

## ON POSSIBLE GLOBAL SYMMETRIES IN SUPERSTRING INSPIRED SUPERSYMMETRIC MODELS

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We investigate phenomenological roles of possible global symmetries in a class of superstring inspired models. Such global symmetries may be present in the full string theory or may incidentally appear in the effective theory of the low-energy sector. We find that if a model has a discrete symmetry,  $Z_m$ , which acts in a generation-independent way, it is naturally embedded in a  $U(1)$  symmetry of the low-energy lagrangian. Then, such global  $U(1)$  symmetries (through suitable redefinition in terms of the local  $U(1)$ 's) remain even after the spontaneous breakdown of the gauge symmetries at  $O(M_W)$ . Some discussion is also presented for generation-dependent symmetries.

It has recently been discovered that superstring theories may present a mathematically consistent description for the unification of all the fundamental particle species and their interactions including gravity [1-3]. Then, it is expected that the low-energy phenomenologies of the superstring theories may be simulated by a class of supersymmetric models [2,4-9]. The gauge symmetry of such superstring inspired models may be given by  $K \times K'$  which results from the gauge group  $E_8 \times E_8$  of the original heterotic string theory through the compactification of the extra six-dimensional space admitting a nontrivial Wilson loop [4,5,10]. The chiral superfields surviving to low energies will be singlet under  $K'$  and transform as certain representations of  $K$  in the decomposition of 27 or  $\overline{27}$  of  $E_6$ .

One of the interesting features of the string inspired supersymmetric models is that due to the discrete symmetries and/or the topology of the internal six-dimensional space, some couplings in the superpotential may vanish so as to reproduce successful low-energy phenomenology, e.g., prohibition of the fast proton decay mediated by the scalar quarks [4,11]. Then, the effective low-energy lagrangian below  $M_{\text{Compact}} \sim M_{\text{Planck}}$  including the associated soft supersymmetry breakings may exhibit certain global

continuous symmetries (except for explicit breakings of order  $M_{\text{Pl}}^n$  ( $n > 0$ ) induced by integrating out the superheavy sector). For example, in the models considered in ref. [9], a  $Z_9$  symmetry is embedded in a  $U(1)_{\text{PQ}}$  symmetry and a  $U(1)$  of lepton number appears "automatically".

In this letter, we would like to investigate phenomenological roles of such global symmetries in a class of low-energy supersymmetric models derived from the superstring.

We begin with the consideration of a simple model of

$$K = SU(3)_C \times SU(2)_W \\ \times U(1)_Y \times U(1)_I \times U(1)_J, \quad (1)$$

with rank 6, where the  $U(1)$ 's are embedded in a maximal subgroup  $SU(6) \times SU(2)$  of  $E_6$  such that  $U(1)_Y \times U(1)_I \subset SU(6)$  and  $U(1)_J \subset SU(2)$ , respectively, and  $Y$  is the usual weak hypercharge. The chiral superfields contained in a 27 of  $E_6$  are identified by the  $K$ -quantum numbers ( $C, W, Y, I, J$ ) as

$$q \sim (3, 2, \frac{1}{6}, -\frac{1}{3}, 0), \\ u^c \sim (\overline{3}, 1, -\frac{2}{3}, -\frac{1}{3}, 0), \quad d^c \sim (\overline{3}, 1, \frac{1}{3}, \frac{1}{6}, \frac{1}{2}), \\ D^c \sim (\overline{3}, 1, \frac{1}{3}, \frac{1}{6}, -\frac{1}{2}), \quad D \sim (3, 1, -\frac{1}{3}, \frac{2}{3}, 0), \quad (2)$$

$$\ell \sim (1, 2, -\frac{1}{2}, \frac{1}{6}, \frac{1}{2}), \quad e^c \sim (1, 1, 1, -\frac{1}{3}, 0),$$

$$H \sim (1, 2, -\frac{1}{2}, \frac{1}{6}, -\frac{1}{2}), \quad H^c \sim (1, 2, \frac{1}{2}, \frac{2}{3}, 0),$$

$$N \sim (1, 1, 0, -\frac{2}{3}, \frac{1}{2}), \quad N^c \sim (1, 1, 0, -\frac{2}{3}, -\frac{1}{2}).$$

(2 cont'd)

The most general K-invariant superpotential is given by

$$\begin{aligned} W = & \lambda_1 u^c q H^c + \lambda_2 d^c q H + \lambda_3 e^c \ell H + \lambda_4 N D^c D \\ & + \lambda_5 N H^c H + \lambda_6 N^c H^c \ell + \lambda_7 N^c d^c D + \lambda_8 D^c q \ell \\ & + \lambda_9 D u^c e^c + \lambda_{10} q q D + \lambda_{11} u^c d^c D^c, \end{aligned} \quad (3)$$

which is obtained by decomposing  $27 \cdot 27 \cdot 27$  of  $E_6$ . Here, the generation indices are suppressed for simplicity; these couplings should be regarded as, e.g.,  $(\lambda_1)_{\alpha\beta\gamma} u^c_\alpha q_\beta H^c_\gamma$  ( $\alpha, \beta, \gamma = 1-3$ ) in the three-generation space.

The gauge group K is spontaneously broken at  $O(M_w)$  as  $K \rightarrow SU(3)_C \times U(1)_{EM}$  by the VEV's of H,  $H^c$ , N and  $N^c$ . Here, it should be remarked that  $N^c$  may develop a nonzero VEV of  $O(M_w)$  due to the  $D^2$  terms in the scalar potential if the gauge coupling constants and the soft supersymmetry breaking scalar mass terms satisfy  $(g_i/g_j)^2 < [3(1+r)/5(1-r)] < \frac{3}{2}$  and  $-1 < r < 0$  where  $r \equiv (m_{N^c}^2/m_N^2)$  with  $m_N^2 < 0$ . (The argument in ref. [12] to rule out  $\langle N^c \rangle \neq 0$  is valid for  $g_i = g_j$ . However, it is possible in the superstring theory that  $g_i \neq g_j$  [13].) We do not consider the possibility of gauge symmetry breaking at an intermediate scale. Some variants of our model, e.g., a rank-five model, Higgs multiplets coming from an incomplete set in  $(27 + 27)$ , etc., will be discussed later.

We first examine possible abelian symmetries,  $U(1)$  and  $Z_m$ , in the superpotential (3) which act in a *generation-independent* way; the chiral multiplets with the same K-quantum numbers transform in the same way. (Such symmetries are also preserved in the effective low-energy lagrangian if certain couplings in (3) together with the associated soft supersymmetry breakings are vanishing.) The charge X associated with an abelian symmetry may be identified by solving linear algebraic equations for non-vanishing couplings  $\lambda_a$ ,

$$A_{ai} X_i = n \cdot k_a \leftrightarrow \lambda_a \neq 0, \quad (4)$$

where the  $k_a$  are certain integers without an overall common factor, and the  $A_{ai}$  are non-negative integers characterizing the relevant couplings  $\lambda_a$  with the chiral fields  $\phi_i = q, u^c, \dots$ , e.g., nonzero entries are  $A_{1i} = 1$  for  $\phi_i = u^c, q, H^c$  in the coupling  $\lambda_1$ , etc. The charges  $X_i$  for the chiral fields should be determined modulo  $n$  as integers without an overall common factor. Each linearly independent solution of eq. (4) realizes a  $U(1)$  ( $n=0$ ) or a  $Z_n$  (generated by  $\exp[i(2\pi/n)X]$ ).

All the possible 11 equations in eq. (4) with 11 fields cannot be linearly independent with respect to  $X_i$  since  $W$  obviously preserves the local  $U(1)$ 's in  $K \subset E_6$ . In fact, we find that the  $11 \times 11$  matrix  $A_{ai}$  has rank 8. Hence, if all the 11 couplings are non-vanishing, there is no room for additional  $U(1)$  symmetries in  $W$  other than the local  $U(1)_Y \times U(1)_I \times U(1)_J$ .

Some couplings in  $W$  and the associated soft supersymmetry breaking terms, however, may vanish due to the discrete symmetries and/or the topology of the internal manifold [4,11]. Then, the effective low-energy theory may incidentally exhibit larger global symmetries than the original ones in the whole sector of the superstring theory, (except for breaking terms of order  $M_{Pl}^{-n}$  ( $n > 0$ )). For example, suppose that a certain internal manifold results in a discrete symmetry [14]

$$P: (D, D^c, N^c) \rightarrow (D, D^c, N^c), \quad (5)$$

so that

$$\lambda_6, \lambda_8 - \lambda_{11} = 0. \quad (6)$$

Then, besides the local  $U(1)$ 's, two global  $U(1)$  symmetries appear, corresponding to  $N_B$  (baryon number) and  $N_{(D-N^c)} \equiv N_D - N_{D^c} - N_{N^c}$  ( $D - D^c - N^c$  number). It is interesting to observe that the discrete symmetry looks "promoted" to a continuous symmetry  $U(1)_{(D-N^c)}$  such that  $P = \exp(i\pi N_{(D-N^c)})$ . (See also ref. [9] for this kind of phenomenon.)

We may proceed to find possible  $U(1)$  symmetries by considering certain phenomenological constraints. Such symmetries will characterize superstring inspired models.

We first note that  $\lambda_1 - \lambda_4$  must be nonvanishing for the quark and lepton mass generation and that  $\lambda_5$  is

also inevitable to obtain  $\langle H \rangle \neq 0$  and  $\langle H^c \rangle \neq 0$ . The remaining couplings  $\lambda_6$ - $\lambda_{11}$  are optional. We here simply assume that

$$\lambda_6 = 0, \quad (7)$$

to avoid a Dirac neutrino mass of  $O(M_W)$ .

The number of possible global U(1)'s is determined by the number of independent conditions (4) for *nonvanishing*  $\lambda_a$ . Let  $\Gamma_a$  indicate a linear combination of  $X_i$ ;  $\Gamma_a \equiv A_{ai} X_i$  (LHS of eq. (4)). Since the conditions  $\Gamma_a = 0$  ( $a=1-5$ ) for  $\lambda_1$ - $\lambda_5$  are linearly independent, we find

$$\begin{aligned} \#(\text{global U(1)'s}) &= 3 \\ &- \#(\text{independent } \Gamma_a = 0 \text{ for nonzero } \lambda_7 - \lambda_{11}), \end{aligned} \quad (8)$$

where the maximal number of global U(1)'s is obtained by  $3 = 11$  (fields)  $- 5(\lambda_1 - \lambda_5 \neq 0) - 3$  (local U(1)'s). Identity relations

$$\begin{aligned} \Gamma_6 - \Gamma_7 &\simeq \Gamma_8 \simeq -\Gamma_9 \quad (\text{modulo } \Gamma_1 - \Gamma_5), \\ \Gamma_{10} &\simeq -\Gamma_{11} \quad (\text{modulo } \Gamma_1 - \Gamma_5), \end{aligned} \quad (9)$$

may be useful to count the number of independent  $\Gamma_a = 0$  to identify possible symmetries, where  $\Gamma_a \simeq \Gamma_b$  (modulo  $\Gamma_1 - \Gamma_5$ ) means an identity  $\Gamma_a - \Gamma_b \equiv \sum_c B_c \Gamma_c$  ( $c=1-5$ ) with suitable integers  $B_c$ . These relations imply, for example, that  $\Gamma_8 = 0$  for  $\lambda_8 \neq 0$  automatically requires  $\Gamma_9 = 0$  if  $\Gamma_1 - \Gamma_5 = 0$ , i.e., as long as  $\lambda_1 - \lambda_5 \neq 0$ , the survival of  $\lambda_8$  and  $\lambda_9$  does not give independent constraints for identifying possible global symmetries. Furthermore, since  $\Gamma_6$  is not required to be zero if  $\lambda_6 = 0$ ,  $\Gamma_7 = 0$  for  $\lambda_7 \neq 0$  serves as an independent condition in (8) to reduce the number of possible U(1)'s.

It should be also noticed that the couplings  $\lambda_7$ - $\lambda_{11}$  may or may not vanish in accordance with the baryon number assignment for the extra D quarks. Two cases are available to reproduce the baryon number conservation for preventing rapid proton decay [8]:

$$N_B^{(1)}(D) = \frac{1}{3} \leftrightarrow \lambda_{10} = \lambda_{11} = 0, \quad (10)$$

or

$$N_B^{(2)}(D) = -\frac{2}{3} \leftrightarrow \lambda_7 = \lambda_8 = \lambda_9 = 0. \quad (11)$$

(We cannot assign  $N_B^{(2)}(N^c) = 1$  to admit nonzero  $\lambda_7$

for the second case since  $\langle N^c \rangle$  must be nonvanishing to break the extra local U(1)'s).

We now find from the conditions (8)-(11) that possible models and the corresponding global U(1) charges are classified as follows, depending on the vanishing (denoted by "×") or nonvanishing ("○") of the relevant couplings:

$$\begin{aligned} &(\lambda_7, \lambda_{8,9}, \lambda_{10,11}) \\ &= (\text{○}, \text{○}, \text{×}) \rightarrow N_B^{(1)} \\ &= (\text{○}, \text{×}, \text{×}) \rightarrow N_B^{(1)} \oplus N_{(d-N^c)} \\ &= (\text{×}, \text{○}, \text{×}) \rightarrow N_B^{(1)} \oplus N_{N^c} \\ &= (\text{×}, \text{×}, \text{○}) \rightarrow N_B^{(2)} \oplus N_{N^c} \\ &= (\text{×}, \text{×}, \text{×}) \rightarrow N_B^{(1)} \oplus N_D \oplus N_{N^c} \end{aligned} \quad (12)$$

(The cases (○, ○, ○), (○, ×, ○) and (×, ○, ○) are excluded by (11).)

One may also be interested in finding possible  $Z_n$  symmetries. We can, however, show that they are always embedded in the U(1) symmetries found so far. Suppose that there is a nontrivial solution of eq. (4) for a  $Z_n$ . Then, we can redefine the  $X_i$  charges without affecting the  $Z_n$  action on the chiral fields such that

$$X'_i \equiv X_i - n \cdot A_i, \quad (13)$$

where the  $A_i$  are arbitrary integers. By suitably arranging the  $A_i$ , eq. (4) for  $X_i$  may be rewritten as

$$A_{ai} X'_i = 0, \quad (14)$$

so that the  $X'_i$  represent a U(1) symmetry, i.e.,  $Z_n$  is embedded in a U(1). For instance, for a model (○, ○, ×) in (12) with  $\lambda_7 \neq 0$ , and  $\lambda_8$  and/or  $\lambda_9 \neq 0$  for  $N_B^{(1)}(D) = \frac{1}{3}$ , we can take

$$A_{u^c} = k_1 - k_5, \quad A_{d^c} = k_2, \quad A_{e^c} = k_3,$$

$$A_{H^c} = k_5, \quad A_D = k_4 - \bar{k}, \quad A_{D^c} = \bar{k},$$

$$A_{N^c} = k_7 - k_2 - k_4 + \bar{k}, \quad (15)$$

and the other  $A_i = 0$  with

$$\begin{aligned} \bar{k} &= k_8 \quad \text{for } \lambda_8 \neq 0, \\ &= k_1 + k_3 + k_4 - k_5 - k_9 \quad \text{for } \lambda_9 \neq 0. \end{aligned} \quad (16)$$

These two expressions of  $\bar{k}$  coincide when both  $\lambda_8$  and  $\lambda_9$  are nonzero, since the preservation of  $Z_n$  requires  $k_8 = -k_9 + k_1 + k_3 + k_4 - k_5$  from an identity  $\Gamma_8 = -\Gamma_9 + \sum_c B_c \Gamma_c$  with  $B_c = (1, 0, 1, 1, -1)$  ( $c = 1-5$ ). (If  $\lambda_6 \neq 0$  as well as  $\lambda_7 - \lambda_9 \neq 0$ , the identities (9) further require  $k_6 - k_7 - k_8 = -k_2 - k_4 + k_5$ . Then,  $\Delta_{H^c}$  and  $\Delta_{N^c}$  given in (15) also result in  $A_{6i} X_i' = 0$  for  $\lambda_6$ .) We find similar  $\Delta_i$  for the models with  $N_B^{(2)} = -\frac{2}{3}$ .

In this way, we have found that any  $Z_n$  symmetry which acts in a *generation-independent* way can be embedded in a suitable  $U(1)$  symmetry (as long as the baryon number conservation is ensured in accordance with (10) or (11)). In other words, the discrete symmetry has been "promoted" to a continuous symmetry in the low-energy effective theory [9].

Any global symmetry has a potential danger to cause phenomenological problems if it is spontaneously broken at a low mass scale; the appearance of Nambu-Goldstone bosons (or axions) for a  $U(1)$  [15], or the domain wall formation for a  $Z_n$  [16]. The gauge symmetry  $K$  of (1) may be spontaneously broken at  $O(M_W \approx 1 \text{ TeV})$  by the VEV's of  $H, H^c, N$  and  $N^c$  induced by the effects of the soft supersymmetry breaking terms. Then, one may worry if the global symmetries found so far are also broken together with the gauge group  $K$ , giving rise to phenomenological difficulties. The domain wall problem, however, does not arise if the discrete symmetries act in *generation-independent* ways, since they are embedded in the  $U(1)$  symmetries, as shown above.

As for the possible  $U(1)$  symmetries in (12) in connection with the problem of Nambu-Goldstone bosons, we will show that they actually remain unbroken. Note that  $X_i$  charges either for  $U(1)$  or  $Z_n$  in eq. (4) (or  $X_i'$  in eq. (14)) can be further redefined by taking a gauge transformation generated by  $Y, I$  and  $J$ :

$$X'' \equiv X + aY + bI + cJ. \tag{17}$$

If we choose

$$a = 2X_H + \frac{2}{3}(3X_N - 2X_{N^c}),$$

$$b = \frac{2}{3}(X_N + X_{N^c}), \quad c = -X_N + X_{N^c}, \tag{18}$$

we find

$$X_i'' = 0 \pmod{n} \quad \text{for } \phi_i = H, H^c, N, N^c. \tag{19}$$

This is possible due to the fact that  $X_H + X_{H^c} + X_N = 0$  (modulo  $n$ ), derived from the term  $\lambda_5 NH^c H$  in  $W$ . Therefore, the possible *generation-independent* global  $U(1)$  symmetries ( $n=0$ ) (and  $Z_n$  also) actually remain unbroken, and the Nambu-Goldstone bosons do not appear.

We now consider some variants of our model.

The gauge group  $K$  may be of rank five. Consider, for example, a model of  $K = SU(3)_C \times SU(2)_W \times U(1)_Y \times U(1)_I$  [8]. Then, the third  $U(1)_I$  in  $E_6$ , which is no longer a local symmetry, still remains as a *global* symmetry in the superpotential. As long as the possibility of global symmetries is concerned, there is no essential difference between the rank-five model and the rank-six model except that in the rank-five model,  $\langle N^c \rangle$  must be zero to avoid the Nambu-Goldstone boson associated with the *global*  $U(1)_I$ . (The  $U(1)_I$  charges of  $H, H^c, N$  and  $N^c$  cannot be simultaneously absorbed into those of  $Y$  and  $I$ , as in eq. (17).)

The Higgs doublets,  $H$  and  $H^c$ , may come rather from an incomplete set in  $(27+27)$  [14]. Then, the multiplets contained in the complete  $27$ 's which have the same quantum numbers as the Higgs doublets must be regarded as heavy leptons, say  $L$ . They acquire masses through the coupling  $NL^c L$ . The couplings such as  $NH^c L$ , however, must be absent so that we can distinguish the heavy leptons from the Higgs doublets by the  $L$ -number conservation. The same arguments for the possible global symmetries as in the first model also apply to this case.

Therefore, our conclusions concerning the possibility of *generation-independent* global symmetries will be valid in a variety of superstring inspired models through the  $E_6$  unification without intermediate gauge symmetry breaking scale:

- (i) Phenomenologically acceptable models are singled out in accordance with the baryon number assignment to prevent rapid proton decay.
- (ii) If such a model has a discrete symmetry,  $Z_n$ , (which would originate from the string theory), it is naturally embedded in a  $U(1)$  symmetry (except for tiny breaking terms suppressed by  $M_{Pl}$ ).
- (iii) Then, the global  $U(1)$  symmetries (through suitable redefinition in terms of the local  $U(1)$ 's) remain unbroken even after the spontaneous breakdown of the gauge symmetries at  $O(M_W)$ .

We finally present some comments on global symmetries which act in *generation-dependent* ways. Generally, the problems of Nambu–Goldstone boson, visible axion and/or domain wall will arise. This is due to the fact that some Higgs multiplets with the same K-quantum numbers may transform differently under a generation-dependent symmetry. Then,  $Z_n$  symmetries may not be embedded in  $U(1)$ 's, or the transformations of the Higgs multiplets under a  $U(1)$  (or  $Z_n$ ) symmetry may not be all rotated out (modulo  $n$ ) in terms of the local gauge symmetries, as has been done in the case of generation-independent symmetries. (These difficulties might be evaded in some models [9].)

We examine several cases in the concrete. Let the chiral multiplets transform under a generation-dependent symmetry as

$$\phi_\alpha(i) \rightarrow \exp[i(2\pi/n)X_\alpha(i)] \cdot \phi_\alpha(i), \quad (20)$$

where  $\phi_\alpha(i) = q_\alpha, u_\alpha^c, d_\alpha^c$ , etc., and  $\alpha = 1-3$  is a generation index. Then, the global symmetry under consideration requires the following conditions, in particular, for the *nonvanishing* elements of the coupling matrices  $(\lambda_1)_{\alpha\beta\gamma}$  and  $(\lambda_2)_{\alpha\beta\gamma}$ :

$$X_\alpha(q) + X_\beta(u^c) + X_\gamma(H^c) = 0 \text{ modulo } n, \quad (21)$$

$$X_\alpha(q) + X_\beta(d^c) + X_\gamma(H) = 0 \text{ modulo } n. \quad (22)$$

(a) The Higgs multiplets,  $H, H^c, N$  and  $N^c$ , may still transform in a generation-independent way, while the quarks and leptons transform in a generation-dependent way:

$$X_\gamma(i) = X(i) \quad (i = H, H^c, N, N^c; \gamma = 1-3). \quad (23)$$

Then, the phenomenological problems are avoided since the charges of the Higgs multiplets can be rotated out, as in (17)–(19).

It is interesting to see whether this kind of symmetry reproduces the quark mass matrices of Fritzsche-type [17]:

$$M_f \sim f_\alpha^c \begin{pmatrix} f_\beta & & \\ 0 & * & 0 \\ * & 0 & * \\ 0 & * & * \end{pmatrix} [f = u, d], \quad (24)$$

where “\*” denotes a nonzero entry. It is, however, immediately found that the symmetry conditions

(21) and (22) for the nonzero elements in (24) require that for *all* the  $\alpha, \beta = 1-3$ ,

$$X_\alpha(i) = X_\beta(i) \text{ modulo } n \quad (i = q, u^c, d^c), \quad (25)$$

which cannot ensure the vanishing of the relevant elements in (24). Therefore, Fritzsche-type mass matrices are not obtained in this case.

What kind of mass matrices are obtainable due to this sort of symmetry? We here present an example of  $Z_5$  ( $n = 5$ ):

$$X_\alpha(q) = (3, 2, 2), \quad X_\alpha(u^c) = (2, 3, 3),$$

$$X_\alpha(d^c) = (3, 2, 3), \quad X(H) = X(H^c) = 0. \quad (26)$$

This results in the following quark mass matrices:

$$M_u \sim \begin{pmatrix} * & 0 & 0 \\ 0 & * & * \\ 0 & * & * \end{pmatrix}, \quad M_d \sim \begin{pmatrix} 0 & * & * \\ * & 0 & 0 \\ 0 & * & * \end{pmatrix}. \quad (27)$$

The  $Z_5$  may or may not be embedded in  $U(1)$ , depending on the other couplings  $(\lambda_5)_{\alpha\beta\gamma} N_\alpha H^c_\beta H_\gamma$ , etc., such that  $X'_\alpha(q) = (-2, 2, 2)$ ,  $X'_\alpha(u^c) = (2, -2, -2)$  and  $X'_\alpha(d^c) = (-2, 2, -2)$ .

(b) The Higgs multiplets may also transform in a generation-dependent way. Consider an example of  $U(1)$ :

$$X_\alpha(i) = (1, 2, -2) \quad (i = q, u^c, d^c),$$

$$X_\alpha(j) = (4, 0, -3) \quad (j = H, H^c), \quad (28)$$

which constrain the elements of the coupling matrices  $\lambda_1$  and  $\lambda_2$  so as to reproduce the Fritzsche-type mass matrices. We may assign the charges of  $N_\alpha$ , for example, as

$$X_\alpha(N) = (-1, 3, -4), \quad (29)$$

so that some couplings among  $(\lambda_5)_{\alpha\beta\gamma} N_\alpha H^c_\beta H_\gamma$  are also invariant under  $U(1)$ . Furthermore, if  $(\lambda_5)_{333}$  is nonzero as well as  $(\lambda_5)_{312}$  and  $(\lambda_5)_{321}$ ,  $U(1)$  is reduced to  $Z_{10}$ . This case with  $Z_{10}$  could be acceptable if the domain wall problem is solved by inflation [18], while if  $(\lambda_5)_{333} = 0$ , it is ruled out by particle experiments such as  $\mu \rightarrow e + G$  where  $G$  is the Nambu–Goldstone boson (or an axion) associated with  $U(1)$  [15].

In conclusion, we have investigated the phenomenological roles of possible global symmetries in a

class of low-energy superstring inspired supersymmetric models. Such global symmetries may be present in the full string theory or may incidentally appear in the effective theory of the low-energy sector (except for explicit breakings  $\propto M_{\text{Pl}}^{-n}$ ). Phenomenologically acceptable models are singled out in accordance with the baryon number assignment to prevent rapid proton decay. If a model has a discrete symmetry,  $Z_m$ , which acts in a generation-independent way, it is naturally embedded in a  $U(1)$  symmetry. Then, such global  $U(1)$  symmetries (through suitable redefinition in terms of the local  $U(1)$ 's) remain unbroken even after the spontaneous breakdown of the gauge symmetries at  $O(M_W)$ . On the other hand, generation-dependent symmetries may reproduce interesting quark and lepton mass matrices. They will, however, generally suffer from the phenomenological difficulties associated with their spontaneous breakdown.

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