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Spatio-temporal patterns of sediment particle movement on 2D and 3D bedforms

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Abstract

An experimental study was conducted to explore sediment particle motion in an open channel and its relationship to bedform characteristics. High-definition submersed video cameras were utilized to record images of particle motion over a dune's length scale. Image processing was conducted to account for illumination heterogeneity due to bedform geometric irregularity and light reflection at the water's surface. Identification of moving particles using a customized algorithm was subsequently conducted and then the instantaneous velocity distribution of sediment particles was evaluated using Particle Image Velocimetry. Obtained experimental results indicate that the motion of sediment particles atop dunes differs depending on dune

28 geometry (i.e., two-dimensional or three-dimensional, respectively). Sediment motion and its
29 relationship to dune shape and dynamics are also discussed.

30

31 **Keywords:** sediment particle motion; dune; dune migration; PIV

32

33 **1 Introduction**

34 Dunes naturally develop in sand-bed rivers leading to substantial changes in flow resistance and
35 sediment flux. Dunes are an integral part of river bed morphology and, in contrast to ripples, are
36 typically described as moving in the streamwise direction with little change in transverse flow
37 direction. Ripples are regarded as relatively small-scale undulations occurring atop dunes with a
38 more irregular geometry as compared to dunes. Most available investigations consider dunes as
39 two-dimensional bed features irrespective of their actual geometry. Example investigations
40 include theoretical analyses (e.g. Nelson and Smith, 1989), laboratory experiments (e.g. Bennett
41 and Best, 1995; Kadota and Nezu, 1999; Chichibu et al., 2008) and numerical simulations (e.g.
42 Giri and Shimizu, 2006; Yue et al., 2006; Paarlberg et al., 2006; Niemann et al., 2011).

43 In contrast to previous studies, here, we distinguish between dunes with a considerable
44 change in geometry in the transverse flow direction and label them as three dimensional, and
45 dunes with smaller changes in this direction and label them as two dimensional. Our approach is
46 similar to the dune characterization approach used by Venditti et al. (2005) and Venditti (2007).
47 This distinction has been facilitated by more accurate dune tracking technologies that have
48 become available for laboratory and field conditions. For example, the use of multi-beam sonar
49 or aerial Light Detection and Ranging (LiDAR) enabled us to not only determine dune
50 geometrical characteristics but dune migration speed. The latter aspect can be estimated by
51 analyzing maps created by such point-wise or point-cloud sounding methods (e.g. Simons et al.,
52 1965; Engel and Lau, 1981; Kostaschuk et al., 1989; Dinehart, 2002; Gray et al., 2010, Muste et
53 al., 2016). The determined dynamic characteristics of dune migration can be related to bed-load
54 flux (Mohrig and Smith, 1996). The availability of these new measurement approaches has led
55 to new perspectives for understanding the dynamics of dune dynamics and their interactions
56 with flow over alluvial dunes, as well as other practical measurements as demonstrated by
57 Abraham et al. (2011), Heath et al. (2015), Muste et al. (2016) and Koseki et al. (2017).

58 The opportunities such new technologies offer in shedding light on the fluid dynamics of
59 river dunes was critically reviewed by Best (2005) who identified five key areas of
60 recent/ongoing and future research. One of these areas is the influence of dune spatial
61 configuration on overall bedload transport. Initial results were provided by Maddux et al.
62 (2003a) who demonstrated that three-dimensional dunes display 50% larger friction coefficients
63 than conventionally assumed, while turbulent intensity decreases as compared to

64 two-dimensional dunes due to the generation of secondary flows over three-dimensional forms.
65 Additionally, Zedler and Street (2001) used a large-eddy simulation model coupled with a
66 sediment transport model to observe flow features over two-dimensional and three-dimensional
67 ripples. The closest study to our investigative approach is the study of Palmer et al. (2012) who
68 used laser-light sheet Particle Image Velocimetry (PIV) to observe the flow field above the
69 stoss- and lee-sides of barchan dunes in a vertical plane aligned with the main flow direction.

70 While progress has been made in the approach for observing various flow scales (from
71 particle to dune scales), previous experimental studies were performed on fixed beds. Therefore,
72 the possibility of extending inferences to actual rates of sediment transport was not possible.
73 The objective of our study was to correlate sediment transport, sediment flux, and bed
74 topography migration over two-dimensional and three-dimensional bedforms formed in an
75 open-channel with a sand bed based on a consideration of fine (i.e., at the level of individual
76 particles) to larger (i.e. over a dune's length) scale variations. Below, we discuss the relationship
77 between the time-averaged and, especially, time variation of the sediment transport velocity
78 distribution and the migration of dune shapes.

79

80 **2 Experimental setup**

81 In this section, we describe the experimental set-up including flume properties, sediment
82 material, the investigated flow conditions, and the video capturing system. Image
83 pre-processing, PIV, and post-processing methods are subsequently presented.

84

85 **2.1 Flume and sediment**

86 The dimensions of the experimental flume used in the study was $5 \times 0.762 \times 0.3$ m³,
87 corresponding to the flume length, width, and sidewall height, respectively. The channel slope
88 was set at 0.3%. The channel bed consisted of well-sorted coarse sand ($d_{50} = 0.6$ mm) covering
89 the flume bed over 5 cm (2 inches). To trigger fully turbulent flow, a porous medium (coarse
90 plastic sponge) and grids were installed over 1.0 m of the flume entrance. Since the
91 experimental facility had no sediment recirculating system, the following 1.5 m section of the
92 flume was used to feed the flow with sediment. During the course of experiments, slight
93 degradation of the sediment supply section of the flume was observed. To ensure that sediment
94 movement in the test area was close to natural conditions, sand was continuously supplied from
95 the sediment supply section during experiments. Six-hour-long experiments were conducted for
96 each experiment beginning from a flat-bed until the test section developed a flow and bed in
97 equilibrium. Equilibrium was tested by checking flow uniformity within the measurement
98 section (using piezometers distributed along the flume) and visual observations.

99

100 **2.2 Flow conditions**

101 Two flow cases characterized by the flow parameters, as summarized in Table 1, were
 102 established. The flow cases are identical to those used in Muste et al. (2016), whereby
 103 measurements with an ultrasonic-depth-sounder array (Multiple Transducer Array: MTA)
 104 were conducted. Namely, the 3D-bed and 2D-bed cases correspond to Run 2 and Run 3 in Muste et al.
 105 (2016), respectively. Fig. 1 displays channel bathymetry as quantified using a MTA. In the 3D-
 106 and 2D-bed cases, the flow rate, Q , differs by approximately 10%, while the difference in
 107 sediment rates, q_B , was more than double. Mean dune heights were approximately 0.01 to 0.015
 108 m, with differences in their spatial distribution. The 3D-bed case displays complex,
 109 three-dimensional topography, whereas bedform migration in the 2D-bed case is characterized
 110 by a more uniform pattern in the spanwise direction. In the preliminary experiment, Run 2 was
 111 repeated three times and, as shown in Fig 1a, a quite random bedform was determined. Run 3
 112 was repeated four times and a similar bedform, dune length/height, and sediment rate were
 113 observed. The migrating speed of the bedform was approximately double for the 3D-bed case
 114 (Run 2) as compared to the 2D-bed case (Run 3) (see Muste et al., 2016). In Fig. 1, regions
 115 analyzed in this study are indicated using rectangles.

116

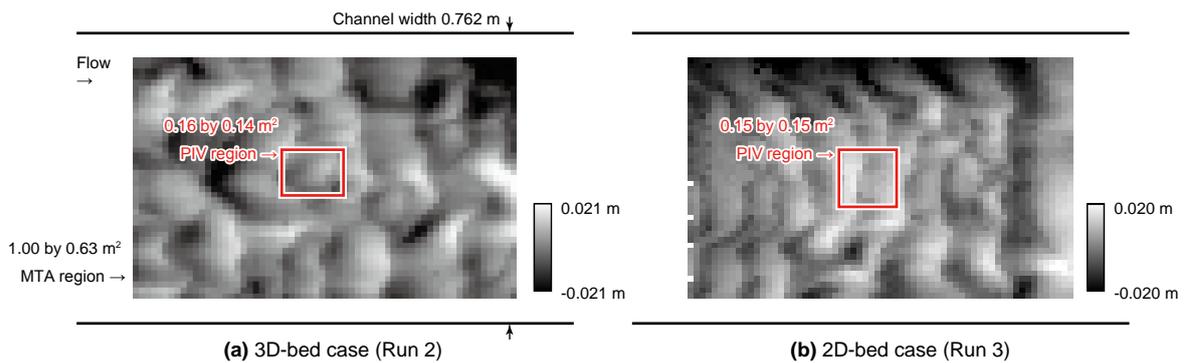
117

Table 1 Flow parameters.

	Q (m ³ /s)	B (m)	H (m)	U ($=Q/BH$, m/s)	Fr (U/\sqrt{gH})	I_{bed}	τ ($=\rho g H I_{bed}$, N)	u_* ($=\sqrt{\tau/\rho}$, m/s)	Re_* ($=u_* d/\nu$)	τ_{*bulk} ($=\tau/\rho sgd$)	q_B (kg/m/h)
3D-bed case (Run 2)	0.0198	0.762	0.095	0.27	0.28	0.003	2.80	0.0529	31.8	0.29	0.99
2D-bed case (Run 3)	0.0181	0.762	0.09	0.26	0.28	0.003	2.65	0.0515	30.9	0.27	0.44

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121

122 Fig. 1. Snapshots of the MTA bed elevation distribution illustrated using a gray scale (Muste
 123 et al., 2016). MTA and PIV measurements were conducted at equilibrium flow but not
 124 simultaneously.

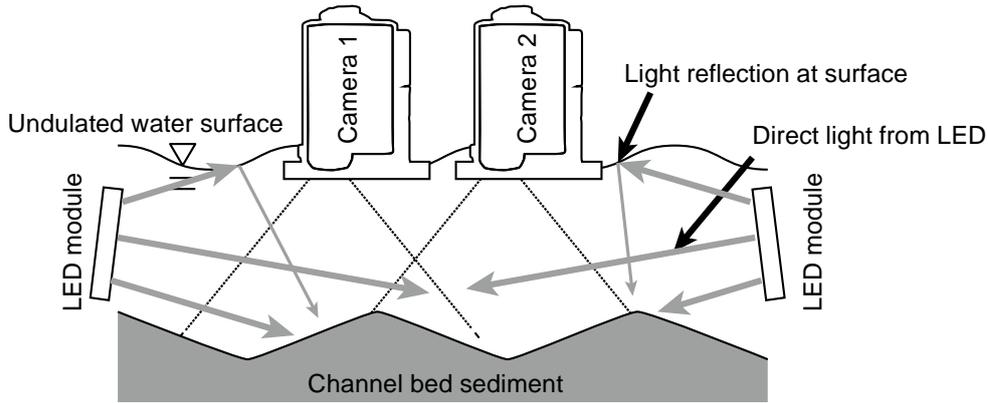
125

126 **2.3 Video camera and lighting**

127 To avoid interference from water surface waviness, two action cameras (Model AS30V, Sony
128 Co.) with waterproof covers were fixed on the water's surface to keep the camera lens
129 submerged (Fig. 2). To ensure strong illumination for the area under analysis, we developed
130 submersed light-emitting diode (LED) modules. Each LED light consisted of a high power 800
131 lumens 10 W LED chip and a $5 \times 5 \text{ cm}^2$ aluminum heat spreader. Each LED light source was
132 protected by a transparent resin cover to make it waterproof. The light modules we developed
133 were flat (1 cm thick), were placed on the flat side parallel to the flow direction, and were
134 located downstream and close to the walls. The above-mentioned configuration for the image
135 recording and illumination components were designed to encompass a stoss-crest-lee-trough
136 spatial sequence (i.e., a dune wavelength) in one image while reducing water flow and sediment
137 motion disturbance in the recording area as much as possible (Detert et al., 2010; Cecchetto et
138 al., 2016).

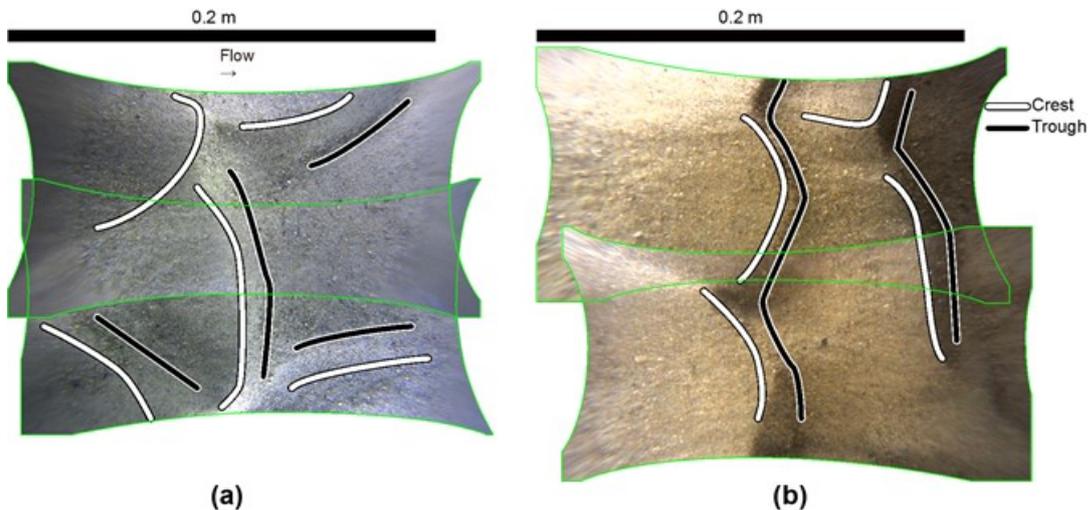
139 Images were recorded at 120 frames per second (FPS) in 1280 by 720 pixels and encoded in
140 MPEG-4 AVC/H.264 format. After obtaining the images for 75 seconds, cameras and lights
141 were rapidly removed from the water. The dimensions of the submersed lens cover for one
142 camera were approximately $6.5 \times 7 \text{ cm}^2$ and the camera captured sediment particle motion
143 developing over an area of $20 \times 10 \text{ cm}^2$. To cover a consecutive $20 \times 20 \text{ cm}^2$ region of the
144 channel bed, as shown in Fig. 3, the two cameras were aligned in the spanwise direction. Pixel
145 resolution was fine enough to identify sediment particles. However, due to too short a focal
146 length, the presence of air bubbles, and the turbidity and limited transparency of the lens cover,
147 not all of the particles were identifiable. To obtain the horizontal, two-component velocity
148 distribution of an area wide enough to cover the dune wavelength, the images acquired for each
149 strongly illuminated area were subsequently analyzed using a Particle Image Velocimetry (PIV)
150 approach. The positions of crests and troughs are depicted in Fig. 3 using white and black lines,
151 respectively. The figure substantiates the difference in bed geometry between the two cases. In
152 the 3D-bed case, the dune fronts are randomly located and not continued while in the 2D-bed
153 case the dune crests and troughs are continuous and orthogonal to the flow direction.

154



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Fig. 2. Schematic of the image recording experimental arrangement (streamwise cross section).



159
160
161
162

Fig. 3. Bedform reconstructed from two overlapping video recordings: **a)** 3D-bed case, **b)** 2D-bed case.

3 Image processing

3.1 Image Conditioning and Pre-processing

165 Time stamps of the recording from the two cameras were manually synchronized by comparing
166 the movement of sand particles in the overlapping area of two cameras (see Fig. 3). Then, as
167 described below and as illustrated in Fig. 4, a three-step protocol for both enhancing the image
168 of moving particles was developed. The protocol was applied to all of the images prior to
169 processing images using the PIV software.

170 **Step 1: Level equalization.** To remove the spatial bias in luminance level due to bed
171 undulation and lighting irregularity, the image luminance level was equalized using specific
172 window sizes. The local average and the standard deviation of luminance within the window

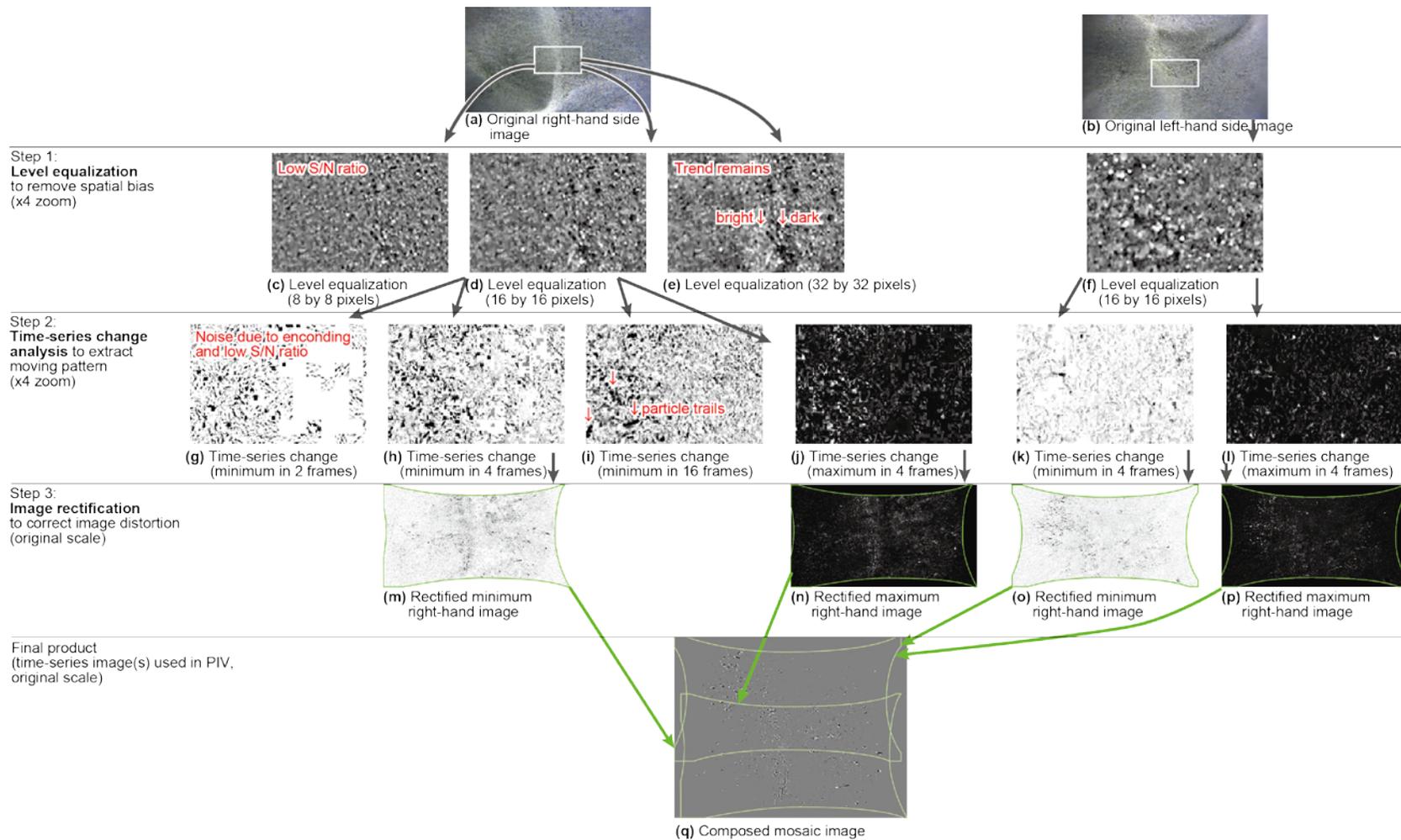
173 were calculated and luminance at the center of the window was normalized using the average
174 and standard deviation. Figs. 4c, d, and e display the tested window sizes (i.e., 8×8 pixels, $16 \times$
175 16 pixels and 32×32 pixels). Comparisons indicated that the 16×16 pixels (corresponding to
176 approximately $3.5d_{50} \times 3.5d_{50}$) were the best in removing spatial bias due to luminance level
177 differences.

178

179 **Step 2: Time-series change analysis.** A pointwise luminance change for consecutive frames
180 was observed, and the maximum and minimum for the extracted time-series luminance were
181 obtained. The instantaneous pointwise luminance was then calculated from the deviation of the
182 instantaneous pointwise luminance based on the maximum/minimum from consecutive frames
183 (see Figs. 4h and j as examples). As compared in Figs. 4g, h, and i for 2, 4, and 16 frames,
184 respectively, we tested the sensitivity of the number of frames. Based on comparisons, we
185 decided that four frames were the optimum number for highlighting the shape of moving
186 sediment particles.

187

188 **Step 3: Image rectification.** Image coordinates for two camera angles were rectified by
189 assuming that the channel bed was flat (see Figs. 4m to p) and images from the two cameras
190 were combined to obtain a composite image spanning an area of approximately 20×20 cm²
191 (Fig. 4q). The distance between the lens cover to the channel bed was 0.09 m. Therefore, one
192 pixel corresponds to 0.13 mm. Given that the sediment grain size for the bed material was $d_{50} =$
193 0.6 mm, one sediment particle diameter corresponds to roughly four pixels in the original image.
194 The channel bed height varied by approximately 0.01 m and this bed undulation was not
195 accounted for during rectification. The saltation height of moving grains was less than 0.005 m.
196 Such vertical displacement could not be accounted for in the image rectification. Given that the
197 cameras used to obtain images produced a considerable distortion on the image periphery, only
198 the central region spanning an area of 15×15 cm² was used for PIV processing. The peripheral
199 of the PIV region possibly contains up to a 0.5 cm location bias error that is proportional to bed
200 undulation. Images acquired from the two camera locations were overlapped such that the
201 transverse component of the bias error in the overlapping region was canceled out of the data.
202 Movement of the bedform was recorded for 75 seconds (corresponding to 9,000 frames) and
203 was subsequently analyzed for inferring particle dynamics. Since our focus was on particle
204 migration patterns relative to the bedform (i.e., sediment grain motion on the dune),
205 displacement of the bedform during the 75 seconds of recording were taken into account in our
206 data. To fix the locations of crests and troughs in the mosaic images used for the PIV analysis,
207 we offset this migration. As described in Muste et al. (2016), the average speed for a migrating
208 dune was estimated using MTA measurements.



209
210 Fig. 4. Protocols for conditioning and pre-processing images for the PIV analysis. Note that the brightness level in subfigures (c) to (q) was
211 modified to improve visual appearance to the eye and that the central region was clipped in subfigure (c) to (l) to improve visualization of the details.

212 **3.2 Particle Image Velocimetry processing**

213 Adjacent sections of the channel bed spanning areas of $16 \times 12 \text{ cm}^2$ and $15 \times 15 \text{ cm}^2$ were
214 analyzed using the PIV for the 3D- and 2D-bed cases, respectively, using an in-house
215 Large-Scale PIV (LSPIV) software package (Tsubaki and Fujita 2005, Tsubaki et al. 2008;
216 Tsubaki et al. 2011; Gunawan et al. 2012). The interrogation area used to calculate the
217 instantaneous sediment velocity distribution was 50×50 pixels ($0.75 \times 0.75 \text{ cm}^2$). Using the
218 conventional approach of processing with PIV, due to limited image quality, two consecutive
219 frames recorded at 120 frames per second provided noisy instantaneous velocity distributions.
220 Factors limiting image quality include imperfect focus due to short focal distance, complex
221 optical conditions, and noise due to MPEG4 encoding. Coping with this limitation was
222 determined by adopting the ensemble correlation PIV approach (Westerweel et al. 2004). In this
223 method, the ensemble-average of cross-correlation distributions obtained for ten consecutive
224 frame pairs was calculated and the peak of the ensemble-averaged cross-correlation was used to
225 estimate local and instantaneous velocity at the corresponding interrogation area. Ensemble
226 correlation PIV is a method suitable for an image set recorded with high spatial and temporal
227 resolutions relative to the scale of turbulence. Since our focus was to discuss sediment particle
228 motion driven by coherent turbulence near a river bed and since images were recorded using
229 substantially higher spatial and time resolutions as compared to scales of coherent turbulence
230 driving sediment motion, measurement conditions in the present study are a better fit to the
231 ensemble correlation PIV. Since we used the PIV approach and since the amount of moving
232 grains could not be directly counted, bedload fluxes were not determined based on the PIV
233 results.

234 The moving grain pattern was extracted using the image pre-processing steps described in
235 Section 3.1 and image areas without sediment motion contained no image patterns.
236 Computationally, this type of region tends to display a low peak cross-correlation, a low spatial
237 deviation of luminance, and either a zero or an erroneous velocity value is obtained by applying
238 PIV to such regions. After processing the images using PIV, the statistics for the time-series
239 velocities at each interrogation area were obtained using two approaches. In the first approach,
240 velocities in no-particle-motion areas were neglected when calculating statistics. In the second
241 approach, zero was assumed for velocities within the no-particle-motion areas. Based on the
242 objective of each statistics calculation, the two approaches were further used in the analysis.

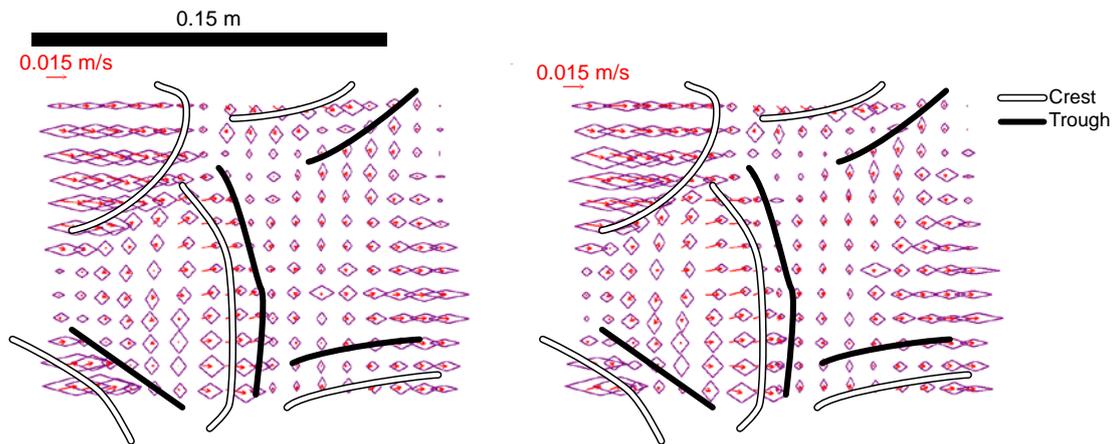
243

244 **4 Results**

245 **4.1 Vector field consistency check**

246 To check the suitability of the recorded images and PIV performance in tracking grain particle
247 dynamics over the duration of recordings, sample image frames from the beginning (just after

248 the submergence of the image capturing system) and at the end of the video recording were
 249 analyzed. For the 3D-bed case, the PIV result for images recorded over 17 seconds (2,000
 250 frames) at the beginning were compared with results from images recorded over the last 42
 251 seconds (5,000 frames). The PIV results are compared in Fig. 5. In this figure, the mean and
 252 standard deviation for the velocities were calculated at all locations, except in areas/instants of
 253 no-particle-motion. As observed in the figure, in this experiment, the spatial distribution of the
 254 average and standard deviation for the velocity field was relatively similar at the beginning and
 255 end of image recording. The similarity led to the following inferences: (1) the proximity of the
 256 cameras and the lighting system did not affect sediment movement, (2) the PIV measurements
 257 were consistent; and (3) the 75-second recording length was long enough to capture the
 258 statistics of bed movement dynamics. The first inference was observed to avoid the
 259 experimental issues pointed out by Detert et al. (2010) and Cecchetto et al. (2016).
 260



261 (a) Result from first 2000 frames of 3D-bed case (b) Result from last 5000 frames of 3D-bed case

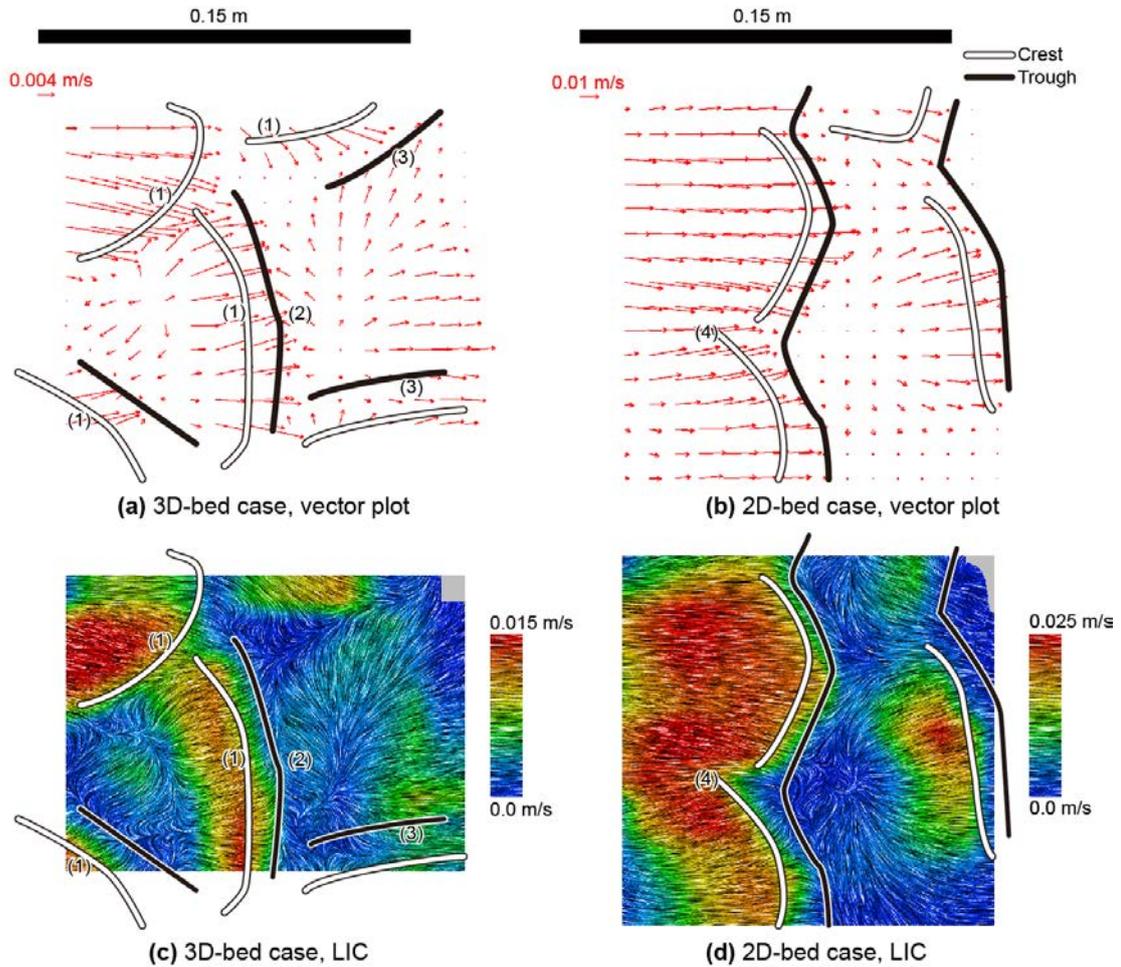
262 Fig. 5. A comparison of the averaged and standard deviations of the velocities calculated at the
 263 beginning (a) and end (b) of the 3D-bed case recording. Vectors in the plots indicate the mean
 264 velocity. The horizontal and vertical axes lengths of the diamonds indicate the two-fold standard
 265 deviation of velocity components for each calculated vector.

266

267 4.2 Time-averaged sediment velocity distributions

268 Fig. 6 provides a comparison between the time-averaged velocity distributions of moving
 269 sediment particles calculated from the entire recording (total of 9,000 frames) for the 3D- and
 270 2D-bed cases. The result for 3D-bed case shows complex streamwise and lateral sediment
 271 movement commensurate with the geometry on dunes on which the particles are moving. On
 272 the stoss-side of crests, the sediment particles migrate orthogonal to the crest-line, as indicated
 273 by area (1) in Fig. 6a. Movements opposite to the flow direction were observed for particles
 274 located at the tow of the crest on its lee side region (2) in Fig. 6. Distinct spanwise movement

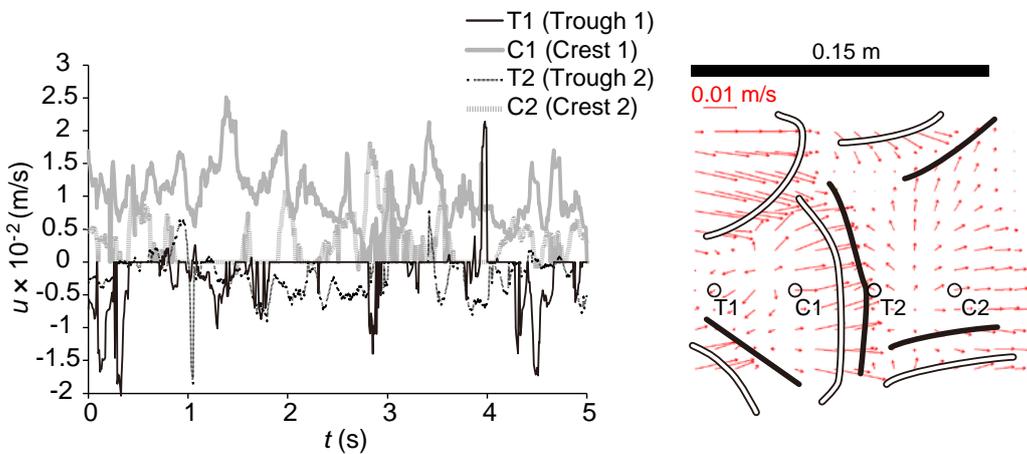
275 can be found in regions labeled with (3) in the same figure. As illustrated in Fig. 6b, the velocity
 276 of sediment particles along dunes for the 2D-bed case was quite uniformly aligned with the
 277 streamwise direction. Region (4) in Fig. 6b where velocities converged to a common location is
 278 evident. As seen in Fig. 3b, Region (4) corresponds to a dent in the two-dimensional crest where
 279 a depression of the bed is visible.
 280



281
 282 Fig. 6. The time-averaged velocity distribution of moving sand particles (no-particle-motion
 283 areas/instants are assumed to have 0 m/s when calculating statistics): **a**) a vector plot for the
 284 3D-bed case; **b**) a vector plot for the 2D-bed case; **c**) a line integral convolution (LIC, Cabral
 285 and Leedom 1993) for the 3D-bed case; and **d**) a LIC for the 2D-bed case. The direction of the
 286 texture in LIC plots corresponds to the local velocity direction. The contrast (color for colored
 287 figure) of the texture correlates with the local velocity magnitude.
 288

289 **4.3 Sediment velocity time-series at fixed points**

290 Another aspect of the dynamics of moving sediment grains can be observed by visualizing the
 291 time series of velocities passing through specific locations. For this purpose, four locations on
 292 the bedform (i.e., points T1, C1, T2, and C2 in Fig. 7) were selected over a dune length. A
 293 comparison of the velocity magnitude and its variability over time illustrates that particles
 294 exhibit a distinct behavior in response to the type of turbulent flow occurring at specific points
 295 over the dune. The comparison is especially important for the trough portion of the bedform
 296 (see the dashed-black and solid-black lines) where both positive and negative velocity
 297 components were intermittently recorded and where no obvious specific interval of a velocity
 298 change was observed. A more specialized analysis is presented in the next section for extracting
 299 spatio-temporal features from such complicated velocity fields.



300

301 Fig. 7. A time-series of the streamwise velocity component of sand particles acquired at fixed
 302 points (no-particle-motion areas/instants are assumed to have 0 m/s).

303

304 4.4 Proper Orthogonal Decomposition (POD) of the sediment velocity field

305 Several methods are used for defining, detecting, and quantifying coherent structures in
 306 turbulent flows. The Proper Orthogonal Decomposition (known as the POD) is a widely used
 307 method for extracting structures from a complicated spatio-temporal data set. Examples of the
 308 POD application include open-channel flows, jets, and wakes created behind bluff bodies
 309 (Constantinescu et al., 2017). POD defines the most energetic modes of motion (see, for
 310 example, Hilberg et al., 1994; Feng et al., 2011; Wang et al., 2015; Sokoray-Varga, 2016).
 311 Following the notations used in Wang et al. (2015), the spatio-temporal fluctuating velocity
 312 distribution $\mathbf{u}(\mathbf{x}, t)$ is reconstructed by products of the spatial pattern, $\Phi_n(\mathbf{x})$, and the amplitude
 313 coefficient, $a_n(t)$, for Mode n as:

314
$$\mathbf{u}(\mathbf{x}, t) = \sum_{n=1}^N \boldsymbol{\Phi}_n(\mathbf{x}) a_n(t), \quad (1)$$

315 where \mathbf{x} is the coordinate vector, t is the time, and N is the total mode number. The contribution
 316 ratio, c , for Mode n is defined as:

317
$$c_n = \frac{\lambda_n}{\sum_{k=1}^N \lambda_k} \quad (2)$$

318 and λ_n is the n -th largest eigenvalue of the correlation matrix:

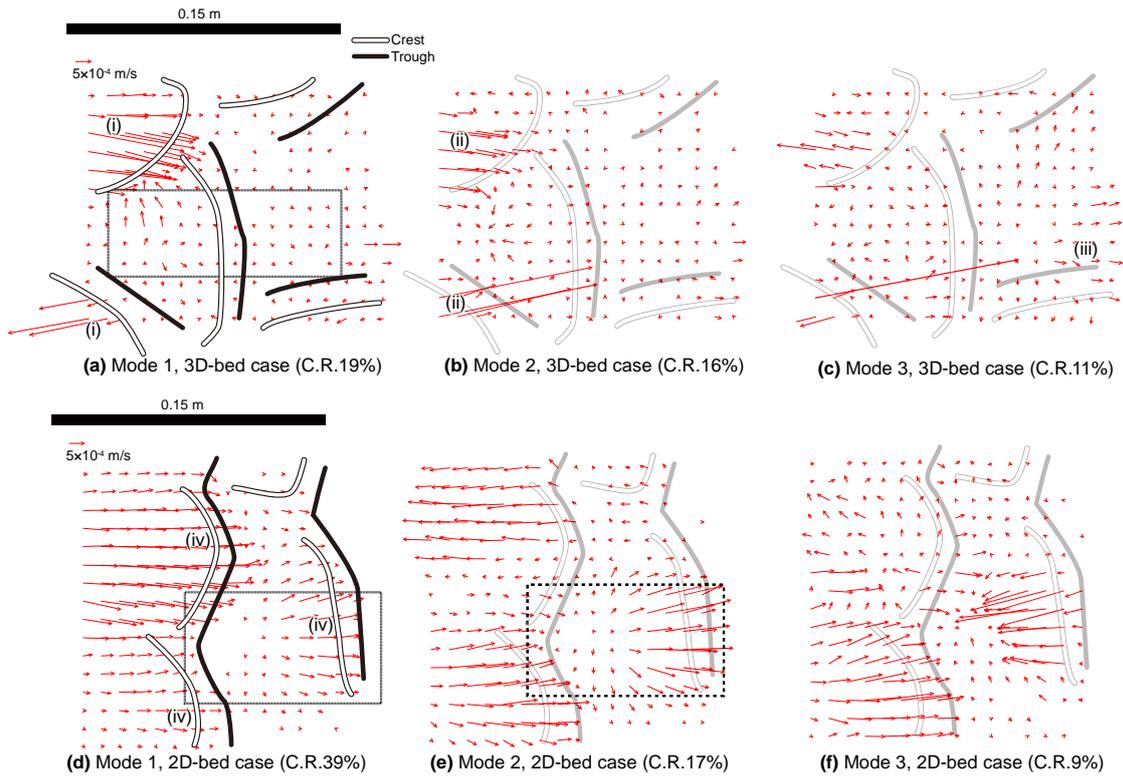
319
$$R_{xy} = \sum_t \mathbf{u}_{xt} \mathbf{u}_{yt}^T. \quad (3)$$

320 The POD technique was added to the processing stage of the experimental protocol in this study
 321 to illustrate the full sequence of protocols from data acquisition to the final analysis and are
 322 relevant for this type of study.

323 Inferences from the POD analysis, as applied to the obtained data, are discussed below. Fig.
 324 8 displays a spatial plot of the upper three modes extracted from each case. The upper half plots
 325 provide results from the 3D-bed case while the lower half plots the 2D-bed case. The crest
 326 contour was overlain for easier spatial referencing of vectors with various areas of activity. The
 327 three selected modes for the 3D-bed case display flow accelerations on the upper-left and
 328 lower-left dune crests. In Mode 1 (Fig. 8a), velocity on the two crests (indicated with label (i)
 329 in the figure) are opposite in direction, indicating that sediment acceleration occurred on both
 330 crests but not simultaneously. In Mode 2 (Fig. 8b), the movement of sediment particles on the
 331 same crests (labeled (ii) in the figure) is synchronous. The synchronized sediment movement is
 332 relatively small (with a contribution ratio of 16%) as compared to Mode 1 (with a contribution
 333 ratio of 19%), corresponding to sediment acceleration occurring on one crest. Mode 3, as
 334 illustrated in Fig. 8c, displays sediment movement in the trough area (labeled (iii) in the figure).
 335 The time-averaged velocity distribution shown in Fig. 6a indicates a diverging pattern for
 336 sediment migration, including moments with reverse flow on the stoss side of dunes (at the
 337 center of the three (1)s in Fig. 6a). No indication of reverse flow or diverging patterns in found
 338 the Figs. 8a, b, and c for the upper POD modes. Therefore, the conclusion is that sediment
 339 transport on the dune crest is more dynamic with respect to time as compared to sediment flow
 340 changes on the stoss faces.

341 The result for the 2D-bed case, as shown in the lower half of Fig. 8, suggests that Mode 1 is
 342 quite active with a relatively large contribution ratio (i.e., 39%) over the entire crest area
 343 (indicated with the label (iv)s in Fig. 8d). The finding indicates that during the 2D-bed case
 344 sediment transport on the crest was simultaneous and strong, in contrast with the 3D-bed case.

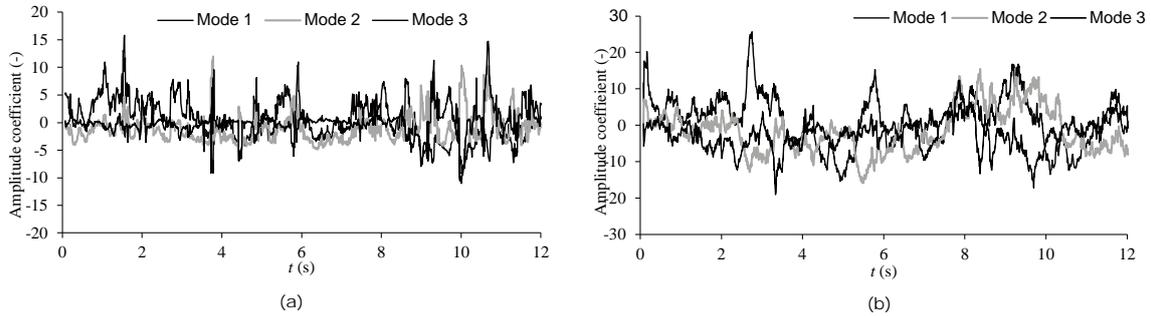
345 Particle movement in Modes 2 and 3, as illustrated in Figs. 8e and f, is quite different from
 346 Mode 1, and Modes 2 and 3 are associated with sediment movement on the individual crests.
 347 For the 2D-bed case, the contribution ratios for Modes 2 and 3 are substantially lower than that
 348 of Mode 1, indicating that the activation of sediment transport on the individual crest is
 349 relatively minor, in contrast to the result for the 2D-bed case.
 350



351
 352 Fig. 8. Spatial patterns, Φ , of the top three modes extracted with the POD analysis applied to
 353 grain velocities. The contribution ratio (C.R.) for each mode is indicated in the figure's caption.
 354 The dashed-line rectangle in Fig 8a displays the boundary of the discussion illustrated in Fig. 11.
 355 A typical diverging pattern is observed in the dashed-line rectangles in Figs 8d and e.
 356

357 Fig. 9 displays the time variation of the amplitude coefficients corresponding to the upper
 358 three modes for the 3D- and 2D-bed cases. The lack of discernable patterns in plots of the mode
 359 fluctuations and the lack of a representative period is similar to the time series of the raw
 360 velocity components shown in Fig. 7. Despite the lack of a distinct repeated pattern, the plots in
 361 Figs. 7 and 9 illustrate flow “bursts” acting on the sediment that are less than one second.
 362 Furthermore, flow bursts in the 3D-bed case are shorter than those in the 2D-bed case. Sediment
 363 flux in the 3D-bed case was more than double as compared to those in the 2D-bed case, so the
 364 active bursts observed in the 2D-bed case did not result in active sediment transport.

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367 Fig. 9. A time-series of the amplitude coefficients, a , for the three motion Modes: **a)** 3D-bed case,
368 **b)** 2D-bed case.

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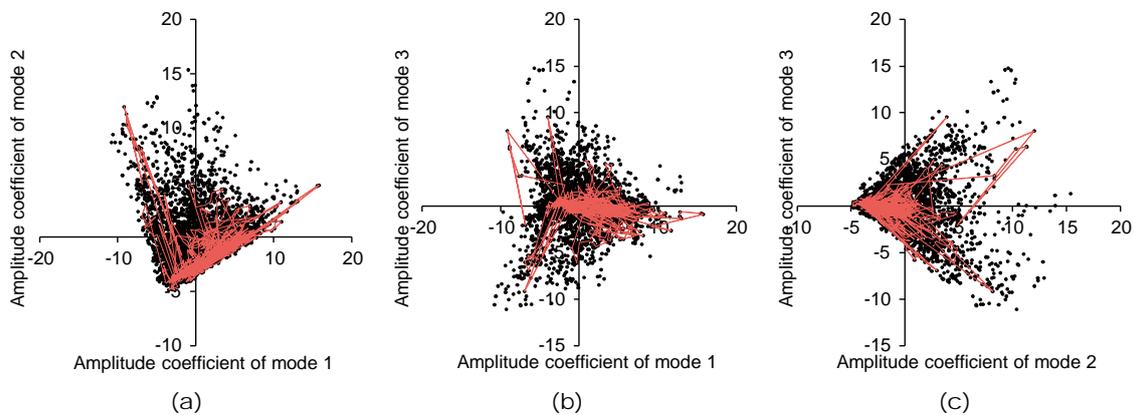
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Fig. 10 provides phase-space projections of the amplitude coefficients between the three modes of the 3D-bed case. If these projections visualize some specific patterns they are indicative of some sort of movement organization. For example, for a situation where the propagating wave is composed of two modes, the phase-space projection displays a circular pattern (e.g. the left plot of Fig. 6 in Cizmas et al. 2003). An inspection of phase-space projections for the 3D-bed case reveals persistence in the Mode-1-Mode-2 (Fig. 10a) and Mode-2-Mode-3 plots (Fig. 10c). Such patterns indicate a negative amplitude coefficient for Mode 2 when the amplitude coefficients for Modes 1 and 3 are almost zero and a positive amplitude coefficient in Mode 2 when a considerable large variation of the amplitude coefficient occurs for Modes 1 and 3. The result suggests the controlling role played by Mode 2 on the status of Modes 1 and 3, even if the contribution ratio of Mode 2 is smaller than that of Mode 1. The spatial pattern for Mode 2 shows acceleration on the dunes (ii) in Fig. 8b, whereas the negative amplitude coefficient for Mode 2 corresponds to deceleration over the same areas.



384

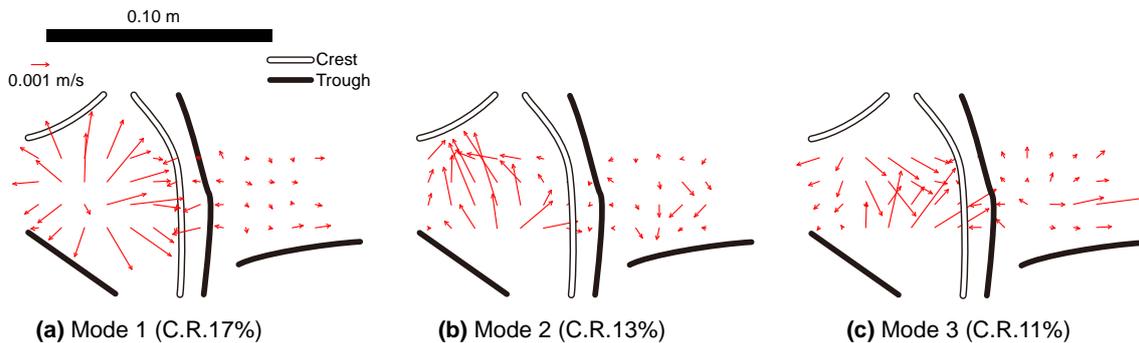
385 Fig. 10. Phase-space projections for the amplitude coefficients, a , of the motion Modes

386 analyzed for the 3D-bed case. The first 1,000 points for each diagram are connected by a line to
 387 substantiate the evolution of the phase-space location in time.

388

389 Fig. 11 shows POD modes for the 3D-bed case evaluated within the area of the velocity
 390 field containing a stoss-crest-lee-trough-stoss spatial sequence (see the dashed-line rectangle in
 391 Fig. 8a). Diverging patterns for sediment motion and reverse velocities on the stoss side of
 392 dunes in the first mode (see Fig. 11a) were determined in this region. Converging flow patterns
 393 were extracted for the motions in Mode 3 (Fig. 11c). Sediment diversion on the stoss of dunes
 394 was also observed in the time-averaged velocity fields illustrated in Figs. 6a and b and the POD
 395 modes illustrated in Figs. 8d, e (indicated with the dashed-line rectangles), and 11a. Diversion
 396 was not clearly substantiated in the visualization of major motion Modes in the velocity field for
 397 the 3D-bed case (Fig. 8a to c). As a result, the sediment transport pattern for each
 398 stoss-crest-lee-trough sequence may be universal for both two-dimensional and
 399 three-dimensional bedforms but randomness in time in sediment migration is a feature that only
 400 characterizes the three-dimensional bedform and is absent in the two-dimensional case.

401



402

403 Fig. 11. The spatial patterns, Φ , of the top three motion Modes extracted for the area
 404 indicated as the dashed-line box in Fig. 8a (a consecutive crest-trough sequence for the
 405 3D-bed case).

406

407

408 4.5 Summary

409 In this study, we determined distinct behavior for particle dynamics over two- and
 410 three-dimensional bedforms with a continuous interaction between the flow and its moving
 411 boundary condition. The 3D-bed case was characterized by sporadic sediment transport events
 412 occurring on each individual crest. An obvious time discontinuity for crest-stoss movement in
 413 the 3D-bed case as compared to the 2D-bed case was revealed. The result is indicated by the
 414 divergence of sediment movement on stoss faces and their lack of active sediment movement on
 415 crests. On the other hand, the 2D-bed case, displayed a simultaneous sequence of transport

416 events over a wide area, with more evident synchronization of movement over adjacent crests
417 and troughs. Synchronized sediment movements were the main contributors to dunes migrating
418 with a uniform velocity. Collectively, these movements maintain the two-dimensional shapes of
419 dunes. This interpretation is supported by the result of our preliminary experiment in which a
420 similar bedform, dune length/height, and sediment rate were observed four-times in the repeated
421 experiment for the flow condition corresponding to the 2D-bed case. Less reproducibility of
422 bedforms and sediment rates in the preliminary experiment occurred under the 3D-bed case
423 condition and may be due to sporadic sediment transport events occurring on each individual
424 crest observed during the 3D-bed case.

425

426 **5 Discussion**

427 The recent paper of Muste et al. (2016) introduced a new experimental non-intrusive
428 measurement approach, Acoustic Mapping Velocimetry (AMV), that is based on a combination
429 of acoustic and image based processing protocols. The main idea behind this study was to
430 perform repeated acoustic surveys of a channel bed and to use image velocimetry to detect the
431 movement of clearly recognizable patches on the bed (i.e. bedform migration). The spatial scale
432 for implementation of the AMV is labeled as mesoscale, a scale that is appropriate for the
433 assessment of dune migration. Attempting to resolve finer scale bedforms, such as ripples
434 migrating on dunes, could not be captured with AMV as applied by Muste et al. (2016) due to
435 the lower spatial resolution of the instrumentation used in the experiments. Based on these
436 results, the need for another experimental observation approach that can complement the AMV
437 in fully capturing sediment transport over scales, from individual grains to the larger scale
438 corresponding to bedforms, was realized. The image based methodology presented in this study
439 is a consequence of that realization. The two measurement procedures presented can be
440 combined to provide a better qualitative description for bedform migration from the microscale
441 to the mesoscale.

442 The technique introduced in this study provides new insights into microscale sediment
443 transport and opens new capabilities for better understanding flow-sediment interactions. For
444 instance, Maddux et al. (2003a) pointed out that the turbulence generated by three-dimensional
445 dunes was weaker as compared to a two-dimensional dune experiment. In our results, sediment
446 transport on two-dimensional dunes displayed more coherent structures (a wider spatial scale
447 and a higher contribution to upper POD modes, as well as a longer wave period for the
448 amplitude coefficients) as compared to results obtained from a three-dimensional dune
449 migration experiment. The difference in the sediment transport pattern may be related and may
450 correspond to the difference in flow turbulence as reported by Maddux et al. (2003a). Based on
451 our knowledge, the capability to capture sediment grain movement over horizontal surfaces

452 covered by sand with an irregular bedform is quite rare. To date, we know of just one study
453 (Naqshband et al., 2017) that has employed a particle-based sediment transport analysis on a
454 rough but almost flat bed.

455 The goal of this study was to set up a generalized approach and the associated measurement
456 technology for investigating particle transport length, trajectory, and dynamics within a dune
457 length. The results presented in this paper illustrate that the assembled measurement protocols
458 are adequate for quantitatively estimating particle movement across a bedforms' surface, hence
459 linking grain-by-grain displacement to resultant larger scale bedforms that, in turn, affect
460 particle movement over time. The measurement protocol enables verification of the findings
461 related to the translation and deformation of bedforms as determined by McElroy and Mohrig
462 (2009), or the evaluation of dune models that simulate the development and transition of dunes
463 (e.g. Naqshband et al., 2016). While not explored in the current study, the measurement
464 protocol can be extended to more comprehensively analyze particle movement within the
465 uppermost layer of the bedform, uniquely supporting better understanding of the transition
466 between sediment transport modes (i.e. bed-load and suspended load). The reconstruction of
467 sediment movement enabled by the measurement protocol can qualitatively describe
468 resuspension and deposition processes and consequent transport mode changes. If illumination
469 is adequate and if the water is transparent enough to record clear images of the river bed, the
470 developed measurement protocol can be applied to on-site measurements in small to medium
471 sized sandy rivers (such as those analyzed in the study of McElroy and Mohrig, 2009). The
472 bedload fluxes were not evaluated in our study here, but could be estimated with the aid of
473 estimating models for the thickness of the bedload transport layer and a bedload concentration.

474

475 **6 Conclusions**

476 We introduced the innovative combination of an image-based measurement technique using a
477 suite of image processing and post-analysis protocols that provides unique qualitative insights
478 regarding sediment movement within a dune wavelength. Two-dimensional and
479 three-dimensional bedforms were formed in an experimental flume, and sediment particle
480 motion on self-generated bedforms was measured using PIV algorithms, image enhancement,
481 and pre- and post-processing routines. The assembled measurement protocol was enabled to
482 investigate time-averaged patterns and the time-variation of velocity fields for two types of
483 bedforms. The obtained results suggest that concurrent sediment transport over a widespread
484 area occurs on a two-dimensional bedform, whereas sediment transport is sporadic and
485 non-synchronous over adjacent dunes in three-dimensional bedforms. The difference in such
486 spatio-temporal patterns of sediment transport may be a key steering feature for maintaining a

487 uniform dune shape for the two-dimensional bedform case and, at the same time, can account
488 for maintaining the disturbed dune shape for the three-dimensional bedform case.

489 Our study is exploratory and was aimed at capturing the motion of assemblies for moving
490 particles over dunes rather than quantifying the fluxes of bedload across a flow cross section.
491 Use of this experimental approach allowed us to analyze sediment transport on the basis of the
492 spatially-averaged representative velocity for groups of particles. Experimental limitations
493 (mostly related to the quality of the images) precluded a thorough assessment of associated
494 processes. However, the proposed methodology can be validated using instrumentation with
495 superior fidelity and spatio-temporal resolutions that are readily obtainable (but not available for
496 our study). A potential future direction for advancing the overall protocol is to measure the
497 motion of sediment transport at the level of a particle (rather than for a group of particles) using
498 the Particle Tracking Velocimetry (PTV) approach as employed by, for example, Naqshband et
499 al. (2017) in experiments with quasi flat sediment beds. The experimental approach proof-tested
500 during this study allowed an investigation into the movement of particles over complex
501 bedforms, as independent particles or self-organized in ripples, and related such movement to
502 total bedload flow rates over a variety of bedform geometries.

503

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515

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