

1 Stem cells within the shoot apical meristem:  
2 Identity, arrangement and communication.

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23 **Running title:** Stem cells in the SAM

24 **Abstract**

25 Stem cells are specific cells that renew themselves and also provide  
26 daughter cells for organ formation. In plants, primary stem cell populations are  
27 nurtured within shoot and root apical meristems (SAM and RAM) for the production  
28 of aerial and underground parts, respectively. This review article summarizes recent  
29 progress on control of stem cells in the SAM from studies of the model plant  
30 *Arabidopsis thaliana*. To that end, a brief overview of the RAM is provided first to  
31 emphasize similarities and differences between the two apical meristems, which  
32 would help better understanding of stem cells in the SAM. Subsequently, we will  
33 discuss in depth how stem cells are arranged in an organized manner in the SAM, how  
34 dynamically the stem cell identity is regulated, what factors participate in stem cell  
35 control, and how intercellular communication by mobile signals modulates stem cell  
36 behaviors within the SAM. Remaining questions and perspectives are also presented  
37 for future studies.

38

39 **Key words:** Central Zone; Organizing center; Peripheral zone; Shoot apical  
40 meristem; Stem cell; Tissue layer

## 41 **Introduction: stem cells within apical meristems of plants**

42 Stem cells are broadly defined by two abilities; to renew themselves and to  
43 provide daughter cells that differentiate into other cell types [1]. In plants, two apical  
44 tissues, shoot and root apical meristems (SAM and RAM, respectively), contain  
45 primary stem cell populations that continuously produce cells for organ formation and  
46 growth throughout the life [2, 3]. The main purpose of this review article is to  
47 summarize recent progress on control of stem cell identity, spatial organization of the  
48 stem cell population and intercellular communication for stem cell regulation in the  
49 SAM, from studies of the model plant *Arabidopsis thaliana*. To that end, it is worth  
50 beginning with a brief overview of the RAM for better understanding of a general  
51 concept of plant stem cells because, compared with the SAM, the spatial arrangement  
52 of stem cells and their daughter cells has been clearly understood in the *Arabidopsis*  
53 RAM where different cell types can be easily and visually distinguished due to its  
54 well-organized tissue structure (Fig. 1) [4, 5]. Overlooking similarities and differences  
55 between the two apical meristems would deepen the discussion about stem cells in the  
56 SAM.

57

## 58 **Stem cell niche in the RAM**

59 Figure 1 shows the stereotypical arrangement of cells at the *Arabidopsis*  
60 root tip. Stem cells (also called ‘initial’ cells) are located around the quiescent center  
61 (QC) and directly contact the QC. These stem cells are mitotically less active and  
62 divide infrequently [6]. The QC is considered as a signaling center or an organizer of  
63 the RAM, sending non-cell-autonomous signals toward the surrounding stem cells to  
64 regulate their maintenance and asymmetric cell division [7]. The stem cells give rise  
65 to all root tissues by dividing asymmetrically to renew themselves and produce

66 daughter cells that undergo several times of amplifying cell divisions before final  
67 differentiation into specialized cells. Importantly, under normal conditions,  
68 differentiation potency of stem cells in the RAM is strictly limited based on their  
69 position. Each stem cell contributes to the production of only one or two specific cell  
70 types, resulting in the formation of an array of specialized cell files originated from  
71 respective initial cells (Fig. 1). Thus stem cells in the RAM act as ‘lineage-specific’  
72 stem cells. So far no universal genes specifically marking all stem cells around the  
73 QC have been established.

74           A part of the QC function requires *WUSCHEL RELATED HOMEODOMAIN 5*  
75 (*WOX5*), a transcription factor gene specifically expressed in the QC [8]. The loss of  
76 function of *WOX5* causes a defect in the maintenance of columella stem cells (CSCs)  
77 beneath the QC. Interestingly, although *WOX5* is specifically transcribed in the QC,  
78 the *WOX5* protein moves from the QC into the CSCs and represses  
79 chromatin-mediated differentiation programs in the CSCs [9]. It is noteworthy that,  
80 while other stem cells around the QC except for CSCs do not show obvious  
81 phenotypes in the *wox5* mutant, the *WOX5* protein appears to move not only to CSCs  
82 but also to other stem cells adjacent to the QC as shown by the *WOX5* genomic  
83 construct fused with yellow fluorescent protein (*gWOX5-YFP*) [9]. Therefore  
84 *gWOX5-YFP* may be able to serve as a universal reporter for all stem cells around the  
85 QC, though it shows fluorescence also in the QC cells. Roles of the *WOX5* protein in  
86 non-CSC stem cells are unknown.

87           It has been proposed that another role of the QC is to serve as a starting  
88 point or an end point of concentration gradients of several factors [4]. In addition to  
89 the phytohormone auxin [10] and peptide hormones such as  
90 CLAVATA3/EMBRYO-SURROUNDING REGION 40 (CLE40) [11] and ROOT

91 MERISTEM GROWTH FACTORS (RGFs) [12], some transcription factors including  
92 PLETHORA (PLT) family proteins are also distributed in a concentration gradient  
93 according to the distance from the QC [13-15]. Some of such gradients affect one  
94 other to form complex regulatory networks [12-14, 16, 17], which output feedback  
95 signals to control the QC activity and stem cell behaviors [11, 18].

96

### 97 **Stem cell identity in the SAM**

98           As described above, there exist several types of specialized stem cells with  
99 different differentiation potencies at the root tip, and each stem cell produces only one  
100 or two specific cell files as a ‘lineage-specific’ stem cell. In contrast, the SAM  
101 maintains a group of pluripotent stem cells that give rise to all aerial tissues of the  
102 body [19]. These stem cells are located in the central zone (CZ) of the SAM structure  
103 (Fig. 2) [20] and divide slowly, providing daughter cells outward [21]. The daughter  
104 cells in the peripheral zone (PZ) surrounding the CZ undergo several round of cell  
105 divisions as ‘transit amplifying cells’ before they completely lose the indeterminate  
106 state and are eventually incorporated into lateral organ primordia [21, 22].

107           Maintenance of stem cell population and specification of stem cell identity  
108 in the SAM largely depend on the homeodomain transcription factor, WUSCHEL  
109 (WUS) [23, 24]. The expression domain of the *WUS* gene is restricted to a group of  
110 cells underneath the CZ (Fig. 2) [24]. Because *WUS* non-cell-autonomously promotes  
111 the proliferation of stem cells and specifies the stem cell identity in the CZ, the  
112 *WUS*-expressing cells are defined as the organizing center (OC) of the SAM. The  
113 molecular nature of the non-cell-autonomous effects derived from the *WUS* gene will  
114 be discussed in the later section. The stem cells express *CLAVATA3 (CLV3)* [25, 26],  
115 which encodes a secreted peptide [20, 27, 28] acting to suppress the *WUS* expression

116 via several classes of CLV3 receptor proteins such as CLAVATA1 (CLV1) [29-38].  
117 This *WUS-CLV3* negative feedback circuit plays a crucial role to maintain stem cell  
118 homeostasis. The *CLV3* expression serves as a reliable marker for stem cells within  
119 the SAM due to its specific expression in the CZ. However, it should be noted that, as  
120 *clv3* mutants retain functional stem cells, *CLV3* itself is not essential for the  
121 specification of stem cell identity [20, 39].

122 *WUS* not only regulates stem cells in the CZ but also affects cell behaviors  
123 in the PZ. It has been established that local accumulation of auxin within the PZ and  
124 the activation of auxin signaling at the accumulation site specify lateral organ founder  
125 cells [40-42]. Intriguingly, transient down-regulation of *WUS* leads to enlarged organ  
126 primordia with an increase in the number of cells responding to auxin within the PZ,  
127 suggesting that *WUS* non-cell-autonomously controls the allocation of PZ cells to a  
128 differentiation pathway by decreasing either local auxin accumulation or auxin  
129 signaling [43]. Recently, HECATE (HEC) family transcription factors were reported  
130 to negatively modulate auxin signaling in the PZ by physically associating with  
131 MONOPTEROS (MP), a key transcription factor of auxin signaling in the SAM [44,  
132 45]. It is worthwhile to examine whether the *WUS* down-regulation in the OC affects  
133 the *HEC* function in the PZ.

134

### 135 **Dynamic re-specification of stem cell identity in the SAM**

136 It was shown that expression of endogenous *CLV3* or *CLV3*-promoter-based  
137 reporters expands into the PZ in mutants of *CLAVATA* signaling pathway such as *clv1*  
138 and *clv3* [20, 43]. This observation raises two possibilities. One is the expansion of  
139 stem cell population caused by enhanced cell division of stem cell itself. The other is  
140 the re-specification of cells in the PZ back to stem cell identity. Live imaging

141 following induced down-regulation of *CLV3* or induced enhancement of *WUS* activity  
142 revealed that the latter is the case [43, 46]. Upon the induction, re-specification of  
143 stem cell identity gradually and radially expands from the original CZ toward the  
144 adjacent PZ cells, indicating that the re-specification likely requires unknown factors  
145 that exist in the CZ or unidentified short-range signals derived from the CZ.

146           Although the *CLV3* expression covers almost the entire SAM in *clv* mutants,  
147 the expression is excluded from the outer narrow domain of the PZ (Fig. 2) [20, 43],  
148 indicating that the stem cell identity cannot be re-specified in the outer PZ even in *clv*  
149 mutants, and that cellular situations are not uniform throughout the entire PZ.  
150 Mechanisms that determine the border between the inner and outer PZ in terms of the  
151 capability of re-specification of stem cell identity remain to be revealed. However,  
152 ectopic overexpression of *WUS* is able to completely convert the entire PZ into stem  
153 cell identity including the outer PZ [43], suggesting that, under normal conditions, the  
154 range of unknown non-cell-autonomous signals derived from the original *WUS*  
155 expression domain may define the border between the inner and outer PZ. Single cell  
156 transcriptome analysis of the SAM may reveal stepwise or gradual changes in cell  
157 state from the inner PZ toward the outer PZ. A recent study suggests a gradual  
158 transition from stem cell to differentiation state in the RAM. Specifically,  
159 transcriptome analysis of root cell subpopulations separated according to their  
160 distance from the QC demonstrated that, with increasing distance from the QC, stem  
161 cell-enriched transcripts are gradually decreased and differentiation-associated  
162 transcripts are inversely increased [15]. A similar gradual progression may occur from  
163 the center of the SAM toward the edge of the PZ. In this scenario, the distance from  
164 the OC may control the progression via non-cell-autonomous effects originated from  
165 the *WUS* function in the OC.

166 Re-specification of cells in the PZ back to stem cell identity was also  
167 proposed by classical microsurgical ablation experiments using tomato shoot apex  
168 [47]. After ablation of the entire CZ and OC, the expression of tomato *WUS*  
169 homologue gene was rapidly induced in the PZ, and eventually the functional SAM  
170 was re-established, indicating that, most likely, stem cells can be regenerated from the  
171 PZ. Later ablation experiments using the *Arabidopsis* SAM clearly showed  
172 re-specification of cells in the PZ into stem cell identity [48]. *CLV3*-expressing cells  
173 appeared in the PZ after the ablation, following the re-establishment of *WUS*  
174 expression. Thus, cells in the PZ retain a potency to be re-specified into stem cell  
175 identity even in the wild-type situation. Ablation experiments with the *Arabidopsis*  
176 RAM were also reported [49]. The complete removal of the QC and all surrounding  
177 stem cells led to the appearance of the QC marker *WOX5* in the endodermis cell file,  
178 followed by re-construction of a functional root tip containing re-established stem  
179 cells. Therefore, the appearance of *WUS/WOX* family genes prior to stem cell  
180 regeneration is common between the two apical meristems and likely a prerequisite  
181 step for stem cell re-specification.

182

### 183 **Layered arrangement of stem cell subpopulations in the SAM**

184 The SAM is composed of three tissue layers: the epidermal L1, the  
185 sub-epidermal L2 and further inner L3 layers (Fig. 3A), and each tissue layer shows  
186 distinct characteristics [50]. Cells in the L1 and L2 layers divide in an anticlinal  
187 manner, producing clonal cell layers. In contrast, cells in the L3 tissue divide both  
188 anticlinally and periclinally, giving rise to internal tissues. In particular, since the L1  
189 layer is exposed to the environment, it plays a role as a mechanical barrier with some  
190 special properties [51] such as thicker cell walls on the outer surface [52, 53]. Genes



191 that exhibit epidermis-specific expression have been extensively identified [54],  
192 including *ARABIDOPSIS THALIANA MERISTEM LAYER 1 (AtML1)* that is widely  
193 used as an L1 identity marker in shoot tissues [55, 56].

194           Although the three tissue layers of the SAM play distinct roles during  
195 development, stem cells spread between all the layers within the CZ (Fig. 3B) [20]. It  
196 is noteworthy that the OC, which is defined by the *WUS* expression, resides within the  
197 L3 tissue [24] (Fig. 3A, B) and the lowermost layer of the stem cell population  
198 overlaps with the uppermost layer of the OC (Fig. 3B). Thus, there exist at least three  
199 distinct stem cell subpopulations within the SAM tissue layers (Fig. 3C); stem cells  
200 with the L1 identity, those with the L2 identity and those with the OC identity. This  
201 layer arrangement of stem cells within the SAM is in sharp contrast with the situation  
202 in the RAM, where only cells adjacent to the QC act as stem cells (Fig. 1). Specific  
203 marker genes for each stem cell subpopulation located in respective tissue layers of  
204 the SAM have not been established. According to the reported *Arabidopsis*  
205 transcriptome data of SAM cell subpopulations separated by FACS using several  
206 established domain-specific markers including *CLV3*, *WUS* and *AtML1* [57, 58],  
207 *At1g04880* and *At5g06270* may be candidates for specific marker genes for stem cells  
208 with the L1 identity, and *At4g17710* for those with the L2 identity. Future single cell  
209 transcriptome analysis of the CZ cells would further facilitate the identification and  
210 establishment of specific markers for each stem cell subpopulation.

211

## 212 **WUS distribution for the proper arrangement of stem cells in the SAM**

213           One of key questions raised by the layered arrangement of stem cells in the  
214 SAM is how the OC in the L3 zone specifies stem cell identity of cells in the entire  
215 CZ, especially those in the L1 and L2 layers which do not overlap with the OC. As

216 mentioned in the above section, *WUS* plays a central role to specify the stem cell  
217 identity of the CZ cells though it is specifically expressed in the OC [24]. Intriguingly,  
218 *WUS* protein moves from the OC to the entire CZ via plasmadesmata [59, 60] and  
219 activates *CLV3* expression by directly binding to the *CLV3* promoter [61]. This is  
220 similar to the case of the *WOX5* movement from the QC to surrounding stem cells in  
221 the RAM [9]. However, it remained to be answered why the *CLV3* expression is only  
222 seen in its uppermost layer of the OC despite that the *WUS* is expressed in the entire  
223 OC (Fig. 3B). Very recently, it was revealed that the activity of HAIRY MERISTEM  
224 (*HAM*) family proteins, GRAS-domain transcription factors, prevents the *CLV3*  
225 activation in the lower OC [62]. Expression patterns of *HAM* family members and  
226 *CLV3* are nearly complementary along the apical-basal axis, and the *HAM* family is  
227 highly expressed in the lower part of the SAM [62-64]. Furthermore, *HAM* proteins  
228 interact with *WUS* [65]. Combined, these data suggest that *HAM* proteins inhibit the  
229 *CLV3* activation by *WUS* in the lower OC via forming a protein complex with *WUS*.  
230 Accordingly, in the absence of the *HAM* family activity, the *CLV3* expression expands  
231 into the lower part of the SAM [62, 64]. Thus, the *WUS* protein distribution and the  
232 inhibitory effect by *HAM* collectively define the *CLV3* pattern.

233 An important remaining question is why the *WUS* proteins move only  
234 acropetally, not toward all direction from the OC. *HAM* may also inhibit *WUS*  
235 movement by forming a complex with *WUS*. Distribution pattern of *WUS* proteins in  
236 mutants of *HAM* family may provide a hint to answer this question. Furthermore,  
237 interestingly, attenuation of the *HAM* family activity leads to an increase in the  
238 number of tissue layers exhibiting anticlinal cell division to several layers, or  
239 sometimes more than ten, from the original two (one L1 and one L2) [63, 64]. Since,  
240 even in the mutant SAM, expression of the L1 marker *AtML1* is detected only in the

241 outermost layer, the supernumerary layers are likely a consequence of either amplified  
242 L2 layers or extra anticrinally-dividing layers with the L3 identity. Live imaging  
243 following induced down-regulation of *HAM* family would reveal how supernumerary  
244 SAM layers are formed and how the stem cell identity expands into the lower region  
245 of the SAM.

246 Unusual expression patterns of *WUS* and *CLV3* were also seen in the double  
247 mutant of *PLT*-clade genes *AINTEGUMENTA* (*ANT*) and its closely-related gene  
248 *AINTEGUMENTA-LIKE6/PLT3* (*AIL6/PLT3*) [66]. In *ant ail6* mutants, *CLV3*  
249 expression is shifted downward, and the peak of the expression overlaps with the  
250 region where *WUS* is normally expressed. Inversely, the *WUS* expression is shifted  
251 upward and expands into the L1 and L2 layers. Thus *WUS* and *CLV3* expression  
252 domains are almost reversed in the mutant. However, considering this unusual  
253 situation, it may be worth examining whether the altered expression pattern of *CLV3*  
254 in the *ant ail6* mutant correctly reflects the position of stem cells, that is, whether the  
255 *CLV3* expression pattern correctly marks stem cells even under the *ant ail6* mutant  
256 backgrounds. Future research on the molecular mechanism as to how *ANT* and  
257 *AIL6/PLT3* regulate SAM function will provide mechanistic insights into this  
258 interesting phenomenon. In the RAM, RGF peptides, which are also called GOLVEN  
259 (GLV) or CLE-LIKE (CLEL) peptides [67-69], control stem cell activity by  
260 modulating stability of PLT family proteins PLT1 and PLT2 [12, 70]. Because several  
261 *RGF/GLV/CLEL* family genes are expressed in the SAM [71], they may regulate stem  
262 cell arrangement via stability control of *ANT* and/or *AIL6/PLT3* proteins like their  
263 roles in the RAM. However, no shoot phenotype has been reported in known  
264 *RGF/GLV/CLEL* family mutants, possibly due to high redundancy among the family  
265 genes. Generation of higher order mutants in the family by CRISPR/Cas9-mediated

266 gene editing may reveal functions of the family in the SAM.

267

### 268 ***WUS*-independent maintenance of stem cells in the SAM**

269           Recent studies started highlighting that stem cells in the SAM can be  
270 maintained even in the absence of *WUS* [72-74]. Loss-of-function mutations in  
271 *ERECTA* (*ER*) family receptor kinase genes, *Class III Homeodomain Leucine Zipper*  
272 (*HD-ZIP III*) family transcription factor genes or the putative glutamate  
273 carboxypeptidase *ALTERED MERISTEM PROGRAM 1* (*AMP1*) gene lead to the  
274 recovery of stem cells even in the *wus* mutant SAM, suggesting that there should exist  
275 mechanisms that maintain stem cells in a *WUS*-independent manner. Given that *ER*  
276 and *HD-ZIP III* genetically act in parallel [75, 76] and that the *HD-ZIP III* activity can  
277 be controlled downstream of *AMP1* [77], at least two pathways, *ER* family pathway  
278 and *AMP-HD-ZIP III* pathway, impact the *WUS*-independent maintenance of stem  
279 cells. Interestingly, in addition to SAM development, the *ER* family also regulates  
280 serration formation [78] and procambial development [79-81], which involve  
281 *WUSCHEL RELATED HOMEODOMAIN 1* (*WOX1*) [82] and *WUSCHEL RELATED*  
282 *HOMEODOMAIN 4/14* (*WOX4/14*) [79, 83, 84], respectively. Although it remains unclear  
283 whether the *ER* family also participates in regulating the RAM where *WOX5* plays a  
284 crucial role, it is attractive to speculate that such co-recruitment of the *ER* family and  
285 *WUS/WOX* family modules in multiple developmental contexts in Arabidopsis may  
286 have been originated from their ancestral but yet-unrevealed roles in early land plant  
287 lineages.

288

### 289 **Layer-specific regulation of stem cells in the SAM**

290           In the viewpoint of the layered structure of stem cell population in the SAM

291 (Fig. 3C), phenotypes seen in the complete absence of three *ER*-family genes  
292 (hereafter *er*-family mutant) should be noted. In the *er*-family mutant, the *CLV3*  
293 expression is highly up-regulated [85-87] and also expands into the epidermis of the  
294 PZ of the SAM [72]. Surprisingly, the *CLV3* expression in the L1 layer of the  
295 *er*-family mutant does not require the *WUS* activity, as observed in the multiple  
296 mutant lacking both *ER*-family and *WUS* functions. On the other hand, the *CLV3*  
297 expression in inner L2 and L3 tissues still depend on *WUS*. This observation suggests  
298 that there exists the mechanism that maintains stem cells in the L1 layer in a  
299 *WUS*-independent manner and that *ER* family suppresses the mechanism under  
300 normal conditions. In general, receptor signaling is modulated by specific ligand  
301 molecules [88]. Although a ligand for ER-family receptor proteins in stem cell control  
302 remains unclear, it is possible that *WUS*-independent stem cells are activated by  
303 reducing the level of the ER-family receptor signaling via expression changes of such  
304 an unknown ligand in response to some stimuli or under specific conditions. Since the  
305 L1-specific expression of *ER* is sufficient to rescue the dysregulation of stem cells in  
306 the mutant L1, *ER* acts cell-autonomously in this mechanism, consistent with its  
307 molecular nature as a transmembrane receptor kinase [89]. Further details of this  
308 mechanism remain to be revealed.

309           Interestingly, although the canonical stem cell marker *CLV3* is detected only  
310 in the epidermal L1 layer of the SAM of the multiple mutant of *er*-family and *wus*, the  
311 mutant SAM still retains well-organized sub-epidermal layer that appears to divide  
312 anticlinally like the normal L2 layer [72]. This raises an intriguing possibility that  
313 *CLV3*-negative stem cells might exist in the inner layer of the mutant SAM lacking  
314 the *ER* family activity, although no such evidence exists thus far. Development of  
315 alternate reliable stem cell markers other than *CLV3* would be required to examine

316 this possibility.

317

### 318 **Communication between tissue layers in the SAM**

319           Although stem cells in each tissue layer of the SAM provide cells for their  
320 own layer and they do not move between layers in general (Fig. 3A) [50], whole stem  
321 cells coordinately behave as one group (Fig. 3B, C) [20, 25, 26]. This coordination  
322 between the SAM layers requires a variety of inter-layer communication in addition to  
323 the CLV3-WUS circuit (Fig. 4). Acropetal and basipetal signals have been reported,  
324 and most of these signaling pathways are interconnected to achieve well-organized  
325 and flexible behaviors of stem cells [90-92]. Details about these signals are described  
326 in the following sections.

327

### 328 **Modulation of WUS activity by inter-layer cytokinin signaling**

329           As described, one of central mobile signals in stem cell maintenance is  
330 WUS protein that moves from the OC to the CZ via plasmadesmata [59, 60] and  
331 activates *CLV3* expression in the CZ [61]. WUS protein level and spatial distribution  
332 pattern are controlled by protein destabilization [93], and phytohormone cytokinin  
333 stabilizes the WUS protein [94]. As activation of cytokinin signaling occurs in the OC  
334 but is excluded from the L1 and L2 layers [94, 95], WUS protein level decreases  
335 according to the distance from the OC [94]. The degradation machinery that directly  
336 degrades the WUS protein remains elusive. In addition to the WUS protein level,  
337 cytokinin signaling also promotes *WUS* transcription through type-B ARABIDOPSIS  
338 RESPONSE REGULATORS (ARRs) [96-99], transcription factors that directly  
339 activate cytokinin-induced gene expression. Thus, cytokinin positively regulates WUS  
340 at both transcription and protein levels. Interestingly, the *WUS* up-regulation is not

341 directly reflected in an increase in the *CLV3* expression. Although exogenous  
342 cytokinin application remarkably increases the *WUS* expression, its effect on the  
343 *CLV3* transcription is moderate in wild type conditions [100], implicating that there  
344 exist mechanisms that buffer the cytokinin effect. *CLV3*- and *ER*-family pathways  
345 appear to act in these buffering mechanisms, as the *CLV3* promoter activity drastically  
346 expands in response to cytokinin in *clv3* and *er*-family mutants [87, 100]. Further  
347 details of these mechanisms remain to be elucidated.

348         The production site of active cytokinin species in the SAM is presumed to  
349 be the epidermal L1 layer because the expression of *LONELY GUY 4 (LOG4)*, which  
350 encodes an enzyme catalyzing the final step of cytokinin biosynthesis, is restricted to  
351 the L1 [95, 100]. On the other hand, cytokinin receptor genes, *ARABIDOPSIS*  
352 *HISTIDINE KINASE 2 (AHK2)*, *AHK3* and *AHK4* are expressed in inner tissues  
353 including the OC, but excluded from the L1 and L2 layers [95, 100, 101]. Cytokinin  
354 response, as reflected by two component signaling sensor *TCSn::GFP* [102], is  
355 strongly detected in the OC and weakly beneath the OC, overlapping with the upper  
356 part of the expression region of cytokinin receptors [94, 100, 101]. Thus, the currently  
357 proposed model is that active cytokinin molecules produced in the L1 layer move  
358 basipetally and activate primary cytokinin responses in the OC, where *WUS* function  
359 is enhanced by the activation of cytokinin signaling.

360

### 361 **Modulation of cytokinin signaling by multiple inputs and feedbacks**

362         The level of cytokinin signaling in the OC is modulated by multiple inputs  
363 and feedbacks. *WUS* directly represses expression of *type-A ARR* genes, *ARR7* and  
364 *ARR15*, which are negative regulators of cytokinin signaling, resulting in enhanced  
365 cytokinin responses in the OC [103]. Auxin also reinforces cytokinin signaling in the

366 SAM in addition to its well-known role to promote initiation of lateral organs in the  
367 PZ. A low but certain level of auxin signaling input is detected in the CZ by the  
368 synthetic DII-VENUS reporter [104] that reflects the activation of TRANSPORT  
369 INHIBITOR RESPONSE 1/AUXIN SIGNALING F-BOX family auxin receptor  
370 proteins [105]. A key transcription factor of auxin signaling in the SAM, MP, directly  
371 represses *ARR7/15* expression in the CZ, hence strengthening cytokinin signaling  
372 [106]. Therefore, WUS and auxin both act as positive regulators of cytokinin pathway  
373 in the OC through modulation of the *ARR7/15* expression. It remains unclear whether  
374 there is a direct molecular link between the two transcription factors, WUS and MP, in  
375 the *ARR7/15* regulation. Furthermore, it is known that MP directly represses  
376 transcription of *DORNRÖSCHEN (DRN)*, which activates *CLV3* expression [107].  
377 Thus auxin signaling may also enhance the *WUS* expression by negatively regulating  
378 *CLV3*. Collectively, cytokinin and auxin cooperate to promote the WUS function in  
379 the SAM. It is reported that the repression of *ARR7/15* expression by auxin is released  
380 upon treatment with the auxin transport inhibitor N-1-naphthylphthalamic acid [106],  
381 suggesting that auxin transport contributes to the *ARR7/15* regulation. However,  
382 unlike the well-established mechanism of auxin accumulation in the PZ for  
383 primordium initiation, where the auxin efflux transporter PIN-FORMED1 play a key  
384 role [40-42], how auxin is delivered toward the center of the SAM remains elusive.  
385 Because some *YUCCA*-family genes, which encode flavin monooxygenases for auxin  
386 biosynthesis, are expressed in the SAM [97, 108-110], auxin synthesized in the SAM  
387 may also activate auxin signaling at the production site.

388

389 **Non-cell-autonomous secondary effects triggered by the primary cytokinin**  
390 **response**



391           Although the primary cytokinin response is specifically activated in the OC  
392 [95, 100, 101], attenuation of the cytokinin signaling leads to an overall reduction in  
393 SAM size [103, 111-114] and, accordingly, the expression pattern of the stem cell  
394 marker *CLV3* in all SAM tissue layers also shrink [72]. This suggests that there must  
395 exist OC-derived secondary effects that non-cell-autonomously affect cells within the  
396 whole SAM in response to the change of the primary cytokinin response in the OC.  
397 Since WUS function is enhanced by the cytokinin signaling [94, 96-99], WUS protein  
398 movement from the OC [59] could act as such a non-cell-autonomous signal  
399 downstream of the primary cytokinin response. However, given that WUS protein  
400 does not move beyond the CZ [59] and that WUS affects expression of hundreds of  
401 genes [115], WUS may regulate gene expression that leads to production of other  
402 secondary signals that spread beyond the CZ. Whereas the molecular nature of these  
403 secondary signals remains unclear, ER-family pathway may modulate the  
404 responsiveness of the SAM to such signals in a tissue layer-specific manner. In the  
405 absence of ER-family activity, expression of stem cell marker in the epidermal L1  
406 layer turn resistant to the attenuation of cytokinin signaling, while that in the internal  
407 L2/L3 tissues decreases in response to the reduced cytokinin signaling [72]. It will be  
408 interesting to further characterize these cytokinin-triggered and OC-derived  
409 non-cell-autonomous effects in future studies.

410

#### 411 **Mobile small RNAs for control of stem cell activity in the SAM**

412           Small RNAs also act as mobile molecules that non-cell-autonomously  
413 control gene expression of their target genes [116, 117]. Two families of microRNAs  
414 are reported to affect stem cell activity in the SAM. One is miR165/166 that are  
415 derived from outside of the SAM, mainly from abaxial domain of leaf primordia and

416 provascular tissues [118-120] and target *HD-ZIPIII* family genes [121-124].  
417 Interestingly, SAM phenotypes vary depending on combinations of mutations in  
418 *HD-ZIPIII* family members [125, 126]. Some combinations result in the loss of the  
419 SAM, while others conversely enhance the SAM activity, suggesting not only  
420 overlapping but also antagonistic gene functions among *HD-Zip III* family members.  
421 It remains to be elucidated how *HD-ZIPIII* family genes control stem cell activity in  
422 the SAM. The other is miR394, which acts as a mobile signal between SAM tissue  
423 layers [127]. In the SAM, *miR394* is transcribed in the L1 layer and the mature  
424 miR394 molecules spread into inner L2 and L3 cells to repress its target *LEAF*  
425 *CURLING RESPONSIVENESS (LCR)*. miR394-resistant *LCR* leads to premature  
426 termination of stem cell activity. *LCR* encodes an F-box-domain-containing protein.  
427 In general, F-box proteins promote degradation of their targets by recruiting them to  
428 the ubiquitin-proteasome pathway [128]. Although it is still unknown whether *LCR* is  
429 actually involved in protein degradation, identification of *LCR*-interacting proteins  
430 would facilitate the elucidation of the molecular function of *LCR*. Plants  
431 overexpressing miR394-resistant *LCR* resemble *hd-zipIII*-family multiple mutants  
432 [127]. Also, the phenotype of miR394-resistant *LCR* is exaggerated by mutations in  
433 the *ARGONAUTE10/ZWILLE* gene [127] that enhances the *HD-ZIPIII* activity by  
434 antagonizing miR165/166 [118, 122]. Thus, *LCR* pathway and *HD-ZIPIII* function  
435 may converge to maintain stem cells.

436

### 437 **Future perspectives**

438           While a number of factors and pathways that affect stem cell homeostasis in  
439 the SAM have been identified, in some cases it is still largely obscure how each input  
440 is connected to the core WUS-CLV3 circuit. The molecular nature of some putative

441 OC-derived non-cell-autonomous effects is also unknown. Furthermore, it remains  
442 elusive how such multiple pathways and complex relationships between them are  
443 integrated to achieve a coordinated behavior of a group of stem cells spreading  
444 between three tissue layers within the SAM. It is important in future studies to address  
445 these unresolved issues. It should be also noted that the conclusions drawn from many  
446 past studies were based on the analyses of mutant plants. Such steady-state mutant  
447 phenotypes could be an end-point consequence of a series of events initiated by the  
448 mutation, which may not necessarily reflect the direct role of the gene of interest.  
449 Time-course analyses that follow temporal induction or perturbation of gene/protein  
450 functions will provide further insights into understanding of stem cell behavior. Single  
451 cell transcriptome analysis of the SAM may also reveal the dynamic characteristics of  
452 stem cells such as stepwise or gradual changes in cell state from the CZ toward the PZ,  
453 or identification of stem cell subpopulations within the SAM with a higher resolution.

454         Although this review article mostly focuses on stem cell control within the  
455 SAM, it will be also important to investigate relationships between environmental  
456 responses and stem cell homeostasis. For example, it is not surprising that changes in  
457 nutrient conditions affect stem cell activity. Recent studies have demonstrated that  
458 cytokinin mediates the interplay between nitrate availability and SAM activity [129,  
459 130]. Biosynthesis of precursors of trans-zeatin-type cytokinin is rapidly elevated in  
460 roots in response to an increase in nitrate concentration [131]. The root-derived  
461 precursors are transported to shoot tissues via xylem [132, 133] and in the SAM they  
462 are converted to active cytokinins that promote stem cell activity via enhancement of  
463 WUS function [129, 130]. Light conditions and sugar availability also change the  
464 SAM activity by modulating cytokinin signaling and *WUS* expression [134, 135].  
465 Thus recent studies have highlighted cytokinin as a mediator for regulating the SAM

466 activity in response to some environmental changes. However, it is still largely  
467 unknown how and whether each of a variety of environmental cues modifies stem cell  
468 behaviors.

469 Redox status affects growth and development both in shoots and roots [136].  
470 Recently it was suggested that redox-related signaling molecules might link  
471 environmental cues with SAM functions [137, 138]. Enzyme genes for metabolism of  
472 reactive oxygen species (ROS) are expressed in distinct spatial domains within the  
473 SAM [57], and different forms of ROS play distinct roles in each domain of the SAM  
474 [139, 140]. The superoxide anion ( $O_2^{\cdot-}$ ) is enriched in the CZ, while hydrogen  
475 peroxide ( $H_2O_2$ ) is abundant in the PZ [140]. Pharmacological or genetic disruption of  
476 the  $O_2^{\cdot-}$  accumulation in the CZ causes stem cell loss accompanied by a reduction in  
477 the *WUS* expression [140]. On the other hand, decreasing  $H_2O_2$  level by a mutation in  
478 the *UPBEATI* (*UPBI*) gene that represses peroxidases [141] leads to a reduction in  
479 the number of PZ cells without affecting the stem cell population, whereas conversely  
480 elevating  $H_2O_2$  level by *UPBI* overexpression induces an increase in the PZ size [140].  
481 Thus, the ROS level and/or balance affects SAM activities. Interestingly, increased  
482 ROS accumulation in the SAM by a mutation in the mitochondrial protease *FTSH4*  
483 causes loss of the SAM at elevated temperatures [142], indicating that the ROS level  
484 and/or balance is important for robustness of the SAM against environmental  
485 fluctuations. Because nitrate is known to affect the *UPBI* expression in roots [143],  
486 nitrate availability may also modulate SAM functions via the regulation of *UPBI* and  
487 the ROS status. Nitrate is a major source of another redox-related and  
488 environment-responsive signaling molecule, nitric oxide (NO) [144], and high nitrate  
489 promotes NO production [145, 146]. Although NO production is necessary for root  
490 growth and the RAM maintenance [147], it is unclear whether NO participates in stem

491 cell regulation in the SAM. Because NO accumulation enhances *WOX5* expression in  
492 the RAM [147], it is attractive to hypothesize that NO also affects *WUS* expression in  
493 the SAM and, furthermore, that nitrate-derived NO production may mediate the  
494 interplay between nitrate availability and stem cell activity in the SAM. Further  
495 investigation is required to elucidate how redox-related signaling is integrated into the  
496 intercellular communication network within the SAM shown in Fig. 4.

497         Roles of mechanical cues are receiving increasing attention in diverse  
498 aspects of plant growth and development. It has been proposed that the epidermis,  
499 which is under tension, is one of major growth-limiting tissues [53, 148]. Local cell  
500 wall loosening of the epidermis often specifies a position of cell fate change. In the  
501 SAM periphery, accumulation of auxin directs lateral organ initiation accompanied by  
502 cell wall loosening [149-151], and localized application of cell-wall-loosening protein  
503 Expansin also induces the formation of an organ primordium at the application site  
504 [152]. Inter-tissue-layer propagation of the elasticity change was observed in the  
505 process of organ initiation in the PZ [150]. Difference in cell stiffness is detected  
506 between the CZ and PZ. The epidermis in the tip region of the SAM is stiffer than that  
507 in the SAM periphery [150, 151, 153, 154], and importantly a methodology, named  
508 ‘quantitative tandem epifluorescence and nanoindentation’, clearly demonstrated that  
509 the stiff region corresponds with the *CLV3*-expressing domain, that is the CZ [155],  
510 suggesting that cell stiffness seems to be a characteristics of stem cells in the SAM.  
511 These findings raise important or intriguing questions. When cells in the PZ are  
512 re-specified into stem cell identity by modulating *WUS* function, does the change in  
513 cell stiffness reversibly occur? Conversely, does artificial cell wall hardening in the  
514 PZ lead to re-specification of stem cell identity? How does the change in stem cell  
515 identity in the epidermal L1 layer by mechanical cues affect stem cell behaviors in

516 inner L2 and L3 tissues? Because cytokinin and miR394 are signaling molecules  
517 produced in the L1 layer, it will be interesting to examine whether their production is  
518 controlled by changes in stiffness of the epidermis.

519           As described in each section of this review article, there are a number of  
520 unanswered questions about control of stem cell identity and behavior. Although  
521 conventional forward genetic approaches will still be useful to address such questions,  
522 increasing new techniques and tools such as single cell transcriptome analysis, reverse  
523 genetic approaches using genome editing, live imaging in combination with new  
524 technologies, will not only facilitate the identification of missing pieces in known  
525 pathways and mechanisms but also provide novel viewpoints on stem cell biology in  
526 plants.

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## Figure Legends

### Fig. 1

Stem cell niche in the RAM. The stereotypical arrangement of cells at the *Arabidopsis* root tip is illustrated. Stem cells (also called ‘initial’ cells), which are shown as hatched cells, are located around the quiescent center (QC), and the stem cell region is surrounded by blue lines. Differentiation potency of each stem cell is strictly limited according to its position. Basically, each stem cell contributes to the formation of a specialized cell file shown in the same color. Exceptions are endodermis/cortex initial and epidermis/lateral root cap initial; the former gives rise to endodermis cell files and cortex cell files, and the later produces epidermis cell files and lateral root cap cells.

### Fig. 2

Stem cell niche in the SAM. Photos are top-view images of the *Arabidopsis* SAM at the vegetative stage by electron scanning microscope. The left is the original image and the right is overlaid by the following zones with different colors. Yellow indicates the central zone (CZ) composed of stem cells expressing *CLV3*. Beige and dark beige indicate peripheral zone (PZ) composed of transit amplifying cells. The dark beige region shows the outer PZ. Magent indicates the organizing center (OC) defined by *WUS* expreson. Please see main text for further details.

### Fig. 3

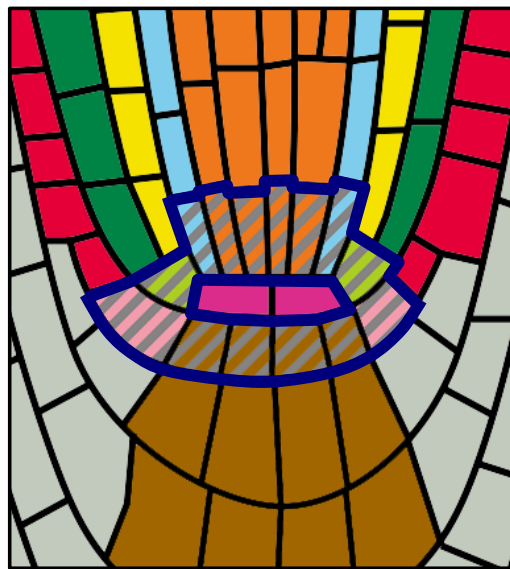
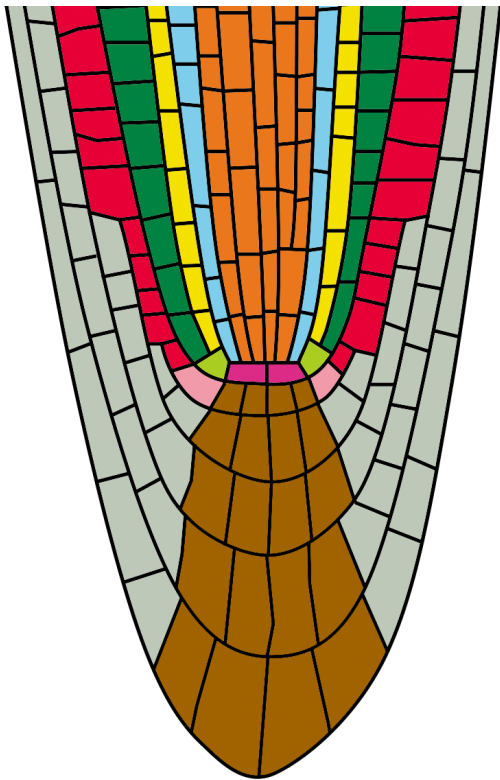
Layered arrangement of stem cell subpopulations in the SAM. **A** The SAM is composed of three tissue layers: the epidermal L1 (light blue), the sub-epidermal L2 layers (green) and the inner L3 tissue (grey). L1 and L2 cells divide anticlinally,

resulting in clonal cell layers. L3 cells divide both anticlinally and periclinally, giving rise to internal tissues. **B** Stem cells consisting the CZ (yellow) spread between all the layers. The OC (magenta), which is defined by *WUS* expression, is located within the L3 tissue. The lowermost layer of the CZ overlaps with the uppermost layer of the OC. **C** There are three stem cell subpopulations; stem cells with the L1 identity (striped pattern of yellow and light blue), those with the L2 identity (striped pattern of yellow and green) and those with the OC identity (striped pattern of yellow and magenta).

**Fig. 4**

Factors involved in intercellular communication between tissue layers in the SAM. Yellow indicates the central zone composed of stem cells expressing *CLV3*. Magenta indicates the organizing center defined by *WUS* expression. Light blue shows the epidermal L1 layer. Please see main text for further details about each factors and pathways.

Fig. 1














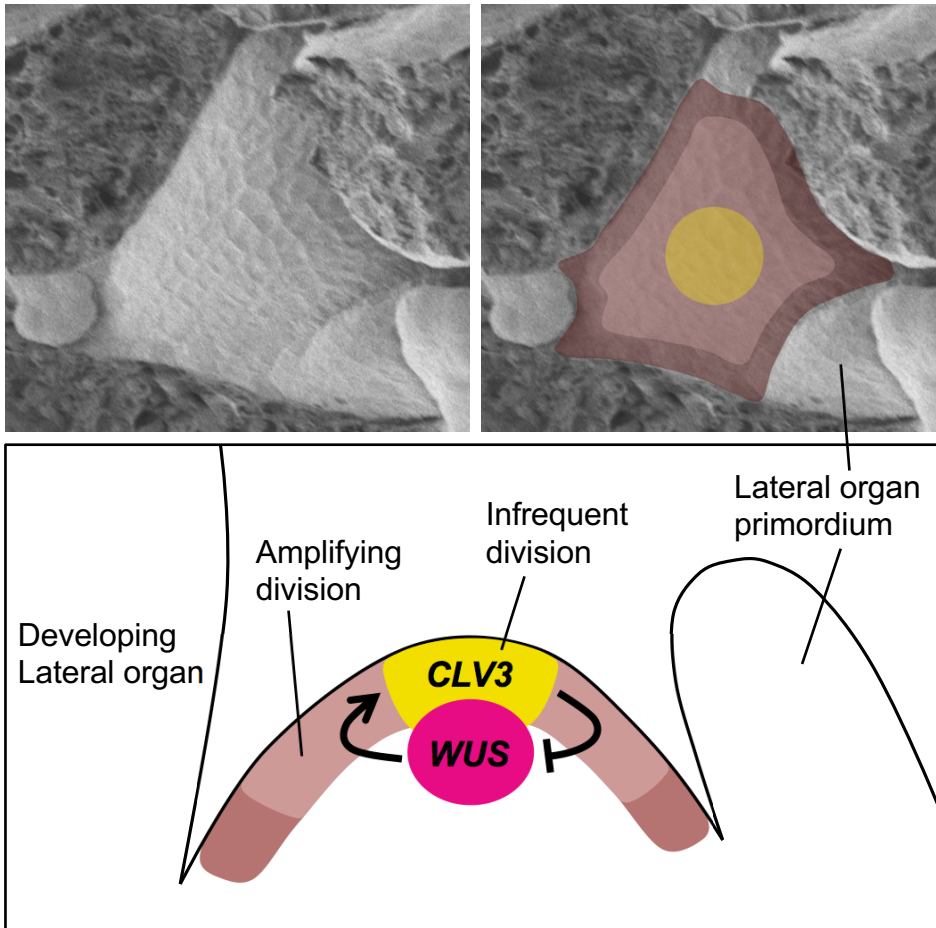
-  Stem cell (initial cell)
-  Quiescent center (QC)
-  Vascular tissue
-  Pericycle
-  Endodermis/cortex initial
-  Endodermis
-  Cortex
-  Epidermis/LRC initial
-  Epidermis
-  Lateral root cap (LRC)
-  Columela

Fig. 2







-  **Central zone (CZ)**  
Slowly dividing stem cells. *CLV3* is expressed.
-  **Organizing center (OC)**  
*WUS* is expressed.
-  **Peripheral zone (PZ)**  
'Transit amplifying cells' prior to incorporation into primordia
-  **Outer PZ**  
Stem cell identity cannot be re-specified even in *clv* mutants.

Fig. 3

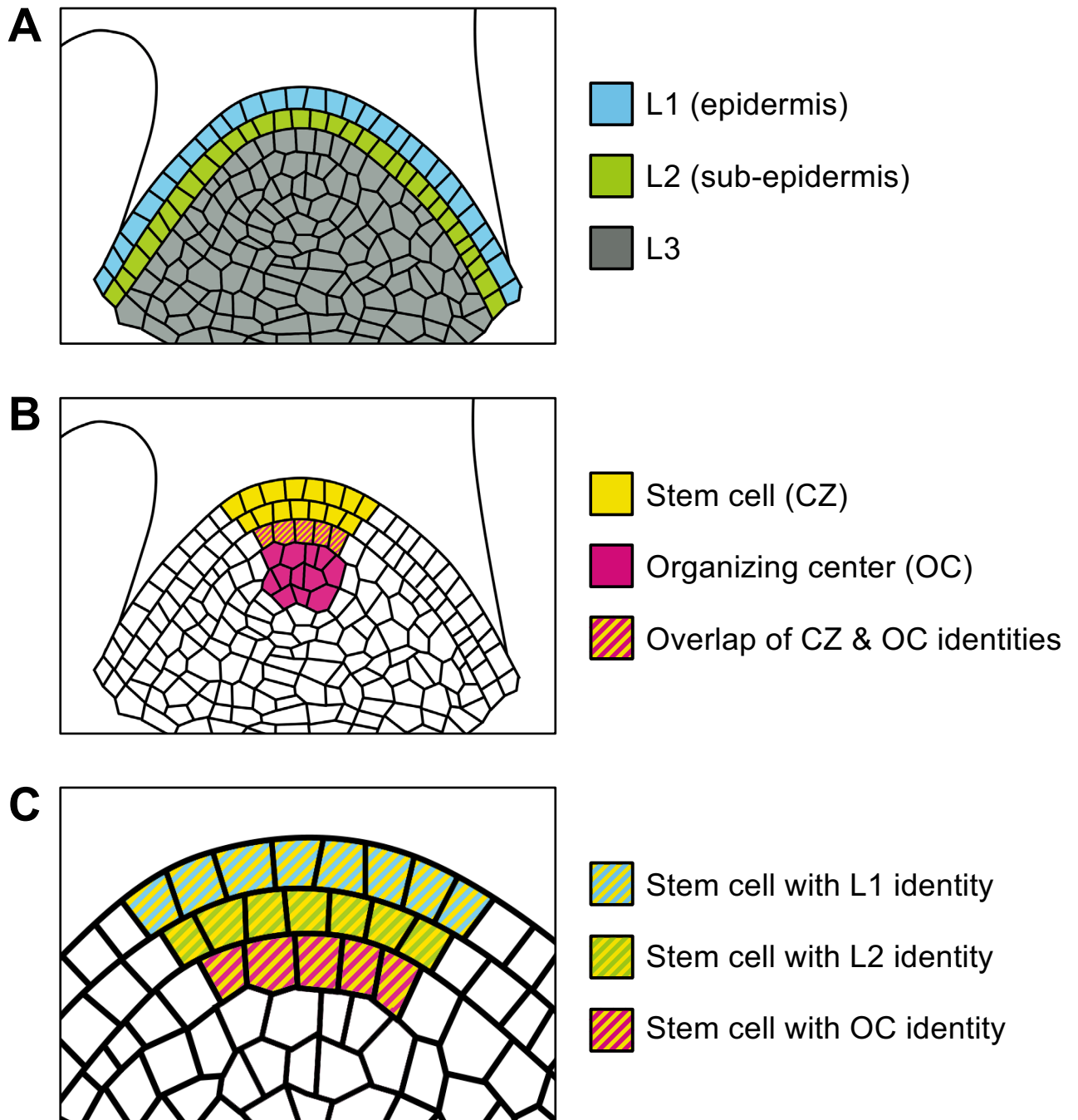


Fig. 4

