined the error-  
18 related negativity (ERN) that follows an incorrect response and the correct-response  
19 negativity (CRN) that follows a correct response. Error was defined as an incorrect  
20 initial weight transfer for the stepping task and as an incorrect initial tibialis anterior  
21 activation for the control task. Results revealed that ERN and CRN amplitudes were  
22 similar in size for the stepping task, whereas the amplitude of ERN was larger than  
23 that of CRN for the control task. The ERN amplitude was also larger in the stepping  
24 task than the control task. These observations suggest that a choice stepping reaction  
25 task involves a strategy emphasizing post-response conflict and general performance

1 monitoring of actual and required responses and also requires greater cognitive load  
2 than a choice dorsiflexion reaction. The response conflict resolution processes appear  
3 to be different for stepping tasks and reaction tasks performed in a seated position.

4

5 **Keywords:**

6 choice stepping reaction task; error-related negativity; correct-response negativity;  
7 anticipatory postural adjustments; electroencephalography

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## 1 **Introduction**

2           Prevention of falls in the elderly population is a great public health focus.  
3 More than 30% of community-dwelling elderly adults >65 years of age fall at least  
4 once a year (Tinetti et al. 1988) or even in 6 months (Cevizci et al. 2015). The  
5 consequences of falls often include severe injuries and sometimes death (Kannus et al.  
6 2005). One of the risk factors recently identified to be related to falls in the elderly is  
7 poor volitional stepping performance (Lord and Fitzpatrick 2001; St George et al.  
8 2007; Pijnappels et al. 2010). Indeed, delayed execution time during volitional choice  
9 stepping was found to be a reliable and valid predictor of future falls (Ejupi et al.  
10 2014; Schoene et al. 2014; Delbaere et al. 2015).

11           The postural control required for volitional stepping includes anticipatory  
12 postural adjustments (APAs) that precede a lift off of the swing leg. During transition  
13 from a stable bipedal position to an unstable single-leg position, the tibialis anterior  
14 (TA) muscles co-activate and the center of pressure (COP) posteriorly and  
15 mediolaterally transfers toward the swing leg (Winter 1995; Halliday et al. 1998).  
16 This posterolateral transfer then creates the forces to propel the COP toward the  
17 standing leg and, thus, is essential for forward progression (Breniere and Do 1991;  
18 Elble et al. 1994; Burleigh and Horak 1996). Furthermore, APAs have been reported  
19 to be modulated by aging and several pathological conditions (Jacobs et al. 2009;  
20 Mancini et al. 2009; Kanekar and Aruin 2014), signifying their sensitivity and  
21 importance in the control of stepping movements.

22           One APA modulation that takes place while implementing volitional choice  
23 stepping is an incorrect initial transfer of COP toward the standing leg, which is  
24 defined as an APA error. It is supposed to account for delayed step execution because  
25 incorrect weight shift has to be corrected prior to step initiation (Cohen et al. 2011).

1 An increase in APA error rates with visual and auditory interferences, as well as  
2 ageing, suggests that executive function, especially inhibitory control, is responsible  
3 for this phenomenon (Sparto et al. 2013; Uemura et al. 2013; Watanabe et al. 2015).  
4 Given that poor executive function is a key contributor to incorrect APAs and delayed  
5 step execution, information processing and performance monitoring seem be the vital  
6 components of accurate judgments and successful recovery of postural responses. It  
7 is, therefore, essential to uncover the temporal nervous control involved in choice  
8 stepping behavior.

9 Information processing and performance monitoring are partly completed by  
10 the anterior cingulate cortex (ACC) that serves various cognitive control functions  
11 (Botvinick et al. 2001; Gehring and Fencsik 2001). Previous studies have identified a  
12 negative electroencephalographic (EEG) deflection possibly generated from the ACC,  
13 shortly after the error is committed, in an event-related brain potential (ERP)  
14 component (e.g., Gehring et al. 1993; Schreiber et al. 2011; Masaki et al. 2012). This  
15 is known as error-related negativity (ERN) and is normally larger than correct-related  
16 negativity (CRN) that can be found after a correct response. The functional  
17 significance of ERN was initially thought to be associated with error detection  
18 (Falkenstein et al. 1991; Gehring et al. 1993). Alternatively, the ERN was proposed to  
19 be a signal reflecting a response conflict due to a finding of the CRN (Vidal et al.  
20 2000). Although the exact role and origin of the ERN and CRN remain a matter for  
21 debate (e.g., Van Veen and Carter 2002; Luu et al. 2003; Debener et al. 2005), it is  
22 suggested that those two response-related negativities both reflect general  
23 performance monitoring, and the error signal is additionally reflected by the ERN  
24 (Falkenstein et al. 2000; Endrass et al. 2012). Furthermore, there is a growing body of  
25 literature describing the factors that modulate these negativities. For instance, it has

1 been reported that the ERN is sensitive to such factors as response forces, interference  
2 effects of stimulus-response compatibility (SRC) tasks, and error awareness (e.g.,  
3 Masaki et al. 2012; Armbrrecht et al. 2013; Navarro-Cebrian et al. 2013), whereas the  
4 CRN is sensitive to response uncertainty and strategy adjustments (e.g., Scheffers and  
5 Coles 2000; Pailing and Segalowitz 2004; Bartholow et al. 2005).

6 In contrast to choice reaction time (CRT) tasks involving upper-limb  
7 extremities, there are limited studies on conflict resolution at the cortical level with  
8 foot responses (Holroyd et al. 1998). In the area of a volitional stepping, we found no  
9 studies that investigated the conflict resolution processes at the cortical level. It is  
10 possible that distinct information processing and performance monitoring could take  
11 place during choice responses in a standing position that require higher cognitive and  
12 motor controls than those performed in a seated position (Lacour et al. 2008). The  
13 maintenance of balance that is necessary during a stepping movement may interfere  
14 with the conflict resolution and its cognitive process, inferring the potential  
15 implementation of strategic changes. Therefore, evaluating the cortical activities  
16 during volitional choice stepping could provide new insights into the integration of  
17 postural and executive functions. ERP components, such as ERN and CRN, may  
18 further serve as effective electrophysiological markers for declined postural control  
19 performance since abnormalities in those ERPs are reported in older individuals and  
20 those with movement disorders (e.g., Falkenstein et al. 2001; Stemmer et al. 2007).  
21 Accordingly, the purpose of the present study was to examine how response conflicts  
22 are resolved at the cortical level during volitional choice stepping. We had foot  
23 dorsiflexion serve as a control limb response (Vidailhet et al. 1993; Yazawa et al.  
24 1997), during which ERN amplitude is expected to be larger than CRN, to prove that  
25 our experimental paradigm would elicit ERN and CRN. We hypothesized that if APA

1 errors are processed during a choice stepping reaction task in the same manner as the  
2 foot dorsiflexion reaction, then the amplitude of ERN would be larger than CRN  
3 during a choice stepping reaction task.

4

## 5 **Methods**

### 6 *Subjects*

7 Twelve young adults (five females, mean age  $\pm$  SD = 22.8  $\pm$  1.7 years)  
8 recruited from Nagoya University School of Health Sciences participated in this  
9 study. They all reported that they were free of any history of neurological, psychiatric,  
10 or orthopedic disorders that could affect stepping behavior, and all had normal or  
11 corrected-to-normal vision. The experimental procedures were in accordance with the  
12 Declaration of Helsinki. After detailed explanation of the experiment, each subject  
13 provided written informed consent.

14

### 15 *Experimental protocol*

16 The experiment consisted of two tasks: a volitional choice stepping reaction  
17 time task and a CRT task with ankle dorsiflexion responses. In the stepping task, the  
18 subjects stood barefoot on a force plate and maintained a stationary standing position  
19 with both arms at their sides. Each foot was placed 5 cm away from a centerline  
20 drawn on the force plate. Subject weight was distributed equally, and maintained by  
21 monitoring the COP position online. The subjects fixed their gaze on a cross sign  
22 presented at the center of a computer screen set just below eye level at a 1.0-m  
23 distance. They were instructed to step forward as quickly and accurately as possible in  
24 response to a visual imperative stimulus of an arrow appearing on the same screen  
25 and then return to the same stationary starting position. The subjects stepped forward

1 with the corresponding side onto a wood plate that was placed in front of the force  
2 plate and then subjects brought the other side alongside the first foot. In the CRT task  
3 with ankle dorsiflexion responses, subjects sat on an armchair and were instructed to  
4 gaze upon a cross sign presented at the center of the computer screen at a 1.0-m  
5 distance. They dorsiflexed the ankle as quickly and accurately as possible in response  
6 to the same stimulus used in the stepping task.

7         For both tasks we applied a Simon task that comprised of congruent (response  
8 and location of the stimulus are the same) and incongruent (response and location of  
9 the stimulus are different) conditions (Simon 1990), since this had reliably induced a  
10 sufficient number of APA errors in previous studies (Sparto et al. 2013; Watanabe et  
11 al. 2015). In each trial, one of four arrow stimuli (two locations  $\times$  two directions) was  
12 pseudorandomly presented (Fig. 1), and the response side (left or right) was  
13 determined based on the pointing direction of the arrow, irrespective of the arrow  
14 location. The visual imperative stimulus was presented for 500 ms, and one single  
15 trial was 7-s long. The cross sign was presented throughout the experiment (Fig. 1).  
16 Following a practice block of about 50 trials, subjects completed four blocks of 50  
17 trials (25 congruent and 25 incongruent conditions) with short breaks between them  
18 for each task. The order of tasks was interchanged randomly among subjects.

### 19 *Data recording*

20         We recorded EEG and electromyographic (EMG) signals for the two tasks.  
21 The EEG signals were recorded with Ag/AgCl electrodes using a digital EEG  
22 instrument (Nihon Kohden, Tokyo, Japan). They were amplified, filtered at 0.01–200  
23 Hz, and stored for offline analysis. The recording locations were Fz, FCz, and Cz,  
24 determined according to the International 10–20 system. Each electrode was  
25 referenced to the average of the right and left ear lobes. A vertical and horizontal



1 electrooculogram (EOG) was also recorded using electrodes placed above and lateral  
2 to the right eye. The impedances were maintained below 5 k $\Omega$  throughout the  
3 experiment. Surface EMG signals were recorded from the TA muscles bilaterally  
4 using a conventional EMG machine (Nihon Kohden, Tokyo, Japan). The signals were  
5 amplified and filtered at 1–1000 Hz. Ag/AgCl surface electrodes were attached to the  
6 belly of each muscle after cleaning and gentle abrasion of the skin. In the stepping  
7 task, the ground reaction forces and the COP during step executions were additionally  
8 recorded using a force plate (Tec Gihan, Kyoto, Japan). All signals (EEG, EOG,  
9 EMG, and ground reaction forces) were recorded at a sampling rate of 1000 Hz. The  
10 generation of visual imperative stimuli and the signal acquisitions were performed  
11 using a customized LabVIEW program (National Instruments, Austin, TX, USA).

12

### 13 *Data analysis*

14         The offline data analysis was performed in Matlab (MathWorks, Natick, MA,  
15 USA) using customized scripts. To determine the TA EMG onset for the two tasks,  
16 we initially band-pass filtered the EMG signals obtained from the bilateral TAs with a  
17 fourth-order zero phase lag Butterworth filter with cut-off frequencies of 50–250 Hz.  
18 The TA EMG onsets were defined as the time at which the EMG amplitude was  
19 above three SD for at least 5 ms from the mean value calculated over a 500-ms pre-  
20 stimulus interval. The validity of the onset detection was additionally verified visually  
21 and adjusted manually when necessary. For the stepping task, given that the bilateral  
22 TAs co-activated when initiating the APA (Assaiante et al. 2000; Mickelborough et  
23 al. 2004; Delval et al. 2012) and that we had also confirmed that the left and right TA  
24 EMG onsets were not different ( $p > 0.05$ ), the shorter latency was chosen as the trial

1 onset (Lin and Yang 2011). For the dorsiflexion task, the trial onset was determined  
2 to be the latency of initial TA EMG activation of either left or right foot.

3 For the stepping task, the COP data recorded from the force plate were low-  
4 pass filtered at 50 Hz using a fourth-order zero phase lag Butterworth filter and were  
5 baseline-corrected with respect to a 500-ms pre-stimulus interval. In order to  
6 differentiate the APA initiation from mere oscillation of COP, its onset was defined  
7 using the COP movement speed (Delval et al. 2012). A threshold was set as follows:  
8 the COP speed  $> 100$  mm/s for at least 3 ms. The APA errors were identified by the  
9 mediolateral deviation of COP toward the stance leg for at least 4 mm (Fig. 2)  
10 (Watanabe et al. 2015). For the dorsiflexion task, errors were identified as the initial  
11 TA EMG activation of the incorrect foot.

12 The obtained EEG signals were band-pass filtered at 1–15 Hz using a fourth-  
13 order zero phase lag Butterworth filter and segmented into epochs time-locked to the  
14 EMG onset. The frequency of the high-pass filter was chosen based on previous  
15 studies (Masaki et al. 2012; Grutzmann et al. 2014). They were further baseline-  
16 corrected with respect to a 100-ms pre-response interval. Prior to averaging the  
17 epochs, we excluded those contaminated with artifacts such as eye movements. The  
18 EEG signals were then averaged separately for correct and incorrect trials in each  
19 task, in order to evaluate the CRN and ERN. The CRN and ERN amplitudes were  
20 defined as peak-to-peak differences of the most negative peak found over the period  
21 of a 250-ms post-response interval and the positive peak preceding it.

22

### 23 *Statistical analysis*

24 Before performing the statistical analysis, trials with onsets faster than 100 ms,  
25 trials with complete stepping errors in the stepping task, and trials with incorrect TA

1 EMG activity that appeared shortly after the correct TA EMG activity in the  
2 dorsiflexion task, were removed (5.9%). We also combined the left- and right-leg  
3 response data for each subject. Due to a high number of eye movement artifacts, three  
4 subjects were excluded from the statistical analysis of the ERP waveforms.

5         The error rates and TA EMG onsets on correct response trials were subjected  
6 to a two-factor repeated-measure analysis of variance (ANOVA) with the task types  
7 (stepping/dorsiflexion) and conditions (congruent/incongruent) as within-subject  
8 factors. The COP onsets on correct response trials were compared using a paired *t*-  
9 test. The ERP waveforms were subjected to a three-factor repeated-measure ANOVA  
10 with the task types, the response type (error/non-error), and electrode (Fz, FCz, or Cz)  
11 as within-subject factors. The degrees of freedom of *F* ratios were adjusted with a  
12 Greenhouse–Geisser correction when the sphericity assumption was violated. Post-  
13 hoc comparisons were performed with the Bonferroni test in case of significant main  
14 effects or interactions. Additionally, we examined the association between the  
15 interference effect, computed from the error rates of congruent and incongruent  
16 conditions, and the amplitude of ERP waveforms, using a Pearson’s correlation  
17 coefficient. Furthermore, the motor time (time from TA EMG onset to COP onset) of  
18 the congruent condition was compared with that of the incongruent condition using a  
19 paired *t*-test, in order to examine the effect of the interference on the motor time.  
20 Finally, to examine if a learning or fatigue effect existed, we compared, using a paired  
21 *t*-test, the mean error rates and reaction onsets of trials (congruent and incongruent  
22 trials combined) in the first block with those in the last block for the stepping task.  
23 We used SPSS (IBM, Armonk, NY, USA) for all statistical analyses with a  
24 significance level of 0.05.

25

## 1 **Results**

### 2 *Error rates*

3           Figure 3 shows the mean error rates of congruent and incongruent conditions  
4 for each task. The mean error rate (mean  $\pm$  SE) in congruent trials was  $10.2 \pm 1.8\%$   
5 for the stepping task and  $4.6 \pm 1.5\%$  for the dorsiflexion task. The mean error rate  
6 (mean  $\pm$  SE) in incongruent trials was  $74.6 \pm 3.5\%$  for the stepping task and  $44.8 \pm$   
7  $6.9\%$  for the dorsiflexion task. A two-factor ANOVA revealed the main effects of  
8 task ( $F_{(1,11)} = 27.46, p < 0.001$ ) and condition ( $F_{(1,11)} = 150.15, p < 0.001$ ). The  
9 interaction between task and condition was also significant ( $F_{(1,11)} = 18.11, p =$   
10  $0.001$ ), which was due to the finding that the difference in error rates between the  
11 congruent and incongruent conditions was greater for the stepping task than the  
12 dorsiflexion task. Post-hoc analysis indicated that the error rate was significantly  
13 higher in the incongruent conditions ( $p < 0.001$ ). In addition, there was no significant  
14 difference in the error rate between the first and last blocks of the stepping task ( $p =$   
15  $0.44$ ), indicating that there was no learning effect as the blocks progressed.

16

### 17 *Reaction onsets*

18           Figure 4 shows the mean reaction onsets of correct response trials. The TA  
19 EMG onsets of the congruent and incongruent conditions in each task, as well as the  
20 COP onsets in the stepping task, are presented. A two-factor ANOVA for the TA  
21 EMG onsets revealed the main effects of task ( $F_{(1,11)} = 94.86, p < 0.001$ ) and  
22 condition ( $F_{(1,11)} = 57.19, p < 0.001$ ) as well as their interaction ( $F_{(1,11)} = 57.11, p <$   
23  $0.001$ ). A simple effects analysis indicated that the TA EMG onsets of the  
24 incongruent condition were significantly longer than those of the congruent condition  
25 ( $p < 0.001$ ), and that TA EMG onsets of the stepping task were significantly longer

1 than those of the dorsiflexion task ( $p < 0.001$ ). The revealed interaction can be  
2 attributed to the magnitude of difference in the TA EMG onsets between the  
3 congruent and incongruent conditions. The COP onsets of the incongruent condition  
4 were found to be significantly longer than those of the congruent condition ( $p <$   
5  $0.001$ ). Furthermore, the motor time was significantly longer in the incongruent than  
6 congruent condition, indicating some additional processing occurred between the TA  
7 EMG and COP onsets in the incongruent condition for the stepping task. In addition,  
8 there was no significant difference in the reaction onsets between the first and last  
9 blocks of the stepping task ( $p = 0.74$ ), indicating that there was no fatigue effect as the  
10 blocks progressed.

11

#### 12 *Event-related potential data*

13 Figure 5 depicts the response-locked grand-average ERP waveforms for error  
14 and correct trials at Fz, FCz, and Cz. The response-related negativity (i.e., ERN/CRN)  
15 was evident as a sharp negative deflection, peaking around 150 ms after the EMG  
16 response. A three-factor ANOVA revealed the main effects of task (Fz:  $F_{(1,8)} = 11.40$ ,  
17  $p = 0.010$ ), error ( $F_{(1,8)} = 7.52$ ,  $p = 0.025$ ), and their interaction ( $F_{(1,8)} = 13.37$ ,  $p =$   
18  $0.006$ ). A simple effects analysis indicated that both ERN ( $p = 0.027$ ) and CRN ( $p =$   
19  $0.003$ ) were significantly larger in the stepping task than the dorsiflexion task.  
20 Furthermore, the ERN was significantly larger than CRN in the dorsiflexion task ( $p <$   
21  $0.001$ ) but not in the stepping task ( $p = 0.925$ ), explaining the nature of the interaction  
22 found between the task and error. Post-hoc analysis confirmed this and indicated  
23 statistical significance as follows: Fz ( $p = 0.001$ ), FCz ( $p < 0.001$ ), and Cz ( $p =$   
24  $0.001$ ). In addition, the interference effect correlated with the amplitude of the ERN ( $r$

1 = 0.70,  $p = 0.037$ ) and the CRN ( $r = 0.72$ ,  $p = 0.029$ ) at Fz in the stepping task but not  
2 in the dorsiflexion task.

3

#### 4 **Discussion**

5       The present study investigated information processing and performance  
6 monitoring during a choice stepping reaction time task and a CRT task with ankle  
7 dorsiflexion responses, using a Simon task. Consistent with previous studies (e.g.,  
8 Masaki et al. 2012), the error rate was higher in the incongruent condition, and the  
9 onset time of the correct response was shorter in the congruent condition for both  
10 tasks, indicating the presence of interference effects. More importantly, we were  
11 interested in clarifying the difference in the processes of response conflict resolution  
12 between the stepping reaction and the limb reaction performed in a seated position.  
13 We evaluated the response-related negativities that can be observed just after correct  
14 and incorrect responses, and revealed that the amplitude of ERN was larger than that  
15 of CRN in the dorsiflexion task whereas the amplitudes of ERN and CRN were not  
16 different in the stepping task, contrary to our hypothesis. Furthermore, the amplitude  
17 of the ERN was larger during the stepping task than the dorsiflexion task. These  
18 findings indicate that a strategy implemented to resolve response conflict during a  
19 choice stepping reaction would be distinct from a strategy implemented during a CRT  
20 task with limb responses, and that correcting the APA error would be cognitively  
21 more difficult than correcting an error committed in a seated position.

22       There has been considerable debate about the functional implication of CRN.  
23 Our results show that the CRN amplitude was smaller than the ERN amplitude in the  
24 dorsiflexion task, which is consistent with previous studies applying SRC tasks with  
25 upper- and lower-limb responses (e.g., Holroyd et al. 1998; Hajcak et al. 2005;

1 Masaki et al. 2012). On the other hand, the amplitudes of CRN and ERN were similar  
2 in size for the stepping task. It has been reported that the CRN amplitude increases  
3 when there is response uncertainty (Scheffers and Coles 2000; Pailing and Segalowitz  
4 2004). This explanation, however, seems unlikely since stimulus degradation or the  
5 dual attention procedure employed in previous studies to alter response certainty was  
6 not used in the present study. Another factor that could contribute to the CRN  
7 amplitude is a response strategy employed by individuals. The CRN amplitude relates  
8 to the temporal allocation of cognitive control (Luu et al. 2000; Bonnefond et al.  
9 2011; Grutzmann et al. 2014). In particular, Grutzmann et al. (2014) manipulated the  
10 frequency of congruent and incongruent trials, latently having the subjects allocate  
11 their cognitive control to either pre-response or post-response, and suggested that  
12 enhanced cognitive control of post-response conflict increases the CRN amplitude,  
13 whereas that of pre-response stimulus conflict decreases it. In this study, the CRN  
14 amplitude became larger and similar to the ERN amplitude in the stepping task  
15 without a conflict frequency manipulation. Therefore, a response strategy that places  
16 more emphasis on the post-response conflict resolution may be chosen and judged to  
17 be most suitable to comply with a choice stepping reaction task, since APA errors,  
18 even if committed, can be corrected in the period between reaction and foot lift  
19 without making a full stepping error. This assumption is further supported by our  
20 behavioral data of the motor time. It has been shown that motor time is not influenced  
21 by the interference induced by the Simon task (Hasbroucq et al. 1999; Burle et al.  
22 2002), and thus it should be the same duration for the congruent and incongruent  
23 conditions. In the stepping task, however, motor time was longer in the incongruent  
24 condition than the congruent condition, indicating the presence of cognitively guided  
25 adjustment after initiating the APA but before reaching the level of APA error.

1 Furthermore, there was a significant correlation between the CRN amplitude and the  
2 interference effect in the stepping task, which implies that the error rate increases as  
3 one induces more cognitive effort to the post-response conflict and less cognitive  
4 effort to the stimulus conflict. In addition, APAs can be prepared or preprogrammed  
5 before the presentation of an imperative stimulus during a stepping task (MacKinnon  
6 et al. 2007; Delval et al. 2012; Watanabe et al. in press), potentially indicating that  
7 individuals may start a stepping sequence with a prepared APA and adjust it when it  
8 is incorrect; inadequate adjustments would result in APA errors. Accordingly, post-  
9 response cognitive control of incorrectly prepared APAs conceivably contributed to  
10 the increased CRN amplitude.

11 An additional explanation for the similar-sized ERN and CRN amplitudes  
12 involves the neural processes underlying those two negativities. Although it has long  
13 been proposed that ERN and CRN share a single functional process that is activated  
14 more after an error response than a correct response (Vidal et al. 2000; Vidal et al.  
15 2003), emerging evidence suggests that they reflect two different processes, one for  
16 general performance monitoring, and the other for an error signal (Falkenstein et al.  
17 2000; Luu and Tucker 2001; Endrass et al. 2012). The finding that those two  
18 negativities are affected differently by several pathological conditions as well as aging  
19 further supports the latter view (e.g, Araki et al. 2013; Carrasco et al. 2013). In line  
20 with this perspective, Schreiber et al. (2011) revealed similar-sized ERN and CRN  
21 amplitudes in the elderly and indicated that the elderly participants might have  
22 increased general performance monitoring and decreased error-specific monitoring. In  
23 the present study, it is plausible that the general performance monitoring of actual and  
24 required responses has been emphasized in the stepping task, and the error-specific  
25 monitoring has mainly been active in the dorsiflexion task. This notion is further



1 supported by the finding of a significant correlation between the ERN/CRN amplitude  
2 and the interference effect only in the stepping task, assumedly indicating that the  
3 cognitive resource was allocated primarily to carefully monitor the response in the  
4 stepping task and to detect errors in the dorsiflexion task.

5         Along the same line of discussion, types of error may have contributed to the  
6 current finding of similar-sized ERN and CRN amplitudes. APA errors are brief,  
7 covert, and are likely corrected by initiating a step with the appropriate leg as  
8 mentioned above. In the current study, only a few complete stepping errors occurred  
9 and were thus excluded from the data analysis. As aware errors are reported to  
10 produce larger ERN amplitudes than non-aware errors do (Wessel et al. 2011),  
11 although the findings are inconsistent (Hughes and Yeung 2011), ERN amplitude may  
12 have become smaller because APA errors were not recognized as definite errors in  
13 some trials. The greater ERN amplitude than CRN amplitude might have been  
14 observed if EEG signals from trials with complete stepping errors, which are highly  
15 unlikely to occur in young individuals, were averaged.

16         In addition to factors discussed already, there is a possibility that cortical  
17 activities related to stepping movement itself have influenced the ERN and CRN.  
18 During movement, movement-related potentials (MRPs), which are the averaged ERP  
19 components triggered by movement onset, can be recorded (Shibasaki and Hallett  
20 2006). MRPs are reported to reflect movement preparation, execution, and kinesthetic  
21 feedback (Shibasaki et al. 1981), and have been observed in various movements,  
22 including stepping (do Nascimento et al. 2005; Varghese et al. 2016) and dorsiflexion  
23 (Shibasaki et al. 1981). In the present study, as MRPs can be larger during standing  
24 than sitting (Yoshida et al. 2008), the MRP amplitude might have been substantially  
25 larger in the stepping task than the dorsiflexion task, potentially overlapping and

1 masking the ERN and CRN. There might have been greater cortical activity  
2 associated with the movement than error processing or performance monitoring  
3 during the stepping task.

4         It may be argued that the shorter reaction onset and higher error rate in the  
5 stepping task than the dorsiflexion task were simply the consequence of speed-  
6 accuracy trade-off. Although we did not instruct the subjects to focus on speed, they  
7 may have unintentionally selected such a strategy in the stepping task. Previous ERP  
8 studies have, however, demonstrated smaller ERNs when the emphasis is on speed  
9 over accuracy (Gehring et al. 1993; Arbel and Donchin 2009), which contradicts our  
10 ERN results, as described below. Therefore, in accordance with the argument above,  
11 we suggest that both speed and accuracy are emphasized during a choice stepping  
12 reaction task. The APA appears to be monitored attentively and carefully throughout  
13 the APA duration in order to avoid APA errors as well as complete stepping errors,  
14 signifying the cognitive control of post-response conflict. The subjects possibly  
15 selected this strategy to comply with the instruction “as quickly and accurately as  
16 possible.”

17         In addition to the similar-sized ERN and CRN amplitudes, the ERN amplitude  
18 was larger in the stepping task than in the dorsiflexion task. One explanation could be  
19 that the MRP, which might have increased in amplitude due the standing position,  
20 potentially masked the ERN in the stepping task, as noted previously. The other  
21 explanation is the heightened response force and post-response cognitive load. It has  
22 been reported that the greater the strength of response force, the higher the amplitude  
23 of the ERN (de Bruijn et al. 2003; Armbrecht et al. 2013). Since the stepping task  
24 involves whole-body movements and thus requires greater action modifications  
25 during the post-response conflict process in order to correct APA errors, we suggest

1 that higher erroneous response force and its associated cognitive load have  
2 contributed to the greater amplitude of the ERN. In accordance with this view, the  
3 amount of interference induced by the SRC paradigms has been shown to be  
4 associated with the amplitude of the ERN (Masaki and Segalowitz 2004; Masaki et al.  
5 2012). Even though we applied the same SRC paradigms to both tasks, it might have  
6 been more difficult to correct the induced interference, thus resulting in more errors in  
7 the stepping task than the dorsiflexion task, as the behavioral data of the error rates  
8 confirm (Fig. 3). The generation of APA is also known to involve the basal ganglia,  
9 premotor cortex, supplementary motor area, and motor cortex (Massion 1992; Chang  
10 et al. 2010), and its quality declines as people age (Woollacott and Manchester 1993;  
11 Kanekar and Aruin 2014), suggesting that control of APA is quite complicated.  
12 Hence, the post-response conflict resolution required during a choice stepping  
13 reaction task seems to be a challenging task.

14         The ability to initiate a volitional step quickly and appropriately in response to  
15 an environmental stimulus is necessary for maintaining balance and avoiding falls.  
16 APA errors can delay volitional step execution time, and have been reported to be  
17 associated with fall risks in the elderly (Ejupi et al. 2014; Schoene et al. 2014;  
18 Delbaere et al. 2015). It has also been suggested that APA errors are related to  
19 inhibitory function (Cohen et al. 2011; Sparto et al. 2013; Uemura et al. 2013;  
20 Watanabe et al. 2015). The present study suggests that there is a tendency to solve  
21 response conflict after initiating an APA but before committing a complete stepping  
22 error; APA errors occur when an inappropriately initiated APA could not be inhibited.  
23 These indications suggest that more inhibitory control is implemented after rather  
24 than before responding to a stimulus during a choice stepping reaction. Older  
25 individuals with a higher risk of falls may therefore be more likely to react

1 impulsively to a stimulus and have less capacity to inhibit the inappropriately initiated  
2 APA, resulting in multiple APAs (i.e., APA errors). Not only inhibitory function but  
3 also impulsivity can be an important factor for preventative interventions (Morales-  
4 Vives and Vigil-Colet 2012). However, previous studies with experiments conducted  
5 in a seated position have shown that older individuals prefer accuracy over speed with  
6 longer RTs and smaller error rates (e.g., Smith and Brewer 1995; Sharp et al. 2006).  
7 Therefore, evaluating the strategy selection as well as the cortical processing during a  
8 choice stepping reaction task in the elderly may be interesting and could be a subject  
9 for a future study.

10         Despite the importance of the current findings that have extended knowledge  
11 of the cognitive activities that occur during a choice stepping reaction task, there were  
12 several limitations. First, the cognitive load might have been enhanced in the stepping  
13 task by the instruction to balance the weight evenly on both legs before initiating a  
14 step. Even though the potential effects of this on our results should be acknowledged,  
15 weight distribution on one side had to be avoided to accurately measure the APA  
16 initiation (Shinya et al. 2009). Secondly, as we were unable to locate neural sources,  
17 we cannot comment on the neural origin of the negative ERP component identified in  
18 the present study. Although the exact origins of ERN and CRN are still under  
19 investigation, identifying the neural sources could have strengthened our discussion.  
20 Lastly, this study was conducted in younger individuals, and the effect in older  
21 individuals at risk of falling is unknown, requiring further investigation.

22         To our knowledge, this was the first study investigating the cortical activities  
23 during a choice stepping reaction task. Specifically, we examined two ERP  
24 components, namely the ERN and CRN, in a choice stepping reaction time task and a  
25 CRT task involving ankle dorsiflexion responses, and revealed that the ERN and CRN

1 were similar in size in the stepping task while the ERN amplitude was larger than the  
2 CRN amplitude in the dorsiflexion task. Furthermore, the amplitude of the ERN in the  
3 stepping task was larger than that in the dorsiflexion task. Although it should be noted  
4 that cortical activity associated with motor aspect of the tasks and the task instruction  
5 potentially influenced the results, these findings might indicate that a strategy  
6 emphasizing post-response conflict resolution and general performance monitoring of  
7 responses is primarily employed during a choice stepping reaction task. It is also  
8 suggested that extensive physical and cognitive load is required to control and modify  
9 APAs. The current findings advance our understanding of response conflict resolution  
10 during choice stepping reaction tasks.

11

## 12 **Conflict of interest**

13 The authors declare that they have no conflict of interest.

14

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1 **Figure Captions**

2

3 **Fig. 1** Experimental design for the Simon task. The visual imperative stimulus was  
4 presented for 500 ms with a 6.5-s inter-trial interval. The Simon task consisted of  
5 congruent and incongruent conditions. The subject responded to one of four stimuli  
6 presented on the right side

7

8 **Fig. 2** Representative step execution data for trials with correct (above) and incorrect  
9 (below) responses. The correct-response trial shows one single anticipatory postural  
10 adjustment (APA), whereas the incorrect-response trial shows two APAs (i.e., APA  
11 error). Time 0 indicates the presentation of a visual imperative stimulus and the arrow  
12 denotes the APA onset

13

14 **Fig. 3** Mean error rate for stepping and dorsiflexion tasks. The gray column represents  
15 congruent trials and the black column represents incongruent trials. Error bars are  
16 standard error of the mean

17

18 **Fig. 4** Mean reaction onset on correct trials for stepping (left) and dorsiflexion tasks  
19 (right). The solid line represents the mean tibialis anterior (TA) electromyographic  
20 (EMG) activity onset, and the dashed line represents the initiation onset of center of  
21 pressure (COP). Error bars are standard error of the mean

22

23 **Fig. 5** Representative data of response-locked event-related brain potential (ERP)  
24 waveforms obtained at Fz, FCz, and Cz for correct and incorrect responses during  
25 stepping (left column) and dorsiflexion tasks (right column). Each line represents as



- 1 follows: the thick solid line for correct APA trial, the thick dashed line for incorrect
- 2 APA trial, the thin solid line for correct dorsiflexion trial, and the thin dashed line for
- 3 incorrect dorsiflexion trial
- 4
- 5