

Inserting Nitrogen: An Effective Concept to Create Non-Planar and Stimuli-Responsive Perylene Bisimide Analogues

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ABSTRACT: Establishing design principles to create non-planar π -conjugated molecules is crucial for the development of novel functional materials. Herein, we describe the synthesis and properties of dinaphtho[1,8-bc:1',8'-ef]azepine bisimides (DNABIs). Their molecular design is conceptually based on the insertion of a nitrogen atom into a perylene bisimide core. We have synthesized several DNABI derivatives with a hydrogen atom, a primary alkyl group, or an aryl group on the central nitrogen atom. These DNABIs exhibit non-planar conformations, flexible structural changes, and ambipolar redox activity. The steric effect around the central nitrogen atom substantially affects the overall structures and results in two different conformations: a nonsymmetric bent conformation and a symmetric twisted conformation, accompanied by a drastic change in electronic properties. Notably, the nonsymmetric DNABI undergoes unique structural changes in response to the application of an external electric field, which is due to molecular motions that are accompanied by an orientational fluctuation of the dipole moment. Furthermore, the addition of a chiral Brønsted base to *N*-unsubstituted DNABI affords control over the helical chirality *via* hydrogen-bonding interactions.

INTRODUCTION

Establishing guidelines for the design of non-planar π -conjugated molecules is crucial for the development of novel functional materials.¹⁻¹³ Non-planar π -systems exhibit numerous intriguing properties such as three-dimensional conformations, structural flexibility, high solubility, chirality, and specific intermolecular interactions such as concave-convex interactions.¹³⁻³⁰ Manipulating non-planarity represents a strategy that has offered practical applications including high-performance organic semiconductors,³¹⁻³⁴ unique host-guest systems,³⁵⁻³⁸ and functional supramolecular assemblies.³⁹⁻⁴⁰ One representative approach to design non-planar π -systems is to incorporate seven-membered ring(s) into a fused π -system. Pioneering work in this area dates back to the synthesis of [7]circulene by Yamamoto and co-workers in 1983,^{41,42} and a variety of curved aromatic hydrocarbons has been reported since.⁴³⁻⁵⁷ Among these, the negatively curved nanographene **1** reported by Miao and co-workers is worth noting in light of its molecular design, which is based on inserting a methylene unit into a planar hexa-*peri*-benzocoronene skeleton (Figure 1a).⁴⁶ Osuka and co-workers have inserted several atomic units into triply linked porphyrin arrays, yielding so-called porphyrin arch-tapes **2**.⁵⁸⁻⁶⁰ This strategy should be applicable to a wide range of planar π -conjugated systems in order to create various non-planar molecules. In particular, the insertion of a heteroatom moiety is advantageous due to the peculiar electronic perturbation of the

neighboring π -systems and the potential ability to respond to external stimuli.^{61,62}

Perylene bisimide (PBI) is an attractive π -conjugated molecule that exhibits exceptional light-harvesting properties, a high fluorescence quantum yield, strong electron-deficiency, and excellent photostability.⁶³⁻⁶⁸ The development of structurally distorted PBI derivatives has been actively explored for the past decade, mostly encouraged by their potential utility as non-fullerene acceptors for organic photovoltaics and chiral optoelectronic materials.⁶⁹⁻⁷⁹ However, the latest guidelines for the molecular design of non-planar PBI analogues relies on a functionalization at the bay area to induce intramolecular steric repulsion (Figure 1b).

Herein, we disclose a strategy to access the non-planar PBI analogues dinaphtho[1,8-bc:1',8'-ef]azepine bisimides (DNABIs) (Figure 1b). DNABIs exhibit non-planar conformations and ambipolar redox activity. Importantly, the steric effect around the inserted nitrogen atom drastically affects the molecular structure and the electronic properties. Moreover, the resulting DNABIs respond uniquely toward the application of an electric field and to the presence of hydrogen-bond acceptors. It is worth noting that DNABIs are structurally related to dinaphtho[1,8-bc:1',8'-ef]heteropines.^{80,81} However, the latter are limited to boron- and oxygen-containing analogues, probably due to the synthetic difficulties associated with

attaching planar naphthalene units around a non-planar seven-membered ring.

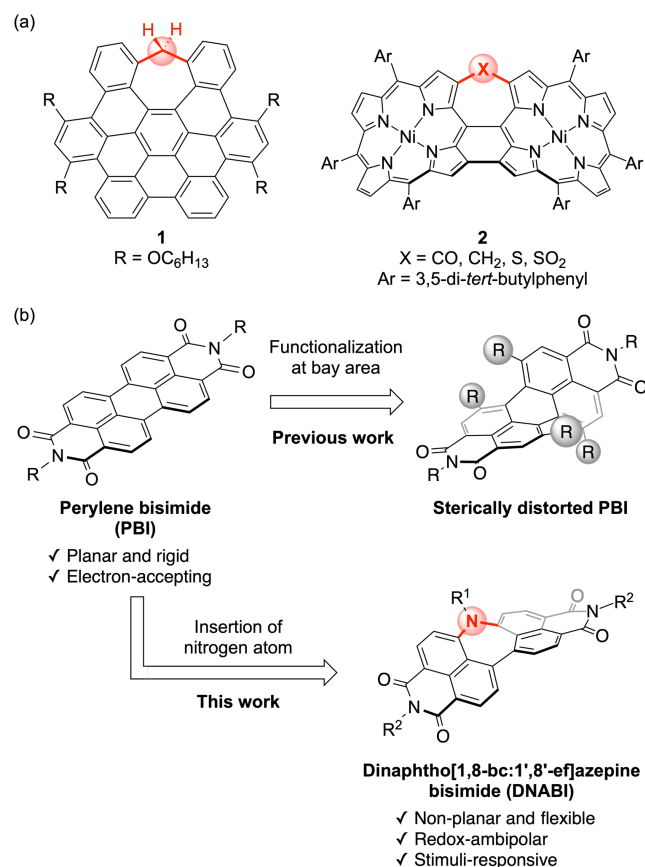
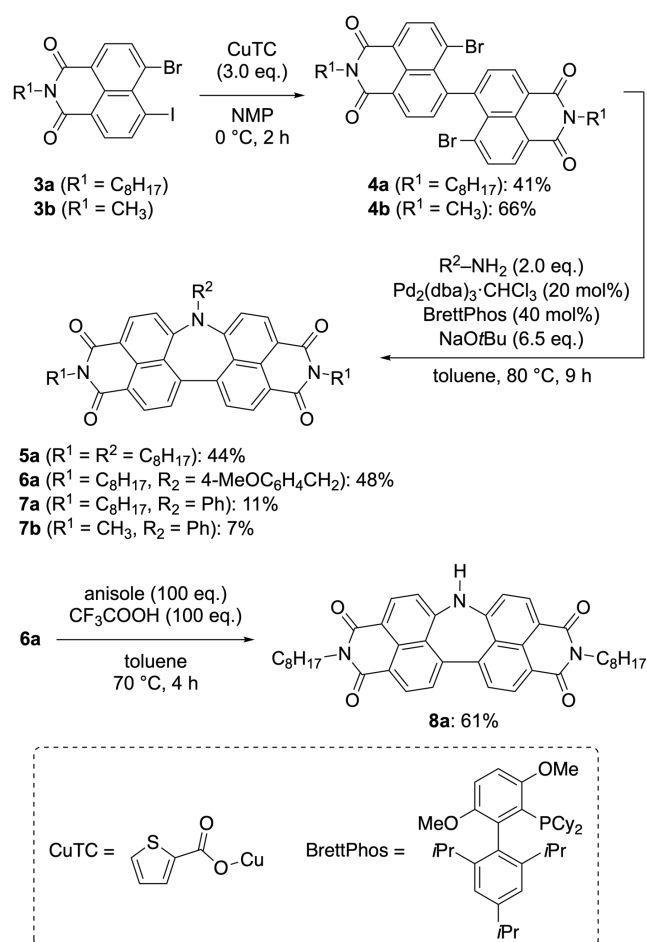


Figure 1. (a) Chemical structures of negatively curved nanographene **1** and porphyrin arch-tape **2**. (b) Design concepts for non-planar PBI analogues.

RESULTS AND DISCUSSION

Synthesis. The synthesis of DNABIs **5–8** is shown in Scheme 1. 4-Bromo-5-iodonaphthalene monoimides **3a** and **3b** were synthesized according to literature procedures.^{82,83} The intermolecular C–I/C–I homocoupling reaction⁸⁴ of **3a** and **3b** using copper(I) 2-thiophenecarboxylate (CuTC) provided the corresponding dimers **4a** and **4b** in 41% and 66% yield, respectively. A Buchwald–Hartwig amination^{85,86} of **4a** with octylamine and 4-methoxybenzylamine afforded *N*-octyl DNABI **5a** and *N*-4-methoxybenzyl DNABI **6a** in 44% and 48% yield, respectively. The reaction of **4a** and **4b** with aniline furnished the corresponding *N*-phenyl DNABIs **7a** and **7b** in 11% and 7% yield, respectively. Treatment of **6a** with an excess of anisole and trifluoroacetic acid resulted in the deprotection of the 4-methoxybenzyl group to provide *N*-unsubstituted DNABI **8a** in 61% yield.⁸⁷

Scheme 1. Synthesis of DNABIs 5–8.



Structural Analysis. The structures of *N*-octyl-substituted DNABI **5a** and *N*-phenyl-substituted DNABI **7b** were unambiguously determined by single-crystal X-ray diffraction analyses (Figure 2). Selected bond lengths and torsion angles are summarized in Table 1. *N*-Octyl-substituted DNABI **5a** adopts a bent structure, in which the central nitrogen atom protrudes from the π -surface, with a dihedral angle of 168° between the two naphthalene units. Importantly, the two naphthalene units are not equivalent, as evident from the different C–N bond lengths d (1.431(4) Å) and d' (1.402(3) Å) as well as the dihedral angles φ (36°) and φ' (23°). Structural optimizations of **5b**, which is a model molecule having methyl groups on the nitrogen atoms instead of octyl groups, by DFT calculations were able to reproduce the nonsymmetric conformation. Consequently, the structural nonsymmetry of **5a** should not arise from crystal-packing forces. On the other hand, *N*-phenyl-substituted DNABI **7b** adopts a twisted nonsymmetric structure in the solid state. However, the DFT optimization of **7b** resulted in a symmetrical conformation, which suggests that **5a** is intrinsically nonsymmetric, while **7b** is symmetric.

To explore the origin of the structural difference between *N*-alkyl-substituted DNABIs **5a,b** and *N*-phenyl-substituted DNABI **7b**, we simulated the structure of *N*-unsubstituted DNABI **8b** (Figure S31). The DFT calculations suggested that the symmetric twisted conformation is energetically more favorable than the nonsymmetric bent structure as in the case of **7b**. This result indicates that the bent structure of **5b** should be ascribed to the substituent effect of the *N*-alkyl

group on the central nitrogen atom. Indeed, replacement of the proton on the central nitrogen atom of **8b** with a methyl group should generate severe intramolecular repulsion between the methyl protons and the adjacent aromatic C–H protons. This situation is due to the symmetrical mismatch between the C_2 -symmetric twisted structure and the C_3 -symmetric methyl group. A subsequent structural optimization of the *N*-methyl derivative led to a nonsymmetric bent structure. Consequently, we concluded that the structural difference between **5a,b** and **7b** originates from steric repulsion associated with the symmetry around the central nitrogen atom.

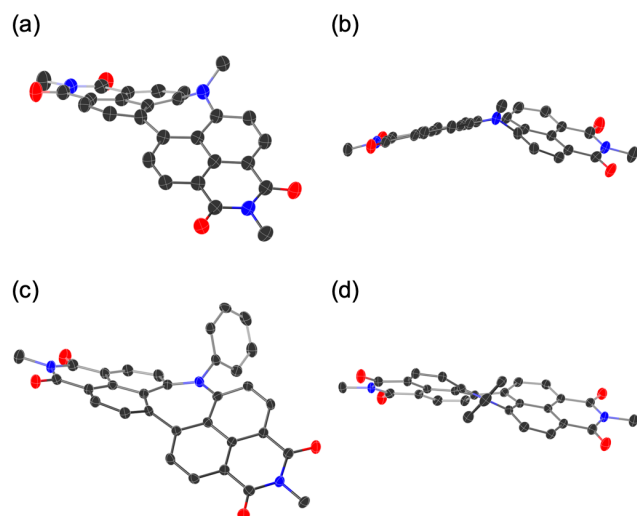


Figure 2. X-ray crystal structures of **5a** and **7b**. (a) Diagonal and (b) side views of **5a**. (c) Diagonal and (d) side views of **7b**. Thermal ellipsoids are shown at 50% probability and all hydrogen atoms and heptyl groups are omitted for clarity.

Table 1. Selected bond lengths and torsion angles in the crystal structures and optimized structures of **5a**, **5b**, and **7b**.

	5a (exp.) and 5b (calc.)		7b	
	exp. ^[a]	calc. ^[b]	exp. ^[a]	calc. ^[b]
d [Å]	1.431(4)	1.413	1.460(5)	1.407
d' [Å]	1.402(3)	1.397	1.408(3)	1.406
φ [°]	36	33	20	13
φ' [°]	23	20	5	13

[a] X-ray crystal structures. [b] Structures were optimized by DFT calculations at the M06-2X/6-311G(2d,p) level of theory. Octyl groups were replaced by methyl groups in order to simplify the calculations.

Electronic Absorption Spectra. The UV/Vis absorption spectra of **5a**, **7a**, **8a**, and PBI **9** are shown in Figure 3. *N*-Octyl-substituted DNABI **5a**, *N*-phenyl-substituted DNABI **7a**, and *N*-unsubstituted DNABI **8a** exhibit broad absorption bands that tail to ca. 550 nm,

which are remarkably red-shifted relative to those of 4-aminonaphthalene monoimides,^{88,89} and comparable to that of PBI **9**, i.e., the HOMO–LUMO gaps of **5a**, **7a**, and **8a** are similar to that of **9**. While **7a** and **8a** display two absorption maxima at ca. 410 and 460 nm, **5a** exhibits one absorption maximum at 421 nm together with a weak absorption between 460 and 550 nm. These results suggest that the electronic structures of **7a** and **8a** significantly differ from that of **5a**, which is reminiscent of the structural differences discussed above (*vide supra*).

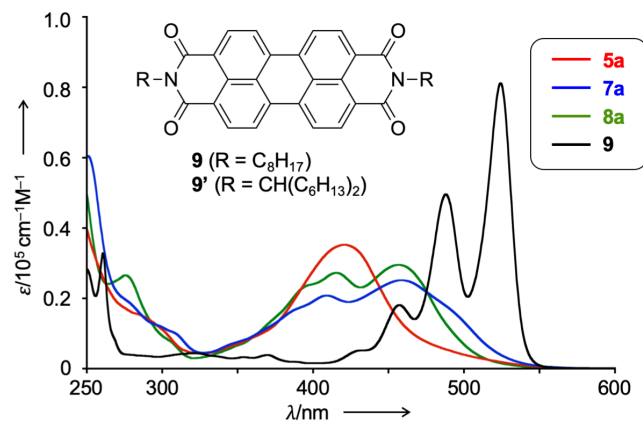


Figure 3. UV/Vis absorption spectra of **5a**, **7a**, **8a**, and **9** in CH_2Cl_2 ; λ = wavelength; ϵ = extinction coefficient.

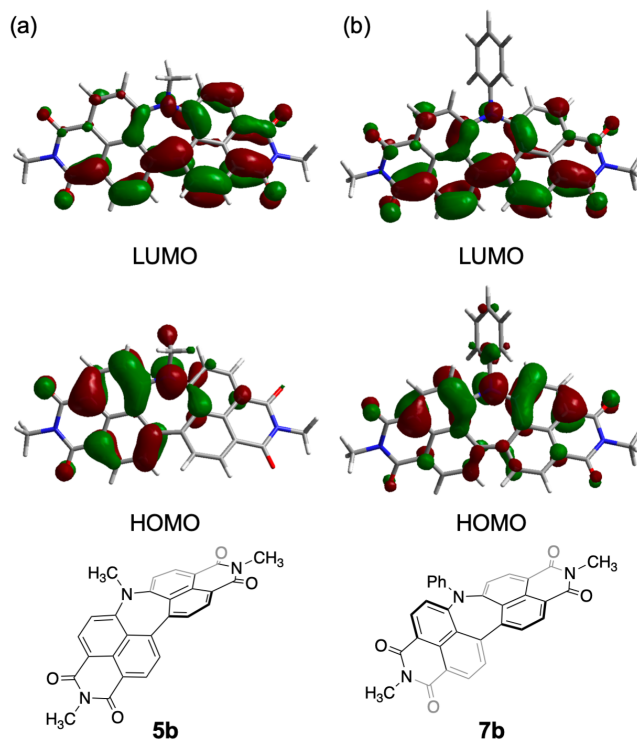


Figure 4. (a) HOMO and LUMO of **5b**. (b) HOMO and LUMO of **7b**; DFT calculations were carried out at the M06-2X/6-311G(2d,p) level of theory.

The calculated frontier orbitals of **5b** and **7b** are shown in Figure 4. The distribution of the frontier orbitals depends on the structural symmetry. While the HOMO and LUMO of **7b** spread over the two naphthalene units, those of **5b** are rather unsymmetrically distributed. The latter feature can most likely be attributed to the anisotropic π -donation of the lone pair on the central nitrogen atom to

one of two naphthalene units. TD-DFT calculations nicely reproduced the experimental spectra (Figure S32), which suggests that the forbidden absorption of **5b** in the long-wavelength region is due to a small orbital overlap between the HOMO and LUMO.

Excited-State Dynamics. The fluorescence quantum yields (Φ_{Fl}) of **5a** and **7a** in CH_2Cl_2 were determined to be 2.3% and 0.3%, respectively. In toluene, **5a** and **7a** exhibit the Φ_{Fl} of 4.3 and 0.5%, respectively. The averaged fluorescence lifetimes of **5a** were measured to be 2.8 and 2.1 ns in toluene and CH_2Cl_2 , respectively, by time-correlated single photon counting (TCSPC) technique. The averaged fluorescence lifetimes of **7a** were estimated to be 0.3 and 0.2 ns in toluene and CH_2Cl_2 , respectively. In both **5a** and **7a**, the nonradiative decay rate constants were calculated to be twenty to three hundred times larger than radiative decay rate constants (Table S11). These results imply that distorted structures of **5a** and **7a** result in apparently different non-radiative deactivation pathways compared to that of planar PBI, which shows $\Phi_{\text{Fl}} > 90\%$ and the fluorescence lifetime of around 5 ns.⁶⁸

To get further insight into the non-emissive features of **5a** and **7a**, we conducted the solvent-dependent transient absorption (TA) measurements in toluene and CH_2Cl_2 , respectively (Figures 5 and 6). Upon photoexcitation, both **5a** and **7a** underwent two-step relaxation processes to give a long-lived species, which can be assigned as triplet state. While the initial relaxation processes are independent of the solvent polarity, the subsequent process becomes slower in toluene compared to CH_2Cl_2 . Consequently, we assume that the intermediate state is a structurally relaxed charge transfer state (Figure S51).^{90,91} Indeed, in a viscous medium (toluene/paraffin oil = 1/9), **5a** and **7a** exhibited the relatively intense fluorescence with the quantum yields of 11 and 2.3%, respectively, which clearly indicates that the conformational change is essential for the triplet state formation. These features should be beneficial to future applications such as viscosity-responsible photofunctional materials.^{92,93}

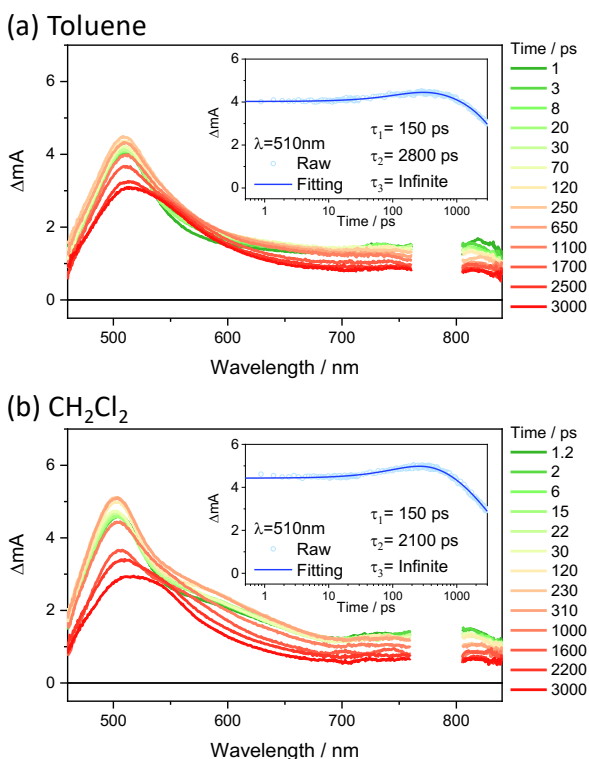


Figure 5. Femtosecond transient absorption (*fs*-TA) spectra of **5a** in (a) toluene and (b) CH_2Cl_2 . ($\lambda_{\text{pump}} = 400 \text{ nm}$). Inset: decay profiles at 510 nm.

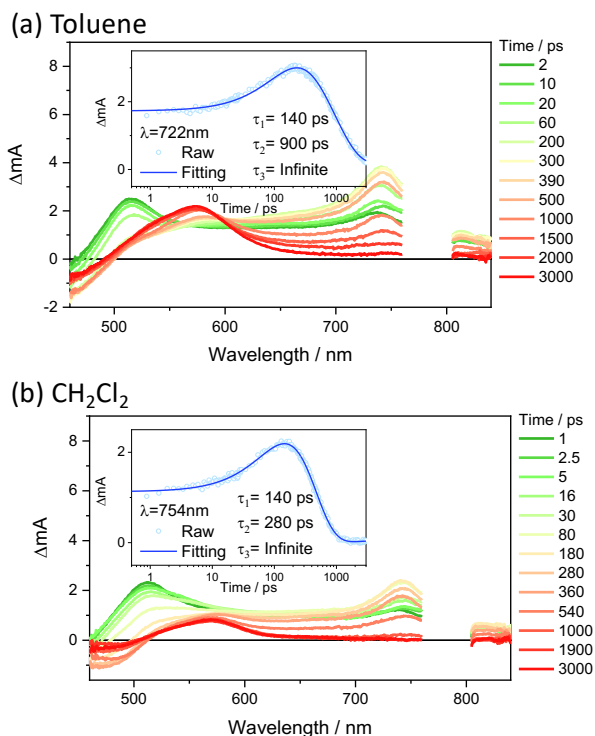


Figure 6. Femtosecond transient absorption (*fs*-TA) spectra of **7a** in (a) toluene and (b) CH_2Cl_2 . ($\lambda_{\text{pump}} = 400 \text{ nm}$). Inset: decay profiles at 722 and 754 nm in toluene and CH_2Cl_2 , respectively.

Table 2. Redox potentials of **5a, **7a**, and **9'**.^[a]**

	Oxidation [V]	Reduction [V]		$\Delta E^{[b]}$ [eV]
	$E^{1/2}_{\text{ox1}}$	$E^{1/2}_{\text{red1}}$	$E^{1/2}_{\text{red2}}$	
5a	0.99	-1.37	—	2.36
7a	0.85	-1.31	—	2.16
9'	1.24	-1.11	-1.31	2.35

[a] Redox potentials were measured by cyclic voltammetry in anhydrous CH_2Cl_2 using 0.1 M $[\text{Bu}_4\text{N}][\text{PF}_6]$ as the supporting electrolyte and Ag/AgNO_3 as the reference electrode. The ferrocene/ferrocenium ion couple was used as an external reference. [b] Electrochemical HOMO–LUMO gap ($\Delta E = E^{1/2}_{\text{ox1}} - E^{1/2}_{\text{red1}}$).

Electrochemical Properties. The electrochemical properties of **5a**, **7a**, and **9'** were measured by cyclic voltammetry (Figure S29), and selected values are summarized in Table 2. Both *N*-octyl-substituted DNABI **5a** and *N*-phenyl-substituted DNABI **7a** exhibit a set of reversible oxidation and reduction waves. The reduction potentials (**5a**: -1.37 V; **7a**: -1.31 V) are by ca. 0.2 V negatively shifted relative to that of PBI **9'** (-1.11 V), which we attributed to the presence of the electron-rich nitrogen atom. The electrochemical HOMO–LUMO gaps of **5a** (2.36 eV) and **7a** (2.16 eV) are

comparable to that of **9'** (2.35 eV). The calculated HOMO and LUMO energy levels of **5b** and **7b** are higher than those of PBI, which are in accordance with the electrochemical measurements (Figure S30). These results indicate that the electron-rich nitrogen atom acts complementarily to the electron-deficient naphthalene monoimide units, and that both features are compatible, which enables ambipolar redox activity.

Structural Changes in Response to the Application of an Electric Field. Potential structural changes of *N*-methyl-substituted DNABI **5b** and *N*-phenyl-substituted DNABI **7b** were examined by DFT calculations. The global reaction route mapping (GRRM17) program⁹⁴ was employed to simulate the intrinsic reaction coordinates. These calculations were conducted at the B3LYP/6-31G(d) level to reduce the calculation costs. The obtained transition state was further optimized by DFT calculations at the M06-2X/6-311G(2d,p). The molecular motion of **5b** encompasses two types of structural changes: (a) inversion of the two inequivalent naphthalene units and (b) flipping of the protruding nitrogen atom (Figure 7). For these structural changes, activation energies of 3.3 and 7.6 kcal mol⁻¹ were calculated, respectively. The calculations for *N*-phenyl-substituted DNABI **7b** suggested an inversion of the helical twist with an activation energy of 6.0 kcal mol⁻¹ (Figure 8). The ¹H NMR spectra of **5a** and **7a** in CD₂Cl₂ at -95 °C revealed a C₂-symmetric pattern with slight broadening (Figures S33 and S34). These results suggest that the molecular motions of **5a** and **7a** are fast on the NMR time scale, even at low temperatures. Activation barriers <10 kcal mol⁻¹ were estimated,⁹⁵ which is consistent with the theoretical approximation.

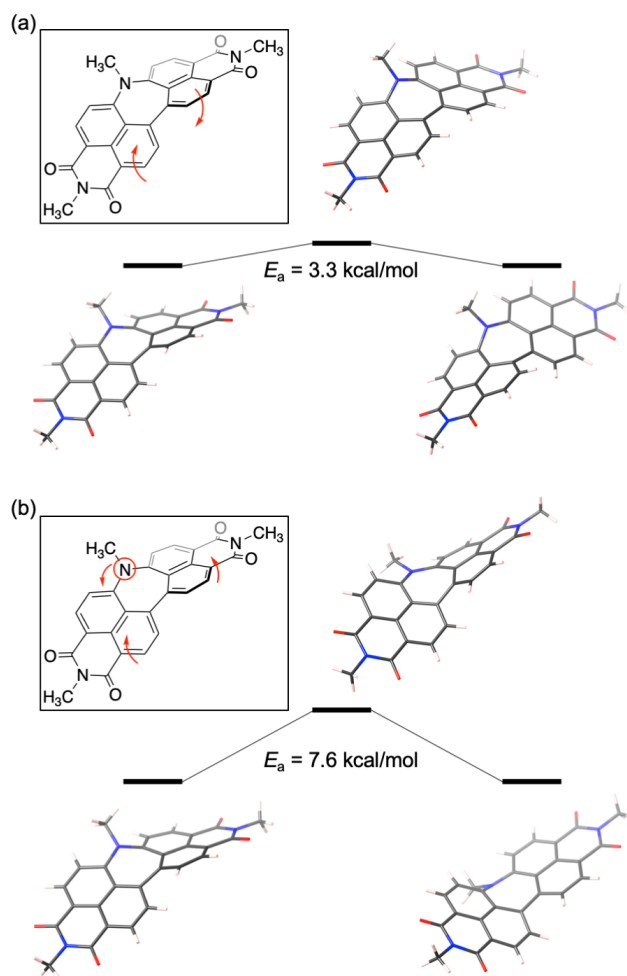


Figure 7. Molecular motion of **5b**: (a) inversion of the two inequivalent naphthalene units and (b) flipping of the protruding nitrogen atom. The absolute energies were calculated at the M06-2X/6-311G(2d,p) level of theory.

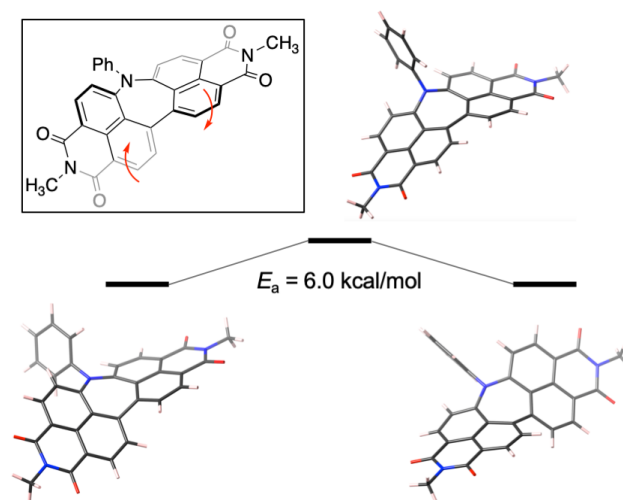


Figure 8. Molecular motion of **7b**. The absolute energies were calculated at the M06-2X/6-311G(2d,p) level of theory.

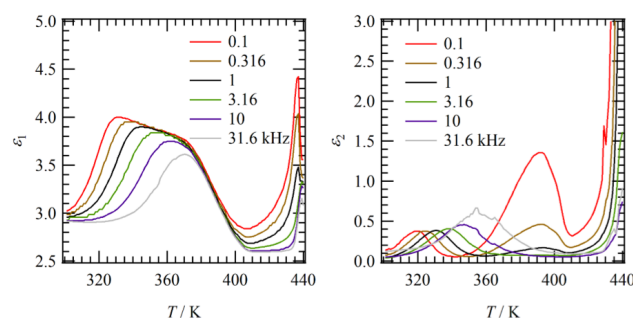


Figure 9. Temperature- and frequency-dependent real (ϵ_1) and imaginary (ϵ_2) parts of the dielectric constant for **5a** in the solid state.

The molecular motions of *N*-methyl-substituted DNABI **5b** also change the orientation of its dipole moment. Consequently, we measured the temperature (*T*)- and frequency (*f*)-dependent real (ϵ_1) and imaginary (ϵ_2) parts of the dielectric constant of **5a** in the solid state in order to examine its response toward the application of an external electric field (Figure 9). In the range of 320–370 K, ϵ_1 and ϵ_2 shift to high temperatures with increasing frequency. Differential scanning calorimetry (DSC) and polarized optical microscopy (POM) measurements indicated the presence of a glass phase in this temperature range (Figures 10, S35, and S36). The peak-top temperature (T_{peak}) of ϵ_1 is proportional to the logarithmic frequency *f* (Figure S37), which is characteristic for the relaxation of a thermally activated dipole glass.⁹⁶ Considering the thermal energy at room temperature ($k_B T \approx 0.6 \text{ kcal/mol}$), the molecular motion shown in Figure 7a should contribute to the observed dielectric response in the glass. DSC and POM measurements revealed that a further increase of the temperature generated a partial crystal phase in the glass domain at ca. 400 K. The appearance of the ϵ_2 peak-tops at ca. 390 K should be due to an ordering of the dipole moment associated with the formation of a crystalline phase. On the other hand, *N*-phenyl-substituted DNABI **7a** underwent a phase transition from a solid

phase to an isotropic liquid without passing a glass phase (Figure S38). Furthermore, the temperature-dependent dielectric constants of **7a** did not exhibit a notable response associated with an orientation of the dipole moment (Figure S39).

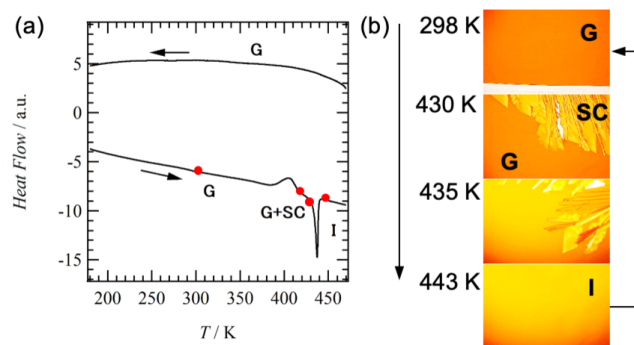


Figure 10. Phase transition behavior of **5a**. (a) DSC chart (third cycle) recorded at a scan rate of 10 K min^{-1} . G, SC, and I refer to glass, crystal, and isotropic liquid, respectively. (b) POM images of G, G+SC, and I under a cross-Nicol optical arrangement.

The conformational dynamics of **5b** disturb the long-range order and crystallization of the closest-packing structure, which forms the dipole glass. Thermal fluctuations of dipole moments occur in the glass state at 320–370 K (first frequency-dependent dielectric relaxation), and a partial crystallization was observed in the glass state at ca. 390 K. The latter phase transition was observed as an exothermic peak at ca. 400 K in the DSC chart, while the coexisting glass and crystal phase was subject to a phase transition to the isotropic liquid at 430 K. On the contrary, **7a**, which exhibits a larger inversion barrier for the structural transformation, stabilized the closest-packing crystalline state in the absence of a dipole glass. The observed molecular dynamics are thus closely correlated to the phase-transition behavior of the dipole glass.

Formation of Hydrogen-Bonding Complexes and Induction of Helical Chirality. The central NH proton of *N*-unsubstituted DNABI **8** should exhibit relatively high acidity owing to the two electron-withdrawing naphthalene monoimide units. To examine the interaction of this proton with a Brønsted base, we conducted titration experiments of **8a** with 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU) in toluene and MeCN/CH₂Cl₂ ($v/v = 20/1$), respectively (Figure 11). In both cases, the absorption spectra gradually change and isosbestic points are clearly visible. A curve-fitting analysis afforded equilibrium constants (K) of $8.2(2) \times 10^2$ and $3.5(1) \times 10^5 \text{ M}^{-1}$ in toluene and MeCN/CH₂Cl₂, respectively.⁹⁷ In toluene, a slightly red-shifted absorption band appeared at 481 nm together with weak tailing up to ca. 700 nm (Figure 11a). Conversely, an intense absorption band was observed at 643 nm in MeCN/CH₂Cl₂ (Figure 11b). Considering the solvent polarity, we assume that the dominant species produced in toluene and MeCN/CH₂Cl₂ are a hydrogen-bonding complex and a deprotonated anion, respectively.^{98,99} In contrast, titration in CH₂Cl₂ afforded an ill-defined absorption change that lacked isosbestic points, implying the co-existence of these two species (Figure S40).¹⁰⁰

To control the helical chirality of the hydrogen-bonding complex, we added the optically active Brønsted base **10**^{101–103} to a toluene solution of *N*-unsubstituted DNABI **8a** and monitored the reaction by CD spectroscopy (Figure 12). Interestingly, clear CD signals emerged in the range of 300–650 nm, which indicates that the

helicity of the twisted DNABI core was enantiomerically controlled by **10**.^{104–108} On the other hand, a DMSO solution of **8a** with **10** as well as a toluene solution of *N*-phenyl-substituted DNABI **7a** with **10** exhibited no identical CD signal (Figures S43 and S44). These results suggest that the formation of a hydrogen-bonding complex involving the central NH unit of **8a** is essential to induce CD signals. The structure of the complex was simulated by DFT calculations, in which the DNABI core was sandwiched by the phenyl and isopropyl groups of the chiral Brønsted base **10** (Figure 13). These DFT calculations suggest that (*M*)-**8a**·**10** is energetically more favorable than (*P*)-**8a**·**10**. The simulated CD spectrum of (*M*)-**8a**·**10** is consistent with the experimental results, i.e., the Cotton effects around 400 and 500 nm, respectively (Figure S47).

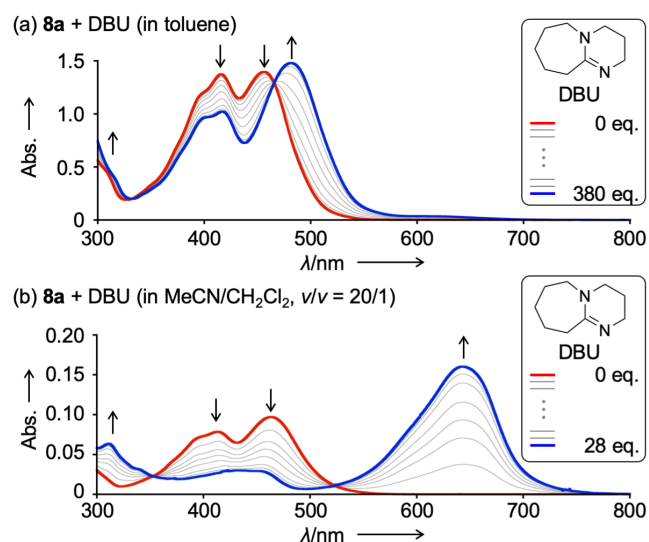


Figure 11. UV/Vis absorption spectral changes during titration of **8a** with DBU at 20 °C in (a) toluene ($[\mathbf{8a}]_0 = 5.6 \times 10^{-5} \text{ M}$) and (b) MeCN/CH₂Cl₂ ($[\mathbf{8a}]_0 = 3.4 \times 10^{-6} \text{ M}$).

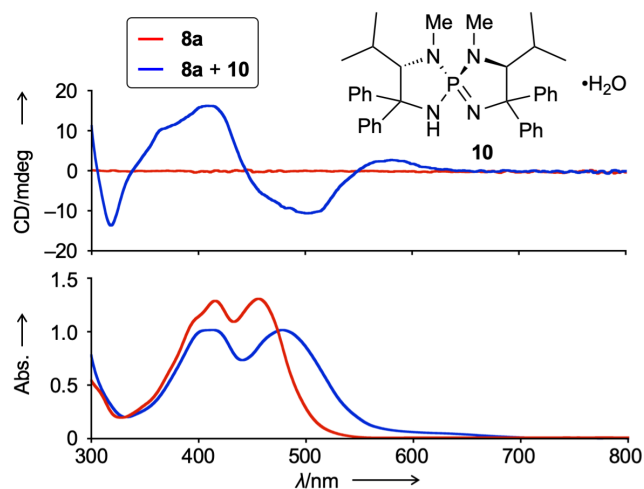


Figure 12. Circular dichroism (CD) (top) and UV/Vis absorption (bottom) spectra of **8a** with **10** in toluene at 25 °C ($[\mathbf{8a}]_0 = 5.6 \times 10^{-5} \text{ M}$, $[\mathbf{10}]_0 = 2.8 \times 10^{-3} \text{ M}$, $d = 1.0 \text{ cm}$).

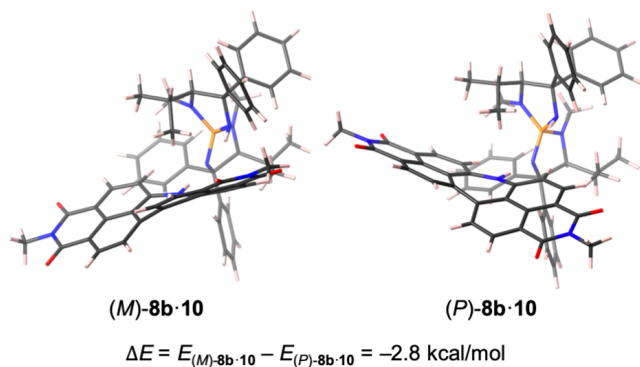


Figure 13. Optimized structures of (*M*)-**8a·10** and (*P*)-**8a·10**; DFT calculations were conducted at the M06-2X/6-311G(2d,p) level of theory. The solvation effect was simulated using the IEFPCM method and toluene as the solvent.

CONCLUSIONS

We synthesized dinaphtho[1,8-bc:1',8'-ef]azepine bisimides (DNABIs) as non-planar perylene bisimide analogues from 4-bromo-5-iodonaphthalene monoimides through copper-mediated homocoupling and a subsequent Buchwald–Hartwig amination as key steps. A detailed structural analysis revealed that depending on the steric effect around the central nitrogen atom, DNABIs adopt one of two conformations. While *N*-unsubstituted DNABI **8** and *N*-phenyl-substituted DNABI **7** adopt a symmetric twisted conformation, *N*-alkyl-substituted DNABI **5** exhibit a nonsymmetric bent conformation. DFT calculations predicted that the former conformation is intrinsically favorable, and that the driving force to provide the latter conformation is most likely due to intramolecular steric repulsion associated with a symmetry mismatch between the C_2 -symmetric twisted structure and the C_3 -symmetric alkyl groups. UV/Vis spectra and the results of theoretical calculations suggest that the structural differences manifest in different electronic properties. Notably, nonsymmetric DNABI **5a** undergoes unique structural changes in response to the application of an external electric field as its molecular motion is associated with an orientational change of its dipole moment. Moreover, the chiral Brønsted base **10** forms a hydrogen-bonding complex with *N*-unsubstituted DNABI **8a**, which allows controlling the helicity of the twisted core. Further investigations into the incorporation of other heteroatoms into the dinaphtho[1,8-bc:1',8'-ef]heteropine bisimide core are currently in progress in our laboratory and the results will be reported in due course.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website.

Experimental details and spectral data for all new compounds (PDF).

Crystallographic data for **5a** (CIF).

Crystallographic data for **7b** (CIF)

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Notes

The authors declare no competing financial interest.

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