1	Creep behavior of C-S-H under different drying relative humidities: Interpretation of microindentation tests and
2	sorption measurements by multi-scale analysis
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9	
10	Abstract
11	The mechanism behind the creep of concrete is primarily governed by calcium silicate hydrate (C-S-H).
12	The results presented in this study demonstrate the effect of varying relative humidity, water-to-cement ratios,
13	and cement type on the creep properties of hardened cement paste as determined by microindentation. Water
14	vapor sorption and XRD/Rietveld analysis were used to characterize the tested cement paste samples. Multi-scale
15	analysis was also applied to downscale the creep properties of the cement paste to that of the C-S-H gel. When the
16	drying relative humidity decreased, the specific surface area decreased whereas the bulk modulus of the C-S-H gel
17	increased. This increase of creep modulus may be explained by the sliding of C-S-H sheets as lubricated by water
18	molecules. Drying reduces the specific surface area, resulting in increased difficulty in the sliding of C-S-H sheets;
19	hence, the creep modulus increases.
20	
21	Keywords: Creep (C), Calcium-Silicate-Hydrate (C-S-H) (B), Drying (A), Microindentation (B), Surface area (B)
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23	
24	1. Introduction
25	Creep, a critical issue in the field of study of cement that has been studied extensively by many researchers
26	with varying perspectives, is the time-dependent deformation of a structure under sustained load throughout its

service life. Creep deformation affects large concrete infrastructure, such as nuclear or hydro power plants and bridges that must withstand extreme environments with large extremes in both humidity and temperature. However, the mechanism behind creep is still not completely understood. Concrete creep primarily results from cement paste, which cannot remain dimensionally stable as compared to aggregate. Additionally, at the microscale of the cement paste, the presence of calcium-silicate-hydrate (C-S-H) primarily contributes to concrete creep, as compared to unhydrated clinker and crystalline phases from hydration products [1-5]. C-S-H is the main amorphous phase and is dependent on various factors: mixture, moisture, temperature, curing condition.

34 Basic creep (i.e. time-dependent deformation without moisture exchange between the concrete and the 35 atmosphere) of concrete/cement paste evolves a logarithmic function of time at long term, as shown by 36 macroscopic tests in [6], nano-indentation tests in [5, 7, 8], and microindentation tests in [9-11]. For the 37 indentation of the cement paste, the time scale for the creep experiments is shortened from a year or month to a 38 minute [11, 12]. This is especially useful for the rapid assessment of long-term creep behavior (i.e. behavior 39 defined by kinetics) for determining the stability and durability performance of concrete structures. Reduced 40 difficulties in macroscopic creep testing are observed, in terms of both the experimental method and 41 environmental sensitivity of the test sample. Alternatively, one of the limitations of the nanoindentation technique 42 is that this method detects the local creep property of C-S-H, and then statistically classifies it as either low density 43 (LD) C-S-H, or high density (HD) C-S-H [13], which do not reflect the creep behavior of the entire C-S-H gel 44 structure. The C-S-H structure, which is affected by sensitivity factors, should consider solid C-S-H, interlayer 45 pores, and small gel pores. In this manner, microindentation can be performed on a large amount of cement paste 46 to cover the entire C-S-H gel structure [10]. To determine creep properties of pure C-S-H gel, the microindentation 47 experiment needs to eliminate the effect of capillary pores, including unreacted clinkers and crystalline phases in 48 the cement paste. These phases have been confirmed to restrain the deformation of cement paste [14-16]. The 49 multiscale models [13, 17] proposed for the primary concrete microstructure levels upscale the mechanical 50 properties obtained by nanoindentation to the scale of the concrete. Later, Aili et al. [18] developed a contrary 51 model that estimates the long-term creep properties of the C-S-H gel using the basic creep data of the concrete.

52 C-S-H demonstrates colloidal features [19-22], which are influenced by both the chemically bounded 53 water in the C-S-H and evaporable water. Our previous publications [23-26] found that the C-S-H microstructure 54 evolves with change in moisture. C-S-H agglomerations during the long-term drying process, resulting from water 55 that is removed, are attributed to the arrangement of C-S-H sheets, as explained by the stacking process (from 56 small-angle x-ray scattering) and decrease of basal spacing of the C-S-H (from water vapor sorption) [26]. This 57 basal spacing is found to decrease when relative humidity decreases from a saturated condition to 40% and 58 remains stable below 40% relative humidity (from short-term length change isotherms and water vapor sorption) 59 [23]. Recently, results from <sup>1</sup>H NMR relaxometry investigating water molecule exchange in different pore sizes 60 showed the existence of moveable C-S-H sheets [25]. As for creep, water has a significant impact on large- and 61 small-scale creep behavior [9, 27-32]. Under sealed conditions, wet specimens trend to creep more than dried 62 specimens. Several theories of creep related to water have been proposed, e.g. the sliding of each other gel 63 particles that are lubricated by water [28], the microprestress-solidfication theory of the disjoining pressure of 64 the adsorbed water in micropores [33], the dissolution-precipitation mechanism in a moist condition [31], and 65 the microcracking theory, which considers holding loads including effect of water movements inducing basic 66 creep [34, 35]. However, the creep mechanism of C-S-H gel and the role of water have not been yet fully understood. 67 The aim of this study is to experimentally investigate the creep of hardened cement paste (hcp) that is 68 dried under different relative humidity conditions for a long time. The microindentation technique is applied to 69 measure the creep properties of cement paste. An experimental campaign was conducted under a full range of 70 relative humidity (from 100% to 11% relative humidity) for the drying, which is a wider range than those 71 presented in literature [9]. The phase composition of the cement pastes are evaluated using a XRD/Rietveld 72 analysis, while porosity is obtained from water vapor sorption measurements. Then, combining the phase 73 composition and porosity with a multi-scale model, creep properties are downscaled from the cement paste to the 74 C-S-H gel. Creep of C-S-H gel is explained by agglomeration of C-S-H and by sliding of C-S-H sheets. Finally, we 75 compare our results with two models from literature: the microscopic relaxation model proposed by Vandamme 76 [36] and the atomistic simulation of Morshedifard et al. [37].

77 2. Experimental programs

78 2.1 Cement properties

Two types of cement were considered in this study. The chemical components and mineral compositions of low heat Portland cement (L) and high early strength Portland cement (H) are shown in Table 1 and Table 2. The proportion of the main phases in cement clinker was evaluated by Rietveld x-ray diffraction quantification.

82

83 Table 1

84 Chemical composition of the low heat (L) and high early strength Portland cement (H) as found by x-ray

85 fluorescence analysis (mass %).

Cement	SiO <sub>2</sub>	$Al_2O_3$	Fe <sub>2</sub> O <sub>3</sub>	CaO	MgO	K <sub>2</sub> O	Na <sub>2</sub> O	SO <sub>3</sub>	LOI	Na <sub>2</sub> O	Cl-	Total
										eq		
Н	20.46	4.96	2.49	65.71	1.48	0.33	0.20	2.90	0.78	-	0.014	99.324
L	25.85	3.32	3.08	62.28	0.76	0.25	0.19	2.84	0.73	0.35	0.003	99.653

86

### 87 Table 2

88 Mineral composition of Cement H and L as determined by Rietveld x-ray diffraction quantification (mass %).

Cement	C <sub>3</sub> S	C <sub>2</sub> S	C <sub>3</sub> A	C <sub>4</sub> AF	Periclase	Bassanite	Gypsum	Total
Н	65.56±2.20	15.14±0.93	6.75±0.29	7.96±0.47	0.81±0.28	2.39±0.20	0.51±0.66	99.12
L	20.11±1.60	62.95±1.14	1.95±0.43	10.71±0.60	0.52±0.22	2.43±0.36	1.11±0.63	99.78

89 C: CaO, S: SiO<sub>2</sub>, A: Al<sub>2</sub>O<sub>3</sub>, F: Fe<sub>2</sub>O<sub>3</sub>

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# 91 2.2 Specimen preparation

The cement pastes were made from low heat Portland cement and high early strength Portland cement with a water-to-cement ratio of 0.30 and 0.55. The Portland cement was mixed with water in a paddle mixer at room temperature. After that, the fresh paste was moved to a thermostatic room at 20 ± 1 °C and was remixed by hand every 30 minutes for 6 hours to reduce the effect of bleeding. In this study, 3 × 13 × 100 mm specimens were cast and sealed. Demolding was done three days after casting, then cured in lime-saturated water for 1 year and the specimens were placed into chambers with controlled humidity for three years The thickness of bar specimen (3
mm) was selected to prevent contraction-induced microcracking on the surface due to gradient of moisture
content [38]. Prior to the test, samples were observed by microscope and no crack was found.

100 For drying, the low heat Portland cement paste was stored in a chamber in which the relative humidity was 101 controlled by a saturated salt solution as shown in Table 3 [39]. The high early strength Portland cement paste 102 was dried in chambers whose relative humidity was controlled by sodium hydroxide solutions with varying 103 concentrations as shown in Table 4 [40]. 10 specimens were placed directly on a metal mesh with sieve opening 104 of 8 mm over chemical solution of  $\sim 100$  ml for controlling relative humidity in the chamber ( $35 \times 130 \times 185$  mm). 105 In case of L cement paste, saturated solutions were used to control relative humidity. Since more salt surpassing 106 the solubility were added in preparation of saturated solution, even with release of water from sample, solution 107 remained still saturated. Hence, relative humidity did not change. In case of H cement, sodium hydroxide solution 108 with different concentration were used to control relative humidity. Based on the drying protocol established [24], 109 sodium hydroxide solution were replaced every two weeks in the beginning to keep the relative humidity at 110 expected value, until no significant increase (less than 2%) of relative humidity measured. By doing so, severe 111 drying and carbonation were also avoided. Drying lasted for more than three years for the two cements. The 112 lengthy drying time was selected to achieve not only a relative humidity equilibrium but also to let the 113 microstructural changes of C-S-H fully complete [24]. The dried cement paste at a target relative humidity is denoted as LXX\_YYRH or HXX\_YYRH, where XX is the water-to-cement ratio and YY is the relative humidity. 114 115 Furthermore, LXX\_100RH and HXX\_100RH were immersed in lime-saturated water just after demolding until 116 testing.

- 117
- 118 Table 3

#### 119 Saturated salt solution for controlling relative humidity.

RH (%)	11	33	40	58	79	85	95
Saturated salt	LiCl <sub>2</sub>	MgCl <sub>2</sub>	Nal	NaBr	NH <sub>4</sub> Cl	KCl	KNO <sub>3</sub>

120 Table 4

## 121 Concentration of sodium hydroxide in the aqueous solution.

RH (%)	11	20	30	40	50	60	70	80	90	95
Concentration	47.97	40.00	35.29	31.58	28.15	24.66	20.80	16.10	9.83	5.54
(%mass)										

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### 123 2.3 Characterization of the cement paste during drying

124 2.3.1 XRD/Rietveld analysis

125 After drying in their respective chambers for three years, the specimens were tested by the x-ray diffraction method (D8 ADVANCE, Bruker AXS). The specimen hydration was arrested by isopropanol and kept in the 11% 126 127 relative humidity desiccator at 20 °C for two weeks to eliminate the isopropanol. Then, the specimens were ground 128 after hydration ceased, with the 10% Corundum powder ( $\alpha$ -Al<sub>2</sub>O<sub>3</sub> by mass) used as a standard reference for 129 quantifying the phase compositions. The tube voltage, tube current, scan range, step width, and scan speed for the 130 XRD was 40 kV, 40 mA, 20, 2-65°, 0.02°, and 0.5°/min, respectively. Phase compositions of the cement paste were 131 calculated from the Rietveld analysis (TOPAS 4.2, Bruker AXS) based on [41]. #hree identical samples were tested 132 for each set of drying states. The mass ratio of reacted clinker over initial clinker was defined as hydration degree.

133 2.3.2 Sorption measurements

134 The water vapor sorption isotherms were measured at 20 °C by the volumetric method using the VSTAR 135 (Quantachrome instrument) for investigating mesopores and micropores under different relative humidities. The 136 samples were ground until particles passed through a 75 µm sieve and retained on a 25 µm sieve. The initial state 137 of sample in our instrument should be under vacuum condition. Hence, as pretreatment method, a  $25 \pm 1$  mg 138 sample in terms of wet mass was dried by a vacuum pump to obtain the fully dry specimen at 20 °C prior to 139 measurement. In every measurement, starting from vacuum condition, the water molecules are introduced into 140 the cell step by step to control the relative pressure. On adsorption branch, the relative pressure started from 0, 141 increased until the peak; then decreased to  $\sim 0.005$  on the desorption branch. At each step of measurement, if 142 change of pressure in the cell is less than 0.1 Torr (i.e., 13.3 Pa) during 2 minutes, it was supposed that the 143 equilibrium was reached and system moved to the next measurement point. Otherwise, measurement continued

in this step by introducing more water vapor into the cell or removing water vapor from the cell. The sorption isotherm was built step by step by estimating the incremental water vapor for incremental increasing pressure at equilibrium. At the end of the measurement, the sample was again vacuum dried in a heating mantle at 105 °C to obtain the dry mass for normalizing the sorption amount. The Brunauer-Emmett-Teller (BET) theory [42] was applied on the adsorption branch (relative pressure between 0.05 and 0.35) to calculate the specific surface area  $(S_{H20})$  by taking the cross section of one water molecule equal to 0.114 nm<sup>2</sup> [43].

Additionally, for each drying relative humidity, a dried sample block was taken out and its initial mass  $W_0$  was measured. Then, this sample block was re-saturated in lime-saturated water under vacuum for 24 hours at room temperature and the saturated mass  $W_{sat}$  was then measured. Finally, the samples were dried in an oven at 105 °C for two weeks and the dried mass  $W_{dry}$  was measured. The water capacity was then calculated as  $(W_{sat} - W_{dry})/W_{dry}$ . The oven-dry mass at 105 °C was chosen to be reference as it is supposed that only evaporable water is removed from hcp at 105 °C similar to [24, 44, 45].

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## 157 2.4 Creep analysis by microindentation

The creep properties were examined by the microindentation technique. A small bar specimen piece was embedded in epoxy resin in a cylindrical mold (25 mm diameter, 22 mm height). After the epoxy resin was sufficiently cured, the samples were cut into 5 mm thicknesses by a high-precision diamond band saw (EXAKT 300). Then, the specimens were stored in the original chamber for at least two weeks to recover the relative humidity equilibrium prior to measurement.

Microindentation tests were performed under load control with the Shimadzu Autograph AGS-X. A trapezoidal loading history was used for the creep assessment, with a maximum load of  $P_{max} = 20$  N. The load was applied at a rate of 1.333 N/s, then kept steady over 300 s and unloaded at the same rate as initially applied, as shown in Fig. 1. This loading and unloading rate were selected for following the typical value in literature [9, 11]. For this study, a Berkovich indenter was used, which has a triangular-based pyramid and a 62.12° semi-apex angle of the indenter. The indentation tip response was recorded by a laser displacement meter (Keyence LK-G30) with an accuracy of 0.01 µm. During testing, the specimens were enclosed in a hermetic chamber to avoid carbonation and to maintain the relative humidity at 20 ± 1 °C by using the chemicals as shown in Table 3 and Table 4.
Moreover, a moving table with a stepping motor controller was used to make equidistance indentations (0.75 mm). The indentation test was performed on more than fifteen points for each set of drying states for each sample.



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Fig. 1. A typical load history of the microindentation tests.

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After withdrawing the indenter from the indented surface, the diameter of the indent has a minor change. The projected residual indent area on the surface of the tested material is comparable with the maximum contact area  $A_c$  during the indentation test. The maximum depth consists of two parts: a depth  $h_c$  at which the indenter touches the indented surface and a depth  $h_s$  at which the indenter does not touch the indented surface:

$$h_{max} = h_c + h_s \tag{1}$$

181 Knowing the depth  $h_s$  for a conical indenter [46], Eq (1) yields

$$h_c = h_{max} - \varepsilon \frac{P_{max}}{S} \tag{2}$$

183 where *S* is an initial slope of the unloading branch, and the geometric constant  $\varepsilon$  is equal to 0.72 for a conical 184 indenter. Therefore, the contact area is [9]

$$A_c = 3\sqrt{3}h_c^2 \tan^2\theta \qquad \qquad 185 \qquad (3)$$

186 Based on the same indented surface, the contact radius  $a_c$  can be defined as

$$a_c = \frac{\sqrt{A_c}}{\sqrt{\pi}} \tag{4}$$

Four properties were evaluated: the creep coefficient and contact creep modulus from the holding phase, and the indentation modulus and hardness from the unloading phase. The ratio between the maximum depth and the initial depth during the holding period is defined as the creep coefficient *C*.

$$C = \frac{h_{max} - h_{ini}}{h_{ini}} \tag{5}$$

192 The depth gradually increases with time when the load is kept constant. The contact creep function L(t) - L(0), which describes the time-independent behavior, is given as [11]

$$L(t) - L(0) = \frac{2a_c \Delta h(t)}{P_{max}}$$
 (6)

195 where  $\Delta h(t)$  is the change of the indentation depth during the holding period. Since the long-term creep behavior 196 is observed to vary as a logarithmic function of time [9, 11], the measured indentation creep data can be given by

$$L(t) - L(0) = \frac{\ln(t/\tau_i + 1)}{C^M}$$
<sup>(7)</sup>

198 The parameter  $C^{M}$  and  $\tau_{i}$  are the contact creep modulus and characteristic time, respectively.

Since microindentation induces locally very high-stress, force/deformation relation is nonlinear at the beginning. Elastic properties, i.e., indentation modulus and indentation hardness, should be considered on the unloading branch. The indentation modulus *M* was found from the initial slope *S* of the unloading branch of the load-displacement curve, while the indentation hardness *H* was calculated as the mean pressure applied on the sample at the maximum load. Both parameters are defined by

$$M = \frac{S\sqrt{\pi}}{2\beta\sqrt{A_c}} = \frac{S}{2\beta a_c}$$

$$204 \qquad (8)$$

$$205$$

206 and

$$H = \frac{P_{max}}{A_C}$$
 207 (9)  
208

209

211 3. Results

212 3.1 XRD/Rietveld analysis

213 Fig. 2 shows the degree of hydration of the cement clinker (summation of all clinker minerals) in H-hcp and L-214 hcp under different relative humidities. The drying condition was found to not significantly affect the degree of 215 hydration, implying that hydration almost fully completed during the one-year-cure cycle under lime-saturated 216 water before drying. H55 had the highest degree of hydration, followed by L55, H30, and then L30. The degree of 217 hydration approached more than 90% for a w/c ratio of 0.55 and more than 75% for w/c ratio of 0.30. Under 218 different relative humidities, the degree of hydration of the cement clinker decreased slightly from 80% to 40% 219 relative humidity, while the degrees of hydration seem to be independent for the other relative humidities. These 220 results were also similar to the results for white hcp as reported by Maruyama et al. [24].

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Fig. 2. Degree of hydration of the cement clinker in hcp after drying for three years.

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The unreacted clinkers and hydration products from the Rietveld analysis were identified. The phase compositions were obtained through an iterative calculation process [41]. The volume fraction results were normalized per 1 cm<sup>3</sup> of hcp at the sealed condition, as displayed in Fig. 3. The amorphous phases (i.e. C-S-H, C-A-H, and C-F-H) and portlandite#denoted as CH) remained relatively constant for each drying relative humidity for both L-hcp and H-hcp, as the samples were almost fully hydrated before drying. The amount of portlandite in the H-hcp was approximately twice that of L-hcp because the alite phase generated more portlandite than belite phase. The portlandite was not affected by drying. In the case of ettringite (denoted as Ett), it decomposed at lower relative humidity, however it could not decompose under strong drying conditions [47]. The other crystalline phases - e.g., monosulfoaluminate (denoted as Ms), monocarbonate (denoted as Mc), hemicarboaluminate (denoted as Hc), and hydrogarnet (denoted as Hg) - were present in minor quantities (less than 4%). Moreover, amount of water in hardened cement paste was denoted as H in Fig. 3.





(b) L55

236

237

(a) H55





Fig. 3. Phase diagram of the hcp under the sealed condition.

241 3.2 Water vapor sorption

Before re-saturating the samples, the dried block sample weights  $W_0$  were measured to obtain the water content in the hcp dried under different relative humidity. The water content in the hcp can be seen as the evaporable water content as shown in Fig. 4. In general, the amount of evaporable water decreased dramatically from the saturated condition to 40% relative humidity and there was no significant change from 40% to 11% relative humidity. Fig. 5 shows the re-saturated water content of both hcp samples at various relative humidity. For L55 and H55, for lower relative humidity, the saturated water content is reduced. For L30 and H30, the drying condition did not affect the re-saturated water content.





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Fig. 4. Evaporable water content of the dried samples at different relative humidities.



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Fig. 5. Saturated water content of the re-saturated samples at different relative humidities.

256 The water vapor sorption isotherms of the H55 and L55 samples dried under different relative humidities are 257 presented in Fig. 6. The y-axis of Fig. 6 was shifted to better show the shape of isotherm. The shape of the sorption 258 isotherms of higher relative humidity samples (from H55\_100RH to H55\_60RH and L55\_100RH to L55\_58RH) 259 were consistent, with a sudden drop (relative pressure between 0.30 and 0.35) observed on the desorption branch. 260 This sudden drop is not clearly vident on samples with 40% to 50%, where the hysteresis loops are a narrow 261 curve. However, the sudden drop is recovered on lower relative humidity samples (from 30% to 11% relative 262 humidity). Furthermore, in the case of H55, the sorption curve at higher relative pressure (above 0.80) for the 263 lower relative humidity samples was quite precipitous. As for L55, this kind of precipitous increase of adsorption 264 branch at high relative pressure were observed for both low relative humidity sample and also for high relative 265 humidity sample. Moreover, the amount of total sorption still decreased from the saturated condition to 50% 266 relative humidity, and then increased from 50% to 11% relative humidity. Similar trends are observed for the H30 267 and L30 hcp samples. Note that, hysteresis loop was observed between adsorption and sorption branch of 268 isotherms. The hysteresis for the relative pressure higher than 0.35 (where sudden drip of desorption branch can 269 be observed due to cavitation) is attributed to ink-bottle effect [48, 49]. As for the hysteresis at relative pressure 270 lower than 0.35, is needed more time for pulling out the adsorbed water in very small slit pores (i.e. interlayer 271 spaces in C-S-H). The suction of adsorbed water below relative pressure of 0.35 in VSTAR was limited by time and

lowest relative pressure, so the ending point on desorption branch cannot recover to the beginning point of the
adsorption branch. In addition, the different shape of sorption isotherm depended on the initial relative humidity
of hcp, reflecting the microstructural change of C-S-H due to the lengthy drying time [23, 24, 26].

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Fig. 6. The water vapor sorption isotherms for H55 and L55 hcp samples dried at different relative humidities.

The adsorption branches of hcp dried at different relative humidities are shown in Fig. 7. For the samples dried above 79% relative humidity, no differences can be observed on the adsorption branches for relative pressures between 0 and 0.40. As for elevated relative pressures (i.e., 0.40-0.98), the samples dried at lower relative humidity showed lower sorption amounts. For samples dried below 79% relative humidity, the amount of adsorption clearly decreased with decreasing drying relative humidity, this being due to changes in the hcp

microstructures. The pores in the hcp can be classified based on the water vapor sorption.  $dW_{40-0}$  is defined as 285 286 the incremental sorption from the dry condition at 105 °C to relative pressure 0.40 and is associated with the 287 micropores including interlayer spaces [19, 24]. Then,  $dW_{75-40}$  is defined as the adsorption capacity from a 288 relative pressure of 0.40 to 0.75 and is related to the mesopores (i.e. gel pores). The change of slope on the 289 adsorption branch at a relative pressure of 0.75 (see Fig. 7) is considered as the fine end of the capillary pores. 290 Combining the water capacity of the re-saturated samples with the water sorption isotherms, the incremental water sorption from a relative pressure of 0.75 to the re-saturated state ( $dW_{100-75}$ ) was calculated to reflect the 291 292 macropores in the hcp (i.e. capillary pores). The water sorption proportions of the samples dried at various 293 relative humidities are shown in Fig. 8. For higher w/c ratio, the  $dW_{100-75}$  decreased from 100% to 90% relative 294 humidity, increased from 90% to 40% relative humidity and then decreased slightly from 40% to 11% relative 295 humidity. For lower w/c ratio, the samples dried at higher relative humidity have a lower  $dW_{100-75}$  than those dried at lower relative humidity. For each cement paste type, the samples dried at lower relative humidity can be 296 297 observed to have lesser quantities of micropores and mesopores than those dried at higher relative humidity, 298 which can be considered as evidence of agglomeration of the C-S-H due to the release of water from the interlayer 299 space and gel pores. The  $dW_{40-0}$  of the L-hcp was slightly greater than that of the H-hcp.

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301



Fig. 7. The adsorption branches of the H55 and L55 hcp samples dried at different relative humidities.







Fig. 8. The water sorption proportions of the samples dried at different relative humidities.

The BET surface area was obtained using the adsorption isotherm between the relative pressures of 0.05 and 0.35, following the method presented in [43]. Fig. 9 presents the specific surface area  $S_{H20}$  of the hcp as a function of the drying relative humidity. For one type of hcp, the surface area did not change when the drying relative humidity decreased from 100% to 80%. From 80% to 40% relative humidity, the surface area decreases. When the drying relative humidity is further decreased to 11%, the surface area did not change significantly. H30 and L30 had a lower specific surface area than H55 and L55.  $S_{H20}$  was reduced and remained constant at lower relative humidity. In a prior study, Maruyama et al. [24] demonstrated the sharp decrease in  $S_{H20}$  from 40% to

318 11% relative humidity. This difference is hypothesized to result from pre-treatment, as contrary to the present 319 study, Maruyama et al. [24] dried the samples at 105 °C, which may have caused decomposition of some hydrates 320 especially at lower drying relative humidity (i.e., RH=11%-30%).







- 323
- Fig. 9. Specific surface area  $S_{\rm H2O}$  of the hcp samples dried at different relative humidities.
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#### 3.3 Microindentation 325

326 The creep experiments of both cement pastes yielded the contact creep function as shown in Fig. 10. It can 327 be observed that the creep strain magnitude increased with increasing relative humidity. In a consistent manner 328 with the results obtained for different water-to-cement ratios, the creep strain increased with higher water-to-329 cement ratios [11]. To compare the effect of different drying conditions, the experimental contact creep function 330 was curve fitted with Eq. (7). Fig. 10 further presents the best fits for each relative humidity. The changes in the 331 drying process results in different slopes of the contact creep function [46].



Fig. 10. Examples of the contact creep functions and best curve fits for the hcp samples dried at different relative humidities.

338 The creep coefficient of hcp decreases continuously as the relative humidity is decreased (see Fig. 11) which 339 means that the creep strain of hcp at higher relative humidity tends to increase more. This result is verified by the 340 creep coefficients for all four cement pastes presented in Fig. 11. Another observation is that, for the same w/c 341 ratio and the same relative humidities, the coefficient for H-hcp is less than L-hcp. From the lowest drying relative 342 humidity, the curves of both hcp converged at the saturated condition for w/c = 0.55. For one type of cement, 343 above a drying relative humidity of 70% the lower w/c ratio exhibited a lower creep coefficient while below 70% 344 the creep coefficient was higher for a lower w/c ratio. For H30, with further decrease of relative humidity lower 345 than 70%, the initial depth  $h_{int}$  (equivalently elastic strain) decreases significantly, resulting in higher creep coefficient comparing to H55. The maximum depth  $h_{max}$  for all specimens was between 0.063 and 0.138 mm. 346



Fig. 11. The creep coefficients of the hcp dried at different relative humidities.

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351 As for creep properties, the contact creep modulus and creep characteristic time are presented as 352 functions of drying relative humidity in Fig. 12 (a) and (b), respectively. The drying condition influences the creep properties as confirmed by the creep modulus and the creep coefficient. For all drying relative humidities, the 353 354 cement pastes with lower w/c ratios demonstrate higher contact creep moduli. For the same drying relative 355 humidity and the same w/c ratio, H-hcp has a higher contact creep modulus than L-hcp. For all four cement pastes, 356 the contact creep modulus was higher for the lower drying relative humidity. In addition, Frech-Baronet also 357 reported the effect of relative humidity on the creep modulus, with a similar trend observed as presented in Fig. 358 12 [9]. The decrease of the w/c ratio resulted in an increase in the contact creep modulus.

According to Fig. 10, the experimental contact creep functions demonstrate the logarithmic trend with respect to time, with the starting point of logarithmic kinetics interpreted as the characteristic time. At a high drying relative humidity, the hcp spent more time to reach logarithmic kinetics than at a lower relative humidity. Furthermore, the L-hcp has a higher characteristic time over the range of relative humidities for each w/c ratio as compared with H-hcp. This indicates that the cement type has stronger influence on the characteristic time than the w/c ratio.



Fig. 12. Fitting parameters obtained from the analysis of the hcp dried at different relative humidities.

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370 The indentation modulus and the indentation hardness of hcp dried at different relative humidities are shown in Fig. 13. Overall, a decrease of the w/c ratio entailed an increase in the indentation modulus [9, 29]. For 371 372 the same drying condition and w/c ratio, the H-hcp had a higher indentation modulus than the L-hcp. For all four 373 cement pastes, the indentation modulus was higher for lower relative humidity. Between 11% and 100% relative 374 humidity, the indentation modulus was significantly higher for the lower w/c ratio. As expected, the decrease in 375 relative humidity increased the indentation hardness, with similar trends observed to the varying indentation 376 modulus with relative humidity and w/c ratio. In contrast, the L-hcp had a higher indentation hardness than the 377 H-hcp.



Fig. 13. Mechanical properties obtained from indentation testing as a function of relative humidities.

383 The fitted parameters of the indentation experiments (i.e., indentation modulus, indentation hardness,
384 creep coefficient, contact creep modulus, characteristic time, and maximum depth) are listed in エラー! 参照元が
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## 387 4. Long-term creep mechanism of C-S-H gel

388 Creep of cementitious materials can be commonly categorized by kinetics, referring to different time scales, 389 as short-term creep and long-term creep [50]. In a macroscopic creep experiment, short-term creep takes a few 390 days, while the testing period for long-term creep varies from months to years. Microindentation experimentation 391 on cement paste provides the logarithmic creep compliance for long-term creep as shown in Fig. 10, which is 392 comparable to that obtained from macroscopic creep experiments yet obtained much quicker [11, 13]. It is widely 393 accepted that creep of concrete originates from C-S-H gel (including micropores and mesopores) [5]. Since creep 394 properties from microindentation are also influenced by other cement paste phases, multi-scale analysis is applied 395 in the following sections in order to obtain the creep properties of C-S-H gel. Finally, the creep mechanism of C-S-396 H gel will be discussed.

## 399 4.1 Creep modulus of C-S-H gel by downscaling

400 Aili et al. [18] developed a#nodel to estimate the long-term creep properties of C-S-H gel from the creep 401 properties of concrete. This model was applied in this study by considering hcp as a multiscale composite material 402 (as shown in Fig. 14). At the scale of cement paste (see Fig. 14 (a)), crystalline phases from hydration products 403 (e.g., portlandite, ettringite, monosulfoaluminate) and unreacted clinkers are assumed as spherical inclusions, 404 which do not creep, and are embedded in a matrix which is the mixture of C-S-H gel with capillary pores (i.e. 405 macropores). This mixture is supposed to creep. At a lower scale, this mixture of C-S-H gel with capillary pores is 406 supposed to be composed of a matrix of C-S-H gel which embeds capillary pores as shown in Fig. 14 (b). The contact creep modulus  $C^{M}$  determined experimentally is related to the bulk creep modulus  $C^{K}$  through [13, 18] 407

$$C^{M} = \frac{3(1-2\nu)}{1-\nu^{2}}C^{K}$$
(10)

Moreover, Aili et al. [51] showed by analyzing experimental results from literature that the long-term viscoelastic Poisson ratio of hcp and of a mixture of C-S-H gel with capillary pores could be assumed to be equal to 0.2.

412 The downscaling from the bulk creep modulus  $C_p^K$  of hcp to the bulk creep modulus  $C_{gel}^K$  of C-S-H gel is given 413 by [18]

$$C_{gel}^{K} = \left(\frac{1 - f_b}{1 + f_b}\right) \left(\frac{1 + \phi_c}{1 - \phi_c}\right) C_p^{K}$$
<sup>414</sup> (11)

where  $f_b$  is the volume fraction of unreacted clinkers, portlandite, ettringite, monosulfoaluminate, monocarbonate, hemicarboaluminate, and hydrogarnet with respect to the volume of hcp at the sealed condition.  $\phi_c$  is the volume fraction of the capillary pores with respect to the volume of the mixture of C-S-H gel with capillary pores. The volume fraction  $f_b$  of crystal hydrates and unreacted clinker with respect to the volume of cement paste at scale (a) of Fig. 14 is found as

$$f_b = f_{\text{clinker}} + f_{\text{crystal}}$$

$$420 \quad (12)$$

422 where *f*<sub>clinker</sub> is the sum of the volume fractions of unreacted clinkers (i.e. alite, belite, aluminate, and ferrite) (see エラー! 参照元が見つかりません。 to エラー! 参照元が見つかりません。 in the supplementary data), and 423  $f_{\mathrm{crystal}}$  is the sum of volume fractions of portlandite, ettringite, monosulfoaluminate, monocarbonate, 424 hemicarboaluminate, and hydrogarnet (see エラー!参照元が見つかりません。 to エラー!参照元が見つかりま 425 426 せん。 in the supplementary data). In those tables, standard deviation contains analytical error from Rietveld analysis and repeatability error (three identical samples). 427 428 Unreacted clinker, 429 CH, AFt, AFm Ø (a) Cement paste 430  $\bigcirc$ 0 431 432 0 0 (b) Mixture of C-S-H gel Capillary pores 433 Ο with capillary pores 0  $\cap$ 434 C-S-H gel 435 Fig. 14. Multiscale structure of cement paste. 436 437 The volume fraction of capillary pores with respect to the mixture of C-S-H gel with capillary pores (see

438 scale (b) in Fig. 14) is

$$\phi_c = \frac{f_{\rm cp}}{1 - f_{\rm clinker} - f_{\rm crystal}}$$

$$439 \qquad (13)$$

$$440$$

441 with

$$f_{\rm cp} = \frac{dW_{100-75}}{\rho_{\rm H20} (V/W_{\rm dry})_{\rm hcp}}$$

$$442 \quad (14)$$

$$443$$

444 where  $\rho_{\text{H2O}}$  is the density of water at 20 °C (0.9982 g/cm<sup>3</sup>) and V and  $W_{\text{dry}}$  are the initial volume and 105 °C-dried 445 mass of block sample. The masses of water in the capillary pores, or  $dW_{100-75}$ , are listed in エラー! 参照元が見つ 446 かりません。 to エラー! 参照元が見つかりません。 with the standard deviation in the supplementary data. Fig. 15 shows the bulk creep modulus  $C_{gel}^{\kappa}$  of C-S-H gel as a function of relative humidity. The bulk creep modulus  $C_{gel}^{\kappa}$  increased almost linearly with decreasing drying relative humidity. For cement pastes with the same w/c ratio, the creep modulus of both the L-hcp and H-hcp at 100% relative humidity were equal. Similarly, at 11% relative humidity, the creep modulus of both L-hcp and H-hcp were equal. This suggests that for samples kept under a saturated condition, the creep modulus of the C-S-H gel depends on the w/c ratio but not on cement type, as well as at 11% drying relative humidity.





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Fig. 15. Bulk creep modulus of C-S-H gel as a function of relative humidity after downscaling.

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457 4.2 Colloidal features of the C-S-H gel

This section discusses the colloidal microstructure of C-S-H gel [19, 52] based on the water vapor sorption results presented in Section 3.2.

C-S-H gel consists of C-S-H sheets and interlayer space, which contains water [53] (see Fig. 18). Maruyama et
al. [23, 26] suggested that the microstructure of C-S-H sheets and space between C-S-H sheets (i.e., basal space)
can change when water is removed from the interlayer space upon drying, even though the degree of hydration
remains unchanged. This change in microstructure is different when the paste is dried at relative humidity above
40% and below 40%.

When the paste is dried at a relative humidity above 40%, water first evaporates from the cement paste macropores followed by the mesopores, during which disjoining pressure induces a reduction of basal spacing in the C-S-H gel, or the agglomeration of the C-S-H meso-structure [23, 54]. The sorption data presented in Fig. 7
shows that the sorption capacity is decreased from the saturated condition to 40%~50% drying relative humidity,
implying the reduction of basal spacing.

When dried at a relative humidity below 40%, the basal spacing remains stable. Some spaces around the C-S-H agglomerations are segmented and have a high potential to adsorb water, so the sorption capacity can be regained [26]. This is consistent with the increase of sorption capacity for drying relative humidity between 40% and 11%, as shown in Fig. 7. It is suggested that the recovery of sorption capacity can be attributed to some monolayers becoming the outer surface of blocks of C–S–H agglomerations due to the segmentation [26]. In effect, the surface area remains constant when drying at a relative humidity between 40% to 11%, as shown in Fig. 9, whereas sorption capacity increases.

477 As for BET surface area, Fig. 9 demonstrates the decrease in BET surface area with decreasing drying relative 478 humidity. However, Rahoui [55] observed, by comparing hcp samples dried at 11% relative humidity for six 479 months and re-humidified to 95% relative humidity, that the surface area of dried hcp recovered to its wet surface 480 area after re-humidification. This implies that the number of C-S-H sheets and their original surface area is kept 481 constant during drying. The apparent decrease of surface area as shown in Fig. 9 is only due to the change of basal 482 spacing, which is probably due to the decrease of the surface potential of the C-S-H sheets during segmentation or 483 slow water molecule migration into narrower interlayer spaces of the C-S-H sheets. Therefore, it is assumed that 484 the specific surface area at saturated condition can be taken as the referential surface area.

485

486 4.3 Discussion of the creep mechanism

This section discusses the creep mechanism based on the downscaled creep modulus and colloidal model of C-S-H. Assuming that creep is due to sliding of C-S-H layers, the creep mechanism will be discussed using two models from literature: the local microscopic relaxations model [36] and an atomistic simulation [37].

490 4.3.1 Apparent decrease of specific surface area and the microscopic relaxations model

491 In this section, the decrease of specific surface area as measured by water vapor sorption is discussed as a 492 cause for the change in creep modulus. This discussion is supported by the local microscopic relaxation model 493 [36], which considers the logarithmic creep behavior of cementitious materials a result of microscopic relaxation494 of prestressed sites.

495 As explained in Section 4.2, the specific surface area at the saturated condition is considered as a referential 496 surface area corresponding to C-S-H for each type of cement paste. The difference in surface area between the 497 saturated condition and each drying state is defined as the reduction of surface area ( $\Delta S_{H2O}$ ) due to drying. The 498 relationship between the bulk creep modulus of C-S-H gel and  $\Delta S_{H2O}$  is shown in Fig. 16. The bulk creep modulus 499 of the C-S-H gel correlates linearly well with  $\Delta S_{H2O}$  for each cement paste. The negative values of  $\Delta S_{H2O}$  were 500 presented as the surface area of the sample at saturated condition is not the maximum value in the case of L55. 501 For all four cement pastes, the bulk creep modulus was higher for higher  $\Delta S_{H20}$ . With greater reduction of surface 502 area, there is less space where C-S-H sheets can slide. Thus, the creep modulus increases when the drying relative 503 humidity is decreased. Similar explanations can be found in the local microscopic relaxation model.

504 In the exhaustive model of local microscopic relaxations [36], the creep modulus C is proportional to 505  $(\Omega/\Omega_m)(1/\bar{n}_0)$ , where  $\Omega_m/\Omega$  is the volume fraction of relaxation sites, and  $\bar{n}_0$  is the number of relaxation sites 506 whose energy is in between  $U_0$  and  $U_0 + dU_0$  (Eq. 2.7 of [36]). If we consider that each microscopic relaxation is 507 some type of microcrack that then heals, at lower relative humidity, the microcrack is less pronounced than at a 508 higher relative humidity, i.e.,  $\Omega_m/\Omega$  is lower for lower relative humidity. Alternatively, when the relative humidity 509 decreases, the relaxation becomes more difficult. In effect, all energy barriers at the relaxation site will be 510 increased, which results in a decrease in  $\bar{n}_0$ . This decrease in  $\bar{n}_0$  approximately corresponds to the apparent 511 decrease of the specific surface area as shown Fig. 16.

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- 513
- 514



Fig. 16. The relationship between the bulk creep modulus of the C-S-H gel and the reduction of the water vapor
 surface area.

515

519 4.3.2 Statistically determined adsorption thickness as compared with results from atomistic simulation
520 The influence of water on sliding mechanics is discussed in this section. The variation of the creep modulus
521 with respect to water will be compared with results from an atomistic simulation [37].
522 As explained in Section 4.2, taking the specific surface area at the saturated condition as a referential surface
523 area corresponding to C-S-H for each type of cement paste, the adsorption thickness [56] was calculated as

524

$$t = \frac{w_{\rm evp}/\rho_{\rm H20,20^{\circ}C}}{S_{\rm H20,sat}}$$
 525 (15)  
526

527

where  $w_{evp}$  is the evaporable water content (g/g-dried hcp) from Fig. 4,  $S_{H2O,sat}$  is the referential surface area at the saturated condition from the water vapor sorption isotherm (m<sup>2</sup>/g-dried hcp), and  $\rho_{H2O,20^{\circ}C}$  is the density of water at 20 °C (998.2 kg/m<sup>3</sup>).

In Fig. 17, the creep modulus of the drying C-S-H gel is plotted against the statistically determined thickness of the water. It appears that there is a threshold for the change of the C-S-H creep behavior. Above a water thickness of 1 nm, the creep modulus of the C-S-H gel does not change significantly when adsorption thickness decreases. Taking the cross sectional area of one water molecule as equal to 0.114 nm<sup>2</sup> [43], the thickness of one layer of water molecule is 0.263 nm at 20 °C. Hence, a thickness of 1 nm corresponds to approximately four water 536 molecule layers. In this high water content environment, the sliding of C-S-H sheets is easy and is not significantly 537 affected by a change in water content. Below a thickness of 1 nm, a linear relationship between the bulk creep 538 modulus and the statistical thickness of the water can be observed. For reduced water thickness, the sliding of C-539 S-H layers becomes more difficult, resulting in an increase of the creep modulus. Fig. 18 shows the schematic of 540 the creep behavior of the C-S-H gel for different surrounding water states as determined by the water's statistical thickness. This concept with threshold at 1 nm of adsorption thickness is consistent with sliding free energy 541 542 barrier calculations by Masoumi et al. [57]. Masoumi showed that the sliding free energy barrier for Tobermorite-543 like layers decreases exponentially as a function of layers separation distance and at roughly 0.8 nm the free 544 energy is zero. In our results, the threshold value of statistical thickness of adsorption of 1 nm corresponds to 2 545 nm of layers separation, higher than the calculated value 0.8 nm of Masoumi et al. This may be explained by the following two points: firstly, the Tobermorite-like layers simulated by Masoumi et al. are straight, whereas in 546 547 tested cement paste the layers of C-S-H are more likely to be winding hence sliding requires more energy; secondly, 548 in amorphous C-S-H of cement paste more calcium ions are present in between silicate layers comparing to 549 Tobermorite-like structure simulated by Masoumi et al, resulting in an increase of threshold value of layer separation distance which corresponds to zero free energy for sliding. A similar relationship between water and 550 551 the creep modulus is also found by the atomistic simulation of [37], which proposed a correlation between the 552 creep modulus of C-S-H gel and the molar ratio H/S of water to silica.

553



555 Fig. 17. The relationship between the bulk creep modulus of C-S-H gel and the statistical thickness of water.

In [37], the authors simulated the strain response of a C-S-H box under cyclic shear stress for different water contents. For each box, three stages were simulated: loading, unloading, and reloading. Supposing that creep is a phenomena in which the energy of a system gradually trends toward a lower minimum energy and given that a cyclic shear load can take a system to a more favorable local minimum in the energy landscape, they approximated the time-dependent creep strain behavior by cycle-dependent shear strain behavior. The strain in the reloading phase was fit by a logarithmic function, by which the same creep modulus as per Eq. (7) is obtained. In the following, this creep modulus is compared with the contact creep modulus from the indentation results.

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Fig. 18. Schematic of the creep behavior of C-S-H gel for different surrounding water states.

573 For the cement pastes that were used in the indentation test, the total molar ratio  $(H/S)_{total}$  of water to silica 574 was estimated by combining the Rietveld analysis of XRD results in Section 3.1 and the evaporable water content described in Section 3.2. The water content in the sample was considered as the sum of the chemically bounded 575 576 water in C-S-H and the evaporable water. The mole number of the chemically bounded water was computed from 577 the XRD results assuming that the molar ratio H/S of water to silica in C-S-H is equal to 2.5 [58] at 11% relative 578 humidity. Then, all phases were transformed to the 105 °C state to normalize the chemically bounded water in C-579 S-H and evaporable water in the same state as described in [41]. Adding this chemically bounded water to the 580 mole number of evaporable water, we obtain

$$\left(\frac{H}{S}\right)_{\text{total}} = \left(\frac{H}{S}\right)_{\text{CSH,105°C}} + \frac{W_{\text{evp}}m_{\text{total,105°C}}}{M_{\text{w}}S}$$
582 (16)
583

where  $(H/S)_{CSH,105^{\circ}C}$  is the molar ratio of water to silica per mass of C-S-H at 105 °C, obtained from XRD/Rietveld analysis based on an iterative process, *S* is the molar number of silica in C-S-H per volume of cement paste at 105 °C (mol),  $m_{total,105^{\circ}C}$  is the mass of cement paste per volume at 105 °C (g-dried hcp) from the XRD/Rietveld analysis, and  $M_{W}$  is the molecular weight of water, equal to 18.015 g/mol.

To investigate variation of the creep modulus with the variation of molar ratio  $(H/S)_{total}$ , the bulk creep modulus of C-S-H gel was normalized by the bulk creep modulus of C-S-H gel at  $(H/S)_{total} = 4.5$  for each type of cement paste. This normalized creep modulus  $C_{gel}^{K}/C_{gel at H/S=4.5}^{K}$  is compared with the results of the atomistic simulation of [37] in Fig. 19. The change of creep modulus obtained from indentation results is consistent with those computed from atomistic simulation. Both results show the same trend of increasing creep modulus with decreasing molar ratio  $(H/S)_{total}$ . We therefore consider that our findings are consistent with the results of the atomistic simulation of [37].

596





598

Fig. 19 The relationship between  $C_{gel}^K/C_{gel \text{ at } H/S=4.5}^K$  ratio and  $(H/S)_{\text{total}}$ .

599

600

602 5. Conclusion

Two types of hardened cement paste samples were examined under different relative humidities by using the microindentation technique. Their creep results were downscaled and the creep mechanism of C-S-H gel under different relative humidities was discussed. Several conclusions can be drawn:

- The bulk creep modulus of C-S-H gel increases when the drying relative humidity decreases.
- The creep mechanism of C-S-H can be explained by the sliding of C-S-H layers.
- Considering the surface area as sites where C-S-H layers can slide, a lower BET water vapor surface area
   results in a higher bulk creep modulus of the C-S-H gel.
- Considering adsorbed water on the surface of C-S-H layer to act as a lubricant for sliding of C-S-H layers,
   the creep modulus remains almost constant when the statistical thickness of the adsorbed water is higher
   than 1 nm. For statistical thicknesses of adsorption lower than 1 nm, the creep modulus increases with a
   decrease of adsorption thickness.
- Variation of the creep modulus of C-S-H with respect to drying relative humidity, obtained by the 615 microindentation test, was found to be consistent with the local microscopic relaxation model.
- Correlation between the bulk creep modulus of C-S-H gel and the molar ratio of water to silica shows
   similar trends with those obtained from an atomistic simulation.
- 618
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