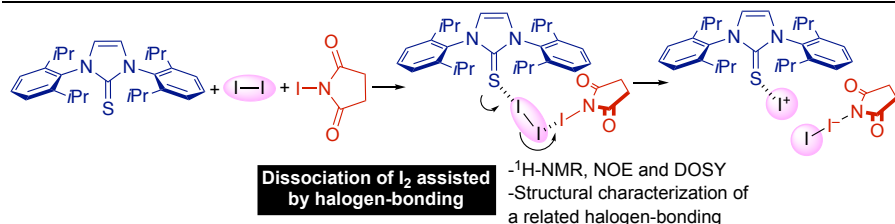


# Halogen-Bonding Interaction between I<sub>2</sub> and *N*-Iodosuccinimide in Lewis Base-Catalyzed Iodolactonization

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**KEYWORDS:** halogen-bonding, iodine, *N*-iodosuccinimide, Lewis base, iodolactonization



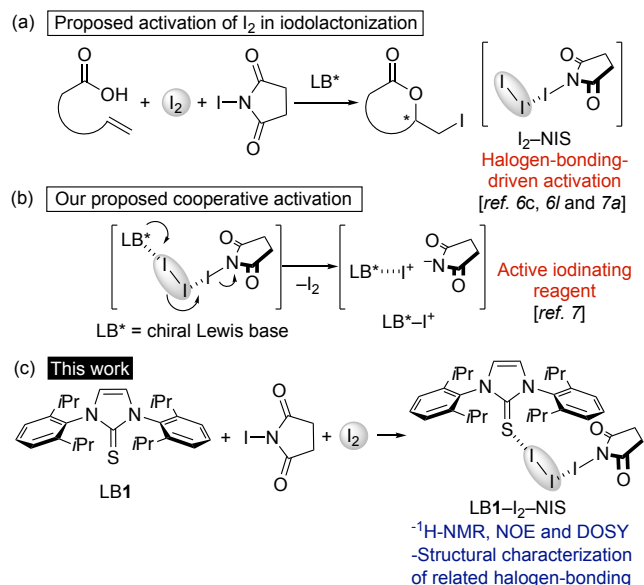
**ABSTRACT:** Halogen-bonding interaction between I<sub>2</sub> and *N*-iodosuccinimide (NIS) stabilized by a Lewis base (LB) has been explored. <sup>1</sup>H-NMR, NOE and DOSY suggest a generation of a 1:1:1 assembly, LB-I<sub>2</sub>-NIS. In contrast, when *N*-iodotrifluoromethanesulfonimide (INTf<sub>2</sub>) is used instead of NIS, LB-I<sub>3</sub><sup>+</sup>-LB is generated. Based on these results in combination with DFT calculations, we propose a mechanism for the formation of I<sub>2</sub>-NIS and the subsequent generation of an active iodinating species LB-I<sup>+</sup>.

Electrophilic iodolactonization has been recognized as a powerful method for constructing various lactones while introducing an iodine atom.<sup>1,2</sup> Therefore, tremendous effort has been devoted to the development of iodolactonization for the synthesis of natural products.<sup>3</sup> In general, an iodinating reagent, such as *N*-iodosuccinimide (NIS), is less reactive than the corresponding brominating reagent.<sup>4,5</sup> To enhance the inherent reactivity of NIS, the combination of NIS with iodine (I<sub>2</sub>) has been recently developed for use in enantioselective iodocyclizations (Scheme 1a).<sup>6,7</sup> In more than 10 studies,<sup>6,7</sup> the combination of NIS with I<sub>2</sub> has dramatically improved both the yield and enantioselectivity. This activation is believed to be due to halogen-bonding between I<sub>2</sub> and NIS to provide highly active I<sub>2</sub>-NIS, although there has been no structural characterization.<sup>6c,1</sup> Our group has developed an enantioselective iodolactonization catalyzed by a chiral Lewis base in the presence of I<sub>2</sub>.<sup>7</sup> We proposed that a chiral Lewis base (LB\*) can activate I<sub>2</sub> in cooperation with NIS, to provide LB\*-I<sup>+</sup> as a reactive iodinating reagent (Scheme 1b).<sup>7</sup> Even in the case of a weak LB\*, the generation of LB\*-I<sup>+</sup> is facilitated with cooperative activation by halogen-bonding. In this combination system, the actual iodinating reagent is I<sub>2</sub>, not NIS.<sup>7</sup> However, little is known about activation by halogen-bonding between I<sub>2</sub> and NIS.<sup>8</sup> Therefore, it is difficult to clarify the activation of I<sub>2</sub> through the halogen-bonding interaction between I<sub>2</sub> and NIS. To elucidate the details of such halogen-bonding, further studies, such as NMR and structural characterizations, are required

To this end, we were intrigued by the use of a sterically-demanding LB such as thiourea **1** (Scheme 1c).<sup>9</sup> According to our recent report,<sup>10</sup> LB1 behaves as a sufficient ligand for the isolation of a LB1-I<sub>2</sub>-I<sub>2</sub> complex. Labile interaction of I<sub>2</sub>-I<sub>2</sub> is

enhanced by  $\sigma$ -donation of the thiocarbonyl moiety of LB1. Moreover, steric repulsions of LB1 help to stabilize the halogen-bonding interaction. Based on these findings,<sup>10</sup> we speculated that I<sub>2</sub>-NIS would also be stabilized in the presence of sterically-demanding LB1. With stabilized I<sub>2</sub>-NIS in hand,

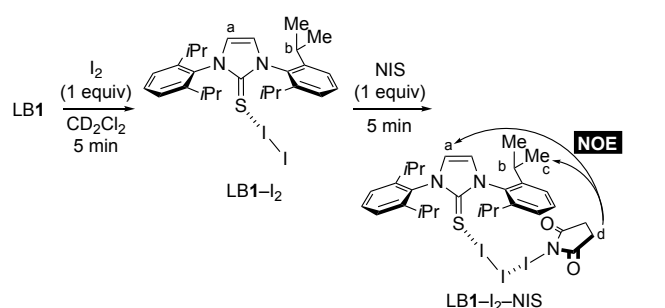
## Scheme 1. Proposed Activation by I<sub>2</sub> in Iodolactonization



we began to characterize the chemical and structural features of halogen-bonding interaction.

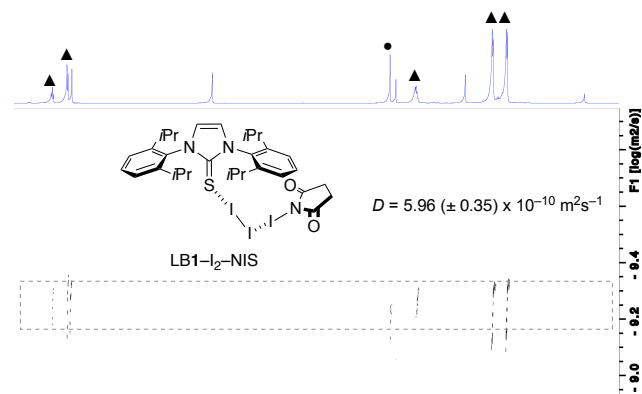
Initially, we applied  $^1\text{H-NMR}$  to LB1,  $\text{I}_2$  and NIS in  $\text{CD}_2\text{Cl}_2$ . When LB1 was treated with one equivalent of  $\text{I}_2$ , peaks of LB1 were shifting quantitatively (Table 1, entry 2). Subsequent addition of NIS also facilitated changes of chemical shift of LB1 and NIS in 5 min (Table 1, entry 3). These quantitative shifts of  $^1\text{H-NMR}$  suggest an association equilibrium of these three components when 1:1:1 of LB1,  $\text{I}_2$  and NIS are used. On further  $^1\text{H-NMR}$  studies for LB1- $\text{I}_2$ -NIS at  $-20^\circ\text{C}$ , a nuclear Overhauser effect (NOE) was observed between NIS ( $\text{H}^d$ ) and LB1 ( $\text{H}^a$  and  $\text{H}^c$ ) (Table 1, LB1- $\text{I}_2$ -NIS). Analysis by diffusion-ordered NMR spectroscopy (DOSY) confirmed the assembly of LB1,  $\text{I}_2$  and NIS, which presumably was LB1- $\text{I}_2$ -NIS (Figure 1); the diffusion coefficients  $D$  of NIS [ $8.94 \times 10^{-10} \text{ m}^2\text{s}^{-1}$ ,  $\log D = -9.05$ ] and A [ $6.88 \times 10^{-10} \text{ m}^2\text{s}^{-1}$ ,  $\log D = -9.16$ ] decreased in magnitude upon the formation of LB1- $\text{I}_2$ -NIS [ $5.96 \times 10^{-10} \text{ m}^2\text{s}^{-1}$ ,  $\log D = -9.22$ ] (Figure S3).

**Table 1.**  $^1\text{H NMR}$  of LB1, LB1- $\text{I}_2$ , LB1- $\text{I}_2$ -NIS and NIS in  $\text{CD}_2\text{Cl}_2$  at  $25^\circ\text{C}^a$  and NOE of LB1- $\text{I}_2$ -NIS



entry	Sample <sup>a</sup>	Chemical shift of H <sup>a</sup> (ppm)	Chemical shift of H <sup>b</sup> (ppm)	Chemical shift of H <sup>d</sup> (ppm)
1	LB1	6.87	2.73	—
2	LB1- $\text{I}_2$	7.23	2.54	—
3	LB1- $\text{I}_2$ -NIS	7.29	2.51	2.80
4	NIS	—	—	2.99

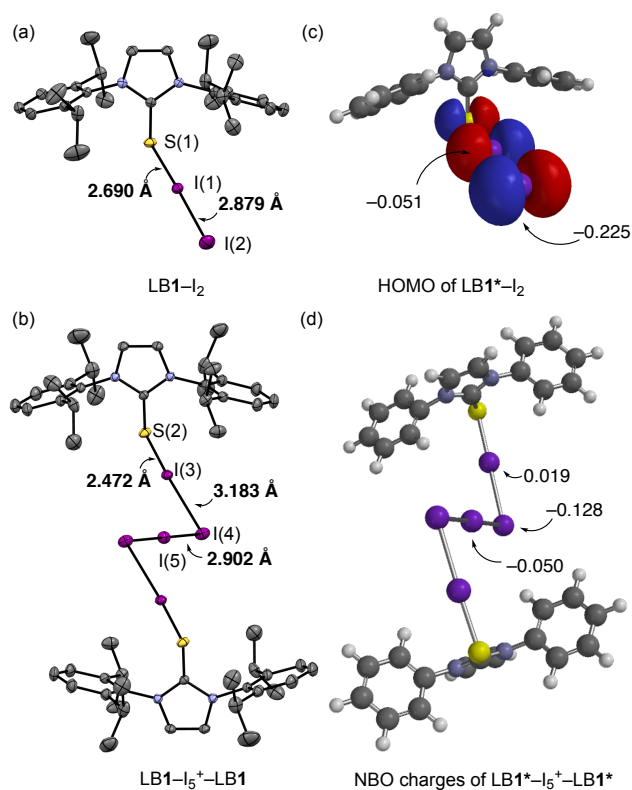
<sup>a</sup> [LB1] = 67 mM, [ $\text{I}_2$ ] = 67 mM and [NIS] = 67 mM in  $\text{CD}_2\text{Cl}_2$  under a nitrogen atmosphere at  $25^\circ\text{C}$ .



**Figure 1.** Diffusion coefficients of LB1- $\text{I}_2$ -NIS in  $\text{CD}_2\text{Cl}_2$  at  $-20^\circ\text{C}$ . Chemical shifts of LB1 ( $\blacktriangle$ ) and NIS ( $\bullet$ ).

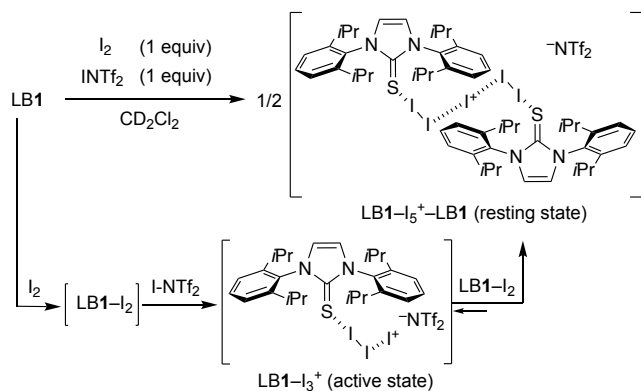
Next, we attempted to isolate LB1- $\text{I}_2$  and LB1- $\text{I}_2$ -NIS. In the solid-state of LB1- $\text{I}_2$ ,<sup>11</sup> the coordination of LB1 to  $\text{I}_2$  was observed (Figure 2a). As a result of  $\sigma$ -donation from LB1,

elongated I(1)-I(2) (2.879 Å) was observed in comparison with that of free  $\text{I}_2$  (2.715 Å).<sup>12</sup> In contrast, isolable LB1- $\text{I}_2$ -NIS was not obtained under any condition. Therefore, more electron-deficient iodinating reagent was used in place of NIS. Eventually, instead of LB1- $\text{I}_2$ -NIS, LB1- $\text{I}_5^+$ -LB1 was obtained when *N*-iodotrifluoromethanesulfonimide ( $\text{INTf}_2$ ) was used (Scheme 2). The structure of LB1- $\text{I}_5^+$ -LB1 (resting state) can be described as the combined structure of LB1- $\text{I}_3^+$  (active state) and LB1- $\text{I}_2$ ; *i.e.*, after the generation of LB1- $\text{I}_3^+$  from LB1- $\text{I}_2$  with  $\text{INTf}_2$ , highly electrophilic LB1- $\text{I}_3^+$  is immediately captured by another LB1- $\text{I}_2$ . To understand the structure of  $\text{I}_2$ -NIS, we looked at LB1- $\text{I}_5^+$ -LB1, which contains  $\text{I}_3^+$  of LB1- $\text{I}_3^+$ . When we looked at  $\text{I}_3^+$  [I(3)-I(4)-I(5)] of LB1- $\text{I}_5^+$ -LB1 (Figure 2b), a bent structure was observed, in accordance with previous studies.<sup>13</sup> In addition, LB1- $\text{I}_5^+$ -LB1 has highly polarized poly-iodine bonds [I(3)-I(4) (3.183 Å) vs I(4)-I(5) (2.902 Å)]. These polarized iodine bonds indicate electron-donation from LB1 as well as the electron-acceptance from  $\text{I}^+$  of  $\text{INTf}_2$ . Thus, the elongation of  $\text{I}_2$  by halogen-bonding interaction suggests the activation of  $\text{I}_2$  by an iodinating reagent. It is worth mentioning that there have been only two reports on the crystallographic characterization of  $\text{I}_5^+$ .<sup>14,15</sup> The key for the isolation of labile  $\text{I}_5^+$  of LB1- $\text{I}_5^+$ -LB1 should be  $\sigma$ -donation to  $\text{I}_5^+$  and the steric repulsion of LB1.

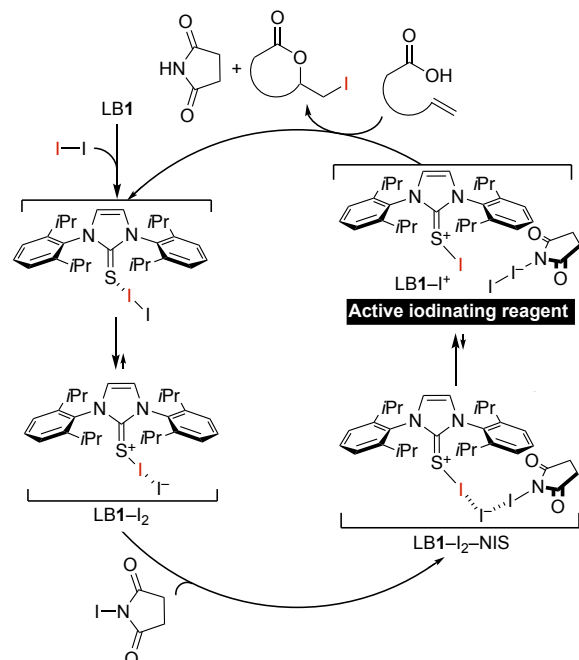


**Figure 2.** (a) and (b) ORTEP drawings of LB1- $\text{I}_2$  and LB1- $\text{I}_5^+$ -LB1 showing the thermal ellipsoids at the 50% probability level (hydrogen atoms and anion have been omitted for clarity). (c) HOMO and NBO charges of LB1\*- $\text{I}_2$ . (d) NBO charges of LB1\*- $\text{I}_5^+$ -LB1\*.

## Scheme 2. Synthesis of Pentaiodonium Cation



**Scheme 3. A Proposed Mechanism**



the bond dissociation of  $I_2$ . The resulting electrophilic  $LB1-I^+$  could be a reactive iodonium cation for iodolactonization. In this proposed mechanism, the generation of  $LB1-I^+$  may be accelerated by cooperative activation in comparison with that without  $I_2$ . In addition, the resulting iodonium cation has a less-coordinating  $I_2$ -succinimide anion, which enhances the electrophilicity of  $I^+$ . These properties of  $I_2$  may be the key for boosting the reactivity and selectivity in iodolactonizations.

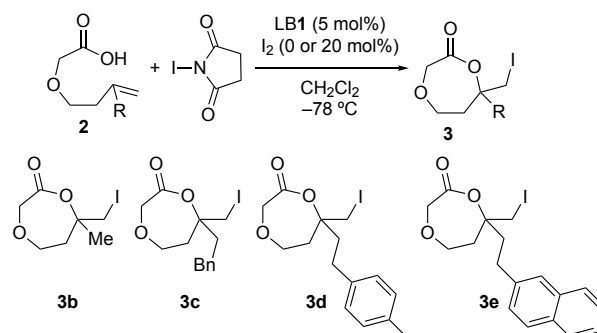
To check this cooperative activation, we examined the iodolactonization of **2a** with/without  $I_2$  in the presence of NIS (Table 2). The corresponding product **3a** was not obtained in the absence of both LB1 and  $I_2$  (entry 1). The addition of 20 mol% of  $I_2$  improved the reactivity (entry 2). The yield was further increased when 5 mol% of LB1 was used in the presence of  $I_2$  (entry 3). In contrast, the yield dramatically decreased in the absence of  $I_2$  even when LB1 was used (entry 4).

**Table 2. The Effect of  $I_2$  and LB1 for Iodolactonization<sup>a</sup>**

entry	LB1 (mol%)	$I_2$ (mol%)	yield (%) of <b>3a</b>
1	0	0	0
2	0	20	20
3	5	20	75
4	5	0	35

<sup>a</sup> The reaction was carried out with LB1 (5 mol%),  $I_2$  (0–20 mol%), **1a** (1 equiv), NIS (1 equiv) in  $CH_2Cl_2$  at  $-30\text{ }^\circ C$

**Table 3. The Comparison Experiments<sup>a,b</sup>**



entry	2	3	yield (%) of 3
1	2b	3b	79 [30]
2	2c	3c	78 [14]
3	2d	3d	89 [55]
4	2e	3e	94 [46]
5	2e	3e	91

<sup>a</sup> The reaction was carried out with LB1 (5 mol%),  $I_2$  (20 mol%), **2** (0.12 mmol, 1 equiv) and NIS (1 equiv) in  $CH_2Cl_2$ . <sup>b</sup> The yields of **3** in the absence of  $I_2$  are given in brackets. <sup>c</sup> The reaction was conducted at 1.0 mmol scale of **2e**.

To gain further insight into the generation of halogen-bonding, theoretical calculations were performed on  $LB1^*-I_2$  and  $LB1^*-I_5^+-LB1^*$  where *iPr* moiety of LB1 was removed. The HOMO of  $LB1^*-I_2$  was mainly comprised of the *p*-orbital of the coordinated  $I_2$  (Figure 2c). In addition, the NBO charge of I(2) [−0.225] in comparison with that of I(1) [−0.051] indicates that I(2) is nucleophilic iodine (Figure 2a and also see Table S2). This result suggests that  $LB1^*-I_2$  has a nucleophilic *p*-orbital of I(2) toward the iodinating reagent, which also accounts for the bent structure of the  $I_3^+$ . Regarding  $LB1^*-I_5^+-LB1^*$ , NBO charges of iodine atoms suggest that I(3) [0.019] was more cationic than I(4) [−0.128] and I(5) [−0.050] (Figure 2d). If we consider that I(3)–I(4) is the longest bond [3.183 Å], the dissociation of I(3)–I(4) is more likely than that of I(4)–I(5). Based on structural characterizations and calculations, a proposed mechanism for the generation of  $I_2$ –NIS by LB1 is shown in Scheme 3. First, LB1 coordinates to  $I_2$ , which gives  $LB1-I_2$ . Subsequent nucleophilic attack occurs by the *p*-orbital of  $I_2$  toward NIS. After generation of  $LB1-I_2$ –NIS, cooperative activation by LB1 with NIS may facilitate

Next, we further explored medium-size ring iodolactonization with/without I<sub>2</sub> (Table 3). Although medium-size ring iodolactonizations are presumably slow,<sup>16–18</sup> **3b–3e** were successfully constructed. In contrast, the yield of **3** was decreased in the absence of I<sub>2</sub>. These results support the cooperative activation of I<sub>2</sub> by LB1 and Lewis acidic NIS through halogen-bonding.

In conclusion, halogen-bonding interaction of Lewis base, I<sub>2</sub> and Lewis acidic NIS has been explored by NMR studies, structural characterizations and theoretical calculations. Halogen-bonding interactions between I<sub>2</sub> and NIS and between I<sub>2</sub> and LB may promote the generation of iodonium cation. The results of iodolactonization with/without I<sub>2</sub> support the notion that these halogen-bonding interactions accelerate the rate of the reaction.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website.

Experimental procedures, full spectroscopic data for all new compounds, X-ray data for LB1–I<sub>2</sub> and LB1–I<sub>5</sub><sup>+</sup>–LB1, and copies of <sup>1</sup>H, <sup>13</sup>C, and <sup>19</sup>F NMR spectra (PDF)

### Accession Codes

CCDC 1952239 and 1952240 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge via [www.ccdc.cam.ac.uk/data\\_request/cif](http://www.ccdc.cam.ac.uk/data_request/cif), or by emailing [data\\_request@ccdc.cam.ac.uk](mailto:data_request@ccdc.cam.ac.uk), or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

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### Notes

The authors declare no competing financial interest.

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