

1 Full-length Paper

2 **Carbapenem-non-Susceptible *Haemophilus influenzae* with Penicillin-Binding Protein 3**
3 **containing Amino Acid Insertion**

4 Running title: Inset in PBP3 of Carbapenem-non-susceptible BLNAR

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25 **Abstract**

26 Prevalence of β -lactamase-negative ampicillin-resistant *Haemophilus influenzae* (BLNAR)
27 has become a clinical concern. In BLNAR, amino acid substitutions in penicillin-binding protein 3
28 (PBP3) are relevant to the β -lactam resistance. Carbapenem-non-susceptible *H. influenzae* isolates
29 have been rarely reported. Through antimicrobial susceptibility testing and nucleotide sequence
30 analysis of *ftsI*, encoding PBP3, and utilizing a collection of *H. influenzae* clinical isolates in our
31 laboratory, we obtained a carbapenem-non-susceptible clinical isolate (NUBL1772) that
32 possessed an altered PBP3 containing V525_N526insM. The aim of this study was to reveal the
33 effect of altered PBP3 containing V525_N526insM on reduced carbapenem susceptibility. After
34 generating recombinant strains with altered *ftsI*, we performed antimicrobial susceptibility testing
35 and competitive binding assays with fluorescent penicillin (Bocillin FL) and carbapenems.
36 Elevated carbapenem MICs were found for the recombinant strain harboring the entire *ftsI* gene of
37 NUBL1772. The recombinant PBP3 of NUBL1772 also exhibited reduced binding to carbapenem.
38 These results demonstrate that altered PBP3 containing V525_N526insM influences reduced
39 carbapenem susceptibility. The revertant mutant lacking the V525_N526insM exhibited lower
40 MICs of carbapenem than NUBL1772, suggesting that this insertion affects reduced carbapenem
41 susceptibility. MICs of β -lactam for NUBL1772 was higher than those for the recombinant
42 possessing *ftsI* of NUBL1772. NUBL1772 harbored AcrR with early termination, resulting in
43 low-level transcription of *acrB* and high efflux pump activity. These findings suggest that the
44 disruption of AcrR also contributes to the reduced carbapenem susceptibility found in NUBL1772.
45 Our results provide the first evidence that the altered PBP3 containing V525_N526insM is
46 responsible for reduced susceptibility to carbapenem in *H. influenzae*.

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49 **Introduction**

50 Although the incidence of invasive diseases caused by *H. influenzae* type b (Hib) has
51 decreased in many countries as a result of the universal Hib vaccination, *H. influenzae* still remains
52 as a major pathogen involved in respiratory tract infections; these conditions are usually caused by
53 nontypeable strains (1). In general, β -lactams have been used to treat *H. influenzae* infections. Two
54 mechanisms of β -lactam resistance in *H. influenzae* have been reported, including
55 β -lactamase-producing ampicillin-resistant (BLPAR) and β -lactamase-negative ampicillin
56 resistant (BLNAR) (2, 3), which can be attributed to reduced binding affinity of β -lactam for
57 penicillin-binding-protein 3 (PBP3) (4, 5). In Japan, the prevalence of BLNAR *H. influenzae* has
58 become a clinical concern. In a nationwide survey of pediatric patients in Japan, BLNAR isolates
59 occurred at the high frequency of 46.7 % in 2012 (6).

60 Carbapenem-non-susceptible *H. influenzae* isolates have been rarely reported. Although the
61 number of reports concerning such strains is limited, all were reported to harbor altered PBP3 (7-9).
62 In addition, slowed drug influx or direct efflux regulation appeared to be involved in reduced
63 carbapenem susceptibility (10). Altered PBP3 in carbapenem-non-susceptible *H. influenzae*
64 isolates has usually included various amino acid substitutions. To the best of our knowledge, only
65 one report has mentioned an altered PBP3 containing the amino acid insertion, V525_N526insM
66 (11). However, no comprehensive antimicrobial susceptibility testing, including that using various
67 carbapenems, for the isolate harboring this altered PBP3 containing V525_N526insM was
68 performed. Moreover, the involvement of the altered PBP3 with β -lactam resistance, based on
69 recombination studies and/or PBP3 affinity studies, has not been documented.

70 We investigated antimicrobial susceptibility and the nucleotide sequence of *ftsI*, encoding
71 PBP3, in our collection of *H. influenzae* clinical isolates. Through this analysis, a
72 carbapenem-non-susceptible clinical isolate harboring the altered PBP3 containing

73 V525_N526insM was obtained.

74 Although there have been many reports of carbapenem-resistant gram-negative pathogens,
75 carbapenems are prescribed as the last line in many bacterial infections (12, 13).
76 Carbapenem-non-susceptible *H. influenzae* might be selected, and this may well cause treatment
77 failure with carbapenems.

78 Thus, the aim of the study was to reveal the effect of altered PBP3 containing
79 V525_N526insM on reduced carbapenem susceptibility.

80

81 **Results and Discussion**

82 **Characteristics of clinical isolates with reduced carbapenem susceptibility**

83 Among 157 clinical isolates of *H. influenzae*, we obtained seven isolates with reduced
84 carbapenem susceptibility (imipenem MIC, ≥ 4 $\mu\text{g/ml}$ or biapenem MIC, ≥ 8 $\mu\text{g/ml}$). The detailed
85 information of these isolates with reduced carbapenem susceptibility is summarized in Table 1. All
86 isolates were derived from the pediatric department and were recovered from the nose, except one
87 isolate (vagina). All isolates were nontypeable and classified as biotype II (5/7) or V (2/7). All
88 isolates were β -lactamase negative and non-susceptible to ampicillin; five belonged to BLNAR
89 (ampicillin MIC, ≥ 4 $\mu\text{g/ml}$). According to the Clinical and Laboratory Standards Institute (CLSI)
90 breakpoints, only the NUBL1772 isolate showed non-susceptibility to meropenem, imipenem, and
91 doripenem, although the CLSI does not define the “resistant” category of carbapenems (14). Table
92 2 shows MIC values for NUBL1772. NUBL1772 showed high-level resistance to
93 ampicillin-sulbactam and amoxicillin-clavulanic acid and intermediate resistance to
94 clarithromycin.

95 According to the *ftsI* grouping proposed by Skaare et al., four isolates belonged to group III+,
96 two isolates belonged to group II, and NUBL1772 was not classified because it was found to harbor

97 the V525_N526insM in PBP3 (9). The deduced amino acid sequence of PBP3 of NUBL1772 is
98 aligned in Fig. 1.

99 **Transformation, antimicrobial susceptibility and PBP3 competitive binding assay**

100 Considerably elevated MICs of ampicillin and carbapenems were found for the recombinant
101 strains harboring the entire *ftsI* gene of NUBL1772 compared to those for Rd (Table 3). Based on
102 competitive binding assay to the PBP3 proteins using Bocillin FL and ampicillin or carbapenems,
103 the 50 % inhibitory concentration (IC₅₀) values of ampicillin and carbapenems for NUBL1772
104 PBP3 were significantly higher than those for Rd PBP3, as summarized in Table 4. These
105 findings suggest that altered PBP3 containing V525_N526insM influences reduced carbapenem
106 susceptibility.

107 The nucleotide sequence of *ftsI* and deduced amino acid sequences of PBP3 in NUBL1772
108 were identical to those of *H. influenzae* isolates 92 and 118 from Wajima's report (11). NUBL1772
109 showed antimicrobial susceptibilities that were similar to those of *H. influenzae* isolates 92 and
110 118 reported by Wajima et al. within their description.

111 The reduced carbapenem susceptibility caused by the altered PBP3 containing
112 V525_N526insM in *H. influenzae* appears to be attributed to the insertion. The revertant isolate
113 possessing NUBL1772 PBP3 without the insertion showed lower MICs to carbapenem than
114 NUBL1772 (Table 3). Epidemiologically, Sanbongi et al. proposed that the amino acid
115 substitution at position 526 is important for the response to carbapenem (15). The introduction of
116 an insertion instead of an amino acid substitution at position 526 might reduce susceptibility to
117 carbapenems.

118 In terms of computer modeling, the effect of the altered PBP3 containing V525_N526insM
119 on reduced susceptibility to carbapenem was investigated (Fig. S1). The PBP3 protein of
120 *Escherichia coli* (PDB: 4BJP) was used as a template. N526 was located on the loop between β 3

121 and β 4. In NUBL1772, the loop was increased in length and shifted toward the active site,
122 although three conserved motifs (-STVK-, -SSN-, and -KTG-) constituting the active site were
123 unchanged. Regarding the PBPs 1a and 2b of *Streptococcus pneumoniae*, amino acid substitutions
124 from some β -lactam-resistant isolates were found to be positioned on the loop between β 3 and β 4,
125 and this resulted in increased flexibility of the loop, which was found to be important for the
126 generation of high-level β -lactam resistance (16-18). Similarly, the insertion in NUBL1772 might
127 impart flexibility to the loop between β 3 and β 4, leading to reduced β -lactam susceptibility.
128 However, this explanation has a limitation because no crystal structure of *H. influenzae* PBP3 has
129 been solved yet, and actual flexibility of this region remains unclear.

130 Concerning other species, altered PBP3 containing a series of insertions in *E. coli* or altered
131 PBP2 containing an insertion in *Neisseria gonorrhoeae* have been reported (19, 20). The altered
132 PBP3 containing a series of insertions in *E. coli* was involved in the resistance to aztreonam and
133 NUBL1772 showed elevated MIC values of aztreonam. However, the altered PBP3 containing a
134 series of insertions in *E. coli* or the altered PBP2 containing an insertion of *N. gonorrhoeae* did
135 not affect reduced carbapenem susceptibility. Each insertion was located on a different site
136 (β 2b- β 2c in *E. coli*, β 2a- β 2d in *N. gonorrhoeae*, and β 3- β 4 in NUBL1772) and thus their
137 susceptibilities to carbapenem appeared to be different from each other.

138 One limitation of this study was that a recombinant with only the insertion did not show
139 reduced susceptibility to β -lactams (Table 3). Modeling of the PBP3 structure of Rd *ftsI* with
140 V525_N526insM resulted in only a conformational change in the loop between β 3 and β 4, that
141 the loop was slightly expanded and shifted toward the active site. The low level of loop
142 modification compared to that of NUBL1772 might not affect β -lactam resistance. Thus, the
143 methionine insertion results in reduced susceptibility to carbapenem only when it co-exists with
144 other amino acid substitutions in NUBL1772 PBP3.

145 **Effect of AcrR on reduced carbapenem susceptibility in NUBL1772**

146 AcrR is a repressor of the AcrAB efflux pump, which might contribute to β -lactam resistance
147 (21). The *acrR* gene of NUBL1772 was sequenced and the coding region (564 bp) was compared
148 to that of Rd. NUBL1772 had nucleotide deletions at position 442–451. These deletions caused a
149 frameshift after the amino acid sequence of AcrR at position 148. This change resulted in
150 premature termination of AcrR at position 149, despite the intact length of wild-type AcrR is 187
151 amino acids. Thus, these results predicted a loss of function mutation in the AcrR protein of
152 NUBL1772. Indeed, the transcription of *acrB* gene in NUBL1772 was significantly elevated,
153 compared to that in Rd (Table 5). Efflux pumps including *acrAB* efficiently pump out ethidium
154 bromide (EB) and the velocity of EB accumulation will decline in accordance with the efflux pump
155 activity. The initial velocity of EB accumulation in NUBL1772 was significantly lower than that of
156 Rd, as shown in Table 5. These results suggest that the disruption of AcrR affects the reduced
157 carbapenem susceptibility of NUBL1772.

158 Kaczmarek et al. and Seyama et al. reported some amino acid sequences that predict the
159 early termination of AcrR in *H. influenzae* (21, 22). Deletions found in *acrR* of NUBL1772 were
160 different from these reports. The results for NUBL1772 were consistent with those for *H.*
161 *influenzae* isolates harboring AcrR with an early termination described by Seyama et al. in terms
162 of low-level *acrB* transcription and high efflux pump activity (22, 23). Moreover, the results for
163 NUBL1772 were consistent with those for *H. influenzae* isolates harboring AcrR with an early
164 termination described by Kaczmarek et al. in terms of the effect of efflux on ampicillin resistance
165 (21). The fact that MICs of β -lactam for NUBL1772 were higher than those for the recombinant
166 possessing *ftsI* of NUBL1772 (Table 3) and the MIC of clarithromycin for NUBL1772 was
167 higher than that for Rd (Table 2) might be mediated by the disruption of AcrR. One limitation is
168 that small molecules like β -lactams appear to abolish the effect of any efflux system based on the

169 rapid influx through porin channels (24). Many studies concerning *acrR* sequence analysis in
170 BLNAR are required.

171 **Dissemination of mutants with methionine insertion in PBP3**

172 Multilocus sequence typing (MLST) and pulsed-field gel electrophoresis (PFGE) using seven
173 *H. influenzae* isolates with reduced carbapenem susceptibility demonstrated the presence of
174 various genetic backgrounds (Table 1, Fig. S2). Strains NUBL970, NUBL1771, NUBL1775, and
175 NUBL1777 were registered as a new sequence type (ST). Although NUBL1767 and NUBL1772
176 belonged to the identical sequence type (ST) and biotype, PFGE results indicated that these two
177 isolates were not genetically related. Growth curves showed that the growth rate and maximum cell
178 density of NUBL1772 and Rd recombinant carrying the entire *ftsI* gene of NUBL1772 were lower
179 than those of Rd (Fig. S3), suggesting that the altered PBP3 containing V525_N526insM is
180 associated with a growth disadvantage. These results would indicate limited spread for this
181 variant.

182 Wajima et al. reported *H. influenzae* isolates that possess the same altered PBP3 as
183 NUBL1772 (11). It is unclear whether the spread of BLNAR is dependent on clonal
184 dissemination or horizontal gene transfer (25-31). When *H. influenzae* isolates possessing the
185 same *ftsI* gene were isolated in different hospitals, each appeared to show different PFGE
186 pulsotypes (31). Therefore, the fact that NUBL1772 and the isolates reported by Wajima et al.
187 were detected in different prefectures might suggest the occurrence of genetic transfer of the
188 altered PBP3 containing V525_N526insM. However, whether the clonal dissemination or
189 horizontal gene transfer occurred between these isolates cannot be fully determined without
190 performing PFGE on these isolates with one gel, MLST analysis, or whole genome analysis.

191 **Clinical impact of mutants with methionine insertion in PBP3**

192 NUBL1772 is a nontypeable *H. influenzae* nasopharyngeal isolate recovered from a 1-year

193 old child in 2012 (Table 1). Such isolates are predominantly associated with otitis media and
194 sinusitis, which have been treated with amoxicillin, amoxicillin-clavulanic acid and ceftriaxone
195 (32, 33). Furthermore, in Japan, treatment guidelines for otitis media and sinusitis state that the use
196 of oral carbapenem can be considered as the last line upon clinical failure (34, 35). NUBL1772
197 showed non-susceptibility to these antibiotics, which might cause treatment failure, although the
198 MIC of meropenem for NUBL1772 was very close to the clinical breakpoint.

199 NUBL1772 was susceptible to piperacillin-tazobactam and fluoroquinolone. Although
200 susceptibility to piperacillin-tazobactam was not well investigated, to the best of our knowledge,
201 no piperacillin-tazobactam resistant *H. influenzae* has been reported (6) to date. The prevalence
202 of fluoroquinolone-resistant *H. influenzae* has been still low (6, 36, 37). Therefore, the use of
203 piperacillin-tazobactam or fluoroquinolone might be effective for the treatment of infections with
204 *H. influenzae* such as NUBL1772. Actually, the possibility of using piperacillin-tazobactam as
205 an antibiotic therapy against meningitis caused by *H. influenzae* was previously examined (38). If
206 NUBL1772 acquires resistances to other antimicrobials, drug choice would become even more
207 difficult and limited.

208 Thus, because carbapenem is considered a last-resort drug, the emergence and spread of *H.*
209 *influenzae* with reduced carbapenem susceptibility harboring an altered PBP3 containing
210 V525_N526insM might pose a threat for treatment failure.

211 **Conclusion**

212 Our study provided the first evidence that altered PBP3 containing an insertion, namely
213 V525_N526insM is responsible for reduced susceptibility to carbapenem in *H. influenzae*, based
214 on recombination studies and PBP3 affinity studies. The insertion, together with other amino acid
215 substitutions, plays a role in the reduced carbapenem susceptibility. Early termination of AcrR also
216 partially contributes to the reduced susceptibility to carbapenem found in NUBL1772. Given that

217 carbapenems are reserved as the last line of treatment in many situations, it is necessary to focus
218 on the trends in the development and spread of *H. influenzae* harboring the V525_N526insM
219 insertion in PBP3.

220

221 **Materials and methods**

222 **Clinical isolates**

223 One hundred and fifty-seven clinical isolates of *H. influenzae* isolated at Miroku laboratory in
224 Japan between 2011 and 2012 were sent to our laboratory. Miroku laboratory collected clinical
225 specimens from various clinics and medical institutions in Japan, isolated *H. influenzae* from
226 specimens in this study and performed bacteriological testing of them. According to the results of
227 antimicrobial susceptibility testing, we selected seven isolates with reduced susceptibility to
228 carbapenem (MIC of imipenem ≥ 4 $\mu\text{g/ml}$ or MIC of biapenem ≥ 8 $\mu\text{g/ml}$) for further investigation.
229 Capsular serotype was determined by capsular swelling with antisera (Denka Seiken, Tokyo,
230 Japan) and PCR capsular genotyping (39). Indole, urease, and ornithine decarboxylase reactions
231 were used to assess the biotype with the API-NH system (SYSMEX bioMérieux, Lyon, France).
232 Production of β -lactamase was tested using CefinaseTM disks (Becton Dickinson and Company,
233 Franklin Lakes, NJ.) and amplification of *bla*_{TEM-1} and *bla*_{ROB-1} was performed by PCR (15). *H.*
234 *influenzae* Rd (ATCC 51907), *H. influenzae* ATCC 49247, and *H. influenzae* ATCC 49766 were
235 purchased from the American Type Culture Collection (Manassas, VA).

236 **Media and antibiotics**

237 For broth cultures, BactoTM Brain Heart Infusion (Becton, Dickinson and Company, Franklin
238 Lakes, NJ) supplemented with 10 $\mu\text{g/ml}$ β -NAD (Nacalai Tesque, Kyoto, Japan) and 10 $\mu\text{g/ml}$
239 hemin (Nacalai Tesque, Kyoto, Japan) or *Haemophilus* Test Medium (HTM) broth consisting of
240 BBLTM Muller-Hinton broth Cation Adjusted (Becton, Dickinson and Company, Franklin Lakes,

241 NJ.), 15 µg/ml β-NAD, 15 µg/ml hemin, and 5 g/l Bacto™ Yeast Extract (Becton, Dickinson and
242 Company, Franklin Lakes, NJ) were typically used. Chocolate II agar (Becton, Dickinson and
243 Company, Franklin Lakes, NJ) or HTM agar were used as the agar for bacterial growth. Cultures
244 were incubated at 37 °C with 5 % CO₂ for 20–24 h.

245 **Antimicrobial susceptibility testing**

246 Antimicrobial susceptibility was assessed by broth microdilution testing according to CLSI
247 guidelines (14). HTM broth was used and incubation conditions were 20 h in an ambient
248 atmosphere. *H. influenzae* ATCC 49247 and *H. influenzae* ATCC 49766 were used for quality
249 control. The breakpoints were interpreted according to CLSI criteria (14).

250 **Subtyping of *ftsI***

251 The *ftsI* genes of seven *H. influenzae* isolates with reduced carbapenem susceptibility were
252 amplified by PCR using the primers *ftsI*_{frw} and *ftsI*_{rev} described by Cerquetti et al (7). PCR was
253 performed using PrimeSTAR® HS DNA Polymerase (Takara Bio, Kusatsu, Japan) with a TaKaRa
254 PCR Thermal Cycler Dice® Standard (Takara Bio, Kusatsu, Japan).

255 Purified PCR products were sent to Eurofins Genomics K.K (Tokyo, Japan) and sequenced
256 using a BigDye terminator v3.1 with a 3730xl DNA Analyzer (Thermo Fisher Scientific, Waltham,
257 MA). Although several classifications for PBP3 amino acid substitution patterns have been
258 suggested, grouping proposed by Skaare et al. was determined to be more relevant to reduced
259 carbapenem susceptibility (9). According to the Skaare classification, deduced PBP3 amino acid
260 sequences from *ftsI* gene sequences were grouped as follows: Group I (R517H) and Group II
261 (N526K) as low-rPBP3; Group III (S385T, N526K), Group III+ (S385T, L389F, N526K), Group
262 III-like (S385T, R517H) and Group III-like+ (S385T, L389F, R517H) as high-rPBP3.

263 **Cloning of *ftsI***

264 The *ftsI* genes of *H. influenzae* Rd and NUBL1772 were amplified by PCR with primers

265 containing restriction sites, as presented in Table S1. Each PCR product was digested with the
266 relevant enzymes and inserted into the appropriate plasmid vector. For recombinant constructs, the
267 upstream primer was designed to contain a NheI site. The downstream primer was designed to
268 contain a KpnI site, starting from the 3' end of the *ftsI*, to avoid the effect of unnecessary sequences.
269 pBAD18-Cm was used as the vector. Furthermore, using a PrimeSTAR[®] Mutagenesis Basal Kit
270 (Takara Bio, Kusatsu, Japan), pBAD 18-Cm containing Rd *ftsI* with V525_N526insM or
271 NUBL1772 *ftsI* M526 del was constructed. Colonies of recombinants containing Rd *ftsI* with
272 V525_N526insM or NUBL1772 *ftsI* M526 del were not able to be picked; therefore, an antibiotic
273 cassette was added to these *ftsI* mutated genes to increase the frequency of picking a recombinant.
274 The antibiotic cassette, *KanR2*, was excised from pBAD18-Kan by PCR, using primers containing
275 KpnI and BamHI sites. The *murE* gene, which consists of a sequence located downstream of *ftsI* in
276 *H. influenzae* Rd, was amplified by PCR using primers containing BamHI and SalI sites. These
277 PCR products of *KanR2* and *murE* were inserted sequentially into the KpnI-SalI sites of
278 pBAD18-Cm containing Rd *ftsI* with V525_N526insM or NUBL1772 *ftsI* M526 del.

279 For the expression and purification of PBP3, the primers were designed as previously
280 described with some modifications (21). The upstream primer contained a SmaI site, and started
281 after the region encoding a putative transmembrane domain for solubilization. The downstream
282 primer contained a BamHI site, and started from the 3' end of *ftsI*. pET47-b (+) (Merck, Darmstadt,
283 Germany) carrying an N-terminal His-tag-coding sequence was used as the vector.

284 The recipient cell was *E. coli* DH10B for recombinant construction or *E. coli* Rosetta[™] 2
285 (DE3) pLysS (Merck, Darmstadt, Germany) for expression and purification of PBP3.

286 **Transformation**

287 *ftsI* PCR products were introduced into *H. influenzae* Rd by electroporation (5, 40).
288 NUBL1772 *ftsI* was amplified using the primers *ftsI*frw and *ftsI*rev, as described by Cerquetti et al

289 (7), from NUBL1772 chromosomal DNA. Rd *ftsI* with V525_N526insM or NUBL1772 *ftsI* M526
290 del was amplified using *ftsI-KanR2* gene-*MurE* from plasmid DNA of the relevant vector. The
291 conditions for electroporation were 1.25 kV/cm, 200 Ω , and 25 μ F with time constants of 4.7 to 4.8
292 ms using the Gene Pulser Xcell (Bio-Rad Laboratories, Hercules, CA). Recombinants were
293 selected on HTM agar containing 4 μ g/ml biapenem for NUBL1772 *ftsI* or 10 μ g/ml kanamycin for
294 Rd *ftsI* with V525_N526insM or NUBL1772 *ftsI* M526 del. Each recombination was checked by
295 DNA sequencing.

296 **Expression and purification of PBP3**

297 *E. coli* Rosetta™ 2 (DE3) pLysS including pET47b (+), into which the partial *ftsI* sequence of
298 Rd or NUBL1772 was inserted, was grown overnight in medium containing 30 μ g/ml kanamycin
299 and 30 μ g/ml chloramphenicol. The culture was added to 1 l of LB medium containing 100 μ g/ml
300 kanamycin and incubated at 37 °C. When the optical density reached 0.5 at an absorbance of 600
301 nm (OD_{A600}), production of PBP3 was induced by 1.0 mM isopropyl β -D-1-thiogalactopyranoside
302 and cells were grown for 3 h. The cells were collected by centrifugation and resuspended in 50 mM
303 HEPES (pH 7.5), 500 mM NaCl and 30 mM imidazole. Cell lysis was achieved by freezing and
304 thawing twice and then sonication. The cell lysate was centrifuged at 30000 \times g for 3 h at 4 °C and
305 the supernatant was subjected to a HisTrap HP (GE Healthcare Japan, Tokyo, Japan). His-tagged
306 protein was eluted with a linear increasing gradient of imidazole. The purity of eluted PBP3
307 proteins was confirmed by sodium dodecyl sulfate-polyacrylamide gel electrophoresis
308 (SDS-PAGE), and samples were stored at -20 °C.

309 **PBP3 competitive binding assays**

310 PBP3 competitive binding assays using Bocillin FL (Thermo Fisher Scientific, Waltham,
311 MA) and carbapenems were performed as previously described with some modifications (21).
312 Purified PBP3 of *H. influenzae* Rd or NUBL1772 was quantified by the bicinchoninate method

313 and was prepared to 500 ng in HEPES buffer (10 mM HEPES [pH 7.5] and 500 mM NaCl).
314 Ampicillin, meropenem, doripenem, imipenem, or biapenem was mixed with the PBP3 at 37 °C for
315 10 min, which was followed by the addition of Bocillin FL for 30 min. Concerning final
316 concentrations, ampicillin and biapenem were used at 0.06–2 μM, other carbapenems were used at
317 0.03–1 μM, and Bocillin FL was used at 5 μM. These reaction mixtures were boiled in SDS sample
318 buffer to stop the reaction. Fluorescence-labeled PBP3 was quantified using an LAS 4010 (GE
319 Healthcare Japan, Tokyo, Japan). Using Kaleida graph version 4.5 (Synergy Software, Reading,
320 PA), the IC₅₀ values of β-lactams for PBP3 were determined.

321 ***acrR* sequence analysis**

322 The *acrR* gene of *H. influenzae* Rd and NUBL1772, which encodes a regulatory component
323 of the AcrAB efflux pump, was amplified by PCR with primer sets, as previously described (21).

324 **qRT-PCR**

325 *H. influenzae* Rd or NUBL1772 was grown to the logarithmic growth phase (0.3 at OD_{A600}).
326 RNA was extracted using High Pure RNA Isolation Kit (Roche, Mannheim, Germany). cDNA
327 synthesis and PCR were performed using EXPRESS One-Step SYBR[®] GreenER[™] Kits (Thermo
328 Fisher Scientific, Waltham, MA) with the StepOnePlus[™] Real-Time PCR System (Thermo Fisher
329 Scientific, Waltham, MA). *acrB* gene expression was calculated relative to the housekeeping gene,
330 *gyrA* using the equation $2^{-\Delta\Delta C_t}$ (41). The *acrB* genes were amplified by PCR with a sense primer
331 (5'-AGTGCGCCTAGTAGTTCGAC-3') and a reverse primer
332 (5'-GCTCCCACCTGAAGAAGAGG-3'). The *gyrA* genes were amplified by PCR using the
333 primers *gyrA*frw and *gyrA*rev by Giufre et al (42).

334 **Ethidium bromide efflux assays**

335 Ethidium bromide (EB) efflux assays were performed according to the previously described
336 procedure (43). To assess efflux pump activity, *H. influenzae* Rd and NUBL1772 were treated

337 with EB only. The fluorescence of accumulated EB was read using the Synergy™ H1 (BioTek,
338 Winooski, VT). The initial velocity of EB accumulation from 5 to 15 min was calculated to
339 quantify the efflux speed, as previously described (23).

340 **MLST**

341 MLST, using seven *H. influenzae* isolates with reduced carbapenem susceptibility, was
342 performed by sequencing of seven housekeeping genes, specifically *adk*, *atpG*, *frdB*, *fucK*, *mdh*,
343 *pgi*, and *recA*, as previously described (44). The ST was determined based on the *H. influenzae*
344 MLST website (<https://pubmlst.org/hinfluenzae/>) at the University of Oxford (45). For cases in
345 which a ST could not be assigned, new MLST profiles were submitted to the *H. influenzae* MLST
346 website, which was followed by the assignment of a ST.

347 **PFGE**

348 PFGE using seven *H. influenzae* isolates with reduced carbapenem susceptibility was
349 performed as described previously (46-48). For plug preparation, 1.2 % SeaKem® Gold Agarose
350 (Lonza, Basel, Switzerland) was used. The plug was incubated with 1 mg/ml lysozyme (Merck,
351 Darmstadt, Germany) and 0.5 mg/ml proteinase K (Wako Pure Chemical Industries, Osaka, Japan).
352 Restriction enzyme digestion of DNA was performed using 40 U of SmaI. DNA fragments were
353 electrophoresed using 1% pulse field certified agarose (Bio-Rad Laboratories, Hercules, CA) with
354 a CHEF-DR III system (Bio-Rad Laboratories, Hercules, CA) for 20 h at 6 V/cm and 14 °C with
355 a ramped pulse time of 5.3–34.9 s.

356 **Growth kinetics**

357 The growth kinetics of *H. influenzae* NUBL1772 and Rd recombinant carrying the whole *ftsI*
358 gene of NUBL1772 were compared to those of *H. influenzae* Rd, as previously described with
359 some modifications (30, 49). An overnight culture was suspended in 0.9 % saline at a McFarland
360 standard of 1.0. The suspension was diluted 1000-fold in 5 ml HTM broth and measured every 1 h

361 for 24 h at OD_{A600} using an OD-Monitor C&T (Taitec, Koshigaya, Japan). Incubation was
362 performed at 37 °C at ambient atmosphere with 180 rpm of shaking.

363 **Statistical analysis**

364 Each experiment was repeated three times independently. Unpaired t-tests were used for a
365 specific contrast. Statistical significance was indicated by a P-value of <0.05. All statistical
366 analyses were performed using IBM SPSS version 24 statistical software (IBM corp., Armonk,
367 NY).

368 **Accession numbers**

369 Gen Bank/ENA/DDBJ accession numbers of nucleotide sequences are as follows: *ftsI* of
370 NUBL1772, LC279277; *acrR* of NUBL1772, LC279278.

371

372 **Acknowledgments**

373 We thank all members of Professor Arakawa's laboratory for technical advice and
374 discussion. We thank Meiji Seika Pharma Co, Ltd. for providing biapenem. This work was
375 supported by a grant (H24-Shinkou-Ippan-010) from the Ministry of Health, Labour and Welfare
376 of Japan, in part by a Grant-in-Aid for Scientific Research (C) from the Japan Society for the
377 Promotion of Science and in part by the Research Program on Emerging/Re-emerging Infectious
378 Disease Project of Japan of the Agency for Medical Research and Development, AMED. The
379 funders had no role in this study design, data collection and interpretation, or the decision to
380 submit the work for publication. The manuscript was edited by Editage, an English language
381 editing company.

382

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545

546

547 **Figure Legends**

548 **FIG 1** Deduced amino acid sequence of PBP3 from *H. influenzae* NUBL1772 compared to that
549 of Rd. A dash symbol represents identical amino acids. Boxes highlight the three conserved
550 motifs of the active site, specifically, Ser327-Thr-Val-Lys, Ser379-Ser-Asn, and Lys512-Thr-Gly.
551 NUBL1772 was found to have S385T and L389F alterations. The number of amino acids in
552 PBP3 from NUBL1772, having insertion V525_N526insM, was altered and is described in
553 parentheses. The number of amino acids in PBP3 from NUBL1772, having deletion T591del, was
554 restored.

555

556

TABLE 1 Characterization of seven *H. influenzae* isolates with reduced carbapenem susceptibility

Isolates ^a	Region	Biotype	Age	Sex	Source	MLST	PBP3 Group ^b	MIC ($\mu\text{g/ml}$)				
								Ampicillin	Meropenem	Doripenem	Imipenem	Biapenem
NUBL970	Kanagawa	II	5	M	Nose	1763	II	2	0.06	0.25	4	4
NUBL1767	Ibaragi	II	0	F	Nose	57	III+	8	0.12	4	4	8
NUBL1771	Kanagawa	V	1	M	Nose	1764	III+	8	1	4	4	8
NUBL1772	Kanagawa	II	1	M	Nose	57		16	1	4	16	16
NUBL1775	Saitama	II	7	F	Vagina	1765	III+	2	1	4	4	8
NUBL1777	Nagano	V	7	M	Nose	1766	III+	16	0.12	0.4	2	16
NUBL2814	Chiba	II	5	M	Nose	1683	II	2	0.25	1	4	8

558 ^a All isolates were nontypeable and β -lactamase negative.

559 ^b PBP3 group according to amino acid mutations, as follows: II, N526K; III+, S385T+ L389F+
560 N526K. NUBL1772 was not categorized and possessed a new insertion mutation.
561 MLST, multilocus sequence type.

562

563

TABLE 2 MICs for *H. influenzae* NUBL1772

Antimicrobial	MIC ($\mu\text{g/ml}$)	
	Rd	NUBL1772
Ampicillin	0.25	16
Ampicillin-sulbactam	0.25	16
Amoxicillin	0.5	32
Amoxicillin-clavulanic acid	0.5	32
Cefotaxime	0.06	1
Ceftriaxone	≤ 0.03	0.25
Cefepime	≤ 0.25	2
Piperacillin-tazobactam	≤ 0.03	≤ 0.03
Aztreonam	0.06	4
Meropenem	0.03	1
Doripenem	0.06	4
Imipenem	0.5	16
Biapenem	0.5	16
Clarithromycin	8	16
Ciprofloxacin	0.015	0.03
Levofloxacin	0.015	0.03

TABLE 3 MICs for Rd recombinants carrying *ftsI* genes related to NUBL1772

Strain/Isolate ^a	MIC (μg/ml)				
	Ampicillin	Meropenem	Doripenem	Imipenem	Biapenem
Rd	0.25	0.03	0.06	0.5	0.5
NUBL1772	16	1	4	16	16
Rd (NUBL1772 <i>ftsI</i>)	8	0.5	2	4	8
Rd (Rd <i>ftsI</i> V525_N526insM)	0.25	0.03	0.06	0.5	0.5
Rd (NUBL1772 <i>ftsI</i> M526 del)	2	0.12	0.5	1	2

567 ^a Rd recombinant contained the corresponding *ftsI* gene.

568

TABLE 4 Binding of Bocillin FL and β -lactams to recombinant PBP3 proteins

Antimicrobial	IC ₅₀ (μ g/ml) \pm SD		P-value
	Rd	NUBL1772	
Ampicillin	0.14 \pm 0.03	5.13 \pm 1.48	0.028
Meropenem	0.10 \pm 0.02	0.15 \pm 0.03	0.049
Doripenem	0.12 \pm 0.05	0.73 \pm 0.33	0.035
Imipenem	0.20 \pm 0.15	3.22 \pm 0.59	0.001
Biapenem	0.25 \pm 0.08	5.86 \pm 2.58	0.020

TABLE 5 Transcription of *acrB* and efflux pump activity in *H. influenzae* NUBL1772 compared to that in Rd

Strain/Isolate	Relative mRNA levels of <i>acrB</i>	P-value	Initial velocity of ethidium bromide accumulation	P-value
Rd	1.11 ± 0.65	0.038	1.63 ± 0.32	0.026
NUBL1772	4.80 ± 1.98		0.89 ± 0.18	

570

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      10      20      30      40      50      60      70      80      90
Rd      MVKFNSSRKS GSKSKTIRKL TAPETVKQNK PQKVFEKCFM RGRYMLSTVL ILLGLCALVA RAAYVQSINA DTLSNEADKR SLRKDEVLSV
NUBL1772 -----P-----L-----G-----S-----

      100      110      120      130      140      150      160      170      180      190
RGSILDRNGQ LLSVSVPMISA IVADPKTMLK ENSLADKERI AALAEELGMT ENDLVKKIEK NSKSGYLYLA RQVELSKANY IRLKIKGII LETEHRFPY
-----K-----

      200      210      220      230      240      250      260      270      280      290
RVEEAAHVWG YTDIDGNGIE GIEKSFNSLL VGKDGSRTVR KDKRGNIVAH ISDEKKYDAQ DVTLSIDDKL QSMVYREIKK AVSENNAESG TAVLVDVRTG
-----E-----

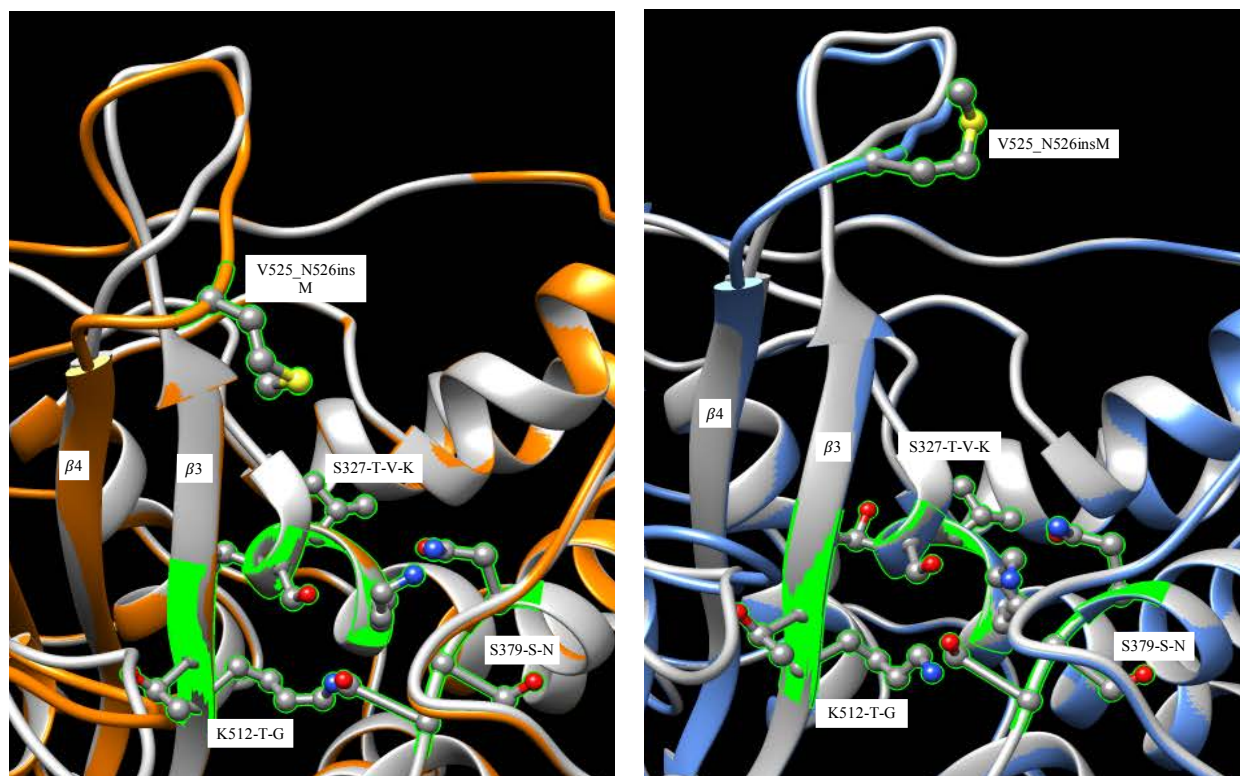
      300      310      320      330      340      350      360      370      380      390
EVLAMATAPS YNPNNRVGVK SELMRNRAIT DTFEFGSTVVK PFVVLTALQR GVVKRDEIID TTSFKLSGKE IVDVAPRAQQ TLDEILMNSSNRGVSRLALR
-----N-----N-----I-----T-----F-----

      400      410      420      430      440      450      460      470      480      490
MPPSALMETY QNAGLSKPTD LGLIGEQVGI LNANRKRWAD IERATVAYGY GITATPLQIA RAYATLGSFG VYRPLSITKV DPPVIGKRVF SEKITKDIVG
-----

      500      510      520      529(530) 539(540) 549(550) 559(560) 569(570) 579(580) 589(590)
ILEKVAIKNK RAMVEGYRVG VKTG TARKIE NGHYV NKYV AFTAGIAPIS DPRYALVVLI NDPKAGEEYV GAVSAPVFSN IMGYALRANA IPQDAEAAEN
-----V-----L-----M--H-----I--E-----S-----G-----PT-K

      599      609
TTTSAKRIV YIGEHKNQKV N
- M-----V--R--E-M -

```



A

B

FIG S1 Modeling of penicillin-binding protein (PBP) 3 structure. Modelling of the structure of PBP3 Rd and NUBL1772 was performed with the UCSF Chimera package including MODELLER (1, 2). Chimera was developed by the Resource for Biocomputing, Visualization, and Informatics at the University of California, San Francisco (supported by NIGMS P41-GM103311). The optimal homologous template was searched by HOMCOS (3) and the PBP3 protein from *Escherichia coli* (PDB: 4BJP) was used as a template. (A) PBP 3 of NUBL1772 (orange) superposed onto that of Rd (gray). The structure of the active site (S327-T-V-K, S379-S-N and K512-T-G) was found to be unchanged. V525_N526insM N526 was found to be located on the loop between $\beta 3$ and $\beta 4$. The loop was lengthened and shifted toward the active site. (B) PBP3 of Rd *ftsI* with V525_N526insM (cornflower blue) superposed onto that of Rd (gray). The structure of the active site was found to be unchanged. V525_N526insM N526 was located on the loop between $\beta 3$ and $\beta 4$. The loop was slightly lengthened and shifted toward

the active site.

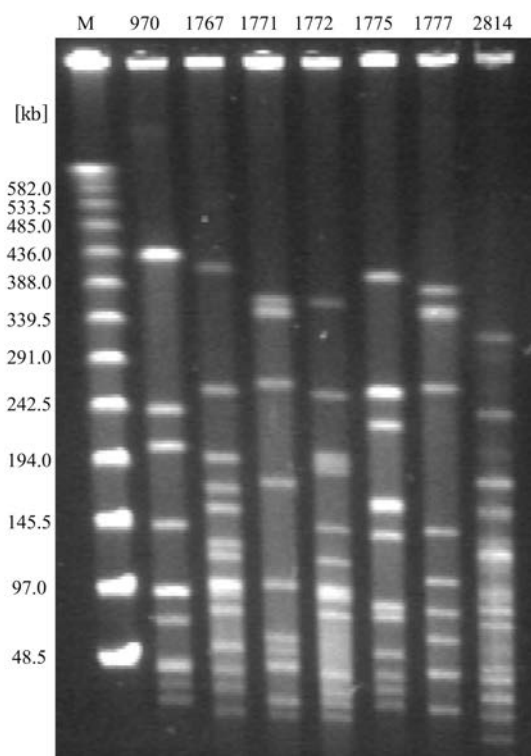


FIG S2 Pulsed-field gel electrophoresis (PFGE) band patterns of seven *H. influenzae* isolates with reduced carbapenem susceptibility. Numbers above the gel image show NUBL numbers of each isolate. M, CHEF DNA size standard Lambda Ladder.

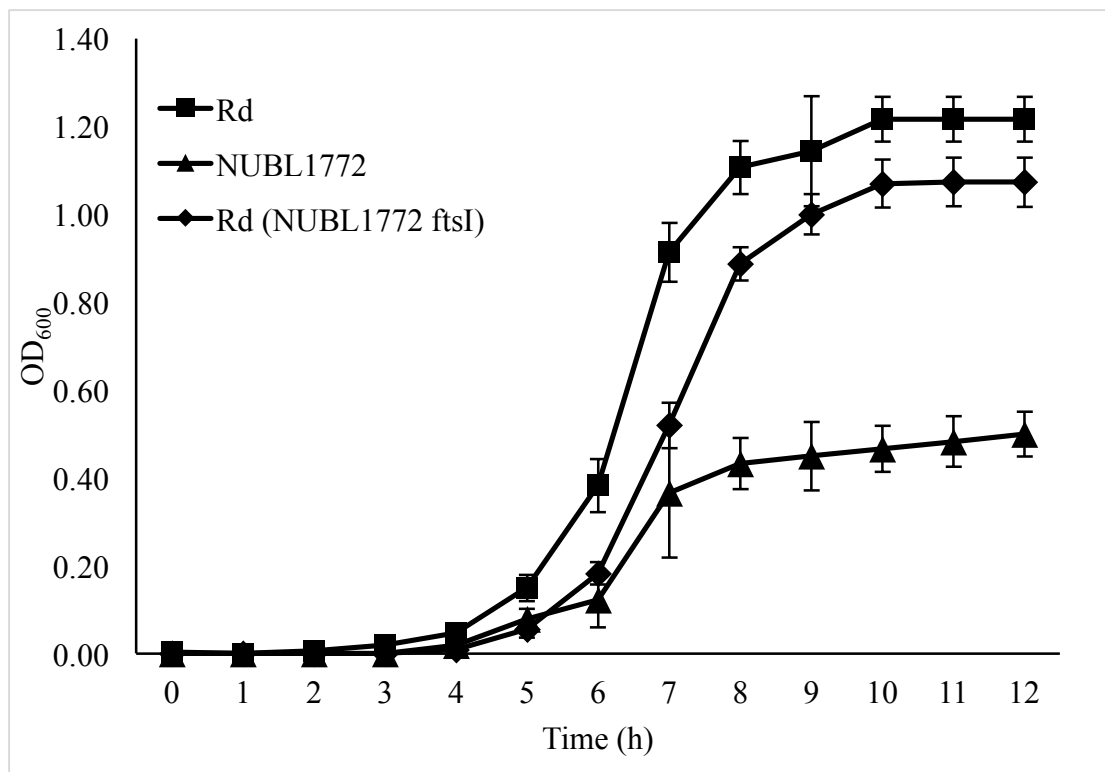


FIG S3 Growth curve comparison of *H. influenzae* Rd, NUBL1772 and Rd recombinant carrying the whole *ftsI* gene of NUBL1772. Error bars indicate the standard deviations of the means. The growth curves were determined by three independent experiments. OD₆₀₀, optical density at absorbance of 600 nm. Rd (NUBL1772 *ftsI*), Rd recombinant carrying the whole *ftsI* gene of NUBL1772.

TABLE S1 DNA primers used for cloning and mutagenesis with *ftsI*

Designation	Forward primer	Reverse primer
Cloning of <i>Rd ftsI</i> for recombination	CTAGCTAGCGACGATTTGGATAACCCATA	CGGGGTACCTTAATCACTTTTTGATTCTTG
Cloning of NUBL1772 <i>ftsI</i> for recombination	CTAGCTAGCGACGATTTGGATAACCCATA	CGGGGTACCTTAATCATTTTTTTCATTCTTA
Introduction of V525_N526insM for <i>Rd ftsI</i>	ATGTAATGAATAAGTATGTGGCATTACT	ACTTATTCATTACATAATGCCATTTTCA
Introduction of M526 del for NUBL1772 <i>ftsI</i>	ATTATGTGAATAAACACGTTGCATT	GTTTATTCACATAATGACCATTCTC
Cloning of KanR2 gene in pBAD18-Kan	CGGGGTACCAGCCACGTTGTGTCTCAA	CGCGGATCCTTAGAAAACTCATCGAGCATC
Cloning of <i>murE</i>	CGCGGATCCTATGGTGGTGCAGTTCTCG	CGCGTGCAGCTTATTTAAGAAATCAAGTGC
Cloning of <i>Rd ftsI</i> for expression	TCCCCCGGTCIATTAATGCCGATACG	CGCGGATCCTTAATCACTTTTTGATTCTTG
Cloning of NUBL1772 <i>ftsI</i> for expression	TCCCCCGGTCIATTAATGCCGATACG	CGCGGATCCTTAATCATTTTTTTCATTCTTACGC

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