

1 **Metachronous Germ Cell Tumors of the Mediastinum**

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3 **Running Head:** METACHRONOUS MEDIASTINAL GERM CELL TUMORS

4

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11 Word Count: 1616 words

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1 **Abstract**

2 We herein report a rare case of mediastinal nonseminomatous germ cell tumor (NSGCT)
3 arising after 2 complete resections of mediastinal mature teratomas 18 and 10 years prior.
4 After three cycles of chemotherapy for the mediastinal NSGCT, the serum alpha-fetoprotein
5 and beta human chorionic gonadotropin levels were normalized. However, chest radiography
6 revealed that the mediastinal tumor had remarkably increased in size, and thus growing
7 teratoma syndrome was diagnosed. He underwent urgent resection of the tumor, and a
8 pathologic examination showed an encapsulated mature teratoma without any malignant
9 viable cells. The patient was well without disease 54 months after the third operation.

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1 There have been few reports on multiple germ cell tumors (GCTs) arising primarily in the
2 mediastinum. We herein report a rare case of mediastinal nonseminomatous GCT (NSGCT)
3 that developed after two complete resections of metachronous mediastinal mature teratomas.

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5 A 69-year-old man was referred to our hospital because of a large anterior mediastinal mass.

6 Chest roentgenogram performed during a medical checkup showed a mass 10 cm in diameter

7 on the left side of the anterior mediastinum (Figure 1a). He had undergone 2 complete

8 resections of mediastinal mature teratoma at 18 years and 10 years prior to this presentation

9 without any preoperative treatment. The first one was 5 cm in size and removed by

10 tumorectomy via median sternotomy, while the second one was 8 cm in size and noted on the

11 right side of the mediastinum at a follow-up radiological examination 8 years later. He

12 underwent complete surgical removal of the second tumor by tumorectomy via right

13 thoracotomy. Notably, the preoperative serum tumor markers were within normal ranges on

14 both occasions.

15 The metachronous third mediastinal tumor was revealed as a lobulated cystic mass

16 measuring $10.0 \times 9.0 \times 8.0$ cm with calcification on computed tomography (CT) (Figure 1b).

17 Positron emission tomography-computed tomography (PET-CT) showed the intermediate

18 accumulation (maximal standard uptake value [SUV_{max}], 4.1) of fluorodeoxyglucose (FDG)

19 heterogeneously within the tumor (Figure 1c). Although no malignant tumor cells were

1 detected on a preoperative needle biopsy, the serum alpha-fetoprotein (AFP) and beta human
2 chorionic gonadotropin (HCG) levels were elevated (839 ng/mL [normal range: < 20 ng/mL]
3 and 7.7 IU/L [normal range: < 5.0 IU/L] respectively), and the tumor was clinically
4 diagnosed as mediastinal NSGCT such as yolk sac tumor, embryonal carcinoma, or these
5 mixtures.

6 After the patient received three cycles of chemotherapy consisting of bleomycin,
7 etoposide and cisplatin, the serum levels of both tumor markers returned to the normal
8 ranges, but chest roentgenogram and CT revealed that the mediastinal mass had remarkably
9 increased in size, measuring 15 × 13 × 10 cm (Figure 2a, b). In contrast, PET-CT revealed
10 that the SUVmax of the tumor had decreased to 2.5 following chemotherapy (Figure 2c).
11 Thus, a diagnosis of growing teratoma syndrome (GTS) was made, and the patient underwent
12 an urgent operation.

13 The surgical approach undertaken was re-median sternotomy with left anterior
14 thoracotomy (hemiclamshell incision). The tumor consisted of an apparently intact fibrotic
15 capsule firmly attached to the pericardium. En-bloc resection of the tumor with the residual
16 thymus tissue, left upper lobe of the lung, left phrenic nerve and pericardium was performed,
17 and the resected specimen weighed 576 g. A pathologic examination of the tumor revealed an
18 encapsulated mature teratoma without any other malignant components, such as NSGCT
19 (Figure 3).

1 The patient developed a postoperative complication of severe respiratory distress that
2 required mechanical ventilation for one week after the operation. He was discharged on the
3 14th postoperative day and received no adjuvant therapy. Fifty-four months after the third
4 operation, he was well with no evidence of disease on CT, and the serum tumor marker levels
5 were within normal limits.

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7 **Comment**

8 GCTs are a group of neoplasms that characteristically arise in the testes and ovaries. They
9 occasionally develop in extragonadal sites, however, usually along the body midline,
10 including the mediastinum, retroperitoneum, pineal gland and other sites. GCTs are
11 hypothesized to migrate to these sites during embryonic gonadal ridge development.
12 Mediastinal GCTs account for approximately 10% to 15% of all anterior mediastinal tumors.
13 In terms of the origin of mediastinal GCTs, Knapp et al. incidentally found a small
14 encapsulated GCT within the thymus tissue during an autopsy [1], and Lattes et al. reported
15 that most mediastinal GCTs arise near the thymus or within the thymic parenchyma [2].

16 Multiple mediastinal GCTs have seldom been reported because of their rarity. To our
17 knowledge, this is the first case of the development of mediastinal NSGCT after two
18 resections of metachronous mediastinal mature teratomas. In this case, because the two prior
19 tumors were completely resected with negative margins and represented a favorable histology

1 (mature teratoma without evidence of malignancy), we assessed that this sequential arising of
2 tumors was not local recurrence but rather metachronous multiple primary GCTs developing
3 from the residual thymic tissue. Alternatively, some parts of the third teratoma may have
4 transformed into malignant NSGCT due to long-standing mature teratoma over a period of 10
5 years, chronic inflammation and irritation due to 2 previous instances of surgical treatment
6 [3].

7 The third mediastinal tumor was suspected to be mature teratoma based on a
8 transthoracic needle biopsy conducted before chemotherapy. However, the tumor was
9 clinically diagnosed as mediastinal NSGCT because the serum levels of the tumor markers
10 were significantly elevated. Nichols et al. reported that patients with benign teratoma are, by
11 definition, marker negative, and that significant elevations of HCG or AFP imply that the
12 tumor has a malignant component [4]. They also noted that the significant elevation of AFP is
13 diagnostic of a nonseminomatous component in malignant tumors. In the present case,
14 PET-CT was also useful for clinically differentiating NSGCT from teratoma. Stephens et al.
15 reported that a significant association was found between the SUV and the histology when
16 viable NSGCTs were compared to teratomas [5]. In addition, the small amount of tumor
17 tissue obtained by a needle biopsy does not always enable a pathologist to accurately
18 differentiate teratoma from malignant GCTs [6].

19 Mediastinal teratoma is generally treated by complete excision of the tumor via median

1 sternotomy or thoracotomy, and this is usually curative [7]. No reports have suggested that
2 patients with mediastinal mature teratoma should undergo total thymectomy combined with
3 tumor resection. However, if total thymectomy had been performed at the first operation in
4 the present patient, he would not have developed the second teratoma or the malignant
5 NSGCT. To prevent the development of second or more tumors, total thymectomy may be
6 appropriate in patients with mediastinal teratoma if resection can be performed without
7 excision of the adjacent structures.

8 GTS, which is characterized by the enlargement of masses despite the administration of
9 appropriate systemic chemotherapy and the normalization of serum marker levels, was first
10 described by Logothetis et al. in 1982 [8]. It represents a rare event among mediastinal
11 NSGCTs patients. The diagnosis is confirmed by both the presence of mature teratoma and
12 the absence of any malignant germ cells in the final pathological results. Our patient mostly
13 met these criteria. We considered that surgical excision in this setting was advisable because
14 of the confirmation of viable malignant cells and the risk of cardiopulmonary deterioration
15 secondary to mediastinal compression of the adjacent structures [9].

16 In conclusion, we herein described a 69-year-old male with metachronous mediastinal mature
17 teratomas and mediastinal NSGCT that developed GTS after chemotherapy. Total
18 thymectomy with resection of the primary teratoma may be preferable to prevent the
19 development of multiple GCTs.

1 **Conflict of interest**

2 The authors declare no conflicts of interest in association with this study.

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1 **References**

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5 the intermediate accumulation (SUVmax of 4.1) of fluorodeoxyglucose heterogeneously
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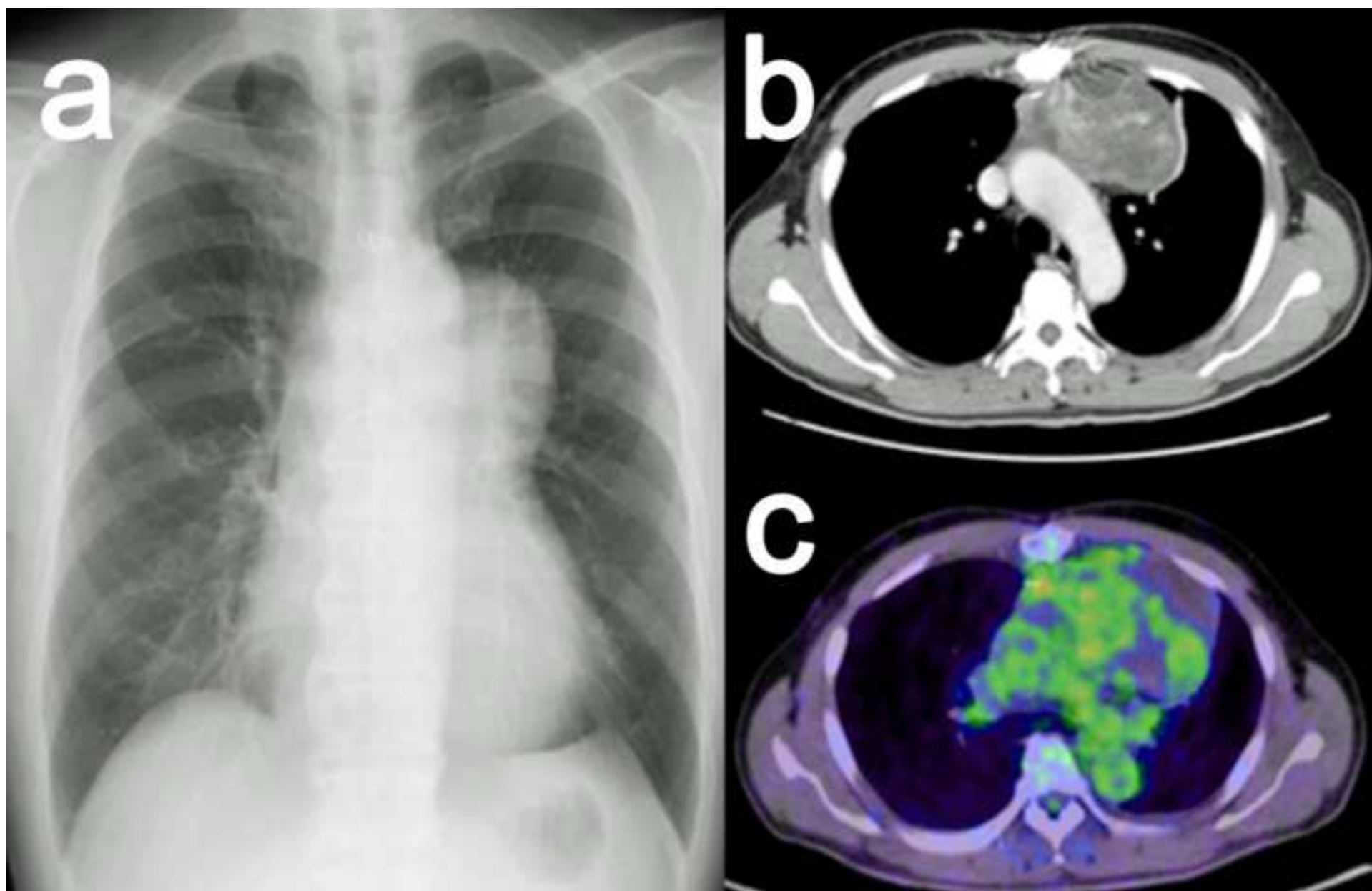
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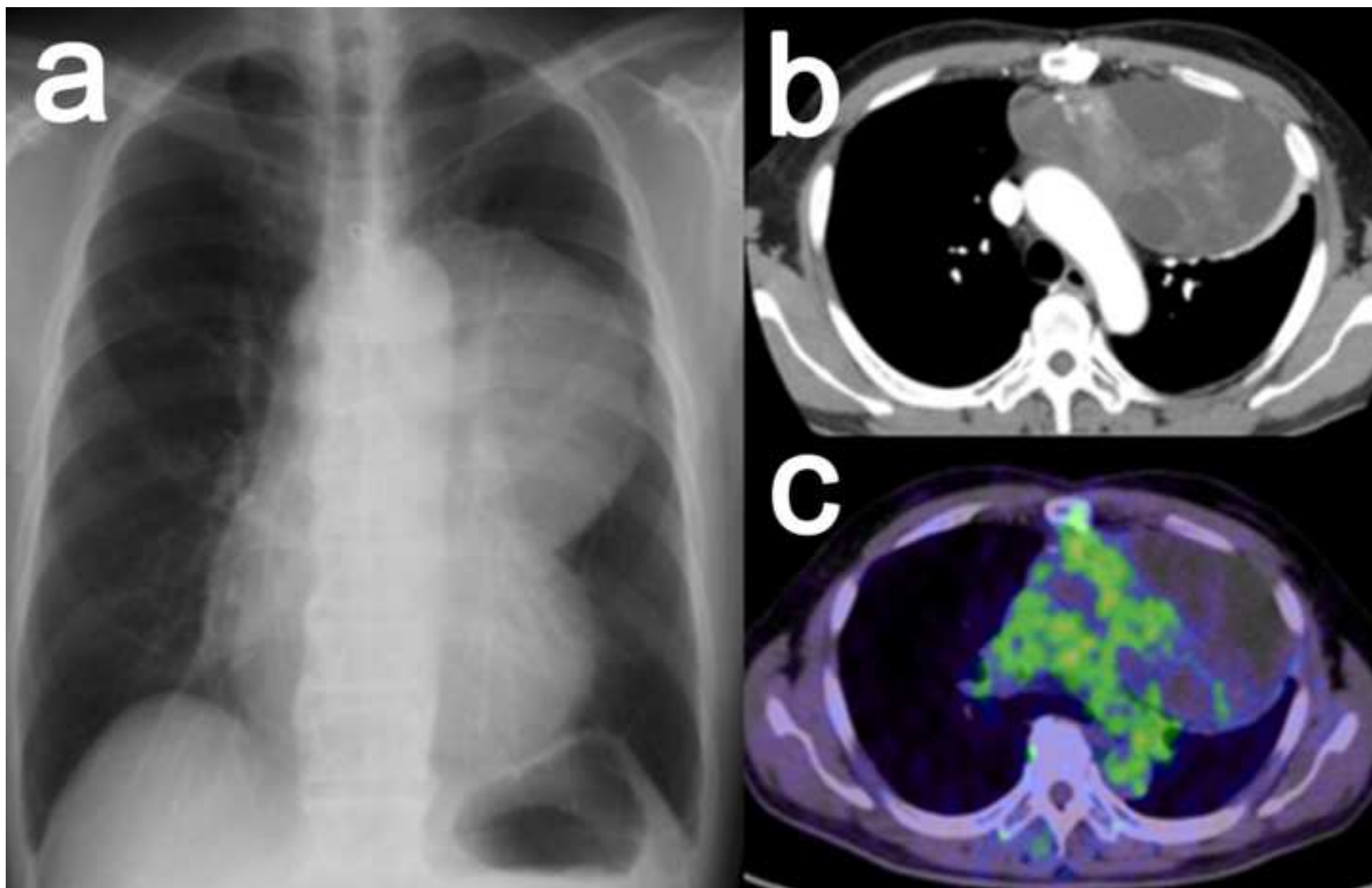
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13 Figure 3. Resected tumor with polycystic appearance and calcifications.

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Response to Reviewer's comments:

To the Reviewer,

Q1. Page 5, line 18, the sentence that begins "In this case...." the wording "lack of any remarkable pathological results and no malignant lesions" is a bit ambiguous. It may be clearer to say something along the lines of "Because the prior tumors represented a favorable histology (mature teratoma without evidence of malignancy) and were completely resected with negative margins, we felt the likelihood of local recurrence was low".

Q2. Page 7 line 12, the sentence that begins "Clinically.... unavoidable" It is unclear what the authors are trying to communicate here. I suspect the authors are suggesting that , "surgery in this setting is advisable because....."

Reply) Thank you very much for reviewing our revised manuscript and offering helpful advices. We changed the couple of sentences as suggested. (Q1; page 5-6, line 18-3, and Q2; page 7, line13-15).

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